



IRDA Public Disclosures

FOR THE QUARTER ENDED SEPTEMBER 30, 2021

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Aviva Life Insurance Company India Limited
IRDAI Registration Code: 0122

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FORM L-1-A-RA

Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED REVENUE ACCOUNT FOR THE PERIOD ENDED SEPTEMBER 30, 2021

Policyholders' Account (Technical Account)

Particulars	Schedule	LINKED		NON-LINKED							Q2 '21-22	AS AT SEPTEMBER 30, 2021
				PARTICIPATING		NON PARTICIPATING						
		LIFE	PENSION	LIFE	PENSION	LIFE	PENSION	ANNUITY	HEALTH	VARIABLE INSURANCE		
		(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
Premiums earned – net	L-4											
(a) Premium		12,666	546	199	2	38,861	546	314	150	19	31,631	53,303
(b) Reinsurance ceded		(154)	-	-	-	(3,912)	-	-	(51)	-	(2,101)	(4,117)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-
Sub Total		12,512	546	199	2	34,949	546	314	99	19	29,530	49,186
Income from Investments												
(a) Interest, Dividends and Rent – Gross		6,570	609	103	-	24,578	-	956	-	25	16,950	32,841
(b) Profit on sale/redemption of investments		17,446	2,078	-	-	2,176	-	-	-	4	11,791	21,704
(c) (Loss) on sale/ redemption of investments		(1,083)	(118)	-	-	(470)	-	-	-	-	(1,083)	(1,671)
(d) Transfer/Gain on revaluation/change in fair value		26,355	2,753	-	-	-	-	-	-	-	19,023	29,108
(e) Appropriation/Expropriation Adjustment account		-	-	-	-	-	-	-	-	-	-	-
Sub Total		49,288	5,322	103	-	26,284	-	956	-	29	46,680	81,982
Other Income												
(a) Fee, Charges and Rent Income		1	-	1	-	155	-	-	-	-	80	157
(b) Contribution from Shareholders Account		-	-	-	-	-	-	-	-	-	-	-
- towards extra mortality/other charges		48	-	-	-	17	-	-	-	-	27	65
- towards excess of expense of management		-	-	-	-	-	-	-	-	-	-	-
- towards deficit funding and others		868	-	-	-	756	567	-	59	32	922	2,282
TOTAL (A)		62,717	5,868	303	2	62,161	1,113	1,270	158	80	77,239	133,672
Commission*	L-5	134	1	3	-	1,173	10	2	1	-	844	1,324
Operating Expenses related to Insurance Business	L-6	3,695	153	13	-	9,118	253	322	24	7	7,010	13,585
Provision for Doubtful debts		14	1	-	-	35	1	1	-	-	3	52
Bad debts written off		-	-	-	-	-	-	-	-	-	-	-
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-
(a) For diminution in the value of investments (Net)		1,363	108	-	-	(566)	-	-	-	-	955	905
(b) Others		-	-	-	-	-	-	-	-	-	-	-
Service Tax/Goods & Service Tax charges on Linked Charges		658	38	-	-	-	-	-	-	-	354	696
TOTAL (B)		5,864	301	16	-	9,760	264	325	25	7	9,166	16,562
Benefits Paid (Net)	L-7	28,526	5,244	159	21	20,829	509	204	72	914	35,634	56,478
Interim Bonuses Paid		70	6	4	2	-	-	-	-	-	51	82
Change in valuation of liability in respect of life policies		-	-	-	-	-	-	-	-	-	-	-
(a) Gross		533	(18)	125	(17)	33,990	343	294	92	(842)	23,817	34,500
(b) Amount ceded in Reinsurance		(51)	-	(1)	-	(3,717)	(1)	-	(33)	-	(3,123)	(3,803)
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	-
(d) Fund Reserve for Linked Policies		25,764	216	-	-	-	-	-	-	-	12,990	25,980
(e) Fund for Discontinued Policies		1,681	-	-	-	-	-	-	-	-	(2,229)	1,681
TOTAL (C)		56,523	5,448	287	6	51,102	851	498	131	72	67,140	114,918
TOTAL (B+C)		62,387	5,749	303	6	60,862	1,115	823	156	79	76,306	131,480
SURPLUS/(DEFICIT) (D)=(A)-(B)-(C)		330	119	-	(4)	1,299	(2)	447	2	1	933	2,192
APPROPRIATIONS												
Transfer to Shareholders' Account		-	-	-	-	-	-	-	-	-	-	-
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-
Transfer to/(from) Funds for Future Appropriations		330	50	-	(4)	-	-	-	-	-	418	376
Transfer to Balance sheet		-	69	-	-	1,299	(2)	447	2	1	515	1,816
TOTAL (D)		330	119	-	(4)	1,299	(2)	447	2	1	933	2,192
The break up of Total surplus is as under:												
(a) Interim Bonuses Paid:		70	6	4	2	-	-	-	-	-	51	82
(b) Allocation of Bonus to policyholders:		-	-	-	-	-	-	-	-	-	-	-
(c) Surplus shown in the Revenue Account:		330	119	-	(4)	1,299	(2)	447	2	1	933	2,192
(d) Total Surplus: ((a)+(b)+(c)):		400	125	4	(2)	1,299	(2)	447	2	1	984	2,274

*including Rewards and/or remuneration to agents, brokers or other intermediaries

FORM L-1-A-BA

Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED REVENUE ACCOUNT FOR THE PERIOD ENDED SEPTEMBER 30, 2020
Policyholders' Account (Technical Account)

Particulars	Schedule	LINKED		NON-LINKED							Q2 '20-21 (Rs. In lacs)	AS AT SEPTEMBER 30, 2020 (Rs. In lacs)
				PARTICIPATING		NON PARTICIPATING						
		LIFE	PENSION	LIFE	PENSION	LIFE	PENSION	ANNUITY	HEALTH	VARIABLE INSURANCE		
		(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)						(Rs. In lacs)	(Rs. In lacs)
Premiums earned – net	L-4											
(a) Premium		12,917	659	202	3	33,023	714	560	158	4	28,628	48,240
(b) Reinsurance ceded		(175)	-	-	-	(3,177)	-	-	(42)	-	(1,765)	(3,394)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-
Sub Total		12,742	659	202	3	29,846	714	560	116	4	26,863	44,846
Income from investments												
(a) Interest, Dividends and Rent – Gross		5,716	507	83	-	21,240	-	883	-	39	14,829	28,468
(b) Profit on sale/redemption of investments		9,273	1,113	1	-	189	-	-	-	8	7,010	10,584
(c) (Loss) on sale/ redemption of investments		(14,305)	(1,351)	-	-	(3,602)	-	-	-	-	(3,522)	(19,258)
(d) Transfer/Gain on revaluation/change in fair value		43,402	4,678	-	-	-	-	-	-	-	7,362	48,080
(e) Appropriation/Expropriation Adjustment account		-	-	-	-	-	-	-	-	-	-	-
Sub Total		44,086	4,947	84	-	17,827	-	883	-	47	25,680	67,874
Other Income												
(a) Fee, Charges and Rent Income		3	-	1	-	185	1	-	-	-	129	190
(b) Contribution from Shareholders Account		-	-	-	-	-	-	-	-	-	-	-
- towards extra mortality/other charges		57	-	-	-	14	-	-	-	-	30	71
- towards excess of expense of management		-	-	-	-	-	-	-	-	-	-	-
- towards deficit funding and others		729	52	-	-	3,429	731	9	-	16	1,299	4,966
TOTAL (A)		57,617	5,658	287	3	51,301	1,446	1,452	116	67	54,000	117,947
Commission*	L-5	132	1	5	-	851	8	5	1	-	600	1,003
Service Tax/Goods & Service Tax charges on Linked Charges		628	33	-	-	-	-	-	-	-	320	661
Operating Expenses related to Insurance Business	L-6	3,666	151	17	-	8,954	418	701	26	8	7,256	13,941
Provision for Doubtful debts		13	1	-	-	35	2	3	-	-	34	54
Bad debts written off		-	-	-	-	-	-	-	-	-	-	-
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-
(a) For diminution in the value of investments (Net)		-	-	-	-	92	-	-	-	-	99	92
(b) Others		-	-	-	-	-	-	-	-	-	-	-
TOTAL (B)		4,439	186	22	-	9,932	428	709	27	8	8,309	15,751
Benefits Paid (Net)	L-7	17,270	1,606	35	6	12,460	9,261	164	25	57	29,536	40,884
Interim Bonuses Paid		9	9	1	-	-	-	-	-	-	12	19
Change in valuation of liability in respect of life policies												
(a) Gross		205	(63)	167	(1)	29,522	(8,244)	579	65	2	9,790	22,232
(b) Amount ceded in Reinsurance		7	-	-	-	(609)	-	-	(4)	-	(358)	(606)
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	-
(d) Fund Reserve for Linked Policies		30,810	3,849	-	-	-	-	-	-	-	2,972	34,659
(e) Fund for Discontinued Policies		4,210	-	-	-	-	-	-	-	-	3,629	4,210
TOTAL (C)		52,511	5,401	203	5	41,373	1,017	743	86	59	45,580	101,398
TOTAL (B+C)		56,950	5,587	225	5	51,305	1,445	1,452	113	67	53,889	117,149
SURPLUS/DEFICIT (D)=(A)-(B)-(C)		667	71	62	(2)	(4)	1	-	3	-	111	798
APPROPRIATIONS												
Transfer to Shareholders' Account		-	-	-	-	-	-	-	-	-	-	-
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-
Transfer to/(from) Funds for Future Appropriations		597	72	62	(2)	-	-	-	-	-	250	729
Transfer to Balance sheet		70	(1)	-	-	(4)	1	-	3	-	(139)	69
TOTAL (D)		667	71	62	(2)	(4)	1	-	3	-	111	798
The break up of Total surplus is as under:												
(a) Interim Bonuses Paid:		10	9	1	-	-	-	-	-	-	12	20
(b) Allocation of Bonus to policyholders:		-	-	-	-	-	-	-	-	-	-	-
(c) Surplus shown in the Revenue Account:		667	71	62	(2)	(4)	1	-	3	-	111	798
(d) Total Surplus: ((a)+(b)+(c)):		677	80	63	(2)	(4)	1	-	3	-	123	818

FORM L-2-A-PL

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED PROFIT AND LOSS ACCOUNT FOR THE PERIOD ENDED SEPTEMBER 30, 2021

Shareholders' Account (Non-technical Account)

Particulars	Q2 '21-22 (Rs. In lacs)	AS AT SEPTEMBER 30, 2021 (Rs. In lacs)	Q2 '20-21 (Rs. In lacs)	AS AT SEPTEMBER 30, 2020 (Rs. In lacs)
Amount transferred from Policyholders Account (Technical Account)	-	-	-	-
Income From Investments				
(a) Interest, Dividends and Rent – Gross	1,045	2,072	1,129	2,277
(b) Profit on sale/redemption of investments	95	278	29	31
(c) (Loss) on sale/ redemption of investments	(56)	(56)	-	(495)
Other Income	-	-	-	-
TOTAL (A)	1,084	2,294	1,158	1,813
Expense other than those directly related to the insurance business	99	170	21	97
Managerial Remuneration*	17	166	14	190
Bad debts written off	-	-	-	-
Provisions (Other than taxation)				
(a) For diminution in the value of investments (net)	(96)	(103)	13	11
(b) Provision for doubtful debts	-	-	-	-
(c) Others	-	-	-	-
Contribution to the Policyholders Account (Technical Account)				
- towards excess of expense of management	-	-	-	-
- towards deficit funding and others	922	2,282	1,299	4,966
TOTAL (B)	941	2,514	1,347	5,264
Loss before tax	143	(220)	(189)	(3,451)
Provision for Taxation	-	-	-	-
Loss after tax	143	(220)	(189)	(3,451)
APPROPRIATIONS				
(a) Balance at the beginning of the year	(138,941)	(138,941)	(134,667)	(134,667)
(b) Interim dividends paid during the year	-	-	-	-
(c) Proposed final dividend	-	-	-	-
(d) Dividend distribution on tax	-	-	-	-
(e) Transfer to reserves/ other accounts	-	-	-	-
Profit/ (Loss) carried forward to the Balance Sheet	(138,798)	(139,161)	(134,856)	(138,118)
Earnings per equity share				
Basic and diluted earnings per equity share (Face value of Rs. 10 per share)		(0.01)		(0.17)

FORM L-3-A-BS

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED BALANCE SHEET AS AT SEPTEMBER 30, 2021

Particulars	Schedule	AS AT SEPTEMBER 30, 2021 (Rs. In lacs)	AS AT SEPTEMBER 30, 2020 (Rs. In lacs)
SOURCES OF FUNDS			
<i>SHAREHOLDERS' FUNDS:</i>			
SHARE CAPITAL	L-8, L-9	200,490	200,490
RESERVES AND SURPLUS	L-10	-	-
CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT		-	-
Sub-Total		200,490	200,490
<i>BORROWINGS</i>			
<i>POLICYHOLDERS' FUNDS:</i>	L-11	-	-
CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT		118	54
SURPLUS ON POLICYHOLDER'S ACCOUNT - Pending transfer to Shareholder's account		1,816	71
<i>POLICY LIABILITIES</i>			
Linked - Individual - Life - Non Participating		3,408	2,706
Linked - Individual - Pension - Non Participating		47	100
Linked - Group - Life - Non Participating		-	-
Non Linked - Individual - Life - Participating		2,601	2,155
Non Linked - Individual - Pension - Participating		87	120
Non Linked - Individual - Life - Non Participating		649,007	569,206
Non Linked - Individual - Pension - Non Participating		18,439	17,091
Non Linked - Group - Life - Non Participating		6,347	4,587
Non Linked - Group - Pension - Non Participating		306	221
Non Linked - Annuity - Non Participating		5,730	5,256
Non Linked - Variable group		689	1,436
Non Linked Health Non Participating		1,713	1,207
<i>INSURANCE RESERVES</i>			
<i>PROVISION FOR LINKED LIABILITIES</i>		-	-
Linked - Individual - Life - Participating		5,330	5,049
Linked - Individual - Pension - Participating		314	309
Linked - Individual - Life - Non Participating		292,394	230,430
Linked - Individual - Pension - Non Participating		32,938	28,764
Linked - Group - Life - Non Participating		31,235	29,060
Discontinued Policies Fund - Non payment of premium		18,644	17,001
Sub-Total		1,071,163	914,822
<i>FUNDS FOR FUTURE APPROPRIATIONS</i>			
FFA - Others		8,981	8,248
TOTAL		1,280,634	1,123,560
APPLICATION OF FUNDS			
<i>INVESTMENTS</i>			
- Shareholders'	L-12	59,027	60,804
- Policyholders'	L-13	678,383	597,750
- Assets held to cover linked liabilities	L-14	389,509	318,512
<i>LOANS</i>			
	L-15	-	-
<i>FIXED ASSETS</i>			
	L-16	1,472	1,276
<i>CURRENT ASSETS</i>			
Cash & Bank Balance	L-17	2,718	2,560
Advances And Other Assets	L-18	51,333	44,805
Sub-Total (A)		54,051	47,366
<i>CURRENT LIABILITIES</i>			
<i>PROVISIONS</i>	L-19	39,427	38,770
	L-20	1,542	1,495
Sub-Total (B)		40,969	40,265
NET CURRENT ASSETS (C) = (A - B)		13,082	7,101
<i>MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted)</i>			
	L-21	-	-
<i>DEBIT BALANCE IN PROFIT AND LOSS ACCOUNT (Shareholders' Account)</i>			
TOTAL		139,161	138,118
		31,000.00	-

Particulars	As AT September 30, 2021 (Rs. In lacs)	As AT September 30, 2020 (Rs. In lacs)
Uncalled liability in Partly paid-up investments	2,141	1,115
Underwriting commitments outstanding (in respect of shares and securities)	Nil	Nil
Claims, other than those under policies, not acknowledged as debts	136	163
Guarantees given by or on behalf of the Company	Nil	Nil
Reinsurance obligations to the extent not provided for in accounts	Nil	Nil
Others (in relation to Claims against policies)	2,100	2,073
Statutory demands/liabilities in dispute, not provided for	Refer table below	

Nature	Nature	As AT September 30, 2021 (Rs. In lacs)	As AT September 30, 2020 (Rs. In lacs)
Service Tax Cases at Various Forums	Tax	6,594	6,594
	Penalty	6,514	6,514

Based on legal opinion, management believes that at present, no provision is required in the books of account in respect of the above matters.

FORM L-4-PREMIUM SCHEDULE

Particulars	Q2 '21-22	AS AT SEPTEMBER 30, 2021	Q2 '20-21	AS AT SEPTEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
First year premiums	7,394	11,114	4,635	9,014
Renewal premiums	23,757	41,487	23,479	38,373
Single premiums	480	702	514	854
TOTAL PREMIUM	31,631	53,303	28,628	48,240
Premium Income from business written :				
In India	30,607	51,447	28,628	48,240
Outside India	1,024	1,856	-	-
TOTAL PREMIUM	31,631	53,303	28,628	48,240

FORM L-5-COMMISSION SCHEDULE

Particulars	Q2 '21-22	AS AT	Q2 '20-21	AS AT
	(Rs. In lacs)	SEPTEMBER 30, 2021 (Rs. In lacs)	(Rs. In lacs)	SEPTEMBER 30, 2020 (Rs. In lacs)
Commission Paid				
Direct - First year premiums	612	895	400	739
- Renewal premiums	209	367	155	202
- Single premiums	10	15	(1)	0
Total	830	1,277	555	941
Add : Commission on Re-insurance Accepted	-	-	-	-
Less : Commission on Re-insurance Ceded	-	-	-	-
NET COMMISSION	830	1,277	555	941
Rewards and/or remuneration to agents, brokers or other intermediaries	13	47	45	62
NET COMMISSION INCLUDING REWARDS	844	1,324	600	1,003
Break-up of commission by distribution network:				
Agents	200	422	252	471
Brokers	195	225	45	76
Corporate Agency	407	611	256	367
Referral	2	3	0	0
Others (Insurance Marketing Firm)	40	63	47	89
TOTAL (B)	844	1,324	600	1,003
Commission and Rewards on Business written :				
In India	844	1,324	600	1,003
Outside India	-	-	-	-
TOTAL COMMISSION AND REWARDS	844	1,324	600	1,003

FORM L-6-OPERATING EXPENSES SCHEDULE

Particulars	Q2 '21-22	AS AT	Q2 '20-21	AS AT
	(Rs. In lacs)	SEPTEMBER 30, 2021 (Rs. In lacs)	(Rs. In lacs)	SEPTEMBER 30, 2020 (Rs. In lacs)
Employees' remuneration and welfare benefits	3,929	7,747	4,437	8,543
Travel, conveyance and vehicle running expenses	32	22	14	(6)
Training expenses	4	5	4	4
Rents, rates and taxes	705	1,390	718	1,423
Repairs & Office Maintenance	324	632	276	566
Printing and stationery	31	71	28	53
Communication expenses	120	226	123	223
Legal and professional charges	98	371	169	258
Medical fees	27	38	7	12
Auditors' fees, expenses etc				
a) as auditor	13	26	14	27
b) as adviser or in any other capacity, in respect of				
(i) Taxation matters	-	-	-	-
(ii) Insurance matters	-	-	-	-
(iii) Management services; and	-	-	-	-
c) in any other capacity	2	3	1	3
Advertisement and publicity	416	547	127	412
Business Development and Sales Promotion Expenses	(11)	142	134	302
Stamp duty on policies	203	267	228	306
Interest and bank charges	39	83	13	80
Depreciation	185	402	211	399
Information technology and related expenses	692	1,251	501	919
Goods and Service Tax/Service tax on premium	30	56	37	67
Others				
a) Electricity	70	129	91	145
b) Recruitment	51	115	85	145
c) Miscellaneous expenses	50	61	37	61
TOTAL	7,010	13,585	7,256	13,941
In India	7,010	13,585	7,256	13,941
Outside India	-	-	-	-

FORM L-7-BENEFITS PAID SCHEDULE

Particulars	Q2 '21-22	AS AT SEPTEMBER 30, 2021	Q2 '20-21	AS AT SEPTEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
Insurance Claims				
(a) Claims by Death,	10,333	24,378	3,493	5,194
(b) Claims by Maturity,	5,509	8,477	5,305	9,412
(c) Annuities/Pension payment	995	2,445	10,570	11,343
(d) Periodical Benefit	2,342	3,825	1,157	1,802
(e) Health	93	130	45	60
(f) Surrender	22,491	32,934	10,502	15,295
(g) Other benefits				
- Riders	44	45	4	3
- Interest to policy holders	186	337	149	380
(Amount ceded in reinsurance):				
(a) Claims by Death,	(6,359)	(16,093)	(1,688)	(2,605)
(b) Claims by Maturity,	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-
(d) Periodical Benefit	-	-	-	-
(e) Health	-	-	-	-
(f) Surrender	-	-	-	-
(g) Other benefits	-	-	-	-
- Riders	-	-	-	-
- Interest to policy holders	-	-	-	-
(Amount accepted in reinsurance):				
(a) Claims by Death,	-	-	-	-
(b) Claims by Maturity,	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-
(d) Periodical Benefit	-	-	-	-
(e) Health	-	-	-	-
(f) Surrender	-	-	-	-
(g) Other benefits	-	-	-	-
- Riders	-	-	-	-
- Interest to policy holders	-	-	-	-
TOTAL	35,634	56,478	29,536	40,884
Benefits paid (Net)				
In India	35,634	56,478	29,536	40,884
Outside India	-	-	-	-
Total Benefits paid (Net)	35,634	56,478	29,536	40,884

FORM L-8-SHARE CAPITAL SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2021	SEPTEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)
Authorized Capital	250,000	250,000
2,500,000,000 (Previous Year 2,500,000,000) Equity shares of Rs 10 each		
Issued, Subscribed and Called up Capital		
2,004,900,000 (Previous Year 2,004,900,000) Equity shares of Rs 10 each, fully paid up	200,490	200,490
Less : Calls unpaid	-	-
Add : Shares forfeited (Amount originally paid up)	-	-
Less: Par value of Equity Shares bought back	-	-
Less: Preliminary expenses	-	-
Expenses including commission or brokerage or underwriting or subscription of shares.	-	-
TOTAL	200,490	200,490



FORM L-9-PATTERN OF SHAREHOLDING SCHEDULE

Shareholder	AS AT SEPTEMBER 30, 2021		AS AT SEPTEMBER 30, 2020	
	Number of Shares	% of Holding	Number of Shares	% of Holding
Promoters				
- Indian - Dabur Invest Corp.(Partnership Firm)	1,022,499,000	51%	1,022,499,000	51%
- Foreign - Aviva International Holdings Limited, UK	982,401,000	49%	982,401,000	49%
- Others	-	0%	-	0%
TOTAL	2,004,900,000	100%	2,004,900,000	100%

FORM L-9A- PART A: PATTERN OF SHAREHOLDING SCHEDULE as at SEPTEMBER 30, 21

S.No.	Category	No. of Investors	Number of Shares	% of Holding	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares	As a percentage of Total Shares held	Number of shares	As a percentage of Total Shares held
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):*								
	(i) Mr V C Burman	-	1,022,498,460		102,250	-	-	-	-
	(ii) Ms Asha Burman	-	100		0	-	-	-	-
	(iii) Ms Indira Burman	-	100		0	-	-	-	-
	(iv) Mr Pradip Burman	-	100		0	-	-	-	-
	(v) Mr Mohit Burman	-	100		0	-	-	-	-
	(vi) Dr Anand Chand Burman	-	100	51%	0	-	-	-	-
	(vii) Mr Ashok Kumar Jain	-	10		0	-	-	-	-
	(viii) Ms Gagan Ahluwalia	-	10		0	-	-	-	-
	(ix) Mr Abhay Kumar Aqaarwal	-	10		0	-	-	-	-
	(x) Mr Arun Gupta	-	10		0	-	-	-	-
	*Indian Shareholding is held by Dabur Invest Corp ("DIC"), a Partnership firm. Persons mentioned at A.1(i) (i) to A.1 i) (iv) above are partners of the firm who have been nominated by DIC to hold the shares on its behalf. Persons mentioned in A.1 i)(v) to A.1 i)(x) are affiliates of Dabur Invest Corp and hold shares for the beneficial interest of Dabur Invest Corp.								
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:	-	982,401,000.00	49%	98,240.10	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
B.	Non Promoters								
B.1	Public Shareholders	-	-	-	-	-	-	-	-
1.1)	Institutions								
i)	Mutual Funds	-	-	-	-	-	-	-	-
ii)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-
iii)	Financial Institutions/Banks	-	-	-	-	-	-	-	-
iv)	Insurance Companies	-	-	-	-	-	-	-	-
v)	FII belonging to Foreign promoter	-	-	-	-	-	-	-	-
vi)	FII belonging to Foreign Promoter of Indian Promoter	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii)	Alternative Investment Fund	-	-	-	-	-	-	-	-
ix)	Any other (Please specify)	-	-	-	-	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	-	-	-	-	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	-	-	-	-	-	-	-	-
iii)	NBFCs registered with RBI	-	-	-	-	-	-	-	-
iv)	Others:								
	- Trusts	-	-	-	-	-	-	-	-
	- Non Resident Indian	-	-	-	-	-	-	-	-
	- Clearing Members	-	-	-	-	-	-	-	-
	- Non Resident Indian Non Repatriable	-	-	-	-	-	-	-	-
	- Bodies Corporate	-	-	-	-	-	-	-	-
	- IEPF	-	-	-	-	-	-	-	-
v)	Any other (Please Specify)	-	-	-	-	-	-	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder	-	-	-	-	-	-	-	-
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
TOTAL		-	2,004,900,000	100%	200,490	-	-	-	-

FORM L-9A- PART B: PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE

S.No.	Shareholder	No. of Investors	Number of Shares	% of Holding	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares	As a percentage of Total Shares held	Number of shares	As a percentage of Total Shares held
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):*								
	(i) Ms Shivani Burman	-	NA	19%	NA	-	-	-	-
	(ii) Mr V C Burman	-	NA	24%	NA	-	-	-	-
	(iii) Ms Asha Burman	-	NA	19%	NA	-	-	-	-
	(iv) Mr Pradip Burman	-	NA	19%	NA	-	-	-	-
	(v) Ms Indira Burman	-	NA	19%	NA	-	-	-	-
	* Indian Promoter, Dabur Invest Corp, being a partnership firm holds no shares. However, the partners in the partnership firm share the profits and losses of the firm in a fixed proportion as indicated above								
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
B.	Non Promoters								
B.1	Public Shareholders	-	-	-	-	-	-	-	-
1.1)	Institutions								
i)	Mutual Funds	-	-	-	-	-	-	-	-
ii)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-
iii)	Financial Institutions/Banks	-	-	-	-	-	-	-	-
iv)	Insurance Companies	-	-	-	-	-	-	-	-
v)	FI belonging to Foreign promoter #	-	-	-	-	-	-	-	-
vi)	FI belonging to Foreign Promoter of Indian Promoter #	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii)	Alternative Investment Fund	-	-	-	-	-	-	-	-
ix)	Any other (Please specify)	-	-	-	-	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	-	-	-	-	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	-	-	-	-	-	-	-	-
iii)	NBFCs registered with RBI	-	-	-	-	-	-	-	-
iv)	Others:								
	- Trusts	-	-	-	-	-	-	-	-
	- Non Resident Indian	-	-	-	-	-	-	-	-
	- Clearing Members	-	-	-	-	-	-	-	-
	- Non Resident Indian Non Repatriable	-	-	-	-	-	-	-	-
	- Bodies Corporate	-	-	-	-	-	-	-	-
	- IEPF	-	-	-	-	-	-	-	-
v)	Any other (Please Specify)	-	-	-	-	-	-	-	-
B.2	Non Public Shareholders	-	-	-	-	-	-	-	-
2.1)	Custodian/DR Holder	-	-	-	-	-	-	-	-
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
TOTAL		-	-	100%	-	-	-	-	-

FORM L-10-RESERVES AND SURPLUS SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2021	SEPTEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)
Capital Reserve	-	-
Capital Redemption Reserve	-	-
Share Premium	-	-
Revaluation Reserve	-	-
General Reserves	-	-
Less: Debit balance in Profit and Loss Account, if any	-	-
Less: Amount utilized for Buy-back	-	-
Catastrophe Reserve	-	-
Other Reserves	-	-
Balance of profit in Profit and Loss Account	-	-
TOTAL	-	-

FORM L-11-BORROWINGS SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2021	SEPTEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)
Debentures/ Bonds	-	-
Banks	-	-
Financial Institutions	-	-
Others	-	-
TOTAL	-	-

FORM L-12-INVESTMENT - SHAREHOLDERS

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2021 (Rs. In lacs)	SEPTEMBER 30, 2020 (Rs. In lacs)
LONG TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills	21,063	25,372
(Market value (Rs in lacs) Current Year 22,025 Previous Year 27,189)		
Other Approved Securities	25,677	11,875
(Market value (Rs in lacs) Current Year 26,166 Previous Year 12,172)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(Historical value (Rs in lacs) Current Year n.m. Previous Year n.m.)		
(bb) Preference	-	-
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	1,507
(Market value (Rs in lacs) Current Year NIL Previous Year 1,573)		
(e) Other Securities (Term Deposits)	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :	9,667	7,919
Non Convertible Debentures		
(Market value (Rs in lacs) Current Year 10,046 Previous Year 8,384)		
Equity Shares	-	314
(Historical value (Rs in lacs) Current Year NIL Previous Year 414)		
Other Investments		
Debentures/ Bonds	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year 29)		
Equity Shares	-	-
(Historical value (Rs in lacs) Current Year NIL Previous Year NIL)		
SHORT TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills	739	3,246
(Market value (Rs in lacs) Current Year 742 Previous Year 3,278)		
Other Approved Securities	450	1,500
(Market value (Rs in lacs) Current Year 451 Previous Year NIL)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(b) Mutual Funds	-	-
(Historical value (Rs in lacs) Current Year NIL Previous Year NIL)		
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	2,448
(Market value (Rs in lacs) Current Year NIL Previous Year 2,463)		
(e) Other Securities (Commercial Papers , Certificate of Deposits and Term Deposits)	-	1,770
(Market value (Rs in lacs) Current Year NIL Previous Year 1,770)		
(f) Other Securities (Reverse Repo)	663	1,476
(Market value (Rs in lacs) Current Year 663 Previous Year 1,476)		
(g) Subsidiaries	-	-
(h) Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :	768	3,346
Non Convertible Debentures		
(Market value (Rs in lacs) Current Year 771 Previous Year 3,410)		
Other Investments		
Mutual Funds	-	-
Debentures/ Bonds	-	30
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
TOTAL	59,027	60,803
INVESTMENTS		
In India	59,027	60,803
Outside India	-	-
TOTAL	59,027	60,803

Form L-13-INVESTMENTS-POLICYHOLDERS

Particulars	AS AT SEPTEMBER 30, 2021 (Rs. In lacs)	AS AT SEPTEMBER 30, 2020 (Rs. In lacs)
LONG TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills	445,381	429,915
(Market value (Rs in lacs) Current Year 474,235 Previous Year 480,014)		
Other Approved Securities	114,640	47,446
(Market value (Rs in lacs) Current Year 114,653 Previous Year 47,542)		
Other Approved Investments		
(a) Shares		
(aa) Equity	178	133
(Historical value (Rs in lacs) Current Year 68 Previous Year 86)		
(bb) Preference	-	-
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	538	4,549
(Market value (Rs in lacs) Current Year 577 Previous Year 4,796)		
(e) Other Securities (Term Deposits)	-	792
(Market value (Rs in lacs) Current Year NIL Previous Year 792)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :	107,021	101,122
Non Convertible Debentures		
(Market value (Rs in lacs) Current Year 114,542 Previous Year 109,689)		
Equity Shares	9	2,330
(Historical value (Rs in lacs) Current Year 5 Previous Year 3,131)		
Other Investments		
Non Convertible debentures	475	475
(Market value (Rs in lacs) Current Year 475 Previous Year 475)		
Equity Shares	12	6
(Historical value (Rs in lacs) Current Year 9 Previous Year 8)		
SHORT TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills	3,176	4,232
(Market value (Rs in lacs) Current Year 3,180 Previous Year 4,259)		
Other Approved Securities	280	258
(Market value (Rs in lacs) Current Year 280 Previous Year 266)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(Historical value (Rs in lacs) Current Year NIL Previous Year NIL)		
(b) Mutual Funds	-	-
(Historical value (Rs in lacs) Current Year NIL Previous Year NIL)		
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	50
(Market value (Rs in lacs) Current Year NIL Previous Year 50)		
(e) Other Securities (Commercial Papers, Certificate of Deposits and Term Deposits)	1,280	1,225
(Market value (Rs in lacs) Current Year 1,280 Previous Year 1,225)		
(f) Other Securities (Reverse Repo)	5,073	2,598
(Market value (Rs in lacs) Current Year 5,073 Previous Year 2,598)		
(g) Subsidiaries	-	-
Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :		
Non Convertible Debentures	320	2,619
(Market value (Rs in lacs) Current Year 321 Previous Year 2,687)		
Commercial Paper	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
Other Investments		
Non Convertible Debentures	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
TOTAL	678,383	597,750
INVESTMENTS		
In India	678,383	597,750
Outside India	-	-
TOTAL	678,383	597,750

Form L-14-INVESTMENTS - ASSETS HELD TO COVER LINKED LIABILITIES

Particulars	AS AT SEPTEMBER 30, 2021 (Rs. In lacs)	AS AT SEPTEMBER 30, 2020 (Rs. In lacs)
LONG TERM INVESTMENTS		
Government Securities and Government guaranteed bonds Including Treasury Bills	95,566	46,354
(Historical value(Rs in lacs)Current Year 95,143 Previous Year 45,857)		
Other Approved Securities	3,821	18,431
(Historical value(Rs in lacs)Current Year 3,811 Previous Year 18,266)		
Other Approved Investments		
(a) Shares		
(aa) Equity	170,314	135,198
(Historical value(Rs in lacs)Current Year 106,755 Previous Year 120,028)		
(bb) Preference	-	-
(Historical value(Rs in lacs)Current Year NIL Previous Year NIL)		
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/Bonds	-	10,554
(Historical value(Rs in lacs)Current Year NIL Previous Year 9,391)		
(e) Other Securities (Certificate of Deposits,Term Deposits and Commercial papers)	-	-
(Historical value(Rs in lacs)Current Year NIL Previous Year NIL)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
Investments in Infrastructure, Social Sector and Housing :		
(a) Non Convertible Debentures	13,798	33,375
(Historical value(Rs in lacs)Current Year 12,851 Previous Year 31,593)		
(b) Equity	15,556	14,243
(Historical value(Rs in lacs)Current Year 9,973 Previous Year 15,358)		
Other Investments		
(a) Equity Shares*	20,433	5,831
(Historical value(Rs in lacs)Current Year 3,955 Previous Year 6,799)		
(b) Debentures/Bonds	1,000	3,125
(Historical value(Rs in lacs)Current Year 4,000 Previous Year 8,556)		
(c) Mutual Funds	24,498	14,028
(Historical value(Rs in lacs)Current Year 24,498 Previous Year 14,028)		
SHORT TERM INVESTMENTS		
Government Securities and Government guaranteed bonds Including Treasury Bills		
Government Securities and Government guaranteed bonds	16,818	15,878
(Historical value(Rs in lacs)Current Year 16,689 Previous Year 15,776)		
Other Approved Securities	-	-
(Historical value(Rs in lacs)Current Year NIL Previous Year NIL)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(Historical value(Rs in lacs)Current Year NIL Previous Year NIL)		
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	2,061
(Historical value(Rs in lacs)Current Year NIL Previous Year 1,987)		
(e) Other Securities (Certificate of Deposits,Term Deposits and Commercial papers)	1,465	1,453
(Historical value(Rs in lacs)Current Year 1,448 Previous Year 1,389)		
(f) Other Securities (Reverse Repo)	22,255	10,966
(Historical value(Rs in lacs)Current Year 22,255 Previous Year 10,966)		
(g) Subsidiaries	-	-
(h) Investment Properties-Real Estate	-	-
Investments in Infrastructure, Social Sector and Housing :		
Non Convertible Debentures	190	1,381
(Historical value(Rs in lacs)Current Year 188 Previous Year 1,378)		
Other Investments		
(a) Mutual Funds	-	-
(Historical value(Rs in lacs)Current Year NIL Previous Year NIL)		
(a) Debentures/Bonds	1,424	-
(Historical value(Rs in lacs)Current Year 1,400 Previous Year NIL)		
Balances in Bank	82	136
Other Current Assets (net)	2,289	5,498
TOTAL	389,509	318,512
INVESTMENTS		
In India	389,509	318,512
Outside India	-	-
TOTAL	389,509	318,512

FORM L-15-LOANS SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2021	SEPTEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)
Security-Wise Classification		
Secured		
(a) On mortgage of property		
(aa) In India	-	-
(bb) Outside India	-	-
(b) On Shares, Bonds, Govt. Securities etc	-	-
(c) Loans against policies	-	-
(d) Others (to be specified)	-	-
Unsecured	-	-
TOTAL		
BORROWER-WISE CLASSIFICATION		
(a) Central and State Governments	-	-
(b) Banks and Financial Institutions	-	-
(c) Subsidiaries	-	-
(d) Companies	-	-
(e) Loans against policies	-	-
(f) Others	-	-
TOTAL		
PERFORMANCE-WISE CLASSIFICATION		
(a) Loans classified as standard	-	-
(aa) In India	-	-
(bb) Outside India	-	-
(b) Non-standard loans less provisions	-	-
(aa) In India	-	-
(bb) Outside India	-	-
TOTAL		
MATURITY-WISE CLASSIFICATION		
(a) Short Term	-	-
(b) Long Term	-	-
Total	-	-

FORM L-16-FIXED ASSETS SCHEDULE

(Rs. In lacs)

Particulars	Cost/ Gross Block				Depreciation / Amortisation				Net Block	
	Opening Balance as on April 1, 2021	Additions during the period	Deductions/ Adjustments	AS AT SEPTEMBER 30, 2021	Opening Balance as on April 1, 2021	For the period	Sales/ Adjustments	AS AT SEPTEMBER 30, 2021	AS AT SEPTEMBER 30, 2021	AS AT MARCH 31, 2021
Intangibles										
Software	5,944	53	-	5,997	5,433	150	-	5,583	414	511
Tangibles										
Leasehold Improvements	2,919	3	110	2,812	2,772	25	97	2,700	112	147
Buildings	-	-	-	-	-	-	-	-	-	-
Furniture & Fittings	1,306	10	97	1,219	1,289	5	92	1,202	17	17
Information Technology Equipment	5,496	266	883	4,879	4,792	208	882	4,118	761	704
Vehicles	13	77	-	90	13	4	-	17	73	-
Office Equipment	699	16	215	500	688	10	215	483	17	11
Sub Total	16,377	425	1,305	15,497	14,987	402	1,286	14,103	1,394	1,390
Capital Work In Progress	-	-	-	-	-	-	-	-	78	2,160
TOTAL	16,377	425	1,305	15,497	14,987	402	1,286	14,103	1,472	3,550
PREVIOUS YEAR	15,353	368	46	15,675	14,160	399	2	14,557	1,276	

FORM L-17-CASH AND BANK BALANCE SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2021 (Rs. In lacs)	SEPTEMBER 30, 2020 (Rs. In lacs)
Cash (including cheques, drafts and stamps)*	164	228
Bank Balances		
(a) Deposit Accounts**		
(aa) Short-term (due within 12 months of the date of balance sheet)	1,275	1,399
(bb) Others	525	25
(b) Current Accounts	754	908
(c) Others	-	-
Money at Call and Short Notice		
(a) With Banks	-	-
(b) With other Institutions	-	-
Others	-	-
TOTAL	2,718	2,560
<i>Balances with non-scheduled banks included above</i>	-	-
CASH AND BANK BALANCES		
In India	2,718	2,560
Outside India	-	-
TOTAL	2,718	2,560

*Cheques on hand amount to Rs. 98 (In Lacs) (Previous Year Rs. 141 (In Lacs))

** Current Year amount includes brought forward Fixed Deposit amounting to Rs. 425 (In lacs) (Previous Year Rs. 525 (In lacs)) which were due for maturity after 3 months at the time of placement and hence were considered as investing activity

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE

ADVANCES AND OTHER ASSETS

Particulars	AS AT SEPTEMBER 30, 2021 (Rs. In lacs)		AS AT SEPTEMBER 30, 2020 (Rs. In lacs)	
ADVANCES				
Reserve deposits with ceding companies		-		-
Application money for investments		-		-
Prepayments		456		412
Advances to Directors/Officers		-		-
Advance tax paid and taxes deducted at source	66		88	
Less: Provision for doubtful debts	(1)	65	(1)	87
Others (includes vendor, travel advances & salary recoverable)	545		637	
Less : Provision for doubtful debts	(358)	187	(293)	344
TOTAL (A)		708		843
OTHER ASSETS				
Income accrued on investments		15,412		17,328
Outstanding Premiums		2,284		2,132
Agents' Balances	807		808	
Less : Provision for doubtful debts	(726)	81	(736)	72
Foreign Agencies' Balances		-		-
Due from other entities carrying on insurance business (including reinsurers)		6,522		19
Others				
- Investment pertaining to Unclaimed Policyholders Fund		15,760		16,833
- Interest on Investment pertaining to Unclaimed Policyholders Fund (Net of FMC)		3,125		3,121
- Refundable Security Deposits	3,718		3,757	
Less: Provision for doubtful security deposit	(112)	3,606	(137)	3,620
- Receivables against unsettled investment contracts		-		296
- Goods and Service Tax/Service Tax Unutilised Credit		400		130
- Other Reinsurance assets		3,435		411
TOTAL (B)		50,625		43,963
TOTAL (A+B)		51,333		44,806

FORM L-19-CURRENT LIABILITIES SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2021	SEPTEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)
Agents' Balances	456	771
Balances due to other insurance companies	-	1,431
Deposits held on re-insurance ceded	-	-
Premiums received in advance	1,268	855
Unallocated premium	1,424	562
Sundry creditors		
Micro, Small & Medium Enterprises	-	0
Others	324	1,030
Unclaimed Amount - Policyholders	15,760	16,833
Interest on Investment pertaining to Unclaimed Policyholders Fund	3,125	3,121
Claims Outstanding	3,474	4,404
Annuities Due	142	95
Others:		
- Accrual for expenses	8,083	6,006
- Goods and Service Tax /Service Tax payable	313	143
- Payables for unsettled investment contracts	553	-
- Payable to Policyholders	3,497	2,347
- Statutory Dues Payable	387	332
- Employee and other dues Payable	621	838
TOTAL	39,427	38,770

FORM L-20-PROVISIONS SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2021	SEPTEMBER 30, 2020
	(Rs. 000's)	(Rs. 000's)
For taxation (less payments and taxes deducted at source)	-	-
Others:		
Provision for Gratuity	456	298
Provision for Leave Encashment	253	285
Provision for Other Long Term Benefits	447	466
Provision for Other Employee Benefits	386	445
TOTAL	1,542	1,495

FORM L-21-MISC EXPENDITURE SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2021	SEPTEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)
Discount Allowed in issue of shares/ debentures	-	-
Others	-	-
TOTAL	-	-

FORM L-22-ANALYTICAL RATIOS

S.No.	Particulars	Q2 '21-22	AS AT SEPTEMBER 30, 2021	Q2 '20-21	AS AT SEPTEMBER 30, 2020
1	New business premium income growth rate - segment wise				
	Linked Individual - Life Participating	NA	NA	NA	NA
	Linked Individual - Pension Participating	NA	NA	NA	NA
	Linked Individual - Life Non Participating	65%	20%	(3)%	14%
	Linked Individual - Pension Non Participating	2%	(14)%	(2)%	7%
	Linked Group - Non Participating	(11)%	3%	111%	58%
	Non Linked Individual - Life Participating	(100)%	(104)%	(90)%	(86)%
	Non Linked Individual - Pension Participating	NA	NA	NA	NA
	Non Linked Individual - Life Non Participating	34%	14%	12%	25%
	Non Linked Individual - Pension Non Participating	(55)%	(29)%	4539%	1645%
	Non Linked Group - Life Non Participating	238%	62%	193%	302%
	Non Linked Group - Pension Non Participating	0%	NA	NA	NA
	Non Linked Annuity Non Participating	(31)%	(44)%	232%	64%
	Non Linked - Variable - Group	399%	375%	(85)%	(90)%
	Non Linked Health Non Participating	(100)%	(100)%	(91)%	(88)%
2	Percentage of Single Premium (Individual Business) to Total New Business Premium (Individual Business)	8%	7%	13%	12%
3	Percentage of Linked New Business Premium (Individual Business) to Total New Business Premium (Individual Business)	28%	27%	22%	25%
4	Net Retention Ratio	93%	92%	94%	93%
5	Expense of Management to Gross Direct Premium Ratio	25%	28%	28%	31%
6	Commission Ratio (Gross commission paid to Gross Premium)	3%	3%	2%	2%
7	Business Development and Sales Promotion Expenses to New Business Premium	0%	1%	3%	3%
8	Ratio of policy holder's liabilities to shareholder's funds	463.53	18.00	-91.81	15.00
9	Growth rate of shareholders' fund	(139)%	(2)%	(119)%	(13)%
10	Ratio of surplus to policyholders' liability	0%	(0)%	-7%	0%
11	Change in net worth (Rs. in Lacs)	702	702	(10,832)	(10,832)
12	Growth in Networth	1%	1%	(15)%	(15)%
13	Profit after tax/Total Income	0%	(0)%	(0)%	(3)%
14	(Total real estate + loans)/(Cash & invested assets)	NA	NA	NA	NA
15	Total investments/(Capital + Surplus)	-296.01	5.62	980.04	4.87
16	Total affiliated investments/(Capital+ Surplus)	NA	NA	NA	NA
17A	Investment Yield (Gross) with total gains				
	Policyholders' Funds:				
	Non-Linked:				
	R1. PAR	1.8%	3.6%	1.8%	3.6%
	R2. Non-PAR	2.1%	4.2%	1.9%	3.2%
	R3.Sub-TOTAL	2.1%	4.2%	1.9%	3.2%
	Linked:				
	R4. PAR	3.2%	5.3%	2.2%	7.3%
	R5. Non-PAR	8.3%	14.5%	4%	17%
	R6. Sub-TOTAL	8.1%	14.1%	4%	17%
	R7.Grand Total	4.2%	7.7%	3%	8%
	Shareholders' Funds	1.9%	3.9%	1.8%	2.7%
17B	Investment Yield (Net) (Only realized gains)				
	Policyholders' Funds:				
	Non-Linked:				
	R1. PAR	1.8%	3.6%	1.8%	3.6%
	R2. Non-PAR	2.0%	4.1%	1.9%	3.2%
	R3.Sub-TOTAL	2.0%	4.1%	1.9%	3.2%
	Linked:				
	R4. PAR	2.7%	4.6%	1.7%	3.5%
	R5. Non-PAR	3.4%	6.4%	1.8%	(0.8)%
	R6. Sub-TOTAL	3.4%	6.3%	1.8%	(0.4)%
	R7.Grand Total	2.5%	4.9%	1.9%	2.0%
	Shareholders' Funds	1.8%	3.7%	1.8%	2.8%
18	Conservation Ratio				
	Linked Individual - Life Participating	85%	85%	97%	93%
	Linked Individual - Pension Participating	86%	86%	61%	50%
	Linked Individual - Life Non Participating	81%	81%	91%	86%
	Linked Individual - Pension Non Participating	81%	81%	87%	83%
	Linked Group - Non Participating	0%	0%	0%	0%
	Non Linked Individual - Life Participating	99%	99%	101%	91%
	Non Linked Individual - Pension Participating	82%	82%	87%	85%
	Non Linked Individual - Life Non Participating	95%	95%	96%	86%
	Non Linked Individual - Pension Non Participating	47%	47%	202%	105%
	Non Linked Group - Life Non Participating	74%	74%	57%	60%
	Non Linked - Variable - Group	NA	NA	0%	NA
	Non Linked Health Non Participating	95%	95%	95%	92%
19	Persistence Ratio (by numbers)				
	For 13th month	58%	64%	62%	65%
	For 25th month	54%	64%	54%	56%
	For 37th month	52%	61%	45%	47%
	For 49th Month	43%	59%	48%	45%
	for 61st month	45%	42%	39%	38%
	Persistence Ratio (by premium)				
	For 13th month	69%	72%	69%	70%
	For 25th month	61%	60%	58%	60%
	For 37th month	52%	53%	49%	51%
	For 49th Month	49%	49%	48%	52%
	for 61st month	46%	45%	42%	44%
20	NPA Ratio				
	Gross NPA Ratio				
	Shareholders' Funds	0.30%	0.30%	0.50%	0.50%
	Policyholders' Funds	0.80%	0.80%	0.40%	0.40%
	Net NPA Ratio				
	Shareholders' Funds	0.00%	0.00%	0.10%	0.10%
	Policyholders' Funds	0.10%	0.10%	0.10%	0.10%
22	Solvency Ratio - As per Form KT-3	2.23	2.23	2.42	2.42
23	Debt Equity Ratio	NA	NA	NA	NA
24	Debt Service Coverage Ratio	NA	NA	NA	NA
25	Interest Service Coverage Ratio	NA	NA	NA	NA
26	Average ticket size in Rs. - Individual premium (Non-Single)	74170	77264	62873	63162
	Equity Holding Pattern for Life Insurers				
	(a) No. of shares (in Lakhs)		20,049		20,049
	(b) Percentage of shareholding (Indian / Foreign)		51% / 49%		51% / 49%
	(c) %of Government holding (in case of public sector insurance companies)		Nil		Nil
	(a) Basic and diluted EPS before extraordinary items (net of tax expense)		(0.01)		(0.17)
	(b) Basic and diluted EPS after extraordinary items (net of tax expense)		(0.01)		(0.17)
	(iv) Book value per share (Rs)		3.15		3.11

FORM L-23-RECEIPT AND PAYMENTS SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2021 (Rs. In lacs)	SEPTEMBER 30, 2020 (Rs. In lacs)
I Cash flows from operating activities		
Premium received from policyholders, including advance receipts	56,298	52,737
Other Receipts	157	190
Payments to the re-insurers, net of commissions and claims	(13,016)	(2,675)
Payments of claims	(58,953)	(40,309)
Payments of commission and brokerage	(1,438)	(1,022)
Payments of other operating expenses	(15,096)	(15,793)
Deposits, advances and staff loans	361	191
Income taxes paid/ Refunded (Net)	(11)	101
Goods and Service tax paid	(227)	(210)
Other payments	-	-
Cash flows before extraordinary items	(31,925)	(6,790)
Cash flow from extraordinary operations	-	-
Net cash used in operating activities (I)	(31,925)	(6,790)
II Cash flows from investing activities		
Purchase of Fixed Assets	(482)	(478)
Proceeds from Sale of Fixed Assets	0	0
Purchase of Investment	(357,804)	(248,332)
Investments in Fixed Deposits	(800)	-
Proceeds from maturity of Fixed Deposits	800	300
Loans disbursed	-	-
Loans against policies	-	-
Proceeds from Sale/redemption of Investment	387,299	273,210
Repayments received	-	-
Rents/Interests/ Dividends received	34,430	28,874
Investments in money market instruments and in liquid mutual funds (Net)	(36,269)	(50,851)
Expenses related to investments	-	-
Net cash flow from investing activities (II)	27,174	2,722
III Cash flows from financing activities		
Proceeds from issuance of share capital	-	-
Proceeds from borrowing	-	-
Repayments of borrowing	-	-
Interest/dividends paid	-	-
Net cash generated from financing activities (III)	-	-
IV Effect of foreign exchange rates on cash and cash equivalents, net	-	-
Net increase/(decrease) in cash and cash equivalent (I+II+III+IV)	(4,751)	(4,068)
Cash and cash equivalent at beginning of the year	7,026	6,237
Cash and cash equivalent at the end of the year	2,274	2,169
Break up as follows :		
<u>Components of Cash and Cash Equivalents</u>		
Cash and Bank Balances (Refer to Note 1 below)	2,193	2,035
Bank balances - Linked Assets	82	134
Temporary book overdrafts	-	-
Total	2,274	2,169
Note 1		
Cash (including cheques, drafts and stamps)	164	228
Bank balances on current accounts	754	908
Fixed Deposits with maturity less than 3 months	1,275	899
Cash and Bank Balances	2,193	2,035
Note 2		
Cash and Bank Balances (Refer to Note 1 above)	2,193	2,035
Fixed Deposits with maturity more than 3 months (Considered as Investing Activity)	525	525
Cash and Bank Balances	2,718	2,560

FORM L-24-VALUATION OF NET LIABILITIES

(Rs. In lacs)

Type	Category of business	AS AT SEPTEMBER 30, 2021	AS AT SEPTEMBER 30, 2020
Par	Non-Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life	2,601	2,155
	General Annuity	-	-
	Pension	87	120
	Health	-	-
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked -Others		
	Life	5,330	5,049
	General Annuity	-	-
	Pension	314	309
	Health	-	-
Total Par		8,332	7,633
Non-Par	Non-Linked -VIP		
	Life	689	1,436
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life	655,353	573,793
	General Annuity	5,730	5,256
	Pension	18,746	17,312
	Health	1,713	1,207
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked -Others		
	Life	342,413	276,246
	General Annuity	-	-
	Pension	36,254	31,814
	Health	-	-
Total Non Par		1,060,898	907,064
Total Business	Non-Linked -VIP		
	Life	689	1,436
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life	657,954	575,948
	General Annuity	5,730	5,256
	Pension	18,832	17,432
	Health	1,713	1,207
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked -Others		
	Life	347,743	281,295
	General Annuity	-	-
	Pension	36,568	32,123
	Health	-	-
Total		1,069,230	914,696

(Rs. In lacs)

Geographical Distribution of Total Business												
SL. No.	State/Union Territory	RURAL (Individual)			URBAN (Individual)			TOTAL (Individual)			Renewal Premium	Total Premium (New Business and Renewal)
		No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured		
	STATES											
1	Andhra Pradesh	4	3	73	72	95	1,550	76	97	1,622	422	519
2	Arunachal Pradesh	1	1	7	19	23	281	20	24	288	156	180
3	Assam	3	3	16	153	114	1,951	156	117	1,967	585	702
4	Bihar	193	57	797	212	90	1,443	405	147	2,240	445	593
5	Chhattisgarh	18	6	84	68	37	638	86	44	722	133	176
6	Goa	1	1	6	22	13	194	23	14	200	61	75
7	Gujarat	3	1	10	240	262	3,766	243	263	3,776	881	1,143
8	Haryana	5	3	55	146	162	3,427	151	165	3,482	1,308	1,473
9	Himachal Pradesh	2	2	21	4	3	37	6	5	58	65	71
10	Jharkhand	3	2	21	72	36	642	75	39	662	198	237
11	Karnataka	-	0	-	217	209	5,255	217	210	5,255	2,061	2,270
12	Kerala	1	2	22	40	23	788	41	26	810	397	423
13	Madhya Pradesh	64	18	387	229	113	2,245	293	131	2,632	431	562
14	Maharashtra	351	133	3,515	1,611	1,065	23,873	1,962	1,199	27,388	3,895	5,093
15	Manipur	-	0	-	1	0	1	1	0	1	13	13
16	Meghalaya	-	-	-	1	0	25	1	0	25	17	17
17	Mizoram	-	-	-	5	6	42	5	6	42	3	8
18	Nagaland	-	-	-	7	4	45	7	4	45	11	15
19	Odisha	19	11	187	178	168	2,077	197	178	2,264	787	966
20	Punjab	4	3	33	58	36	680	62	40	713	475	515
21	Rajasthan	3	1	18	92	58	987	95	59	1,005	426	485
22	Sikkim	-	-	-	1	0	4	1	0	4	13	13
23	Tamil Nadu	1	1	7	244	364	4,073	245	364	4,080	1,710	2,075
24	Telangana	1	1	8	123	114	3,045	124	115	3,053	1,028	1,143
25	Tripura	12	6	131	54	20	495	66	26	626	119	145
26	Uttarakhand	1	1	10	26	19	211	27	20	221	91	110
27	Uttar Pradesh	7	3	50	332	390	5,569	339	393	5,619	1,504	1,897
28	West Bengal	11	3	17	456	268	3,822	467	270	3,839	1,367	1,637
	TOTAL	708	262	5,474	4,683	3,694	67,166	5,391	3,956	72,640	18,601	22,557
	UNION TERRITORIES											
1	Andaman and Nicobar Islands	-	-	-	1	1	11	1	1	11	0	1
2	Chandigarh	-	-	-	15	16	157	15	16	157	119	135
3	Dadra and Nagar Haveli and Di	-	-	-	-	-	-	-	-	-	10	10
4	Govt. of NCT of Delhi	-	-	-	252	363	5,307	252	363	5,307	2,873	3,236
5	Jammu & Kashmir	1	0	4	6	5	50	7	6	54	53	59
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	18	18
	TOTAL	1	0.29	3.90	274	384.95	5,524.81	275	385	5,529	3,073.41	3,458.65
	Grand Total	709	262	5,478	4,957	4,079	72,690	5,666	4,341	78,169	21,674	26,016
	IN INDIA							5,666	4,341	78,169	21,674	26,016
	OUTSIDE INDIA							46	196	2,049	828	1,024

(Rs. In lacs)

Geographical Distribution of Total Business												
SL. No.	State/Union Territory	RURAL (Individual)			URBAN (Individual)			TOTAL (Individual)			Renewal Premium	Total Premium (New Business and Renewal)
		No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured		
	STATES											
1	Andhra Pradesh	4	3	73	113	120	2,706	117	123	2,779	709	831
2	Arunachal Pradesh	1	1	7	45	81	1,104	46	81	1,111	223	304
3	Assam	5	6	23	246	167	3,215	251	173	3,238	987	1,160
4	Bihar	182	67	973	300	138	2,235	482	205	3,208	769	974
5	Chhattisgarh	21	7	90	91	45	921	112	52	1,011	227	280
6	Goa	1	1	6	32	19	321	33	19	326	118	137
7	Gujarat	6	3	29	422	430	6,739	428	433	6,768	1,449	1,882
8	Haryana	10	9	168	229	258	5,205	239	268	5,373	2,382	2,649
9	Himachal Pradesh	2	2	21	4	3	37	6	5	58	120	125
10	Jharkhand	2	2	20	129	91	1,251	131	93	1,271	359	452
11	Karnataka	1	2	11	370	380	8,506	371	382	8,517	3,519	3,901
12	Kerala	2	4	42	67	38	1,355	69	42	1,397	674	716
13	Madhya Pradesh	95	31	518	312	160	3,347	407	191	3,865	744	935
14	Maharashtra	488	187	5,066	2,362	1,532	35,262	2,850	1,719	40,328	6,679	8,398
15	Manipur	-	0	-	1	0	1	1	0	1	20	20
16	Meghalaya	-	-	-	2	1	49	2	1	49	31	33
17	Mizoram	-	-	-	5	6	42	5	6	42	5	11
18	Nagaland	-	-	-	7	4	45	7	4	45	21	25
19	Odisha	25	15	221	322	299	4,559	347	314	4,780	1,244	1,558
20	Punjab	5	4	36	92	67	1,334	97	71	1,370	875	945
21	Rajasthan	5	1	23	148	94	1,743	153	95	1,766	797	891
22	Sikkim	-	-	-	1	0	4	1	0	4	20	20
23	Tamil Nadu	2	1	19	363	527	6,776	365	528	6,795	2,907	3,436
24	Telangana	1	1	8	188	174	4,351	189	175	4,360	1,785	1,960
25	Tripura	12	6	131	100	42	1,087	112	49	1,218	205	254
26	Uttarakhand	1	1	10	41	29	328	42	29	338	161	190
27	Uttar Pradesh	9	3	60	534	591	9,568	543	594	9,628	2,684	3,278
28	West Bengal	12	4	24	682	394	5,921	694	398	5,945	2,336	2,734
	TOTAL	892	361	7,578	7,208	5,691	108,013	8,100	6,052	115,591	32,048	38,100
	UNION TERRITORIES											
1	Andaman and Nicobar Islands	-	-	-	1	1	11	1	1	11	2	3
2	Chandigarh	-	-	-	31	33	378	31	33	378	206	239
3	Dadra and Nagar Haveli and	-	-	-	1	1	8	1	1	8	8	9
4	Govt. of NCT of Delhi	-	-	-	444	709	11,490	444	709	11,490	5,474	6,183
5	Jammu & Kashmir	1	0	4	10	7	70	11	7	74	87	94
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	1	0	1	1	0	1	32	32
	TOTAL	1	0.29	3.90	488	750.95	11,957.98	489	751	11,962	5,808	6,559
	Grand Total	893	361	7,582	7,696	6,442	119,971	8,589	6,803	127,553	37,857	44,659
	IN INDIA							8,589	6,803	127,553	37,857	44,659
	OUTSIDE INDIA							87	347	3,701	1,509	1,856

Geographical Distribution of Total Business- GROUP															
Sl. No.	State / Union Territory	Rural(Group)				Urban(Group)				Total Business(Group)				Renewal Premium	Total Premium (New Business and Renewal)
		No. of Schemes	No. of Lives (Actual)	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured		
	STATES														
1	Andhra Pradesh	-	-	-	-	1	133	25	3,733	1	133	25	3,733	-	25
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	38	0	30	-	38	0	30	-	0
4	Bihar	-	-	-	-	-	73	3	6	-	73	3	6	-	3
5	Chhattisgarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	138	0	1,010	-	138	0	1,010	-	0
7	Gujarat	-	-	-	-	-	185	77	386	-	185	77	386	3	80
8	Haryana	-	-	-	-	13	6,446	641	131,049	13	6,446	641	131,049	62	703
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	9	12,624	235	102,355	9	12,624	235	102,355	232	467
12	Kerala	-	-	-	-	-	-	-	-	-	-	-	-	-	-
13	Madhya Pradesh	-	-	-	-	-	523	1	5,845	-	523	1	5,845	-	1
14	Maharashtra	-	-	-	-	4	20,330	851	74,779	4	20,330	851	74,779	217	1,068
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Odisha	-	-	-	-	-	-	-	-	-	-	-	-	-	-
20	Punjab	-	-	-	-	-	-	(0)	(29)	-	-	(0)	(29)	-	(0)
21	Rajasthan	-	-	-	-	-	-	-	-	-	-	-	-	-	-
22	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	-	-	-	-	3	4,479	230	84,079	3	4,479	230	84,079	2	232
24	Telangana	-	-	-	-	2	10,466	151	87,000	2	10,466	151	87,000	62	213
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	8	2	(2,015)	-	8	2	(2,015)	31	32
27	Uttar Pradesh	-	-	-	-	3	7,801	806	145,605	3	7,801	806	145,605	461	1,267
28	West Bengal	-	-	-	-	1	16,092	41	(3,521)	1	16,092	41	(3,521)	162	202
	TOTAL	-	-	-	-	36	79,336	3,062	630,313	36	79,336	3,062	630,313	1,232	4,294
	UNION TERRITORIES														
1	Andaman and Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and D	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	-	-	-	-	6	5,600	275	59,997	6	5,600	275	59,997	23	298
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL	-	-	-	-	6	5,600	275	59,997	6	5,600	275	59,997	23	298
	Grand Total	-	-	-	-	42	84,936	3,337	690,310	42	84,936	3,337	690,310	1,255	4,591
	IN INDIA									42	84,936	3,337	690,310	1,255	4,591
	OUTSIDE INDIA									-	-	-	-	-	-

(Rs. In lacs)

Geographical Distribution of Total Business- GROUP															
Sl. No.	State / Union Territory	Rural				Urban				Total Business				Renewal Premium	Total Premium (New Business and Renewal)
		No. of Schemes	No. of Lives	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured		
	STATES														
1	Andhra Pradesh	-	-	-	-	1	133	25	(29,037)	1	133	25	(29,037)	-	25
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	1	934	10	5,845	1	934	10	5,845	-	10
4	Bihar	-	-	-	-	-	80	3	(77)	-	80	3	(77)	3	6
5	Chhattisgarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	255	0	450	-	255	0	450	-	0
7	Gujarat	-	-	-	-	-	264	138	112	-	264	138	112	17	155
8	Haryana	-	-	-	-	18	13,097	798	122,390	18	13,097	798	122,390	126	924
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	9	23,172	251	116,866	9	23,172	251	116,866	302	552
12	Kerala	-	-	-	-	-	-	-	-	-	-	-	-	-	-
13	Madhya Pradesh	-	-	-	-	1	1,257	42	20,519	1	1,257	42	20,519	-	42
14	Maharashtra	-	-	-	-	11	42,100	1,540	228,907	11	42,100	1,541	228,907	661	2,202
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Odisha	-	-	-	-	-	-	-	-	-	-	-	-	-	-
20	Punjab	-	-	-	-	-	1	(0)	(265)	-	1	(0)	(265)	-	(0)
21	Rajasthan	-	-	-	-	-	-	-	-	-	-	-	-	-	-
22	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	-	-	-	-	4	4,932	256	91,797	4	4,932	256	91,797	2	258
24	Telangana	-	-	-	-	3	12,951	219	111,235	3	12,951	219	111,235	62	282
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	131	4	345	-	131	4	345	31	34
27	Uttar Pradesh	-	-	-	-	4	15,876	895	164,768	4	15,876	895	164,768	563	1,458
28	West Bengal	-	-	-	-	2	32,938	98	16,739	2	32,938	98	16,739	292	390
	TOTAL	-	-	-	-	54	148,121	4,278	850,595	54	148,121	4,279	850,595	2,058	6,336
	UNION TERRITORIES														
1	Andaman and Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and D	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	-	-	-	-	11	8,598	387	112,222	11	8,598	387	112,222	64	451
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL	-	-	-	-	11	8,598	387	112,222	11	8,598	387	112,222	64	451
	Grand Total	-	-	-	-	65	156,719	4,665	962,817	65	156,719	4,666	962,817	2,122	6,788
	IN INDIA									65	156,719	4,666	962,817	2,122	6,788
	OUTSIDE INDIA														

FORM L-26-INVESTMENT ASSETS (LIFE INSURERS) - 3A

FORM - 3A
(Read with Regulation 10)
Statement as on: September 30, 2021
Statement of Investment Assets (Life Insurers)
(Business within India)
Periodicity of Submission: Quarterly

Section I				(Rs. In lacs)
No	PARTICULARS	SCH	AMOUNT	
1	Investments (S)	8	59,026.82	
	Investments (P)	8A	678,383.21	
	Investments (L)	8B	389,505.75	
2	Loans	9	0.00	
3	Fixed Assets	10	1,471.94	
4	Current Assets			
	a. Cash & Bank	11	2,701.11	
	b. Advances & Other Receivables	12	51,333.12	
5	Current Liabilities			
	a. Current Liabilities	13	39,406.89	
	b. Provisions	14	1,541.70	
	c. Misc. Expenses	15	0.00	
	d. Debit Balance of P&L A/c		139,165.35	
	Application of Funds as per Balance Sheet (A)		1,280,638.72	
	Less: Other Assets			
1	Loans (if any)	9	0.00	
2	Fixed Assets (if any)	10	1,471.94	
3	Cash & Bank	11	2,701.11	
4	Advances & Other Receivables	12	51,333.12	
5	Current Liabilities	13	0.00	
6	Provisions	14	39,406.89	
7	Misc. Expenses	15	1,541.70	
8	Investments held outside India		0.00	
9	Debit Balance of P&L A/c		139,165.35	
	TOTAL (B)		153,722.94	
	Investment Assets (A-B)		1,126,915.78	

Reconciliation of Investment Assets	
Total Investment Assets (as per Balance Sheet)	1,126,915.78
Balance Sheet Value of:	
A. Life Fund	709,986.93
B. Pension & General Annuity and Group Business	27,423.10
C. Unit Linked Funds	389,505.75
	1,126,915.78

Section II

NON - LINKED BUSINESS

(Rs. In lacs)

A. LIFE FUND		% as per Reg	SH		PH			Book Value (SH+PH)	Actual %	FVC Amount@	Total Fund	Market Value
			Balance	FRSM*	UL-Non Unit Res	PAR	NON PAR					
			(a)	(b)	(c)	(d)	(e)	(f) =	[a+b+c+d+e]	(g) = [(f) - (a)]%	(h)	(i)=(a+f+h)
1	Central Govt. Sec	Not Less than 25%	0.00	21,802.67	4,834.46	1,756.53	421,335.98	449,729.64	63.2%	0.00	449,729.64	477,943.11
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl	Not Less than 50%	0.00	47,928.69	5,877.84	2,192.58	532,569.60	588,568.71	82.7%	0.00	588,568.71	617,264.22
3	Investment subject to Exposure Norms		0.00	0.00	0.00	0.00	0.00	0.00		0.00	0.00	0.00
	a. Infrastructure/ Social/ Housing Sector	Not Less than 15%	0.00	0.00	0.00	0.00	0.00	0.00		0.00	0.00	0.00
	1. Approved Investments		0.00	10,434.58	571.12	606.91	101,851.89	113,464.50	16.0%	4.33	113,468.83	120,868.96
	2. Other Investments		0.00	0.00	0.00	0.00	0.00	0.00	0.0%	0.00	0.00	0.00
	b. i) Approved Investments	Not exceeding 35%	0.00	663.24	3,227.99	151.54	3,309.46	7,352.24	1.0%	109.64	7,461.87	7,501.48
	ii) Other Investments		0.00	0.93	0.00	7.05	1,910.88	1,918.86	0.3%	-1,431.34	487.52	487.52
	TOTAL LIFE FUND	100%	0.00	59,027.44	9,676.95	2,958.09	639,641.83	711,304.31		-1,317.38	709,986.93	746,122.18

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS										(Rs. In lacs)
		% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value	
			PAR	NON PAR						
			(a)	(b)						(f)=(c+e)
1	Central Govt. Sec	Not Less than 20%	0.00	20,630.52	20,630.52	66.6%	0.00	20,630.52	22,239.66	
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl	Not Less than 40%	0.00	22,837.03	22,837.03	77.9%	0.00	22,837.03	24,470.12	
3	Balance in Approved investment	Not Less than 40%	0.00	4,586.07	4,586.07	22.1%	0.00	4,586.07	5,092.13	
	TOTAL PENSION, GENERAL ANNUITY FUND	100%	0.00	27,423.10	27,423.10	100.00%	0.00	27,423.10	29,562.25	

LINKED BUSINESS						(Rs. In lacs)
C. LINKED FUNDS		% as per Reg	PH		Total Fund	Actual %
			PAR	NON PAR		
			(a)	(b)		
1	Approved Investments	Not Less than 75%	14,172.11	327,874.21	342,046.32	87.8%
2	Other Investments	Not More than 25%	122.88	47,336.55	47,459.43	12.2%
	TOTAL LINKED INSURANCE FUND	100%	14,294.99	375,210.75	389,505.75	100.0%

CERTIFICATION:
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 20-Oct-21

Note:

- (+) FRSM refers to 'Funds representing Solvency Margin'
- Funds beyond Solvency Margin shall have a separate Custody Account.
- Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
- Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
- Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
@ FVC includes impairment provisions taken on bonds and equities

Chief Financial Officer

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: September 30, 2021

	Group Superannuation & Gratuity Balanced Fund	Group Superannuation & Gratuity Cash Fund	Group Superannuation & Gratuity Debt Fund	Group Superannuation & Gratuity Growth Fund	Group Superannuation & Gratuity Secure Fund	Grp Superann-Short Term Debt fund	Pension Unit Linked Balanced Fund	Pension Unit Linked Balanced - II Fund	Pension Unit linked Growth fund	Pension Unit Linked Growth - II Fund	Pension Unit Linked Infrastructure fund	Pension Unit Linked Index Fund	Pension Unit Linked Index - II fund	Pension Unit Linked PSU fund
PARTICULARS	ULGF00210/03/2006GRO UPBALAN122	ULGF00531/03/2006GRO UPCASHF122	ULGF00310/03/2006GRO UPDEBTF122	ULGF00410/03/2006GRO UPGROWT122	ULGF00113/07/2005GRO UPSECUR122	ULGF00413/02/2009GRO UPSDEBT122	UIIF00311/02/2003PNSB ALANCE122	UIIF02325/01/2010PNBAL AN-II122	ULIF00703/03/2005PNSN GROWTH122	ULIF02425/01/2010PNGR OWT-II122	ULIF02525/01/2010PNSNI NFRAF122	ULIF01122/01/2008PNSNI NDEXF122	ULIF02625/01/2010PNIND EX-II122	ULIF02725/01/2010PNSNP SUFND122
Opening Balance (Market Value)	1437.65	309.95	22409.38	2076.33	4436.59	29.81	4729.05	712.16	6942.02	948.76	367.64	11976.51	2415.40	787.27
Add: Inflow during the Quarter	46.27	520.04	193.91	49.59	141.54	0.07	72.04	50.42	108.55	67.59	14.63	159.59	84.75	18.78
Increase / (Decrease) Value of Inv [Net]	95.48	2.32	596.60	169.83	225.92	0.19	294.77	41.73	534.81	72.91	47.56	1367.68	270.67	67.38
Less: Outflow during the Quarter	46.46	534.07	783.41	50.73	91.55	0.00	124.97	133.56	1195.58	111.11	94.14	615.05	209.68	55.93
TOTAL INVESTIBLE FUNDS (MKT VALUE)	1532.93	298.24	22416.48	2245.02	4712.51	30.07	4970.89	670.76	6389.81	978.15	335.69	12888.73	2561.15	817.50

INVESTMENT OF UNIT FUND	ULGF00210/03/2006GRO UPBALAN122	ULGF00531/03/2006GRO UPCASHF122	ULGF00310/03/2006GRO UPDEBTF122	ULGF00410/03/2006GRO UPGROWT122	ULGF00113/07/2005GRO UPSECUR122	ULGF00413/02/2009GRO UPSDEBT122	UIIF00311/02/2003PNSB ALANCE122	UIIF02325/01/2010PNBAL AN-II122	ULIF00703/03/2005PNSN GROWTH122	ULIF02425/01/2010PNGR OWT-II122	ULIF02525/01/2010PNSNI NFRAF122	ULIF01122/01/2008PNSNI NDEXF122	ULIF02625/01/2010PNIND EX-II122	ULIF02725/01/2010PNSNP SUFND122
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)														
Central Govt Securities	645.45	42.1%	244.20	81.9%	16401.78	73.2%	757.79	33.8%	2654.57	56.3%	28.39	94.4%	2278.01	45.8%
State Government Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	130.91	8.5%	0.00	0.0%	1964.11	8.8%	37.67	1.7%	458.73	9.7%	0.00	0.0%	429.28	8.6%
Equity	550.36	35.9%	0.00	0.0%	0.00	0.0%	1012.74	45.1%	771.39	16.4%	0.00	0.0%	1823.25	36.7%
Money Market Investments	109.33	7.1%	53.95	18.1%	3592.17	16.0%	161.94	7.2%	636.37	13.5%	1.54	5.1%	122.66	2.5%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	1436.05	93.7%	298.14	100.0%	21958.06	98.0%	1970.13	87.8%	4521.06	95.9%	29.93	99.5%	4653.20	93.6%
Current Assets:														
Accrued Interest	13.40	0.9%	0.01	0.0%	330.26	1.5%	12.29	0.5%	57.66	1.2%	0.00	0.0%	54.52	1.1%
Dividend Recievable	1.19	0.1%	0.00	0.0%	0.00	0.0%	1.75	0.1%	1.73	0.0%	0.00	0.0%	3.93	0.1%
Bank Balance	0.19	0.0%	0.11	0.0%	0.17	0.0%	0.14	0.0%	0.16	0.0%	0.14	0.5%	-5.57	-0.1%
Receivable for Sale of Investments	1.00	0.1%	0.00	0.0%	0.00	0.0%	3.46	0.2%	1.33	0.0%	0.00	0.0%	3.24	0.1%
Other Current Assets (for Investments)	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00		0.00		0.00	
Payable for Investments	-0.29	0.0%	0.00	0.0%	0.00	0.0%	-0.85	0.0%	-0.03	0.0%	0.00	0.0%	-0.99	0.0%
Fund Mgmt Charges Payable	-0.12	0.0%	-0.02	0.0%	-1.73	0.0%	-0.17	0.0%	-0.36	0.0%	0.00	0.0%	-0.60	0.0%
Other Current Liabilities (for Investments)	-0.01	0.0%	0.00	0.0%	-0.28	0.0%	-0.01	0.0%	-0.05	0.0%	0.00	0.0%	-0.03	0.0%
Sub Total (B)	15.36	1.0%	0.10	0.0%	328.41	1.5%	16.60	0.7%	60.44	1.3%	0.14	0.5%	54.50	1.1%
Other Investments (<=25%)	0.00		0.00		0.00		0.00		0.00		0.00		0.00	
Corporate Bonds	12.50	0.8%	0.00	0.0%	130.00	0.6%	5.00	0.2%	30.00	0.6%	0.00	0.0%	35.00	0.7%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	69.02	4.5%	0.00	0.0%	0.00	0.0%	105.24	4.7%	101.00	2.1%	0.00	0.0%	228.19	4.6%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	148.05	6.6%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	81.52	5.3%	0.00	0.0%	130.00	0.6%	258.29	11.5%	131.00	2.8%	0.00	0.0%	263.19	5.3%
Total (A + B + C)	1532.93	100.0%	298.24	100.0%	22416.48	100.0%	2245.02	100.0%	4712.51	100.0%	30.07	100.0%	4970.89	100.0%
Fund Carried Forward (as per LB 2)	1532.93		298.24		22416.48		2245.02		4712.51		30.07		4970.89	

Date : #####

Note:

1. The aggregate of all the above Segregated Unit-Funds should reconcile with item C of FORM 3A (Part A), for both Par & Non Par Business
2. Details of Item 12 of FORM LB 2 which forms part of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B).
3. Other Investments' are as permitted under Sec 27A(2)
- 4.Market value of the securities considered for NAV calculation shall be taken for the purpose of applicability of Pattern of Investments for ULIP
5. All the assets of linked funds at the segregated level including net current assets shall be considered in the denominator for limit monitoring

Treasury Bills are included under Central Government Securities.

Chief Financial Officer

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: September 30, 2021

	Pension Unit linked Secure fund	Pension Unit Linked Protector Fund	Pension Unit Protected -II fund	Pension Unlited with Profit fund	Unit Linked Balanced fund	Unit Linked Balanced- II fund	Unit Linked Debt Fund	Unit Linked Debt -II Fund	Unit Linked Enhancer fund	Unit Linked Enhancer - II fund	Unit Linked Growth Fund	Unit Linked Growth - II fund	Unit Linked Infrastructure fund	Unit Linked Index fund
	ULIF00803/03/2005PNSNS ECURE122	ULIF01408/02/2008PNSPR OTEC122	ULIF02825/01/2010PNP OTE-II122	ULIF00411/02/2003PNSW PROFIT122	ULIF00106/06/2002LIFBAL ANCE122	ULIF01508/01/2010LIBAL AN-II122	ULIF01306/02/2008LIFEDE BTFU122	ULIF01608/01/2010LIFDEB T-II122	ULIF01230/01/2008LIENH ANCR122	ULIF01708/01/2010LIFENH N-II122	ULIF00527/01/2004LIFEGR OTH122	ULIF01808/01/2010LIGRO WT-II122	ULIF01908/01/2010LIFEIN FRAF122	ULIF01002/01/2008LIFEIN DEXF122
Opening Balance (Market Value)	3434.53	1612.91	328.87	1388.07	30170.05	14451.82	756.21	10606.41	5608.14	53106.67	91378.90	16849.19	2948.16	5790.92
Add: Inflow during the Quarter	11.55	167.51	6.94	11.31	714.17	1317.13	366.12	1508.47	429.99	6313.38	1708.58	128.14		93.86
Increase / (Decrease) Value of Inv [Net]	114.39	70.74	16.50	44.55	1884.25	931.15	15.12	293.98	681.32	6649.60	9246.15	1478.48	435.67	668.51
Less: Outflow during the Quarter	2219.27	207.24	12.32	22.30	2426.92	1365.27	365.75	2097.86	534.62	3411.68	6800.91	3518.67	322.33	249.32
TOTAL INVESTIVE FUNDS (MKT VALUE)	1341.21	1643.92	339.98	1421.64	30341.54	15334.82	771.69	10311.00	6184.83	55532.78	15871.56	3189.64	6303.97	

INVESTMENT OF UNIT FUND	ULIF00803/03/2005PNSNS ECURE122		ULIF01408/02/2008PNSPR OTECT122		ULIF02825/01/2010PNPR OTE-II122		ULIF00411/02/2003PNSW PROFIT122		ULIF00106/06/2002LIFBAL ANCE122		ULIF01508/01/2010LIBAL AN-III122		ULIF01306/02/2008LIFEDE BTUF122		ULIF01608/01/2010LIFDEB T-III122		ULIF01230/01/2008LIENH ANCER122		ULIF01708/01/2010LIFENH N-III122		ULIF00527/01/2004LIFEGR OWTH122		ULIF01808/01/2010LIGRO WT-III122		ULIF01908/01/2010LIFEIN FRAF122		ULIF01002/01/2008LIFEIN DEXF122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																												
Central Govt Securities	806.19	60.1%	883.86	53.8%	192.55	56.6%	728.89	51.3%	13764.85	45.4%	6398.60	41.7%	420.80	54.5%	7064.62	68.5%	0.00	0.0%	0.00	0.0%	15330.76	16.0%	2655.83	17.1%	0.00	0.0%	0.00	0.0%
State Government Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	371.08	26.1%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.10	0.0%
Infrastructure Bonds	182.83	13.6%	163.70	10.0%	19.32	5.7%	107.88	7.6%	1834.52	6.0%	637.68	4.9%	1500.14	14.5%	0.00	0.0%	0.00	0.0%	0.00	0.0%	2736.70	2.9%	259.22	1.7%	0.00	0.0%	0.00	0.0%
Equity	216.08	16.1%	276.10	16.8%	55.89	16.4%	143.20	10.1%	11019.64	36.3%	5886.78	38.4%	0.00	0.0%	0.00	0.0%	4741.21	76.7%	47195.81	75.3%	58357.26	61.1%	9440.88	60.6%	2775.71	87.0%	4799.78	76.1%
Money Market Investments	41.64	3.1%	232.81	14.2%	53.95	15.9%	25.74	1.8%	1236.94	4.1%	1416.42	9.2%	131.27	17.0%	1482.98	14.4%	359.57	5.8%	2945.04	4.7%	3198.25	3.3%	694.93	4.5%	79.17	2.5%	233.42	3.7%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	1246.74	93.0%	1556.47	94.7%	321.71	94.6%	1376.80	96.8%	27855.96	91.8%	14339.47	93.5%	589.74	76.4%	10047.74	97.4%	5100.78	82.5%	50140.84	80.0%	79622.97	83.3%	13050.86	83.8%	2854.88	89.5%	5033.30	79.8%
Current Assets:																												
Accrued Interest	22.98	1.7%	16.59	1.0%	3.35	1.0%	28.06	2.0%	296.92	1.0%	107.77	0.7%	8.88	1.2%	157.17	1.5%	0.05	0.0%	0.25	0.0%	333.00	0.3%	49.22	0.3%	0.01	0.0%	0.02	0.0%
Dividend Receivable	0.46	0.0%	0.60	0.0%	0.12	0.0%	0.09	0.0%	23.82	0.1%	12.64	0.1%	0.00	0.0%	0.00	0.0%	8.68	0.1%	84.64	0.1%	102.54	0.1%	16.38	0.1%	0.70	0.0%	10.94	0.2%
Bank Balance	0.10	0.0%	0.16	0.0%	0.24	0.1%	4.27	0.3%	-1.33	0.0%	54.50	0.4%	155.47	20.1%	7.55	0.1%	-154.60	-2.5%	187.53	0.3%	-12.17	0.0%	-6.03	0.0%	-8.82	-0.3%	-29.54	-0.5%
Receivable for Sale of Investments	8.84	0.7%	0.51	0.0%	0.12	0.0%	0.00	0.0%	13.60	0.0%	9.91	0.1%	0.00	0.0%	0.00	0.0%	8.40	0.1%	119.90	0.2%	170.73	0.2%	29.33	0.2%	9.12	0.3%	24.94	0.4%
Other Current Assets (for Investments)	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00	
Payable for Investments	0.00	0.0%	-0.14	0.0%	-0.03	0.0%	0.00	0.0%	-0.48	0.0%	-3.52	0.0%	0.00	0.0%	0.00	0.0%	-27.98	-0.5%	-144.25	-0.2%	-38.65	0.0%	-7.89	-0.1%	-7.55	-0.2%	0.00	0.0%
Fund Mgmt Charges Payable	-0.13	0.0%	-0.16	0.0%	-0.04	0.0%	0.00	0.0%	-3.68	0.0%	-2.00	0.0%	-0.06	0.0%	-1.34	0.0%	-1.07	0.0%	-8.15	0.0%	-13.88	0.0%	-2.03	0.0%	-0.42	0.0%	-0.46	0.0%
Other Current Liabilities (for Investments)	-0.03	0.0%	-0.02	0.0%	0.00	0.0%	0.00	0.0%	-0.21	0.0%	-0.10	0.0%	-0.01	0.0%	-0.12	0.0%	-0.01	0.0%	-0.08	0.0%	-0.31	0.0%	-0.05	0.0%	0.00	0.0%	-0.01	0.0%
Sub Total (B)	32.22	2.4%	17.55	1.1%	3.76	1.1%	32.41	2.3%	328.65	1.1%	179.20	1.2%	164.29	21.3%	163.26	1.6%	-166.54	-2.7%	239.86	0.4%	541.25	0.6%	78.93	0.5%	-6.97	-0.2%	5.90	0.1%
Other Investments (<=25%)	0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00	
Corporate Bonds	37.50	2.8%	35.34	2.1%	7.50	2.2%	0.00	0.0%	717.78	2.4%	80.00	0.5%	17.67	2.3%	100.00	1.0%	0.00	0.0%	0.00	0.0%	175.00	0.2%	30.00	0.2%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	24.75	1.8%	34.56	2.1%	7.01	2.1%	12.43	0.9%	1439.08	4.7%	736.15	4.8%	0.00	0.0%	0.00	0.0%	518.45	8.4%	5114.42	8.2%	6128.80	6.4%	986.20	6.3%	341.73	10.7%	358.01	5.7%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.08	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	732.14	11.8%	7162.85	11.4%	9064.69	9.5%	1425.57	9.2%	0.00	0.0%	906.75	14.4%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	62.25	4.6%	69.90	4.3%	14.51	4.3%	12.43	0.9%	2156.93	7.1%	816.15	5.3%	17.67	2.3%	100.00	1.0%	1250.59	20.2%	12277.27	19.6%	15368.49	16.1%	2441.77	15.7%	341.73	10.7%	1264.77	20.1%
Total (A + B + C)	1341.21	100.0%	1643.92	100.0%	339.98	100.0%	1421.64	100.0%	30341.54	100.0%	15334.82	100.0%	771.69	100.0%	10311.00	100.0%	6184.83	100.0%	62657.98	100.0%	95532.72	100.0%	15571.56	100.0%	3189.64	100.0%	6303.97	100.0%
Fund Carried Forward (as per LB 2)	1341.21		1643.92		339.98		1421.64		30341.54		15334.82		771.69		10311.00		6184.83		62657.98		95532.72		15571.56		3189.64		6303.97	

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: September 30, 2021

PART - B
Rs. Lakhs

	Unit Linked Index - II fund	Unit Linked Protector Fund	Unit Linked Protector - II fund	Unit Linked PSU fund	Unit Linked Secure fund	Unitised with Profit fund	Unit Linked Liquid Fund	Unit Linked Wealth Builder fund	Group Superannuation & Gratuity Income Fund	Unit Linked Dynamic P/E Fund	Discontinued Policy Fund	Total of All Funds
PARTICULARS	ULIF02008/01/2010LIFIND X-II122	ULIF00911/07/2006LIFPROTECT122	ULIF02108/01/2010LIPROTE-II122	ULIF02208/01/2010LIFEPSUFND122	ULIF00627/01/2004LIFESECURE122	ULIF00225/04/2002LIFWPROFIT122	ULIF02903/05/2010LIFEQUID122	ULIF03020/07/2010LIFEWEALTH122	ULGF00728/03/2011GROUPINCOM122	ULIF03201/08/2011LIFDYNAMAMIC122	ULIF03127/01/2011LIFDISCPOLCY122	
Opening Balance (Market Value)	7163.65	2895.63	5638.11	6948.48	13504.96	12551.01	0.00	0.00	0.00	8523.47	20873.45	376108.14
Add: Inflow during the Quarter	192.83	151.93	739.14	176.97	592.02	183.94	0.00	0.00	0.00	334.17	1582.66	19021.14
Increase / (Decrease) Value of Inv (Net)	806.94	127.94	245.57	594.90	551.17	402.07	0.00	0.00	0.00	810.08	142.20	29999.12
Less: Outflow during the Quarter	424.10	256.11	744.87	565.23	1144.62	263.67	0.00	0.00	0.00	668.91	3954.44	35622.66
TOTAL INVESTIBLE FUNDS (MKT VALUE)	7739.31	2919.39	5877.95	7155.11	13503.53	12873.35	0.00	0.00	0.00	8998.81	18643.87	389505.75

INVESTMENT OF UNIT FUND	ULIF02008/01/2010LIFIND X-II122		ULIF00911/07/2006LIFPR OTECT122		ULIF02108/01/2010LIPROT E-II122		ULIF02208/01/2010LIFEPS UFND122		ULIF00627/01/2004LIFESE CURE122		ULIF00225/06/2002LIFWP ROFI122		ULIF02903/05/2010LIFEUI QUID122		ULIF03020/07/2010LIFEWE ALTH122		ULGF00728/03/2011GRO UPINCOM122		ULIF03201/08/2011LIFDY NAMIC122		ULIF03127/01/2011LIDISC PLCY122		Total of All Funds	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																								
Central Govt Securities	0.00	0.0%	1631.14	55.9%	3358.55	57.1%	0.00	0.0%	7547.21	55.9%	6460.32	50.2%	0.00	0.0%	0.00	0.0%	0.00	0.0%	2441.65	27.1%	17088.71	91.7%	112,383.63	28.9%
State Government Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	3449.98	26.8%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	3,821.06	1.0%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	-	0.0%
Corporate Bonds	0.12	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.45	0.0%
Infrastructure Bonds	0.00	0.0%	297.53	10.2%	436.50	7.4%	0.00	0.0%	1503.93	11.1%	929.86	7.2%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	13,987.39	3.6%
Equity	5891.33	76.1%	483.25	16.6%	946.12	16.1%	5267.51	73.6%	2075.90	15.4%	1299.07	10.1%	0.00	0.0%	0.00	0.0%	0.00	0.0%	4575.81	50.8%	0.00	0.0%	185,766.43	47.7%
Money Market Investments	247.78	3.2%	337.41	11.6%	876.25	14.9%	222.86	3.1%	1275.20	9.4%	415.77	3.2%	0.00	0.0%	0.00	0.0%	0.00	0.0%	806.31	9.0%	1608.20	8.6%	23,719.87	6.1%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	-	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	-	0.0%
Sub Total (A)	6139.23	79.3%	2749.33	94.2%	5617.42	95.6%	5490.37	76.7%	12402.23	91.8%	12554.99	97.5%	0.00	0.0%	0.00	0.0%	0.00	0.0%	7823.76	86.9%	18696.92	100.3%	339,678.84	87.2%
Current Assets:																								
Accrued Interest	0.02	0.0%	37.28	1.3%	62.60	1.1%	0.03	0.0%	193.74	1.4%	248.11	1.9%	0.00	0.0%	0.00	0.0%	0.00	0.0%	13.64	0.2%	0.01	0.0%	2,097.96	0.5%
Dividend Recievable	13.47	0.2%	1.04	0.0%	2.03	0.0%	67.21	0.9%	4.55	0.0%	0.82	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	9.42	0.1%	0.00	0.0%	409.56	0.1%
Bank Balance	0.95	0.0%	0.11	0.0%	39.58	0.7%	-24.77	-0.3%	-20.04	-0.1%	-41.00	-0.3%	0.00	0.0%	0.00	0.0%	0.00	100.0%	-6.84	-0.1%	-52.11	-0.3%	78.72	0.0%
Receivable for Sale of Investments	30.69	0.4%	0.86	0.0%	1.65	0.0%	76.02	1.1%	4.18	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	20.94	0.2%	0.00	0.0%	616.34	0.2%
Other Current Assets (for Investments)	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	-	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		-	0.0%
Payable for Investments	0.00	0.0%	-0.26	0.0%	-0.53	0.0%	0.00	0.0%	-211.29	-1.6%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	-339.92	-3.8%	0.00	0.0%	(788.12)	-0.2%
Fund Mgmt Charges Payable	-1.01	0.0%	-0.28	0.0%	-0.76	0.0%	-0.93	0.0%	-1.31	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	-1.17	0.0%	-0.91	0.0%	(45.28)	0.0%
Other Current Liabilities (for Investments)	-0.01	0.0%	-0.03	0.0%	-0.07	0.0%	-0.01	0.0%	-0.14	0.0%	-0.02	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	-0.02	0.0%	-0.03	0.0%	(1.70)	0.0%
Sub Total (B)	44.11	0.6%	38.72	1.3%	104.50	1.8%	117.56	1.6%	-30.31	-0.2%	207.91	1.6%	0.00	0.0%	0.00	0.0%	0.00	100.0%	-303.95	-3.4%	-53.04	-0.3%	2,367.48	0.6%
Other Investments (<=25%)	0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		0.00		-	
Corporate Bonds	0.00	0.0%	70.85	2.4%	37.50	0.6%	0.00	0.0%	874.55	6.5%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	2,423.68	0.6%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	-	0.0%
Equity	440.72	5.7%	60.49	2.1%	118.53	2.0%	1420.62	19.9%	257.06	1.9%	110.45	0.9%	0.00	0.0%	0.00	0.0%	0.00	0.0%	477.55	5.3%	0.00	0.0%	20,537.32	5.3%
Mutual funds- Exchange traded funds	1115.25	14.4%	0.00	0.0%	0.00	0.0%	126.56	1.8%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	1001.45	11.1%	0.00	0.0%	24,498.42	6.3%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	-	0.0%
Sub Total (C)	1555.97	20.1%	131.33	4.5%	156.03	2.7%	1547.18	21.6%	1131.60	8.4%	110.45	0.9%	0.00	0.0%	0.00	0.0%	0.00	0.0%	1479.00	16.4%	0.00	0.0%	47,459.43	12.2%
Total (A + B + C)	7739.31	100.0%	2919.39	100.0%	5877.95	100.0%	7155.11	100.0%	13503.53	100.0%	12873.35	100.0%	0.00	0.0%	0.00	0.0%	0.00	100.0%	8998.81	100.0%	18643.87	100.0%	389,505.75	100.0%
Fund Carried Forward (as per LB 2)	7739.31		2919.39		5877.95		7155.11		13503.53		12873.35		0.00		0.00		0.00		8998.81		18643.87		389,505.75	

FORM L-28-UNIT LINKED NAV - 3A

FORM - 3A
(Read with Regulation 10)
Link to FORM 3A [Part B]
Statement for the period: September 30, 2021
Periodicity of Submission: Quarterly
Statement of NAV of Segregated Funds

														(Rs. in lacs)	
No	Fund Name	SFIN	Date of Launch	Par/Non Par	Assets Under Management on the above date	NAV as per 1.2	NAV as on the above date*	Previous Qtr NAV	2nd Previous Qtr NAV	3rd Previous Qtr NAV	4th Previous Qtr NAV	Return/Yield	3 Year Rolling CAGR	Highest NAV since inception	
1	Unit Linked Balanced fund	ULIF00106/06/2002ULBALANCE1122	6-Jun-02	Non Par	30,341.54	90.5778	90.5778	85.1162	82.0931	80.3723	72.3512	25.2%	11.0%	91.2155	
2	Pension Unit Linked Balanced Fund	ULIF00311/02/2003PNSBALANCE1122	11-Feb-03	Non Par	4,970.89	70.1909	70.1909	66.0473	63.4042	62.1247	56.1861	24.9%	10.7%	70.7047	
3	Unit Linked Growth Fund	ULIF00527/01/2004ULFEGROWTH1122	27-Jan-04	Non Par	95,532.72	87.9047	87.9047	79.6460	74.4834	70.8973	59.4475	47.9%	14.0%	88.9521	
4	Unit Linked Secure fund	ULIF00627/01/2004ULFSECURE1122	27-Jan-04	Non Par	13,503.53	36.9694	36.9694	35.4876	34.8796	34.5730	31.6904	16.7%	9.8%	36.9782	
5	Pension Unit linked Growth fund	ULIF00703/03/2005PNSNGROWTH1122	3-Mar-05	Non Par	6,389.81	49.1012	49.1012	45.4928	43.0905	41.6701	36.3045	35.2%	12.5%	49.5402	
6	Pension Unit linked Secure fund	ULIF00803/03/2005PNSNSECURE1122	3-Mar-05	Non Par	1,341.21	33.4719	33.4719	32.2799	31.5123	31.4256	29.6478	12.9%	8.4%	33.4813	
7	Group Superannuation & Gratuity Secure Fund	ULGF00113/07/2005GROUPSECUR1122	13-Jul-05	Non Par	4,712.51	36.5206	36.5206	34.7632	33.9018	33.5578	31.3037	16.7%	9.6%	36.5206	
8	Group Superannuation & Gratuity Debit Fund	ULGF00310/03/2006GROUPDEBIT1122	10-Mar-06	Non Par	22,416.48	32.2239	32.2239	31.3785	31.0851	31.2252	30.1508	6.9%	7.9%	32.2239	
9	Group Superannuation & Gratuity Balanced Fund	ULGF00210/03/2006GROUPBALAN1122	10-Mar-06	Non Par	1,532.93	35.6561	35.6561	33.3431	32.3383	31.5829	28.5334	24.9%	11.2%	35.8274	
10	Group Superannuation & Gratuity Growth Fund	ULGF00410/03/2006GROUPGROW1122	10-Mar-06	Non Par	2,245.02	45.3197	45.3197	41.9071	39.7449	38.3354	33.3278	36.0%	13.3%	45.7117	
11	Group Superannuation & Gratuity Cash Fund	ULGF00531/03/2006GROUPCASH1122	31-Mar-06	Non Par	298.24	28.1903	28.1903	27.9897	27.8039	27.6277	27.4047	2.9%	4.3%	28.1903	
12	Unit Linked Protector Fund	ULIF00911/07/2006ULFPROTECT1122	11-Jul-06	Non Par	2,919.39	31.2078	31.2078	29.8564	29.2978	29.0956	26.7349	16.7%	8.6%	31.2078	
13	Unit Linked Index fund	ULIF01002/01/2008ULFINDEX1122	2-Jan-08	Non Par	6,303.97	29.5099	29.5099	26.4262	24.4369	22.9318	18.2179	62.0%	16.8%	29.8876	
14	Pension Unit Linked Index Fund	ULIF01122/01/2008PNSINDEX1122	22-Jan-08	Non Par	12,888.73	36.3700	36.3700	32.5821	30.1513	28.2865	22.4412	62.1%	16.8%	36.8372	
15	Unit Linked Enhancer fund	ULIF01230/01/2008ULIENHANCER1122	30-Jan-08	Non Par	6,184.83	39.6669	39.6669	35.3824	32.5153	30.4767	24.3795	62.7%	15.5%	40.2499	
16	Unit Linked Debt Fund	ULIF01364/02/2008ULFDEBIT1122	6-Feb-08	Non Par	771.69	27.9289	27.9289	27.3012	27.0004	27.1491	26.0063	7.4%	5.9%	27.9289	
17	Pension Unit Linked Protector fund	ULIF01408/02/2008PNSPROTECT1122	8-Feb-08	Non Par	1,643.92	27.5706	27.5706	26.4135	25.7567	25.5666	23.8593	15.6%	8.3%	27.5706	
18	Grp Superann-Short Term Debt fund	ULGF00613/02/2009GROUPSPDEBIT1122	13-Feb-09	Non Par	30.07	22.5674	22.5674	22.4252	22.2858	22.1413	21.9914	2.6%	4.1%	22.5674	
19	Unit Linked PSU fund	ULIF02208/01/2010ULFPSUFUND1122	8-Jan-10	Non Par	7,155.11	17.9688	17.9688	16.4931	13.8564	11.8780	8.9560	100.6%	11.5%	17.9890	
20	Unit Linked Index - II fund	ULIF02008/01/2010ULFINDEX-II1122	8-Jan-10	Non Par	7,739.31	31.9365	31.9365	28.6367	26.5009	24.8738	19.7065	62.1%	16.4%	32.3495	
21	Unit Linked Growth - II fund	ULIF01808/01/2010ULFGROW-II1122	8-Jan-10	Non Par	15,571.56	31.2526	31.2526	28.3224	26.4739	25.1986	21.1078	48.1%	14.3%	31.6222	
22	Unit Linked Balanced-II fund	ULIF01508/01/2010ULBALAN-II1122	8-Jan-10	Non Par	15,334.82	27.4094	27.4094	25.7586	24.1630	21.6462	26.6%	11.3%	27.6185		
23	Unit Linked Enhancer - II fund	ULIF01708/01/2010ULIENH-II1122	8-Jan-10	Non Par	62,657.96	38.5880	38.5880	34.4291	31.6284	29.6229	23.7536	62.5%	16.1%	39.1626	
24	Unit Linked Debt -II fund	ULIF01608/01/2010ULFDEBT-II1122	8-Jan-10	Non Par	10,311.00	23.0059	23.0059	22.3556	22.1998	22.3152	21.5957	6.3%	6.4%	23.0059	
25	Unit Linked Infrastructure fund	ULIF01908/01/2010ULFINFRA1122	8-Jan-10	Non Par	3,189.64	20.8204	20.8204	18.0653	16.4001	14.0851	11.0446	88.5%	24.6%	21.2241	
26	Unit Linked Protector - II fund	ULIF02108/01/2010ULFPROTE-II1122	8-Jan-10	Non Par	5,877.95	24.0041	24.0041	22.9846	22.5356	22.4223	21.0213	14.2%	8.3%	24.0041	
27	Pension Unit Linked Index - II fund	ULIF02625/01/2010PNSINDEX-II1122	25-Jan-10	Non Par	2,561.15	35.1609	35.1609	31.5314	29.1784	27.3586	21.6920	62.1%	16.4%	35.6138	
28	Pension Unit Linked PSU fund	ULIF02725/01/2010PNSPSUFUND1122	25-Jan-10	Non Par	817.50	18.3999	18.3999	16.8910	14.1611	12.1164	9.1755	100.5%	11.8%	18.4193	
29	Pension Unit Linked Balanced - II fund	ULIF02325/01/2010PNSBALAN-II1122	25-Jan-10	Non Par	670.76	26.4245	26.4245	24.7938	23.7424	23.2541	21.0302	25.7%	11.5%	26.5479	
30	Pension Unit Linked Growth - II fund	ULIF02425/01/2010PNSGROWTH-II1122	25-Jan-10	Non Par	978.15	30.0152	30.0152	27.7787	26.2876	25.3085	21.9662	36.6%	12.0%	30.2312	
31	Pension Unit Linked Infrastructure fund	ULIF02525/01/2010PNSINFRA1122	25-Jan-10	Non Par	335.69	21.2022	21.2022	18.3995	16.6861	14.3227	11.2239	89.0%	23.7%	21.6122	
32	Pension Unit Linked Protector-II fund	ULIF02825/01/2010PNSPROTE-II1122	25-Jan-10	Non Par	339.98	22.3702	22.3702	21.2874	20.7920	20.6694	19.4777	14.9%	5.7%	22.3702	
33	Discontinued Policy Fund	ULIF03127/01/2011ULDISPOLICY1122	27-Jan-11	Non Par	18,643.87	19.6967	19.6967	19.5332	19.4096	19.2681	19.1155	3.0%	4.7%	19.6967	
34	Unit Linked Liquid fund @	ULIF02903/05/2010ULFELIQUID1122	3-May-10	Non Par	-	NA	NA	NA	NA	NA	NA	NA	NA	12.4150	
35	Unit Linked Wealth Builder fund @	ULIF03020/07/2010ULFEWEALTH1122	20-Jul-10	Non Par	-	NA	NA	NA	NA	NA	NA	NA	NA	18.8686	
36	Group Superannuation & Gratuity Income fund	ULGF00728/03/2011GROUPINCOM1122	25-Mar-11	Non Par	0.00	17.0280	17.0280	17.0280	17.0280	17.0280	17.0280	0.0%	0.0%	17.0397	
37	Unit Linked Dynamic P/E Fund	ULIF03301/08/2011ULFDYNAMIC1122	1-Aug-11	Non Par	8,998.81	31.3686	31.3686	28.5894	26.7058	25.3869	20.8566	90.4%	14.5%	31.7572	
38	Unlited with Profit fund	ULIF00225/06/2002ULFWPROFIT1122	25-Jun-02	Par	12,873.35	40.0211	40.0211	38.6783	37.3944	36.1465	34.9330	14.5%	15.8%	40.0211	
39	Pension Unlited with Profit fund	ULIF00411/02/2003PNSWPROFIT1122	11-Feb-03	Par	1,211.64	41.2444	41.2444	39.9488	38.7072	37.5173	36.3387	13.5%	16.5%	41.2444	
Total						389,505.75									

CERTIFICATION
Certified that the performance of all segregated funds have been placed and reviewed by the Board. All information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

DATE 20-Oct-21
Note: 1. * NAV should reflect the published NAV on the reporting date
2. NAV should be upto 4 decimals
@ 2 funds viz., Unit Linked Wealth Builder Fund and Unit Linked Liquid fund are closed ended funds and are left with no policyholders.

Chief Financial Officer

FORM L-29- Detail regarding debt securities

Unit Linked

(Rs. In lacs)

(Rs. in lacs)								
Detail Regarding debt securities								
	MARKET VALUE				Book Value			
	AS AT SEPTEMBER 30, 2021	as % of total for this class	AS AT SEPTEMBER 30, 2020	as % of total for this class	AS AT SEPTEMBER 30, 2021	as % of total for this class	AS AT SEPTEMBER 30, 2020	as % of total for this class
Break down by credit rating								
AAA rated	141,880	90.8%	126,993	88.4%	141,359	89.5%	124,095	85.4%
AA or better	12,033	7.7%	13,462	9.4%	11,203	7.1%	12,671	8.7%
Rated below AA but above A	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Rated below A but above B	1,424	0.9%	0	1.0%	1,401	0.9%	0	1.4%
Any other	1,000	0.6%	3,125	1.1%	4,000	2.5%	8,557	4.5%
	156,336	100.0%	143,579	100.0%	157,963	100.0%	145,323	100.0%
BREAKDOWN BY RESIDUALMATURITY								
Up to 1 year	42,151	27.0%	31,740	22.1%	42,156	26.7%	31,657	21.8%
more than 1 yearand upto 3years	35,765	22.9%	10,537	7.3%	35,740	22.6%	12,576	8.7%
More than 3years and up to 7years	31,279	20.0%	29,964	20.9%	33,094	21.0%	31,575	21.7%
More than 7 years and up to 10 years	32,784	21.0%	35,825	25.0%	32,657	20.7%	34,904	24.0%
More than 10 years and up to 15 years	8,498	5.4%	8,798	6.1%	8,476	5.4%	8,687	6.0%
More than 15 years and up to 20 years	115	0.1%	298	0.2%	112	0.1%	284	0.2%
Above 20 years	5,744	3.7%	26,417	18.4%	5,728	3.6%	25,639	17.6%
	156,336	100.0%	143,579	100.0%	157,963	100.0%	145,323	100.0%
Breakdown by type of the issuer								
Central Government	112,384	71.9%	62,232	43.3%	111,991	70.9%	61,729	42.5%
State Government	3,821	2.4%	18,431	12.8%	3,811	2.4%	18,266	12.6%
Corporate Securities	40,131	25.7%	62,916	43.8%	42,161	26.7%	65,328	45.0%
	156,336	100.0%	143,579	100.0%	157,963	100.0%	145,323	100.0%

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Non Unit Linked

(Rs. In lacs)

(Rs. in lacs)								
Detail Regarding debt securities								
	MARKET VALUE				Book Value			
	AS AT SEPTEMBER 30, 2021	as % of total for this class	AS AT SEPTEMBER 30, 2020	as % of total for this class	AS AT SEPTEMBER 30, 2021	as % of total for this class	AS AT SEPTEMBER 30, 2020	as % of total for this class
Break down by credit rating								
AAA rated	735,599	99.7%	653,248	99.7%	735,599	99.7%	653,248	99.7%
AA or better	345	0.0%	0	0.0%	345	0.0%	0	0.0%
Rated below AA but above A	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Rated below A but above B	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Any other	1,904	0.3%	2,024	0.3%	1,904	0.3%	2,024	0.3%
	737,847	100.0%	655,273	100.0%	737,847	100.0%	655,273	100.0%
BREAKDOWN BY RESIDUALMATURITY								
Up to 1 year	11,957	1.7%	21,656	3.5%	11,957	1.7%	21,656	3.5%
more than 1 yearand upto 3years	22,625	3.1%	31,406	4.9%	22,625	3.1%	31,406	4.9%
More than 3years and up to 7years	62,544	8.5%	42,030	6.4%	62,544	8.5%	42,030	6.4%
More than 7 years and up to 10 years	149,836	20.3%	90,891	13.8%	149,836	20.3%	90,891	13.8%
More than 10 years and up to 15 years	313,521	42.4%	239,130	36.4%	313,521	42.4%	239,130	36.4%
More than 15 years and up to 20 years	117,296	15.9%	131,759	20.0%	117,296	15.9%	131,759	20.0%
Above 20 years	60,067	8.1%	98,401	15.0%	60,067	8.1%	98,401	15.0%
	737,847	100.0%	655,273	100.0%	737,847	100.0%	655,273	100.0%
Breakdown by type of the Issuer								
Central Government	471,167	63.8%	463,573	70.5%	471,167	63.8%	463,573	70.5%
State Government	140,239	19.0%	60,271	9.2%	140,239	19.0%	60,271	9.2%
Corporate Securities	126,442	17.2%	131,428	20.3%	126,442	17.2%	131,428	20.3%
	737,847	100.0%	655,273	100.0%	737,847	100.0%	655,273	100.0%

1. In case a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.

2. The detail of ULIP and Non-ULIP will be given separately.

3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

Fixed Deposit are considered as Equity and Equity related instrument as per IRDA Investment return guidelines.

Reverse Repo with underlying as Gsec has been classified as Corporate Securities and AAA rated instrument.

AAA rated includes all Sovereign rated instruments#

Non-ULIP includes Shareholder fund.

FORM L-30 : Related Party Transactions

PART-A Related Party Transactions

(Rs. In lacs)

Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Q2 '21-22	AS AT SEPTEMBER 30, 2021	Q2 '20-21	AS AT SEPTEMBER 30, 2020
Aviva Group Holdings Limited	Significant Influence	Recovery of reimbursement of Travel related expenses & secondment charges of expats	-	-	-	-
Aviva PLC	Significant Influence	Reimbursement on account of travel related expenses	-	-	-	-
		Reimbursement of Healthcare, consultancy & Relocation charges	-	-	-	-
Aviva Life Services UK Limited	Significant Influence	Reimbursement of Travel related expenses (Payable)	-	-	-	-
		Reimbursement of Travel related expenses receivable	-	-	-	-
Aviva Asia PTE Ltd.	Significant Influence	Reimbursement of Travel related expenses	-	-	-	-
Sesame Group India Pvt Ltd	Significant Influence	Sublease related transaction	2.30	34.11	37.07	70.10
Experian Credit Information Company of India Private Limited	Entity in which relative of director is a director	Professional charges for database access	-	-	0.12	0.12
Nilesh Karia	Key Managerial Personnel	Remuneration	(70.34)	(120.99)	(58.07)	(113.98)
Sonali Athalye	Key Managerial Personnel	Remuneration	(13.48)	(13.48)	-	-
Anuj Arora	Key Managerial Personnel	Remuneration	(8.98)	(17.97)	(6.25)	(14.52)
Trevor Bull	Key Managerial Personnel	Remuneration	-	-	(88.87)	(264.73)
Amit Malik	Key Managerial Personnel	Remuneration	(54.49)	(240.82)	-	-
AVIVA Central Services UK limited	Significant Influence	Recharge for the cost of implementation of Cyber Ark in Aviva India	23.25	23.25	-	-
		Payable towards secondment charges of expats	(159.18)	(159.18)	(5.37)	(5.37)
		Reimbursement of Ex-Gratia payment	10.44	10.44	-	-
		Recovery of travel related expenses & secondment charges of expats	-	-	6.72	6.72

PART-B Related Party Transaction Balances - As at the end of the Quarter ended September 30, 2021

(Rs. In lacs)

Name of the Related Party	Nature of Relationship with the Company	Amount of Outstanding Balances including Commitments	Whether Payable / Receivable	Whether Secured? If so, Nature of consideration to be provided at the time of settlement	Details of any Guarantees given or received	Balance under Provision for doubtful debts relating to the outstanding balance receivable	Expenses recognised up to the quarter end during the year in respect of bad or doubtful debts due from the related party
Aviva Group Holdings Limited	Significant Influence	-	NA	NA	NA	NA	NA
Aviva PLC	Significant Influence	-	NA	NA	NA	NA	NA
Aviva Life Services UK Limited	Significant Influence	-	NA	NA	NA	NA	NA
Aviva Asia PTE Ltd.	Significant Influence	-	NA	NA	NA	NA	NA
Sesame Group India Pvt Ltd	Significant Influence	0.01	Receivable	NA	NA	NA	NA
Experian Credit Information Company of India Private Limited	Entity in which relative of director is a director	-	NA	NA	NA	NA	NA
AVIVA Central Services UK limited	Significant Influence	5.56	Receivable	NA	NA	NA	NA

FORM L-31 LNL - 6 : Board of Directors & Key Person

BOD and Key Person Information			April- September 2021	
Sl. No.	Name of person	Role/designation	Details of change in the period	
			Date of Appointment	Date of Ceasing
1	Dr Anand Chand Burman	Director	NA	NA
2	Bobby Parikh	Director	NA	NA
3	E V Sumithasri	Director	NA	NA
4	Chetan Singh	Director	NA	01.10.2021
5	Lee Patrick Callaghan	Director	NA	NA
6	Mohit Burman	Director	NA	NA
7	Pradip Burman	Director	NA	NA
8	Pritam Das Narang	Director	NA	NA
10	Suresh Mahalingam	Director	NA	NA
11	Amit Malik	Chief Executive Officer and Managing Director	NA	NA
12	Randy Lianggara	Director	25.06.2021	NA
13	Ravi Tiwari	Additional Directors	07.10.2021	NA
14	Ajai Kumar Tripathi	Appointed Actuary and officiating Chief Investment Officer(CIO)	NA	NA
15	Joydev Sengupta	Head- Legal	NA	NA
17	Nilesh Ratilal Karia	Chief Financial Officer	NA	13.08.2021
18	Vijayalakshmi Natarajan	Chief Compliance Officer & Chief Risk Officer	NA	NA
19	Prashant Kalaver	Chief of Internal Audit	NA	NA
20	Akhilesh Gupta	Chief Investment officer	NA	NA
21	Kunal Anand	Chief Operations and Customer Services Officer	NA	NA
22	Vinit Kapahi	Head- Marketing	NA	NA
23	Ashish Mittal	Head- People Function	NA	NA
24	Vishnu Kumar	Chief Distribution Officer	12.07.2021	NA
25	Sonali Athalye	Chief Financial Officer	13.08.2021	NA
26	Anuj Arora	Company Secretary	NA	NA

Key Persons as defined in IRDAI Corporate Governance Guidelines, 2016

Note:

a) "Key Management Person" as defined under Annexure 4 (Guidelines on appointment and reporting of Key Management Persons) of Corporate Governance Guidelines for Insurers in India 2016

b) In case of directors, designation to include "Independent Director / Non-executive Director / Executive Director / Managing Director/Chairman"

FORM L-32- Solvency Margin - KT 3

Available Solvency Margin And Solvency Ratio - Total Business

(Rs. In lacs)

Item	Description	Notes No	Adjusted Value	
			AS AT SEPTEMBER 30, 2021	AS AT SEPTEMBER 30, 2020
01	Available Assets in Policyholders' Fund:	1	1,097,046	960,598
	Deduct:			
02	Mathematical Reserves	2	1,069,230	914,696
03	Other Liabilities	3	21,758	40,037
04	Excess in Policyholders' funds		6,058	5,865
05	Available Assets in Shareholders Fund:	4	63,470	62,595
	Deduct:			
06	Other Liabilities of shareholders' fund	3	325	228
07	Excess in Shareholders' funds		63,145	62,367
08	Total ASM (04)+(07)		69,203	68,232
09	Total RSM		31,031	28,191
10	Solvency Ratio (ASM/RSM)		2.23	2.42

Certification:

I, Ajai Kumar Tripathi, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

Place: Gurugram
 Date: 9-Nov-21

Sd/-
 Ajai Kumar Tripathi
Appointed Actuary

Notes

- Item No. 01 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Policyholders A/c;
- Item No. 02 shall be the amount of the Mathematical Reserves as mentioned in Form H;
- Item No. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- Item No. 05 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Shareholders A/C;

FORM L-33-NPAs

Statement As on : 30th September 2021
 Details of Non Performing Assets-Quarterly
 Periodicity of Submission : Quarterly

Name of the Fund -LIFE FUND

NO	PARTICULARS	Bonds/Debentures		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD (AS on Date)	Prev. FY (As on 31 Mar 2020)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2020)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2020)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2020)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2020)
1	Investment Assets (As per Form 5)	116,708.57	117,673.80	-	-	5,953.56	16,961.80	588,642.18	554,399.62	711,304.31	689,035.22
2	Gross NPA	2,727.37	3,207.11	-	-	-	-	-	-	2,727.37	3,207.11
3	% of Gross NPA on Investment Assets (2/1)	2.34%	2.73%	-	-	-	-	-	-	0.38%	0.47%
4	Provision Made on NPA	2,252.37	2,406.51	-	-	-	-	-	-	2,252.37	2,406.51
5	Provision as a % on NPA (4/2)	82.58%	75.04%	-	-	-	-	-	-	82.58%	75.04%
6	Provision on Standard Assets	-	-	-	-	-	-	5.85	641.12	5.85	641.12
7	Net Investment Assets (1-4)	114,456.20	115,267.29	-	-	5,953.56	16,961.80	588,642.18	554,399.62	709,051.94	686,628.71
8	Net NPA (2-4)	475.00	800.61	-	-	-	-	-	-	475.00	800.61
9	% of Net NPA to Net Investment Assets (8/7)	0.42%	0.69%	-	-	-	-	-	-	0.07%	0.12%
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
 - 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
 - 3 Gross NPA is investments classified as NPA, before any provisions
 - 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
 - 5 Net Investment assets is net of 'provisions'
 - 6 Net NPA is gross NPAs less provisions
 - 7 Write off as approved by the board
- Bonds/Debentures include the Bonds issued by Food Corporation of India having a Category Code of CGSL.
 Provision on Standard Assets includes provision on equity shares

 Chief Financial Officer

FORM L-33-NPAs

Statement As on : 30th September 2021
 Details of Non Performing Assets-Quarterly
 Periodicity of Submission : Quarterly

Name of the Fund -Pension General Annuity Fund

NO	PARTICULARS	Bonds/Debentures		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD (AS on Date)	Prev. FY (As on 31 Mar 2020)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2020)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2020)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2020)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2020)
1	Investment Assets (As per Form 5)	4,315.01	4,313.85	-	-	271.06	191.49	22,837.03	22,541.90	27,423.10	27,047.25
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision Made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % on NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	4,315.01	4,313.85	-	-	271.06	191.49	22,837.03	22,541.90	27,423.10	27,047.25
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

 Chief Financial Officer

FORM L-33-NPAs

Statement As on : 30th September 2021
 Details of Non Performing Assets-Quarterly
 Periodicity of Submission : Quarterly

Name of the Fund - ULIP Fund

NO	PARTICULARS	Bonds/Debentures		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD (As on Date)	Prev. FY (As on 31 Mar 2020)	YTD (As on Date)	Prev. FY (As on 31 Mar 2020)	YTD (As on Date)	Prev. FY (As on 31 Mar 2020)	YTD (As on Date)	Prev. FY (As on 31 Mar 2020)	YTD (As on Date)	Prev. FY (As on 31 Mar 2020)
1	Investment Assets (As per Form 5)	16,411.53	37,837.71	-	-	23,719.87	15,714.65	349,374.35	307,816.98	389,505.75	361,369.35
2	Gross NPA	5,586.80	6,555.63	-	-	-	-	-	-	5,586.80	6,555.63
3	% of Gross NPA on Investment Assets (2/1)	34.04%	17.33%	-	-	-	-	-	-	1.43%	1.81%
4	Provision Made on NPA	4,586.80	4,930.63	-	-	-	-	-	-	4,586.80	4,930.63
5	Provision as a % on NPA (4/2)	82.10%	75.21%	-	-	-	-	-	-	82.10%	75.21%
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)**	16,411.53	37,837.71	-	-	23,719.87	15,714.65	349,374.35	307,816.98	389,505.75	361,369.35
8	Net NPA (2-4)	1,000.00	1,625.00	-	-	-	-	-	-	1,000.00	1,625.00
9	% of Net NPA to Net Investment Assets (8/7)	6.09%	4.29%	-	-	-	-	-	-	0.26%	0.45%
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

** The provisions for NPA and Standard Assets disclosed above (Rs 45.87 Cr.) are already netted off in the carrying value of ULIP assets of Rs. 3895.06 cr.

 Chief Financial Officer

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
(Read with Regulation 10)
Statement as on: 30th September 2021
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -LIFE FUND

(Rs. In lacs)														
No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	443,765.31	9,121.22	2.1%	2.1%	440,065.55	17,847.51	4.1%	4.1%	435,238.45	16,720.68	3.8%	3.8%
	Treasury Bills	CTRB	743.64	6.72	0.9%	0.9%	615.76	10.83	1.8%	1.8%	2,040.43	50.64	2.5%	2.5%
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES		-	-			-	-			0.00	0.00		
	State Government Bonds	SGGB	135,962.74	2,295.68	1.7%	1.7%	129,880.46	4,420.01	3.4%	3.4%	37,497.90	1,290.37	3.4%	3.4%
	Central Government Guaranteed Loans/Bonds	CGSL	806.71	17.49	2.2%	2.2%	806.83	34.79	4.3%	4.3%	807.85	34.79	4.3%	4.3%
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	2,314.29	40.34	1.7%	1.7%	2,314.13	80.68	3.5%	3.5%	1,981.80	70.63	3.6%	3.6%
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	TAXABLE BONDS													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	16,064.18	330.03	2.1%	2.1%	16,169.21	661.53	4.1%	4.1%	18,908.89	770.73	4.1%	4.1%
	Bonds / Debentures issued by HUDCO	HTHD	8,766.46	143.84	1.6%	1.6%	8,779.02	285.80	3.3%	3.3%	4726.23	149.09	3.2%	3.2%
D	INFRASTRUCTURE INVESTMENTS													
	TAXABLE BONDS													
	Infrastructure - PSU - Equity shares - Quoted	ITPE	0.61	0.01	2.1%	2.1%	0.61	0.01	2.1%	2.1%	42.11	(80.10)	-190.2%	-190.2%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	4.07	0.05	1.3%	1.3%	4.07	0.06	1.5%	1.5%	359.45	(158.91)	-44.2%	-44.2%
	Infrastructure - PSU - Debentures / Bonds	IPTD	76,049.40	1,475.41	1.9%	1.9%	74,861.29	3,903.42	5.2%	5.2%	70,128.72	2,833.45	4.0%	4.0%
	Infrastructure- Infrastructure Development Fund	IDDF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	326.34	(30.14)	-9.2%	-9.2%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	1,506.82	26.69	53.1%	53.1%	1,507.18	53.08	53.1%	53.1%	1,316.33	56.97	57.0%	57.0%
	Long Term Bank Bonds Approved Investment - Infrastructure	ILBI	8,533.61	163.09	1.9%	1.9%	8,534.36	324.41	3.8%	3.8%	9,044.21	343.33	3.8%	3.8%
	OTHER INVESTMENTS													
	Infrastructure - Equity (including unlisted)	IOEQ	-	-	0.0%	0.0%	-	-	0.0%	0.0%	0.00	0.00	0.0%	0.0%
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	0.93	0.15	15.9%	15.9%	0.93	0.20	21.8%	21.8%	55.25	(152.58)	-276.2%	-276.2%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	67.85	0.31	0.5%	0.5%	67.78	1.03	0.02	0.02	3,748.01	(2,775.97)	-74.1%	-74.1%
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,193.38	72.94	6.1%	6.1%	1,239.93	143.93	11.6%	11.6%	2,054.70	216.51	10.5%	10.5%
	Deposits - CDs with Scheduled Banks	EDCD	485.87	4.86	1.0%	1.0%	286.50	5.65	2.0%	2.0%	3,867.59	113.75	2.9%	2.9%
	Units of Infrastructure Investment Trust	EIIT	1,717.78	794.50	46.3%	46.3%	2,587.28	1,034.44	40.0%	40.0%	3,546.23	(114.10)	-3.2%	-3.2%
	Deposits - Repo / Reverse Repo	ECMR	5,229.79	40.64	0.8%	0.8%	8,853.83	143.27	1.6%	1.6%	12,654.81	181.41	1.4%	1.4%
	Corporate Securities - Debentures	ECOS	538.57	10.05	1.9%	1.9%	1,009.60	297.57	29.5%	29.5%	8,505.20	319.01	3.8%	3.8%
F	OTHER INVESTMENTS													
	Reclassified Approved Investments - Debt	ORAD	1,964.28	304.98	15.5%	15.5%	1,994.12	304.98	15.3%	15.3%	2,024.29	0.27	0.0%	0.0%
	Equity Shares (incl Co-op Societies)	OESH	14.59	(0.11)	-0.7%	-0.7%	14.59	(0.25)	-1.7%	-1.7%	855.60	(691.13)	-80.8%	-80.8%
	TOTAL		705,730.87	14,848.89	2.1%	2.1%	699,593.03	29,552.95	4.2%	4.2%	619,730.38	19,148.69	3.09%	3.09%

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: October 20, 2021

- Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time
- 1 Based on daily simple Average of Investments
 - 2 Yield netted for Tax
 - 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
 - 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
 - 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account
- YTD Income on investment excludes the Income on Investment of UnclaimedPolicyholders Fund

Chief Financial Officer

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
(Read with Regulation 10)
Statement as on: 30th September 2021
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -Pension General Annuity Fund

(Rs. In lacs)														
No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	20,590.96	390.70	1.9%	1.9%	20,528.24	779.13	3.8%	3.8%	20,840.65	825.91	4.0%	4.0%
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	-	-	0.0%	0.0%	-	-	0.0%	0.0%	694.75	21.38	3.1%	3.1%
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	State Government Bonds	SGGB	2,203.19	31.20	1.4%	1.4%	2,140.95	60.09	2.8%	2.8%	2,550.11	128.16	5.0%	5.0%
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	0.0%	0.0%
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	TAXABLE BONDS													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	0.0%	0.0%	-	-	0.0%	0.0%	753.81	44.10	5.9%	5.9%
D	INFRASTRUCTURE INVESTMENTS													
	TAXABLE BONDS													
	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	-	-	-	-	-	-	0.0%	0.0%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	0.0%	0.0%
	Infrastructure - PSU - Debentures / Bonds	IPTD	4,314.72	94.76	2.2%	2.2%	4,314.44	188.49	4.4%	4.4%	4,712.67	216.08	4.6%	4.6%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	273.56	46.28	16.9%	16.9%
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	-	-	-	-	-	-	0.0%	0.0%
		EPNQ	-	-	-	-	-	-	-	-	-	-	0.0%	0.0%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	-	-	-	-	-	-	-	-	-	-	0.0%	0.0%
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Deposits - CDs with Scheduled Banks	EDCD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	498.99	19.90	4.0%	4.0%
	Deposits - Repo / Reverse Repo	ECMR	295.95	2.30	0.8%	0.8%	424.18	6.68	1.6%	1.6%	1,287.86	18.31	1.4%	1.4%
	Corporate Securities - Debentures	ECOS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	49.92	1.82	3.7%	3.7%
F	OTHER INVESTMENTS													
	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	0.00	0.00	-	-
	TOTAL		27,404.83	518.96	1.9%	1.9%	27,407.80	1,034.40	3.8%	3.8%	31,662.33	1,321.94	4.2%	4.2%

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 20-Oct-21

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

Chief Financial Officer

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
(Read with Regulation 10)
Statement as on: 30th September 2021
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -ULIP Fund

(Rs. In lacs)														
No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	96,824.13	2,344.44	2.4%	2.4%	87,813.19	3,446.44	3.9%	3.9%	61,472.16	2,842.80	4.6%	4.6%
	Deposit under Section 7 of Insurance Act, 1938	CDSS												
	Treasury Bills	CTRB	17,305.70	159.07	0.9%	0.9%	17,934.09	320.79	1.8%	1.8%	12,504.68	282.09	2.3%	2.3%
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	State Government Bonds	SGGB	2,946.78	82.04	2.8%	2.8%	6,323.43	329.90	5.2%	5.2%	3,751.64	281.07	7.5%	7.5%
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	TAXABLE BONDS													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	7,845.51	242.63	3.1%	3.1%	10,404.13	414.63	4.0%	4.0%	15,703.30	906.30	5.8%	5.8%
D	INFRASTRUCTURE INVESTMENTS													
	TAXABLE BONDS													
	Infrastructure - PSU - Equity shares - Quoted	ITPE	2,172.44	133.37	6.1%	6.1%	2,152.09	355.53	16.5%	16.5%	3,170.28	449.51	14.2%	14.2%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	13,516.05	2,450.15	18.1%	18.1%	13,003.29	3,075.58	23.7%	23.7%	12,260.33	(119.05)	-1.0%	-1.0%
	Infrastructure - PSU - Debentures / Bonds	IPTD	4,498.15	15.90	0.4%	0.4%	6,434.69	86.39	1.3%	1.3%	13,113.74	809.51	6.2%	6.2%
	Infrastructure- Infrastructure Development Fund	IDDF	-	-	-	-	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	4,492.68	87.75	2.0%	2.0%	4,512.50	198.38	4.4%	4.4%	5,395.40	255.47	4.7%	4.7%
	OTHER INVESTMENTS													
	Infrastructure - Equity (including unlisted)	IOEQ	115.46	(16.82)	-14.6%	-14.6%	104.38	17.44	16.7%	16.7%	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS		-	-			-	-						
	PSU - Equity shares - Quoted	EAEQ	10,324.21	1,513.16	14.7%	14.7%	9,357.33	3,047.48	32.6%	32.6%	6,291.37	169.68	2.7%	2.7%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	156,451.12	20,560.98	13.1%	13.1%	151,449.24	34,852.92	23.0%	23.0%	116,596.06	39,224.10	33.6%	33.6%
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	0.16	0.0%	0.0%	-	0.16	0.0%	0.0%	15.08	1.22	8.1%	8.1%
	Deposits - CDs with Scheduled Banks	EDCD	1,457.62	14.58	1.0%	1.0%	859.49	16.95	2.0%	2.0%	2,206.50	67.63	3.1%	3.1%
	Deposits - Repo / Reverse Repo	ECMR	16,088.71	124.63	0.8%	0.8%	16,309.32	258.23	1.6%	1.6%	16,599.88	240.76	1.5%	1.5%
	Corporate Securities - Debentures	ECOS	0.46	0.01	1.4%	1.4%	1,463.80	(581.79)	-39.7%	-39.7%	12,924.66	744.87	5.8%	5.8%
	Net Current Assets (Only in respect of ULIP Business)	ENCA	2,367.48	-	-	-	2,367.48	-	-	-	5,631.58	-	0.0%	0.0%
F	OTHER INVESTMENTS													
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-			-	-	0.0%	0.0%
	Equity Shares (incl Co-op Societies)	OESH	19,152.81	1,271.23	6.6%	6.6%	17,876.27	3,425.34	19.2%	19.2%	6,196.29	855.90	13.8%	13.8%
	Reclassified Approved Investments - Debt	ORAD	3,047.08	609.74	20.0%	20.0%	3,288.64	617.14	18.8%	18.8%	3,125.00	99.27	3.2%	3.2%
	Equity Exchange Traded Fund	OETF	23,468.86	1,766.65	7.5%	7.5%	22,807.57	2,654.25	11.6%	11.6%	14,098.99	1,509.61	10.7%	10.7%
	TOTAL		382,075.24	31,359.65	8.2%	8.2%	374,460.93	52,535.76	14.0%	14.0%	311,056.94	48,620.75	15.6%	15.6%

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 20-Oct-21

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Statement as on: 30th September 2021
Statement of Downgraded Investment
Periodicity of Submission: Quarterly

Name of Fund - LIFE FUND

PART - A

(Rs. In lacs)									
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
	NIL								
B.	<u>As on Date</u> ²								
	9.00% Reliance Capital NCD 09.09.2026 (ISIN : INE013A079D5)	ORAD	1,904.27	28-Sep-16	CARE	AAA	D	23-Sep-19	

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 20-Oct-21

Chief Financial Officer

Note:

1 Provide details of Down Graded Investments during the Quarter.
2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Statement as on: 30th September 2021
Statement of Downgraded Investment
Periodicity of Submission: Quarterly

Name of Fund - Pension General Annuity Fund

PART - A

(Rs. In lacs)									
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
	NIL								
B.	<u>As on Date</u> ²								
	NIL								

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 20-Oct-21

Chief Financial Officer

- Note:
- 1 Provide details of Down Graded Investments during the Quarter.

2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.

3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Statement as on: 30th September 2021
Statement of Downgraded Investment
Periodicity of Submission: Quarterly

Name of Fund - ULIP Fund

PART - A

(Rs. In lacs)									
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	During the Quarter ¹								
	NIL								
B.	As on Date ²								
	8.43% Indiabulls HF 22-Feb-2028 (ISIN : INE148I07IQ8)	HTDN	6,429.65	28-Mar-18	CRISIL	AAA	AA	10-Feb-20	
	9.00% Indiabulls Hsg Fin Ltd- 26-09-2026 (ISIN : INE148I07GL3)	HTDN	448.58	30-Mar-17	CARE	AAA	AA	17-Feb-20	
	9.00% Reliance Capital NCD 09.09.2026 (ISIN : INE013A079D5)	ORAD	1,000.00	9-Sep-16	CARE	AAA	D	23-Sep-19	
	9.90% Yes Bank 28-03-2022 (ISIN: INE528G08212)	ORAD	1,423.68	30-Oct-12	CARE	AA	BBB	10-Nov-20	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 20-Oct-21

Chief Financial Officer

Note:

1 Provide details of Down Graded Investments during the Quarter.

2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.

3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

Rating of 9.90% Yes Bank NCD 28.03.2022 was upgraded to BBB on 10-Nov-20

FORM L-36-Premium and number of lives covered by policy type

(Rs. In lacs)																	
S.No.	Particulars	Q2 '21-22				Q2 '20-21				AS AT SEPTEMBER 30, 2021				AS AT SEPTEMBER 30, 2020			
		Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
1	First year Premium																
	i Individual Single Premium- (ISP)																
	From 0-10,000	-	-	-	-	1	83	83	21	(0)	(44)	(44)	(11)	1	95	95	24
	From 10,000-25,000	3	2	2	4	7	4	4	13	15	9	9	96	16	9	9	28
	From 25,001-50,000	20	4	4	106	13	3	3	16	20	4	4	106	46	10	10	42
	From 50,001-75,000	14	2	2	18	13	2	1	26	14	2	2	18	19	3	2	26
	From 75,000-100,000	9	1	1	11	10	1	1	9	9	1	1	11	10	1	1	-
	From 1,00,001-1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	33	1	1	42	100	1	-	200	89	4	4	112	115	2	1	230
	ii Individual Single Premium (ISPA)- Annuity																
	From 0-50,000	54	18	14	-	106	31	23	-	90	32	28	-	169	53	42	-
	From 50,001-100,000	56	9	9	-	71	9	8	-	70	11	11	-	103	14	12	-
	From 1,00,001-150,000	27	2	2	-	40	3	2	-	37	3	3	-	64	5	4	-
	From 150,001-2,00,000	17	1	1	-	17	1	(1)	-	17	1	1	-	49	3	1	-
	From 2,00,001-250,000	25	1	1	-	50	2	1	-	48	2	2	-	50	2	1	-
	From 2,50,001-3,00,000	-	-	-	-	-	-	(1)	-	-	-	-	-	26	1	-	-
	Above Rs. 3,00,000	68	1	1	-	47	1	-	-	68	1	1	-	98	2	1	-
	iii Group Single Premium (GSP)																
	From 0-10,000	(1)	-	(5)	(1,059)	(0)	-	4	(984)	(9)	-	(47)	(2,103)	(0)	-	4	(1,423)
	From 10,000-25,000	0	-	2	15	0	-	1	20	0	-	3	28	0	-	1	20
	From 25,001-50,000	1	-	18	60	0	-	4	36	1	-	18	60	0	-	4	36
	From 50,001-75,000	1	-	27	2	1	-	2	29	1	-	27	2	1	-	2	29
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-1,25,000	2	-	367	301	-	-	-	3	-	-	393	310	-	-	-	-
	Above Rs. 1,25,000	135	1	645	9,164	34	-	4,615	3,047	207	1	2,322	13,647	76	-	7,471	5,748
	iv Group Single Premium- Annuity- GSPA																
	From 0-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 150,001-2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,50,001-3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	v Individual non Single Premium- INSP																
	From 0-10,000	119	806	806	3,052	41	687	687	484	107	1,033	1,033	3,752	82	1,095	1,095	1,015
	From 10,000-25,000	260	1,277	1,275	14,806	251	1,303	1,293	9,187	391	1,956	1,954	25,565	460	2,518	2,505	18,365
	From 25,001-50,000	655	1,534	1,531	17,270	630	1,539	1,526	15,132	1,008	2,363	2,359	27,101	1,175	3,099	3,077	29,055
	From 50,001-75,000	309	471	464	5,810	267	435	427	4,989	512	785	778	10,516	508	876	865	9,955
	From 75,000-100,000	857	868	867	11,907	538	547	546	8,084	1,310	1,335	1,334	18,775	945	966	964	14,812
	From 1,00,001-1,25,000	152	133	131	3,183	110	83	83	1,505	253	226	224	4,854	198	150	150	2,722
	Above Rs. 1,25,000	1,861	581	577	24,009	1,254	384	383	16,607	3,093	952	947	40,360	2,458	756	754	32,879
	vi Individual non Single Premium- Annuity- INSPA																
	From 0-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 150,001-2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,50,001-3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	vii Group Non Single Premium (GNSP)																
	From 0-10,000	(49)	-	21,272	(113,462)	(30)	1	8,433	(137,005)	(201)	-	31,727	(338,909)	(49)	1	16,085	(255,125)
	From 10,000-25,000	6	-	792	4,926	6	-	5,715	6,823	13	1	7,987	59,768	10	1	7,154	10,631
	From 25,001-50,000	15	-	5,496	12,828	4	2	1,796	3,732	22	-	6,320	20,037	13	2	10,357	15,272
	From 50,001-75,000	8	-	696	2,179	5	-	563	3,915	17	1	3,541	19,183	11	4	2,440	10,493
	From 75,000-100,000	11	-	4,054	44,934	2	-	1,823	1,771	13	-	5,369	47,736	6	1	2,264	6,318
	From 1,00,001-1,25,000	11	-	2,808	15,101	3	1	523	5,230	18	-	2,941	17,242	8	3	904	10,278
	Above Rs. 1,25,000	3,197	41	48,764	715,320	1,557	27	76,239	567,356	4,579	62	96,118	1,125,814	3,200	61	253,775	1,368,802
	viii Group Non Single Premium- Annuity- GNSPA																
	From 0-10,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 25,001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

FORM L-36-Premium and number of lives covered by policy type

(Rs. In lacs)																	
S.No.	Particulars	Q2 '21-22				Q2 '20-21				AS AT SEPTEMBER 30, 2021				AS AT SEPTEMBER 30, 2020			
		Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
2	Renewal Premium																
	i Individual																
	From 0-10,000	1,290	22,617	22,260	827,299	1,387	24,064	23,699	850,546	2,318	44,828	44,327	1,550,083	2,396	47,652	47,114	1,627,835
	From 10,000-25,000	3,274	19,345	18,984	530,526	3,589	21,353	20,935	554,169	5,897	44,388	43,810	1,091,218	6,036	47,705	47,067	1,129,914
	From 25,001-50,000	4,752	10,957	10,711	182,309	5,096	11,876	11,602	191,567	8,131	25,874	25,458	405,937	7,936	27,028	26,585	413,271
	From 50,001-75,000	1,535	2,273	2,185	51,545	1,460	2,410	2,315	53,628	2,733	6,327	6,174	119,353	2,309	6,454	6,284	121,548
	From 75,000-100,000	3,407	3,224	3,203	68,777	3,607	3,319	3,295	72,585	5,719	6,445	6,407	139,665	5,446	6,497	6,460	139,690
	From 1,00,001 -1,25,000	881	694	667	19,989	782	769	747	21,976	1,528	1,789	1,751	50,472	1,165	1,868	1,827	48,841
	Above Rs. 1,25,000	7,365	2,270	2,245	135,103	7,280	2,355	2,317	132,750	13,039	4,845	4,797	301,058	12,367	4,982	4,920	321,479
	ii Individual- Annuity																
	From 0-10,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 25,001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001 -1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	iii Group																
	From 0-10,000	0	23	16,978	1,074	0	13	51,607	342	0	27	18,193	1,127	0	17	55,047	454
	From 10,000-25,000	0	-	-	-	2	5	8,604	3,162	1	2	38	310	4	12	8,939	3,947
	From 25,001-50,000	2	5	11,376	3,898	3	6	26,826	9,276	4	10	11,769	5,226	9	18	27,756	13,024
	From 50,001-75,000	1	1	9,513	2,481	3	5	35,525	10,110	6	5	10,212	5,005	5	7	36,902	11,155
	From 75,000-100,000	7	6	1,263	4,046	3	3	398	2,350	12	10	1,583	6,241	9	8	795	5,752
	From 1,00,001 -1,25,000	3	3	332	2,851	6	3	336	3,528	9	7	1,807	6,681	12	8	1,878	8,252
	Above Rs. 1,25,000	1,241	31	32,971	393,747	260	15	17,927	157,238	2,089	52	139,883	967,321	679	37	102,200	643,620
	iv Group- Annuity																
	From 0-10,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 25,001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001 -1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Note:

1. Premium stands for premium amount.
2. No. of lives means no. of lives insured under the policies.
3. Premium collected for Annuity will be disclosed separately as stated above.

FORM L-37- Business Acquisition Through Different Channels (Group)

(Rs. In lacs)

Business Acquisition through different channels (Group)													
Sl. No.	Channels	Q2 '21-22			Q2 '20-21			AS AT SEPTEMBER 30, 2021			AS AT SEPTEMBER 30, 2020		
		No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium
1	Individual agents	1	1,024	9	-	41	0	1	1,146	10	1	152	5
2	Corporate Agents-Banks	1	2,588	135	-	4,742.00	8	1	2,843	191	-	4,742	8
3	Corporate Agents -Others	-	-	-	-	-	-	-	-	-	-	-	-
4	Brokers	38	51,904	1,933	29.00	89,039	727	59	114,381	2,720	71	256,301	1,284
5	Micro Agents	-	-	-	-	-	-	-	-	-	-	-	-
6	Direct Business	2	29,420	1,259	2.00	5,896	847	4	38,349	1,745	6	39,266	1,979
7	IMF	-	-	-	-	-	-	-	-	-	-	-	-
8	Others (Please Specify)	-	-	-	-	-	-	-	-	-	-	-	-
	Total(A)	42	84,936	3,337	31	99,718	1,582	65	156,719	4,666	78	300,461	3,276
1	Referral Arrangements (B)	-	-	-	-	-	-	-	-	-	-	-	-
	Grand Total (A+B)	42	84,936	3,337	31	99,718	1,582	65	156,719	4,666	78	300,461	3,276

FORM L-38-Business Acquisition through different channels (Individuals)

(Rs. In lacs)

S.No.	Channels	Business Acquisition through different channels (Individuals)							
		Q2 '21-22		Q2 '20-21		AS AT SEPTEMBER 30, 2021		AS AT SEPTEMBER 30, 2020	
		No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium
1	Individual agents	899	1,013	1,381	894	1,578	1,902	3,077	2,214
2	Corporate Agents-Banks	3,219	1,497	2,216	774	4,337	2,014	3,490	1,288
3	Corporate Agents -Others	142	135	243	249	387	336	582	507
4	Brokers	40	49	27	188	62	78	81	254
5	Micro Agents	-	-	-	-	-	-	-	-
6	Direct Business	-	-	-	-	-	-	-	-
	- Online (Through Company Website)	21	26	244	178	54	56	517	296
	- Others	1,338	1,644	972	1,128	2,174	2,526	1,837	1,810
7	IMF	53	171	36	155	84	234	76	220
8	Common Service Centres	-	-	-	-	-	-	-	-
9	Web Aggregators	-	-	-	1	-	-	-	1
10	Point of Sales	-	-	-	-	-	-	-	-
11	Others (Please Specify)	-	-	-	-	-	-	-	-
	Total (A)	5,712	4,535	5,119	3,566	8,676	7,146	9,660	6,589
1	Referral Arrangements (B)	-	2	-	1	-	4	-	3
	Grand Total (A+B)	5,712	4,537	5,119	3,567	8,676	7,150	9,660	6,592

FORM L-39-Data on Settlement of Claims for the quarter ended September 30, 2021

Date: September 30, 2021

Individual

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	58	1,144	352	322	5	-	1,881	5,712
2	Survival Benefit	2,473	1,958	8	-	1	-	4,440	2,354
3	Annuities / Pension	535	909	116	134	103	128	1,925	76
4	Surrender	-	3400	0	0	0	0	3,400	18,974
5	Other benefits	3	2,723	17	1	1	-	2,745	3,119
	Death Claims	-	711	12	0	0	0	723	13,856

Group

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	41	-	-	-	-	41	25
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Surrender	-	16	2	-	-	-	18	990
5	Other benefits	-	900	-	-	-	-	900	927
	Death Claims	-	562	3	-	-	-	565	2,988

FORM L-40-Quarterly Claims data for Life for the quarter ended September 30, 2021

Date: September 30, 2021

Death Claims

No. of claims only

Sl. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period	247	43
2	Claims Intimated / Booked during the period	599	563
(a)	Less than 3 years from the date of acceptance of risk	57	556
(b)	Greater than 3 years from the date of acceptance of risk	542	7
3	Claims Paid during the period	723	565
4	Claims Repudiated during the period	17	1
5	Claims Rejected	-	-
6	Unclaimed	-	-
7	Claims O/S at End of the period	106	40
	Outstanding Claims:-		
	Less than 3 months	91	35
	3 months and less than 6 months	15	5
	6 months and less than 1 year	-	-
	1 year and above	-	-

Individual

No. of claims only

Sl. No.	Claims Experience	Maturity	Survival Benefit1	Annuities/ Pension	Surrender*	Other Benefits
1	Claims O/S at the beginning of the period	1,938	31	4,752	83	83
2	Claims Booked during the period	1,794	4,430	2,240	3,421	2,759
3	Claims Paid during the period	1,881	4,440	1,925	3,400	2,743
4	Unclaimed	901	8	-	-	2
5	Claims O/S at End of the period	950	13	5,067	97	97
	Outstanding Claims (Individual)					
	Less than 3 months	606	11	594	97	29
	3 months and less than 6 months	344	1	567	-	4
	6 months and less than 1 year	-	-	1,000	-	12
	1 year and above	-	1	2,906	-	52

** 7 cases in the surrender category were reversed from the opening balance as customer opted to continue

GRIEVANCE DISPOSAL								
Sl No.	Particulars	Opening Balance	Additions during the quarter (net of duplicate complaints)	Complaints Resolved/ Settled during the quarter			Complaints Pending at the end of the quarter	Total Complaints registered upto the quarter during the financial year
				Fully Accepted	Partial Accepted	Rejected		
1	Complaints made by customers							
a)	Death Claims	-	15	-	7	4	-	31
b)	Policy Servicing	4	92	42	35	18	1	187
c)	Proposal Processing	1	16	7	4	6	0	22
d)	Survival Claims	4	60	28	18	15	3	122
e)	ULIP Related	-	6	-	4	1	0	21
f)	Unfair Business Practices	21	208	34	43	136	16	395
g)	Others	-	-	-	-	-	-	52
	Total Number	37	415	131	115	183	23	830

2	Total No. of policies during previous year:	9,660
3	Total No. of claims during previous year:	393
4	Total No. of policies during current year:	8,676
5	Total No. of claims during current year:	1,158
6	Total No. of Policy Complaints (current year) per 10,000 policies (current year):	19
7	Total No. of Claim Complaints (current year) per 10,000 claims registered (current year):	268

8	Duration wise Pending Status	Complaints made by customers	Complaints made by intermediaries	Total
a)	Upto 15 days	23	0	23
b)	15-30 days	0	0	0
c)	30-90 days	0	0	0
d)	90 days & Beyond	0	0	0
	Total Number of Complaints	23	0	23

Form L-42- Valuation Basis (Life Insurance) - Individual

Range (Minimum to Maximum) of parameters used for valuation																	
Type	Category of business	Interest Rate		Mortality Rate		Morbidity Rate		Fixed Expenses		Variable Expenses		Inflation Rate		Withdrawal rates		Future Bonus Rates (Assumption)	
		As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020
Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	5.30% To 6.10%	5.30% To 6.10%	115.0%	75.9%	NA	NA	1051.64	1001.56	1.01%	1.01%	5%	5%	1.5% To 29.7%	1.4% To 27.2%	2.31% To 4.75%	2.31% To 4.75%
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	5.30% To 6.10%	5.30% To 6.10%	115.0%	75.9%	NA	NA	1051.64	1001.56	1.01%	1.01%	5%	5%	1.5% To 29.4%	1.4% To 27.2%	2.45% To 3.50%	2.45% To 3.50%
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -Others																
	Life	5.10% To 5.95%	5.10% To 6.10%	51.0% To 115.0%	56.1% To 75.9%	NA	NA	1104.23	1051.65	0.00% To 1.07%	0.00% To 1.07%	5%	5%	4.2% To 71.3%	3.9% To 73.9%	6%	6%
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	5.10% To 5.95%	5.10% To 6.10%	51.0% To 115.0%	56.1% To 75.9%	NA	NA	1104.23	1051.65	1.07%	1.07%	5%	5%	3.7% To 68.0%	3.4% To 70.6%	6%	6%
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Non-Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	5.10% To 5.95%	5.10% To 6.10%	25.3% To 258.8%	25.3% To 196.8%	NA	NA	53.52 To 1051.64	50.97 To 1001.56	0.00% To 1.73%	0.00% To 1.73%	5%	5%	0.0% To 32.5%	0.0% To 30.0%		
	General Annuity	5.10% To 5.95%	5.10% To 6.10%	71.4%	55.3%	NA	NA	1051.64	1001.56	0.00%	0.00%	5%	5%	0.0%	0.0%		
	Pension	5.10% To 5.95%	5.10% To 6.10%	51.0% To 115.0%	56.1% To 75.9%	NA	NA	1051.64	1001.56	0.00% To 1.01%	0.00% To 1.01%	5%	5%	3.3% To 24.6%	3.0% To 22.7%		
	Health	5.10% To 5.95%	5.10% To 6.10%	63.8% To 115.0%	63.8% To 75.9%	NA	NA	788.72	751.16	0.76% To 1.73%	0.76% To 1.73%	5%	5%	2.6% To 19.5%	4.2% To 18.0%		
	Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -Others																
	Life	5.10% To 5.95%	5.10% To 6.10%	51.0% To 115.0%	56.1% To 75.9%	NA	NA	662.54 To 1104.23	1001.56 To 1051.65	0.00% To 1.73%	0.00% To 1.73%	5%	5%	1.6% To 35.4%	1.5% To 35.3%		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	5.10% To 5.95%	5.10% To 6.10%	51.0% To 115.0%	56.1% To 75.9%	NA	NA	1104.23	1051.65	0.00% To 1.07%	0.00% To 1.07%	5%	5%	3.7% To 32.8%	3.4% To 30.2%		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

NOT APPLICABLE

Brief Details on Valuation Data

a. How the policy data needed for valuation is accessed

The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

b. How the valuation bases are supplied to the system

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

Change in Valuation method or bases:

(1) Economic Assumptions

No changes made in economic assumptions since last valuation.

(2) Expense Assumptions

No changes made in expense assumptions since last valuation.

(3) Mortality Assumptions

No changes made in mortality assumptions since last valuation.

(3) Withdrawal Assumptions

No changes made in withdrawal assumptions since last valuation.

Form L-42- Valuation Basis (Life Insurance) - Group

Range (Minimum to Maximum) of parameters used for valuation																	
Type	Category of business	Interest Rate		Mortality Rate		Morbidity Rate		Fixed Expenses		Variable Expenses		Inflation Rate		Withdrawal rates		Future Bonus Rates (Assumption)	
		As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020	As at 30-Sep for the year 2021	As at 30-Sep for the year 2020
Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -Others																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Non-Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Non-Linked -Others																
	Life	5.10% To 5.95%	5.10% To 6.10%	58.4% To 226.0%	58.4% To 226.0%	NA	NA	0 To 561.96	0 To 535.20	0.00% To 1.01%	0.00% To 1.01%	5%	5%	1.3% To 9.8%	1.3% To 9.8%		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Linked -Others																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		

Brief Details on Valuation Data

a. How the policy data needed for valuation is accessed

The valuation data for long term business is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

The valuation data for other than long term business is maintained on MS-Excel and relevant checks & validations are performed on it.

b. How the valuation bases are supplied to the system

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

Change in Valuation method or bases:

(1) Economic Assumptions

No changes made in economic assumptions since last valuation.

(2) Expense Assumptions

No changes made in expense assumptions since last valuation.

(3) Mortality Assumptions

No changes made in mortality assumptions since last valuation.

(3) Withdrawal Assumptions

No changes made in withdrawal assumptions since last valuation.

*The above mentioned assumptions and details correspond to long term group products. For One Year Renewable Group Term Assurance business, reserves are maintained on UPR basis in excel models.

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
14-Jul-2021	Wipro Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements of the Company (including consolidated financial statements) for the financial year ended March 31, 2021, together with the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
14-Jul-2021	Wipro Limited	Annual General Meeting	Management	To confirm the payment of Interim Dividend of Rs.1 per equity share already paid during the year as the Final Dividend for the Financial Year 2020-21.	For	For	No concern with the proposed recommendation
14-Jul-2021	Wipro Limited	Annual General Meeting	Management	To consider appointment of a Director in place of Mr. Thierry Delaporte (DIN: 08107242) who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
14-Jul-2021	Wipro Limited	Annual General Meeting	Management	Appointment of Ms. Tuli Naidu (DIN: 03017471) as an Independent Director of the Company	For	For	No concern with the proposed recommendation
14-Jul-2021	Wipro Limited	Annual General Meeting	Management	Revision in the terms of remuneration of Mr. Rishad A.Premji (DIN: 02983899) as Whole Time Director (designated as "Executive Chairman") of the Company	For	For	No concern with the proposed recommendation
12-Jul-2021	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	To receive, consider and adopt the audited financial statements (including audited consolidated financial statements) for the financial year ended on March 31, 2021 and the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
12-Jul-2021	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	To declare Final Dividend on Equity Shares for the financial year 2020-21.	For	For	No concern with the proposed recommendation
12-Jul-2021	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	To declare Dividend on Preference Shares for the financial year 2020-21.	For	For	No concern with the proposed recommendation
12-Jul-2021	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	To appoint a Director in place of Dr. Malay Mahadevia (DIN: 00064110), who retires by rotation and being eligible, offers himself for reappointment.	For	For	No concern with the proposed recommendation
12-Jul-2021	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	Mr. P. S. Jayakumar (DIN: 01173236), who was appointed as an Additional Director of the Company	For	For	No concern with the proposed recommendation
12-Jul-2021	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	Mrs. Avantika Singh Aulakh, IAS (DIN: 07549438), who was appointed as an Additional Director of the Company	For	Against	She has not attended any board meetings held during her tenure in FY21. Board members are expected to attend all board meetings ideally. Given her poor attendance, we do not support her appointment on the board
12-Jul-2021	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	To borrow by way of loan/debentures (whether secured or unsecured) / bonds / deposits / fund based / non-fund based limits/ guarantee for the purpose of the business of the Company any sum or sums of money either in Indian or Foreign Currency from time to time from any Bank(s) or any Financial Institution(s)	For	For	No concern with the proposed recommendation
12-Jul-2021	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	Authorized to appoint Branch Auditors of any branch office of the Company	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the audited financial statements (standalone) of the Bank for the financial year ended March 31, 2021 and the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the audited financial statements (consolidated) of the Bank for the financial year ended March 31, 2021 and the Report of Auditors thereon.	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To declare dividend on Equity Shares.	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To appoint a director in place of Mr. Srikanth Nadhamuni (DIN 02551389), who retires by rotation and, being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To ratify the additional audit fees to the Statutory Auditors, M&A & Associates, Chartered Accountants	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To revise the term of office of M&A & Associates as Statutory Auditors of the Bank	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To appoint joint Statutory Auditors	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	In supersession of the resolution of the Members of the Bank passed at the 22nd AGM dated July 21, 2016, to approve the revised remuneration of non-executive directors except for Part Time Non-Executive Chairperson	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To approve the re-appointment Mr. Umesh Chandra Sarangi (DIN 02040436) as an Independent Director	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To approve the appointment Mr. Atanu Chakraborty (DIN 01469375) as a Part time Non-Executive Chairman and Independent Director of the Bank	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To approve the appointment of Dr. (Ms.) Sunita Maheshwari (DIN 01641411) as an Independent Director	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To ratify and approve the related party transactions with Housing Development Finance Corporation Limited	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To ratify and approve the related party transactions with HDB Financial Services Limited	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To issue Unsecured Perpetual Debt Instruments (part of Additional Tier I capital), Tier II Capital Bonds and Long Term Bonds (financing of infrastructure and affordable housing) on a private placement basis	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To consider amendment to the ESOS-Plan D-2007 as approved by the Members	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To consider amendment to the ESOS-Plan E-2010 as approved by the Members	For	For	No concern with the proposed recommendation

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To consider amendment to the ESOS-Plan F-2013 as approved by the Members	For	For	No concern with the proposed recommendation
17-Jul-2021	HDFC Bank Limited	Annual General Meeting	Management	To consider amendment to the ESOS-Plan G-2016 as approved by the Members	For	For	No concern with the proposed recommendation
19-Jul-2021	HDFC Life Insurance Company Ltd	Annual General Meeting	Management	To receive, consider and adopt: (a) the audited Standalone Revenue Account, Profit and Loss Account, and Receipts and Payments Account of the Company for the financial year ended March 31, 2021, and the Balance Sheet as at that date, together with the reports of the Directors and Auditors thereon; (b) the audited Consolidated Revenue Account, Profit and Loss Account, and Receipts and Payments Account of the Company for the financial year ended March 31, 2021 and the Balance Sheet as at that date, together with the report of the Auditors thereon.	For	For	No concern with the proposed recommendation
19-Jul-2021	HDFC Life Insurance Company Ltd	Annual General Meeting	Management	To declare dividend on equity shares for the financial year ended March 31, 2021.	For	For	No concern with the proposed recommendation
19-Jul-2021	HDFC Life Insurance Company Ltd	Annual General Meeting	Management	To appoint a Director in place of Mr. Deepak S. Parekh (DIN: 00009078) who retires by rotation and, being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
19-Jul-2021	HDFC Life Insurance Company Ltd	Annual General Meeting	Management	Re-appointment of one of the Joint Statutory Auditors	For	For	No concern with the proposed recommendation
19-Jul-2021	HDFC Life Insurance Company Ltd	Annual General Meeting	Management	Payment of remuneration to Joint Statutory Auditors:	For	For	No concern with the proposed recommendation
19-Jul-2021	HDFC Life Insurance Company Ltd	Annual General Meeting	Management	Re-appointment of Mr. Sumit Bose (DIN: 03340616) as an Independent Director, for a second term	For	For	No concern with the proposed recommendation
19-Jul-2021	HDFC Life Insurance Company Ltd	Annual General Meeting	Management	Re-appointment of Ms. Vibha Padalkar (DIN: 01682810), as Managing Director & Chief Executive Officer of the Company and to fix her remuneration	For	For	No concern with the proposed recommendation
19-Jul-2021	HDFC Life Insurance Company Ltd	Annual General Meeting	Management	Re-appointment of Mr. Suresh Badami (DIN: 08224871), as Whole-time Director of the Company (designated as "Executive Director") and to fix his remuneration:	For	For	No concern with the proposed recommendation
20-Jul-2021	Housing Development Finance Corporation Limited	Annual General Meeting	Management	To receive, consider and adopt: (a) the audited financial statements of the Corporation for the financial year ended March 31, 2021 together with the reports of the Board of Directors and Auditors thereon; and (b) the audited consolidated financial statements for the financial year ended March 31, 2021 together with the report of the Auditors thereon.	For	For	No concern with the proposed recommendation
20-Jul-2021	Housing Development Finance Corporation Limited	Annual General Meeting	Management	To declare dividend on equity shares for the financial year ended March 31, 2021	For	For	No concern with the proposed recommendation
20-Jul-2021	Housing Development Finance Corporation Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Keki M. Mistry (DIN:00008886), who retires by rotation and, being eligible, offers himself for re-appointment	For	For	No concern with the proposed recommendation
20-Jul-2021	Housing Development Finance Corporation Limited	Annual General Meeting	Management	Revision in the salary range of Ms. Renu Sud Karnad, Managing Director of the Corporation	For	For	No concern with the proposed recommendation
20-Jul-2021	Housing Development Finance Corporation Limited	Annual General Meeting	Management	Revision in the salary range of Mr. V. Srinivasa Rangan, Whole-time Director (designated as "Executive Director") of the Corporation	For	For	No concern with the proposed recommendation
20-Jul-2021	Housing Development Finance Corporation Limited	Annual General Meeting	Management	Re-appointment of Mr. Keki M. Mistry as the Managing Director (designated as "Vice Chairman & Chief Executive Officer") of the Corporation	For	For	No concern with the proposed recommendation
20-Jul-2021	Housing Development Finance Corporation Limited	Annual General Meeting	Management	Approval of Related Party Transactions with HDFC Bank Limited, an associate company of the Corporation	For	For	No concern with the proposed recommendation
20-Jul-2021	Housing Development Finance Corporation Limited	Annual General Meeting	Management	Increasing the borrowing limits of the Board of Directors of the Corporation:	For	For	No concern with the proposed recommendation
20-Jul-2021	Housing Development Finance Corporation Limited	Annual General Meeting	Management	Issuance of Redeemable Non-Convertible Debentures and/or other hybrid instruments on a private placement basis:	For	For	No concern with the proposed recommendation
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	To receive, consider and adopt: (a) the Audited Financial Statements of the Company for the financial year ended March 31, 2021, together with the Reports of the Board of Directors and the Auditors thereon; and (b) the Audited Consolidated Financial Statements of the Company for the financial year ended March 31, 2021, together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	To declare dividend on the Equity Shares of the Company for the financial year 2020-21.	For	For	No concern with the proposed recommendation

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Seshagiri Rao M.V.S.(DIN 00029136), who retires by rotation and being eligible, offers himself for re-appointment	For	For	No concern with the proposed recommendation
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	Ratification of Remuneration Payable to M/s. Shome & Banerjee, Cost Auditors of the Company for the financial year ending March 31, 2022.	For	For	No concern with the proposed recommendation
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	Re-appointment of Mr. Seluraman Mahalingam (DIN 00121727) as a Director of the Company, in the category of Independent Director.	For	For	No concern with the proposed recommendation
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	Consent for issue of specified securities to Qualified Institutional Buyers (QIBs).	For	For	No concern with the proposed recommendation
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	Consent to give loan, give any guarantee or provide security in connection with a loan or to acquire by way of subscription, purchase or otherwise, securities of any other body corporate.	For	For	No concern with the proposed recommendation
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	Approval of Shri. OP Jindal Employees Stock Ownership Plan (JSWSL) 2021 (OPJ ESOP Plan 2021)	For	Against	Rationale for recommendation linked to resolution on ESOP scheme 2021 (The scheme provides for an exercise price at Rs 1, a significant discount of ~99% to current market price of 672.50). The maximum vesting period of the options is three years, and the maximum exercise period is four years. ESOPs are 'pay at risk' options that employees accept at the time of grant, which is protected if the ESOPs are issued at significant discount to the market price. The company has confirmed that the plan is based on achievement of performance centric parameters of the company and individual key performance indicators of the grantee. However, details of the parameters have not been disclosed.
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	Grant of stock options to the employees of Indian subsidiary companies under Shri. OP Jindal Employee Stock Ownership Plan (JSWSL) 2021.	For	Against	Rationale for recommendation linked to resolution on ESOP scheme 2021 (The scheme provides for an exercise price at Rs 1, a significant discount of ~99% to current market price of 672.50). The maximum vesting period of the options is three years, and the maximum exercise period is four years. ESOPs are 'pay at risk' options that employees accept at the time of grant, which is protected if the ESOPs are issued at significant discount to the market price. The company has confirmed that the plan is based on achievement of performance centric parameters of the company and individual key performance indicators of the grantee. However, details of the parameters have not been disclosed.
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	Authorisation to ESOP Trust for Secondary Market Acquisition of equity shares and provision of Money by the Company for purchase of its own shares by the ESOP Trust / Trustees for the benefit of Employees under Shri. OP Jindal Employees Stock Ownership Plan (JSWSL) 2021.	For	Against	Rationale for recommendation linked to resolution on ESOP scheme 2021 (The scheme provides for an exercise price at Rs 1, a significant discount of ~99% to current market price of 672.50). The maximum vesting period of the options is three years, and the maximum exercise period is four years. ESOPs are 'pay at risk' options that employees accept at the time of grant, which is protected if the ESOPs are issued at significant discount to the market price. The company has confirmed that the plan is based on achievement of performance centric parameters of the company and individual key performance indicators of the grantee. However, details of the parameters have not been disclosed.
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	Approval of JSWSL Shri. OP Jindal Samruddhi Plan - 2021 ("JSWSL OPJ Samruddhi Plan 2021").	For	Against	Rationale for recommendation linked to resolution on ESOP scheme 2021 (The scheme provides for an exercise price at Rs 1, a significant discount of ~99% to current market price of 672.50). The maximum vesting period of the options is three years, and the maximum exercise period is four years. ESOPs are 'pay at risk' options that employees accept at the time of grant, which is protected if the ESOPs are issued at significant discount to the market price. The company has confirmed that the plan is based on achievement of performance centric parameters of the company and individual key performance indicators of the grantee. However, details of the parameters have not been disclosed.
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	Grant of stock options to the employees of Indian subsidiary companies under the JSWSL Shri. OP Jindal Samruddhi Plan - 2021	For	Against	Rationale for recommendation linked to resolution on ESOP scheme 2021 (The scheme provides for an exercise price at Rs 1, a significant discount of ~99% to current market price of 672.50). The maximum vesting period of the options is three years, and the maximum exercise period is four years. ESOPs are 'pay at risk' options that employees accept at the time of grant, which is protected if the ESOPs are issued at significant discount to the market price. The company has confirmed that the plan is based on achievement of performance centric parameters of the company and individual key performance indicators of the grantee. However, details of the parameters have not been disclosed.

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
21-Jul-2021	JSW Steel Limited	Annual General Meeting	Management	Authorisation to ESOP Trust for Secondary Market Acquisition of equity shares and provision of Money by the Company for purchase of its own shares by the ESOP Trust for the benefit of Employees under JSWSL Shri. OP Jindal Samruddhi Plan - 2021	For	Against	Rationale for recommendation linked to resolution on ESOP scheme 2021 (The scheme provides for an exercise price at Rs 1, a significant discount of ~99% to current market price of 672.50). The maximum vesting period of the options is three years, and the maximum exercise period is four years. ESOPs are 'pay at risk' options that employees accept at the time of grant, which is protected if the ESOPs are issued at significant discount to the market price. The company has confirmed that the plan is based on achievement of performance centric parameters of the company and individual key performance indicators of the grantee. However, details of the parameters have not been disclosed.
20-Jul-2021	Bajaj Finance Limited	Annual General Meeting	Management	To consider and adopt the standalone and consolidated financial statements of the Company for the financial year ended 31 March 2021, together with the Directors' and Auditors' Reports thereon.	For	For	No concern with the proposed recommendation
20-Jul-2021	Bajaj Finance Limited	Annual General Meeting	Management	To declare a dividend of Rs.10 per equity share of face value of Rs.2 for the financial year ended 31 March 2021.	For	For	No concern with the proposed recommendation
20-Jul-2021	Bajaj Finance Limited	Annual General Meeting	Management	To appoint a director in place of Rajivnayan Rahulkumar Bajaj (DIN: 00018262), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
20-Jul-2021	Bajaj Finance Limited	Annual General Meeting	Management	Issue of non-convertible debentures through private placement	For	For	No concern with the proposed recommendation
20-Jul-2021	Power Grid Corporation of India Limited	Extra Ordinary General Meeting	Management	To Capitalize Reserves of the Company and to issue Bonus Shares	For	For	No concern with the proposed recommendation
22-Jul-2021	Bajaj Auto Limited	Annual General Meeting	Management	To consider and adopt the standalone and consolidated financial statements of the Company for the financial year ended 31 March 2021, together with the Directors' and Auditors' Reports thereon.	For	For	No concern with the proposed recommendation
22-Jul-2021	Bajaj Auto Limited	Annual General Meeting	Management	To declare a dividend.	For	For	No concern with the proposed recommendation
22-Jul-2021	Bajaj Auto Limited	Annual General Meeting	Management	To appoint a director in place of Nirajkumar Ramkrishnaji Bajaj (DIN 00028261), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
22-Jul-2021	Bajaj Auto Limited	Annual General Meeting	Management	To appoint a director in place of Sanjivnayan Rahulkumar Bajaj (DIN 00014615), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
22-Jul-2021	Bajaj Auto Limited	Annual General Meeting	Management	Re-appointment of Pradeep Shrivastava as Whole-time Director of the Company for a period of five years with effect from 1 April 2021	For	For	No concern with the proposed recommendation
22-Jul-2021	Bajaj Auto Limited	Annual General Meeting	Management	Approval for payment and facilities to be extended to Rahulkumar Kamalnayan Bajaj as Chairman Emeritus of the Company from 1 May 2021 to 30 April 2026	For	For	No concern with the proposed recommendation
22-Jul-2021	Bajaj Auto Limited	Annual General Meeting	Management	Approval for payment of commission to Non-executive Directors for a period of five years commencing from 1 April 2021	For	For	No concern with the proposed recommendation
21-Jul-2021	Bajaj Finserv Limited	Annual General Meeting	Management	To consider and adopt the standalone and consolidated financial statements of the Company for the financial year ended 31 March 2021, together with the Directors' and Auditors' Reports thereon.	For	For	No concern with the proposed recommendation
21-Jul-2021	Bajaj Finserv Limited	Annual General Meeting	Management	To declare dividend of Rs.5 each for the financial year ended 31 March 2021.	For	For	No concern with the proposed recommendation
21-Jul-2021	Bajaj Finserv Limited	Annual General Meeting	Management	To appoint a director in place of Madhukumar Ramkrishnaji Bajaj (DIN 00014593), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment	For	For	No concern with the proposed recommendation
21-Jul-2021	Bajaj Finserv Limited	Annual General Meeting	Management	Ratification of remuneration to Cost Auditor for the financial year 2021-22	For	For	No concern with the proposed recommendation
07-Jul-2021	9.05% Dewan Housing Finance Ltd 09 Sep 2019	Meeting of Committee of Creditors under IBC	Management	To approve revisions to the distribution mechanism of the value receivable by certain creditors of Dewan Housing Finance Corporation Limited under the resolution plan submitted by Piramal Capital & Housing Finance Company Limited	For	Against	NCLT, in the case filed by Yes Bank, Axis Bank and L&T Finance seeking relief, suggested the CoC to reconsider enhanced distribution of proceeds to unsecured creditors. Accordingly, recovery of 40% is being provided for to these unsecured creditors, similar to the recovery of secured creditors. In essence, Aviva's recovery will reduce from 44.89%-46.60% of the claims to 43.1%-44.79% of the claims if this resolution is passed. Hence, it is recommended to vote against the resolution.
07-Jul-2021	9.10% Dewan Housing Finance Ltd 16 Aug 2021	Meeting of Committee of Creditors under IBC	Management	To approve revisions to the distribution mechanism of the value receivable by certain creditors of Dewan Housing Finance Corporation Limited under the resolution plan submitted by Piramal Capital & Housing Finance Company Limited	For	Against	NCLT, in the case filed by Yes Bank, Axis Bank and L&T Finance seeking relief, suggested the CoC to reconsider enhanced distribution of proceeds to unsecured creditors. Accordingly, recovery of 40% is being provided for to these unsecured creditors, similar to the recovery of secured creditors. In essence, Aviva's recovery will reduce from 44.89%-46.60% of the claims to 43.1%-44.79% of the claims if this resolution is passed. Hence, it is recommended to vote against the resolution.
07-Jul-2021	9.05% Dewan Housing Finance Ltd 09 Sep 2023	Meeting of Committee of Creditors under IBC	Management	To approve revisions to the distribution mechanism of the value receivable by certain creditors of Dewan Housing Finance Corporation Limited under the resolution plan submitted by Piramal Capital & Housing Finance Company Limited	For	Against	NCLT, in the case filed by Yes Bank, Axis Bank and L&T Finance seeking relief, suggested the CoC to reconsider enhanced distribution of proceeds to unsecured creditors. Accordingly, recovery of 40% is being provided for to these unsecured creditors, similar to the recovery of secured creditors. In essence, Aviva's recovery will reduce from 44.89%-46.60% of the claims to 43.1%-44.79% of the claims if this resolution is passed. Hence, it is recommended to vote against the resolution.
24-Jul-2021	HCL Technologies Limited	Postal Ballot	Management	Re-appointment of Ms. Nishi Vasudeva as an Independent Director of the Company.	For	For	No concern with the proposed recommendation

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28-Jul-2021	Dr. Reddys Laboratories Limited	Annual General Meeting	Management	To receive, consider and adopt the financial statements (standalone and consolidated) of the company for the year ended March 31, 2021, together with the reports of the board of directors and auditors thereon.	For	For	No concern with the proposed recommendation
28-Jul-2021	Dr. Reddys Laboratories Limited	Annual General Meeting	Management	To declare dividend on the equity shares for the financial year 2020-21.	For	For	No concern with the proposed recommendation
28-Jul-2021	Dr. Reddys Laboratories Limited	Annual General Meeting	Management	To reappoint Mr. G V Prasad (DIN: 00057433), as a director, who retires by rotation, and being eligible offers himself for the reappointment.	For	For	No concern with the proposed recommendation
28-Jul-2021	Dr. Reddys Laboratories Limited	Annual General Meeting	Management	To re appoint statutory auditors and fix their remuneration	For	For	No concern with the proposed recommendation
28-Jul-2021	Dr. Reddys Laboratories Limited	Annual General Meeting	Management	To ratify the remuneration payable to cost auditors, M/s. Sagar & Associates, cost accountants for the financial year ending March 31, 2022.	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2021 together with the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Consolidated Financial Statements of the Company for the financial year ended March 31, 2021 together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	To appoint a Director in place of Mr N Chandrasekaran (DIN: 00121863) who, retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Appointment of Mr Mitsuhiro Yamashita (DIN: 08871753) as a Director	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Appointment of Mr Thierry Bollaré (DIN: 08935293) as a Director	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Appointment of Mr Kosaraju V Chowdary (DIN: 08485334) as a Director and as an Independent Director	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Re-appointment of Mr Guenter Butschek (DIN: 07427375) as the Chief Executive Officer and Managing Director and payment of remuneration for the period February 15, 2021 to June 30, 2021	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Appointment of Mr Grish Wagh (DIN: 03119361) as a Director	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Appointment of Mr Grish Wagh (DIN: 03119361) as Executive Director and payment of remuneration	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Remuneration to Non-Executive Directors (including Independent Directors)	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Tata Motors Limited Share-based Long Term Incentive Scheme 2021 and grant of stock options and / or performance share units to the Eligible Employees under the Scheme	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Extending the Tata Motors Share-based Long Term Incentive Scheme 2021 to eligible employees of certain subsidiary companies of the Company.	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Appointment of Branch Auditors	For	For	No concern with the proposed recommendation
30-Jul-2021	Tata Motors Limited	Annual General Meeting	Management	Ratification of Cost Auditor's Remuneration	For	For	No concern with the proposed recommendation
02-Aug-2021	Titan Company Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Standalone Financial Statements for the financial year ended 31st March 2021 together with the Reports of the Board of Directors and Auditors thereon	For	For	No concern with the proposed recommendation
02-Aug-2021	Titan Company Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Consolidated Financial Statements for the financial year ended 31st March 2021 together with the Report of the Auditors thereon	For	For	No concern with the proposed recommendation
02-Aug-2021	Titan Company Limited	Annual General Meeting	Management	To declare dividend on equity shares for the financial year ended 31st March 2021.	For	For	No concern with the proposed recommendation
02-Aug-2021	Titan Company Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. V Arun Roy (DIN: 01726117), who retires by rotation and being eligible, offers himself for re-appointment.	For	Against	V Arun Roy has attended 43% (3/7) meetings in FY21 and 31% (5/16) meetings over the past three years. Directors to take their responsibilities seriously and attend all board meetings.
02-Aug-2021	Titan Company Limited	Annual General Meeting	Management	Re-appointment of Mr. Ashwani Puti as an Independent Director	For	For	No concern with the proposed recommendation
02-Aug-2021	Titan Company Limited	Annual General Meeting	Management	Appointment of Mr. Sandeep Singhal as an Independent Director	For	For	No concern with the proposed recommendation
02-Aug-2021	Titan Company Limited	Annual General Meeting	Management	Appointment of Mr. Pankaj Kumar Bansal as a Director	For	For	No concern with the proposed recommendation
02-Aug-2021	Titan Company Limited	Annual General Meeting	Management	Appointment of Branch Auditors	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	To receive, consider and adopt: a) the audited standalone financial statements of the Bank, for the financial year ended 31 March, 2021 and the Reports of the Directors' and the Auditors' thereon; and b) the audited consolidated financial statements of the Bank, for the financial year ended 31 March, 2021 and the Report of the Auditors' thereon.	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	To appoint a director in place of Shri Rajiv Anand (DIN 02541753), who retires by rotation and being eligible, has offered himself for re-appointment.	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	To appoint M/s M P Chitale & Co., Chartered Accountants, Mumbai (Firm Registration No. 101851W), as one of the Joint Statutory Auditors of the Bank	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	To appoint M/s C N K & Associates LLP, Chartered Accountants, Mumbai (Firm Registration No. 101961W/ W100036), as one of the Joint Statutory Auditors of the Bank	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Appointment of Smt. Vasanthi Govindan (DIN 02230959), who was appointed as an Additional Non-Executive (Nominee) Director of the Bank	For	For	No concern with the proposed recommendation

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote / Against/ Abstain	Reason supporting the vote decision
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Prof. S. Mahendra Dev who was appointed as an Additional Independent Director of the Bank	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Revision in the remuneration payable to Shri Rakesh Mahija (DIN 00117692), as the Non-Executive (Part-Time) Chairman of the Bank.	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Revision in remuneration by way of salary, allowances and perquisites payable to Shri Amlabh Chaudhry (DIN 00531120), as the Managing Director & CEO of the Bank.	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Re-appointment of Shri Amlabh Chaudhry (DIN 00531120), as the Managing Director & CEO of the Bank.	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Revision in remuneration by way of salary, allowances and perquisites payable to Shri Rajiv Anand (DIN 02541753), as the Executive Director	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Revision in remuneration by way of salary, allowances and perquisites payable to Shri Rajesh Dahiya (DIN 07508488), as the Executive Director (Corporate Centre) of the Bank	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Payment of compensation to each Non-Executive Director (excluding the Non-Executive (Part-time) Chairperson) of the Bank by way of fixed remuneration not exceeding Rs.20 lacs per annum, for a period of five (5) years with effect from 1 April, 2021.	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Borrowing/raising of funds denominated in Indian rupees or any other permitted foreign currency, by issue of debt securities including, but not limited to, long term bonds, green bonds, masala bonds, optionally/compulsorily convertible debentures, non-convertible debentures etc.	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Create, issue, offer and allot additional equity stock options convertible into Equity Shares of the aggregate nominal face value not exceeding Rs.10,00,00,000 (5,00,00,000 equity shares of Rs.2/- each of the Bank fully paid up) for such adjusted numbers for any bonus, stock splits or consolidation or other re-organization of capital structure of the Bank.	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Board to create, issue, offer and allot additional equity stock options convertible into Equity shares of the aggregate nominal face value not exceeding Rs.10,00,00,000 (5,00,00,000 equity shares of Rs.2/- each of the Bank fully paid up)	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Re-classification of UIICL, holding 0.03% of the total issued and paid up equity share capital of the Bank, as on 28 February, 2021 to "Public" category from "Promoter" category."	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Re-classification of NICL, holding 0.02% of the total issued and paid up equity share capital of the Bank, as on 19 March, 2021 to "Public" category from "Promoter" category."	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Re-classification of NIACL, holding 0.67% of the total issued and paid up equity share capital of the Bank, as on 22 April, 2021, to "Public" category from "Promoter" category."	For	For	No concern with the proposed recommendation
30-Jul-2021	Axis Bank Limited	Annual General Meeting	Management	Re-classification of GIC, holding 1.01% of the total issued and paid up equity share capital of the Bank, as on 1 June, 2021, to "Public" category from "Promoter" category."	For	For	No concern with the proposed recommendation
30-Jul-2021	Tech Mahindra Limited	Annual General Meeting	Management	Adoption of Financial Statements	For	For	No concern with the proposed recommendation
30-Jul-2021	Tech Mahindra Limited	Annual General Meeting	Management	Adoption of Consolidated Financial Statements	For	For	No concern with the proposed recommendation
30-Jul-2021	Tech Mahindra Limited	Annual General Meeting	Management	Declaration of Dividend	For	For	No concern with the proposed recommendation
30-Jul-2021	Tech Mahindra Limited	Annual General Meeting	Management	Appointment of Dr. Anish Shah (DIN: 02719429) as a Director liable to retire by rotation	For	For	No concern with the proposed recommendation
30-Jul-2021	Tech Mahindra Limited	Annual General Meeting	Management	Appointment of Mr. Manoj Bhat (DIN: 05205447) as a Director of the Company	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	To consider and adopt the audited financial statements of the Company for the year ended March 31, 2021 and the Reports of the Board of Directors and Auditors thereon and the audited consolidated financial statements of the Company and the report of the auditors thereon for the year ended March 31, 2021	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	To declare final dividend on equity shares	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. D. K. Sen (DIN: 03554707), who retires by rotation and is eligible for re-appointment	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Hemant Bhargava (DIN: 01922717), who retires by rotation and is eligible for re-appointment	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. M. V. Satish (DIN: 06393156), who retires by rotation and is eligible for re-appointment	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. R. Shankar Raman (DIN: 00019798), who retires by rotation and is eligible for re-appointment	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	Mrs. Preetha Reddy (DIN: 00001871) who was appointed as an Additional Director	For	For	No concern with the proposed recommendation

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	Mrs. Preetha Reddy (DIN: 00001871) be and is hereby appointed as an Independent Director of the Company for a term of five years with effect from March 01, 2021 to February 28, 2026.	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	Re-appointment of Mr. Sanjeev Aga (DIN: 00022065) as an Independent Director of the Company for a term upto May 24, 2021	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	Re-appointment of Mr. Narayanan Kumar (DIN: 00007848) as an Independent Director of the Company for a term upto May 26, 2021.	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	Re-appointment of Mr. M. V. Satish (DIN: 06393156) as the Whole-time Director of the Company	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	Re-appointment of Mr. R. Shankar Ramani (DIN: 00019798) as the Whole-time Director of the Company	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	Issue of convertible bonds and/or equity shares through depository receipts, including by way of Qualified Institutions Placement to Qualified Institutional Buyers ("QIB")	For	For	No concern with the proposed recommendation
05-Aug-2021	Larsen & Toubro Limited	Annual General Meeting	Management	Remuneration and appointment of M/s R. Nanabhoy & Co. Cost Accountants as Cost Auditors	For	For	No concern with the proposed recommendation
04-Aug-2021	Hero MotoCorp Limited	Annual General Meeting	Management	To receive, consider and adopt the audited standalone financial statements of the Company for the financial year ended March 31, 2021 together with the reports of the Directors' and Auditors' thereon and the audited consolidated financial statements of the Company for the financial year ended March 31, 2021.	For	For	No concern with the proposed recommendation
04-Aug-2021	Hero MotoCorp Limited	Annual General Meeting	Management	To confirm payment of interim dividend of Rs.70/- per equity share and to declare a final dividend of Rs.35/-per equity share for the financial year 2020-21.	For	For	No concern with the proposed recommendation
04-Aug-2021	Hero MotoCorp Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Pradeep Dinodia (DIN:00027995) who retires by rotation and being eligible, offers himself for re-appointment	For	For	No concern with the proposed recommendation
04-Aug-2021	Hero MotoCorp Limited	Annual General Meeting	Management	Ratification of remuneration of Cost Auditors for financial year 2021-22.	For	For	No concern with the proposed recommendation
04-Aug-2021	Hero MotoCorp Limited	Annual General Meeting	Management	Appointment of Air Chief Marshal Birender Singh Dhanoo (Retd.) (DIN: 08851613) as an Independent Director of the Company	For	For	No concern with the proposed recommendation
04-Aug-2021	Hero MotoCorp Limited	Annual General Meeting	Management	Re-appointment of Dr. Pawan Munjal (DIN: 00004223) as a Whole-time Director of the Company	For	For	No concern with the proposed recommendation
04-Aug-2021	Hero MotoCorp Limited	Annual General Meeting	Management	To approve terms and conditions and payment of remuneration of Dr. Pawan Munjal (DIN: 00004223) as the Whole-time Director of the Company.	For	Against	Pawan Munjal's increase in remuneration has outpaced the company performance. Further, the proposed pay is also higher than peers. Though the Variable pay as a proportion of total pay has been declining over the past five years from 77% of pay in FY17 to 44% in FY21, the company must consider putting a cap on the amount of variable pay
04-Aug-2021	Hero MotoCorp Limited	Annual General Meeting	Management	To approve extension of benefits of Employee Incentive Scheme - 2014 to the eligible employees of the subsidiary companies.	For	For	No concern with the proposed recommendation
09-Aug-2021	Shree Cements Limited	Annual General Meeting	Management	To receive, consider and adopt: a. the Audited Standalone Financial Statements of the Company for the financial year ended March 31, 2021 and the Reports of the Board of Directors and Auditors thereon; and b. the Audited Consolidated Financial Statements of the Company for the financial year ended March 31, 2021 and the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
09-Aug-2021	Shree Cements Limited	Annual General Meeting	Management	To declare dividend of Rs.60/- per shares on the equity shares of the company, as final dividend, for the financial year ended March 31, 2021.	For	For	No concern with the proposed recommendation
09-Aug-2021	Shree Cements Limited	Annual General Meeting	Management	To appoint a Director in place of Shri Benu Gopal Bangur (DIN: 00244196), who retires by rotation at this Annual General Meeting and being eligible offers himself for re-appointment.	For	For	No concern with the proposed recommendation
09-Aug-2021	Shree Cements Limited	Annual General Meeting	Management	Remuneration payable to M/s. K. G. Goyal and Associates, Cost Accountants (Firm Registration No. 000024), who have been appointed by the Board of Directors as the Cost Auditors of the Company	For	For	No concern with the proposed recommendation
09-Aug-2021	Shree Cements Limited	Annual General Meeting	Management	Re-appointment of Shri Hari Mohan Bangur (DIN: 00244329), as Managing Director of the Company	For	Against	H R Bangur increase in remuneration has outpaced the company performance. Further, the proposed pay is also higher than peers and does not commensurate with the size and scale of the business. Though the Variable pay as a proportion of total pay has been declining over the past five years from 52% of pay in FY17 to 26% in FY21, the company must consider putting a cap on the amount of variable pay.
06-Aug-2021	UPL Limited	Annual General Meeting	Management	To consider and adopt the audited standalone financial statement of the Company for the financial year ended March 31, 2021 and the reports of the Board of Directors and Auditor thereon.	For	For	No concern with the proposed recommendation
06-Aug-2021	UPL Limited	Annual General Meeting	Management	To consider and adopt the audited consolidated financial statement of the Company for the financial year ended March 31, 2021 and the report of the Auditor thereon	For	For	No concern with the proposed recommendation
06-Aug-2021	UPL Limited	Annual General Meeting	Management	To declare dividend on equity share	For	For	No concern with the proposed recommendation
06-Aug-2021	UPL Limited	Annual General Meeting	Management	To re-appoint Mr. Arun Ashar (DIN: 00192088) as director	For	For	No concern with the proposed recommendation
06-Aug-2021	UPL Limited	Annual General Meeting	Management	To approve remuneration of the Cost Auditor for the financial year ending March 31, 2022.	For	For	No concern with the proposed recommendation

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
06-Aug-2021	Mahindra & Mahindra Limited	Annual General Meeting	Management	Consideration and Adoption of the Audited Standalone Financial Statements of the Company for the Financial Year ended 31st March, 2021 and the Reports of the Board of Directors and Auditors thereon	For	For	No concern with the proposed recommendation
06-Aug-2021	Mahindra & Mahindra Limited	Annual General Meeting	Management	Consideration and Adoption of the Audited Consolidated Financial Statements of the Company for the Financial Year ended 31st March, 2021 and the Reports of the Auditors thereon	For	For	No concern with the proposed recommendation
06-Aug-2021	Mahindra & Mahindra Limited	Annual General Meeting	Management	Declaration of Dividend on Ordinary (Equity) Shares	For	For	No concern with the proposed recommendation
06-Aug-2021	Mahindra & Mahindra Limited	Annual General Meeting	Management	Re-appointment of Mr. Vijay Kumar Sharma, as a Director liable to retire by rotation	For	For	No concern with the proposed recommendation
06-Aug-2021	Mahindra & Mahindra Limited	Annual General Meeting	Management	Re-appointment of Mr. CP Gurnani, as a Director liable to retire by rotation	For	For	No concern with the proposed recommendation
06-Aug-2021	Mahindra & Mahindra Limited	Annual General Meeting	Management	Ratification of Remuneration to Cost Auditors	For	For	No concern with the proposed recommendation
06-Aug-2021	Mahindra & Mahindra Limited	Annual General Meeting	Management	Appointment of Ms. Nisaba Godrej as an Independent Director	For	For	No concern with the proposed recommendation
06-Aug-2021	Mahindra & Mahindra Limited	Annual General Meeting	Management	Appointment of Mr. Muthiah Murugappan as an Independent Director	For	For	No concern with the proposed recommendation
06-Aug-2021	Mahindra & Mahindra Limited	Annual General Meeting	Management	Re-appointment of Mr. T. N. Manoharan as an Independent Director	For	For	No concern with the proposed recommendation
06-Aug-2021	Mahindra & Mahindra Limited	Annual General Meeting	Management	Payment of remuneration to Mr. Anand G. Mahindra as a Non-Executive Chairman of the Company with effect from 12th November, 2021	For	For	No concern with the proposed recommendation
11-Aug-2021	ITC Limited	Annual General Meeting	Management	To consider and adopt the Financial Statements of the Company for the financial year ended 31st March, 2021, the Consolidated Financial Statements for the said financial year and the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
11-Aug-2021	ITC Limited	Annual General Meeting	Management	To confirm Interim Dividend and declare Final Dividend for the financial year ended 31st March, 2021	For	For	No concern with the proposed recommendation
11-Aug-2021	ITC Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Hemant Bhargava (DIN: 01922717) who retires by rotation and, being eligible, offers himself for re-election.	For	For	No concern with the proposed recommendation
11-Aug-2021	ITC Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Sumant Bhargava (DIN: 01732482) who retires by rotation and, being eligible, offers himself for re-election.	For	For	No concern with the proposed recommendation
11-Aug-2021	ITC Limited	Annual General Meeting	Management	Remuneration of Rs.3,40,00,000/- (Rupees Three Crores and Forty Lakhs only) to Messrs. S R B C & CO LLP, Chartered Accountants (Registration No. 324982E/E300003), Statutory Auditors of the Company, for conduct of audit for the financial year 2021-22	For	For	No concern with the proposed recommendation
11-Aug-2021	ITC Limited	Annual General Meeting	Management	Appointment of Mr. Shyamal Mukherjee (DIN: 03024803) as an Independent Director of the Company	For	For	No concern with the proposed recommendation
11-Aug-2021	ITC Limited	Annual General Meeting	Management	Re-appointment of Mr. Sumant Bhargava (DIN: 01732482) as an Wholtime Director of the Company	For	For	No concern with the proposed recommendation
11-Aug-2021	ITC Limited	Annual General Meeting	Management	The remuneration of Messrs. ABK & Associates, Cost Accountants, appointed by the Board of Directors of the Company as the Cost Auditors	For	For	No concern with the proposed recommendation
11-Aug-2021	ITC Limited	Annual General Meeting	Management	The remuneration of Messrs. S. Mahadevan & Co., Cost Accountants, appointed by the Board of Directors of the Company as the Cost Auditors	For	For	No concern with the proposed recommendation
17-Aug-2021	Eicher Motors Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements (including Audited Consolidated Financial Statements) of the Company for the Financial Year ended March 31, 2021 together with the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
17-Aug-2021	Eicher Motors Limited	Annual General Meeting	Management	To declare a dividend of Rs. 17/- per equity share of face value of Re. 1/- each for the Financial Year ended March 31, 2021.	For	For	No concern with the proposed recommendation
17-Aug-2021	Eicher Motors Limited	Annual General Meeting	Management	To appoint Mr. Siddhartha Lal (DIN: 00037645), who retires by rotation and being eligible, offers himself for re-appointment as a Director.	For	For	No concern with the proposed recommendation
17-Aug-2021	Eicher Motors Limited	Annual General Meeting	Management	To consider and ratify remuneration of Cost Auditor payable for the Financial Year 2020-21.	For	For	No concern with the proposed recommendation
17-Aug-2021	Eicher Motors Limited	Annual General Meeting	Management	To consider and approve re-appointment of Mr. Siddhartha Lal as Managing Director and payment of remuneration	For	Against	Siddhartha Lal increase in remuneration does not commensurate with the overall performance of the company. His variable pay as a proportion of total pay has been declining over the past five years. The company must consider putting a cap on the amount of variable pay.
17-Aug-2021	Eicher Motors Limited	Annual General Meeting	Management	To consider and approve payment of remuneration to Mr. S. Sandilya, Chairman (Non-Executive & Independent Director) for the Financial Year 2020-21, which may exceed fifty per cent of the total remuneration payable to all the Non-Executive Directors of the Company	For	Against	Despite the company's weak performance in FY21, S. Sandilya remuneration exceeds 50% of the total remuneration payable to all non-executive directors and also against the relatively flat median employee remuneration. The company has not provided clarity on the rationale for the higher commission proposed to be paid to S Sandilya compared to other independent directors.
18-Aug-2021	UltraTech Cement Limited	Annual General Meeting	Management	Adoption of Audited Financial Statements	For	For	No concern with the proposed recommendation
18-Aug-2021	UltraTech Cement Limited	Annual General Meeting	Management	Declaration of Dividend	For	For	No concern with the proposed recommendation
18-Aug-2021	UltraTech Cement Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Kumar Mangalam Birla (DIN: 00012813), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
18-Aug-2021	UltraTech Cement Limited	Annual General Meeting	Management	Re-appointment of Auditor	For	For	No concern with the proposed recommendation

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18-Aug-2021	UltraTech Cement Limited	Annual General Meeting	Management	Ratification of the remuneration of the Cost Auditors viz. M/s. D. C. Dave & Co., Cost Accountants, Mumbai and M/s. N. D. Birla & Co., Cost Accountants, Ahmedabad for the financial year ending 31st March, 2022.	For	For	No concern with the proposed recommendation
18-Aug-2021	UltraTech Cement Limited	Annual General Meeting	Management	Appointment of Mr. Sunil Duggal (DIN:00041825) as an Independent Director	For	For	No concern with the proposed recommendation
18-Aug-2021	UltraTech Cement Limited	Annual General Meeting	Management	Re-appointment of Mr. Atul Daga (DIN: 06416619), Wholetime Director and Chief Financial Officer	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the standalone and consolidated financial statements for the financial year ended March 31, 2021 together with the Reports of the Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	To declare dividend on equity shares.	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	To appoint a director in place of Mr. Sandeep Bakshi (DIN: 00109206), who retires by rotation and, being eligible, offers himself for re-appointment	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	Appointment of M/s M&A & Associates, Chartered Accountants (Registration No. 105047W) as one of the Joint Statutory Auditors of the Company	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	Appointment of M/s Khimji Kunverji & Co LLP, Chartered Accountants (Registration No. 105146W/100621) as one of the Joint Statutory Auditors of the Company	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	Revised remuneration on the following terms and conditions for Mr. Sandeep Bakshi (DIN: 00109206), Managing Director & Chief Executive Officer (MD & CEO)	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	Revised remuneration on the following terms and conditions for Ms. Vishakha Mulye (DIN: 00203578), Executive Director	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	Revised remuneration on the following terms and conditions for Mr. Sandeep Batra (DIN: 03620913), Executive Director	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	Revised remuneration on the following terms and conditions for Mr. Anup Bagchi (DIN: 00105962), Executive Director	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of Mr. Anup Bagchi (DIN: 00105962), as a Wholetime Director (designated as Executive Director) of the Bank, liable to retire by rotation	For	For	No concern with the proposed recommendation
20-Aug-2021	ICICI Bank Limited	Annual General Meeting	Management	To pay compensation in the form of fixed remuneration of Rs 2,000,000 per annum to each NonExecutive Director (other than part-time Chairman and the Director	For	For	No concern with the proposed recommendation
23-Aug-2021	Hindalco Industries Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements (including Audited Consolidated Financial Statements) for the year ended 31st March, 2021 and the Report of the Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
23-Aug-2021	Hindalco Industries Limited	Annual General Meeting	Management	To declare Dividend on equity shares of the Company for the year ended 31st March, 2021.	For	For	No concern with the proposed recommendation
23-Aug-2021	Hindalco Industries Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Askaran Agarwala (DIN:00023684), who retires by rotation and being eligible, offers himself for reappointment	For	For	No concern with the proposed recommendation
23-Aug-2021	Hindalco Industries Limited	Annual General Meeting	Management	To ratify the remuneration of the Cost Auditors for the financial year ending 31st March, 2022.	For	For	No concern with the proposed recommendation
23-Aug-2021	Hindalco Industries Limited	Annual General Meeting	Management	To re-appoint Mr. Satish Pai (DIN: 0646758) as the Managing Director of the Company	For	For	No concern with the proposed recommendation
23-Aug-2021	Hindalco Industries Limited	Annual General Meeting	Management	To re-appoint Mr. Praveen Kumar Maheshwari (DIN:00174361) as Whole-time Director of the Company	For	For	No concern with the proposed recommendation
27-Aug-2021	Indian Oil Corporation Limited	Annual General Meeting	Management	To receive, consider and adopt the audited Standalone as well as Consolidated Financial Statements of the Company for the year ended March 31, 2021 together with Reports of the Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
27-Aug-2021	Indian Oil Corporation Limited	Annual General Meeting	Management	To declare the Final Dividend of Rs.1.50 per equity share for the year 2020-21	For	For	No concern with the proposed recommendation
27-Aug-2021	Indian Oil Corporation Limited	Annual General Meeting	Management	To appoint a Director in place of Dr S. S. V. Ramakumar (DIN: 07626484), who retires by rotation and is eligible for reappointment.	For	For	No concern with the proposed recommendation
27-Aug-2021	Indian Oil Corporation Limited	Annual General Meeting	Management	To appoint a Director in place of Shri Ranjan Kumar Mohapatra (DIN: 0806199), who retires by rotation and is eligible for reappointment.	For	For	No concern with the proposed recommendation
27-Aug-2021	Indian Oil Corporation Limited	Annual General Meeting	Management	To ratify the remuneration of the Cost Auditors for the financial year ending March 31, 2022.	For	For	No concern with the proposed recommendation
30-Aug-2021	Divis Laboratories Ltd	Annual General Meeting	Management	Adoption of financial statements	For	For	No concern with the proposed recommendation
30-Aug-2021	Divis Laboratories Ltd	Annual General Meeting	Management	To declare dividend for the financial year 2020-21	For	For	No concern with the proposed recommendation
30-Aug-2021	Divis Laboratories Ltd	Annual General Meeting	Management	To re-appoint Dr. Kiran S. Divi, who retires by rotation, as Director of the Company	For	For	No concern with the proposed recommendation
30-Aug-2021	Divis Laboratories Ltd	Annual General Meeting	Management	To re-appoint Ms. Nilima Prasad Divi, who retires by rotation, as Director of the Company	For	For	No concern with the proposed recommendation
24-Aug-2021	Maruti Suzuki India Limited	Annual General Meeting	Management	To consider and adopt (a) the audited financial statements of the Company for the financial year ended 31st March, 2021 and the reports of the Board of Directors and Auditors thereon; and (b) the audited consolidated financial statements of the Company for the financial year ended 31st March, 2021 and the report of the Auditors thereon	For	For	No concern with the proposed recommendation

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
24-Aug-2021	Maruti Suzuki India Limited	Annual General Meeting	Management	To declare dividend on equity shares	For	For	No concern with the proposed recommendation
24-Aug-2021	Maruti Suzuki India Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Toshihiro Suzuki, who retires by rotation and being eligible, offers himself for re-appointment	For	For	No concern with the proposed recommendation
24-Aug-2021	Maruti Suzuki India Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Kinji Saito, who retires by rotation and being eligible, offers himself for reappointment	For	For	No concern with the proposed recommendation
24-Aug-2021	Maruti Suzuki India Limited	Annual General Meeting	Management	To re-appoint Statutory Auditors for the second term of five years	For	For	No concern with the proposed recommendation
24-Aug-2021	Maruti Suzuki India Limited	Annual General Meeting	Management	To appoint Mr. Shigetoshi Torii as a Director and Wholtime Director designated as Joint Managing Director (Production and Supply Chain)	For	For	No concern with the proposed recommendation
24-Aug-2021	Maruti Suzuki India Limited	Annual General Meeting	Management	To appoint Mr. Hisashi Takeuchi as a Whole-time Director designated as Joint Managing Director (Commercial)	For	For	No concern with the proposed recommendation
24-Aug-2021	Maruti Suzuki India Limited	Annual General Meeting	Management	To ratify the remuneration of the Cost Auditor, M/s R. J. Goel & Co., cost accountants	For	For	No concern with the proposed recommendation
27-Aug-2021	India Grid Trust	Annual General Meeting	Management	To Consider and Adopt Audited Standalone Financial Statements and Audited Consolidated Financial Statements of Indigrid as at and for the Financial year ended March 31, 2021 together with the Report of the Auditors thereon for the Financial year ended March 31, 2021 and the Report on Performance of Indigrid	For	For	No concern with the proposed recommendation
27-Aug-2021	India Grid Trust	Annual General Meeting	Management	To Consider and adopt Valuation Report Issued by Mr. S Sundararaman, Independent Valuer for the Valuation of the Special Purpose Vehicles as on March 31, 2021	For	For	No concern with the proposed recommendation
27-Aug-2021	India Grid Trust	Annual General Meeting	Management	To Appoint M/S. S R B C & Co LLP, Chartered Accountants (Firm Registration No.- 324982E/ E30003) as the Statutory Auditors	For	For	No concern with the proposed recommendation
27-Aug-2021	India Grid Trust	Annual General Meeting	Management	To Consider And Appoint Mr. S. Sundararaman, Chartered Accountant, Bearing Ibb Registration Number Ibbi/ Rv/06/2018/10238 as the Valuer	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the audited standalone financial statements of the Bank for the financial year ended 31st March, 2021 together with the Reports of the Board of Directors' and the Auditors' thereon	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the audited consolidated financial statements of the Bank for the financial year ended 31st March, 2021 together with the Report of the Auditors' thereon.	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To confirm payment of interim dividend on Preference Shares for the financial year 2020-21.	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To declare dividend on Equity Shares for the financial year 2020-21.	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. C. Jayaram (DIN: 00012214), who retires by rotation and, being eligible, offers himself for re-appointment	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Payment of additional fees / remuneration to the existing Statutory Auditors for financial year 2020-21	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Re-appointment of M/s. Walker Chandick & Co LLP, Chartered Accountants (Firm Registration Number: 001076N / N500013) as one of the Joint Statutory Auditors of the Bank	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Appointment of M/s. Price Waterhouse LLP, Chartered Accountants (Firm Registration Number: 301112E / E300264) as one of the Joint Statutory Auditors of the Bank	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Appointment of Dr. Ashok Gulati (DIN 07062601) as a Director and an Independent Director of the Bank	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Re-appointment of Mr. Uday Chander Khanna (DIN 00079129) as an Independent Director of the Bank	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Material Related Party Transaction with Infina Finance Private Limited	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Material Related Party Transaction with Mr. Uday Suresh Kotak	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Issuance of Redeemable Unsecured Non-Convertible Debentures / Bonds / other debt securities on a private placement basis	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Payment of Compensation by way of Fixed Remuneration to Non-Executive Directors (excluding the Non-Executive Part-time Chairperson)	For	For	No concern with the proposed recommendation
25-Aug-2021	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Related Party Transaction for payment of remuneration to Mr. Jay Kotak, son of Mr. Uday Kotak, Managing Director & CEO and a Key Managerial Person, who is holding an Office or Place of Profit in the Bank	For	For	No concern with the proposed recommendation
25-Aug-2021	Cipla Limited	Annual General Meeting	Management	To receive, consider and adopt the audited standalone financial statements of the Company for the financial year ended 31st March, 2021 and the reports of the Board of Directors and Auditor thereon	For	For	No concern with the proposed recommendation
25-Aug-2021	Cipla Limited	Annual General Meeting	Management	To receive, consider and adopt the audited consolidated financial statements of the Company for the financial year ended 31st March, 2021 and the report of the Auditor thereon	For	For	No concern with the proposed recommendation
25-Aug-2021	Cipla Limited	Annual General Meeting	Management	To declare dividend on equity shares	For	For	No concern with the proposed recommendation
25-Aug-2021	Cipla Limited	Annual General Meeting	Management	To re-appoint the Statutory Auditor of the Company	For	For	No concern with the proposed recommendation
25-Aug-2021	Cipla Limited	Annual General Meeting	Management	To re-appoint Mr M K Hamied as a director liable to retire by rotation	For	For	No concern with the proposed recommendation
25-Aug-2021	Cipla Limited	Annual General Meeting	Management	To appoint Mr Robert Stewart as an Independent director	For	For	No concern with the proposed recommendation
25-Aug-2021	Cipla Limited	Annual General Meeting	Management	To appoint Mr Ramesh Prathivadibhayankara Rajagopalan as an Independent director	For	For	No concern with the proposed recommendation

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
25-Aug-2021	Cipla Limited	Annual General Meeting	Management	To re-appoint Mr Umang Vohra as Managing Director and Global Chief Executive Officer	For	For	No concern with the proposed recommendation
25-Aug-2021	Cipla Limited	Annual General Meeting	Management	To ratify remuneration of the cost auditor for the financial year ended 31st March, 2022.	For	For	No concern with the proposed recommendation
31-Aug-2021	Sun Pharmaceuticals Industries Limited	Annual General Meeting	Management	a. To receive, consider and adopt the audited standalone financial statements of the Company for the financial year ended March 31, 2021 and the reports of the Board of Directors and Auditors thereon. b. To receive, consider and adopt the audited consolidated financial statements of the Company for the financial year ended March 31, 2021 and the report of the Auditors thereon.	For	For	No concern with the proposed recommendation
31-Aug-2021	Sun Pharmaceuticals Industries Limited	Annual General Meeting	Management	To confirm payment of Interim Dividend* of Rs.5.50/ (Rupees Five and Paise Fifty Only) per Equity Share of Rs.1/- each and to declare Final Dividend** of Rs.2/- (Rupees Two Only) per Equity Share for the financial year 2020-21	For	For	No concern with the proposed recommendation
31-Aug-2021	Sun Pharmaceuticals Industries Limited	Annual General Meeting	Management	To appoint Mr. Dilip Shanghvi (DIN: 00005588), who retires by rotation and being eligible, has offered himself for re-appointment as a Director.	For	For	No concern with the proposed recommendation
31-Aug-2021	Sun Pharmaceuticals Industries Limited	Annual General Meeting	Management	To appoint Mr. Kalyanasundaram Subramanian (DIN: 00179072) who retires by rotation and being eligible, has offered himself for re-appointment as a Director.	For	For	No concern with the proposed recommendation
31-Aug-2021	Sun Pharmaceuticals Industries Limited	Annual General Meeting	Management	Payable to M/s. B M Sharma & Associates, Cost Accountants, Firm's Registration No. 100537, appointed as the Cost Auditors of the Company to conduct the audit of cost records maintained by the Company for the financial year 2021-22	For	For	No concern with the proposed recommendation
31-Aug-2021	Sun Pharmaceuticals Industries Limited	Annual General Meeting	Management	Re-appointment of Mr. Kalyanasundaram Subramanian ("Mr. Kal") (DIN: 00179072) as the Whole-time Director of the Company	For	For	No concern with the proposed recommendation
31-Aug-2021	Sun Pharmaceuticals Industries Limited	Annual General Meeting	Management	Re-appointment of Mr. Sailesh T. Desai as the Whole-time Director	For	For	No concern with the proposed recommendation
31-Aug-2021	Sun Pharmaceuticals Industries Limited	Annual General Meeting	Management	Appointment of Dr. Pawan Goenka (DIN: 00254502), as an Additional Independent Director with effect from May 21, 2021.	For	For	No concern with the proposed recommendation
31-Aug-2021	Sun Pharmaceuticals Industries Limited	Annual General Meeting	Management	Appointment of Ms. Rama Bijapurkar (DIN: 00001835), as an Additional Independent Director with effect from May 21, 2021	For	Against	Ms. Rama Bijapurkar serves on the boards of six listed companies (including Sun Pharma). Given their full-time responsibilities, regulations allow whole-time directors of listed companies to be independent directors in a maximum of three listed companies. She is the Co-founder and Chairperson of her own firm, her responsibilities are equivalent to a whole-time directorship. Therefore, her high number of directorships on listed companies are not in keeping with the spirit of the regulation
31-Aug-2021	Sun Pharmaceuticals Industries Limited	Annual General Meeting	Management	Payment of commission to the Non-Executive Directors (Other than Managing Director and Whole-time Directors) of the Company.	For	For	No concern with the proposed recommendation
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statement (Standalone and Consolidated Financial Statement) of the Company for the financial year ended 31st March 2021, and the Reports of the Board and the Auditors thereon	For	For	No concern with the proposed recommendation
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	To declare dividend on the equity shares of the Company for the financial year ended 31st March 2021.	For	For	No concern with the proposed recommendation
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Kumar Mangalam Birla (DIN: 00012813), who retires from office by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	To appoint a Director in place of Dr. Sanjiv Misra (DIN: 00013625), who retires from office by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	Re-appointment of M/s. B S R & Co. LLP, Chartered Accountants as the Joint Statutory Auditors of the Company	For	For	No concern with the proposed recommendation
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	Re-appointment of Mr. Dilip Gaur as a Managing Director of the Company	For	For	No concern with the proposed recommendation
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	Appointment of Mr. V. Chandrasekaran as an Independent Director of the Company	For	For	No concern with the proposed recommendation
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	Appointment of Mr. Adesh Kumar Gupta as an Independent Director of the Company	For	Against	Adesh Gupta is currently a board member of Aditya Birla Insurance Brokers Limited, with his board tenure at almost 15 years. He serves on the boards of five listed companies (including Grasim Industries Ltd). Given their full-time responsibilities, regulations allow whole-time directors of listed companies to be independent directors in a maximum of three listed companies. Running his own advisory / consulting business, his responsibilities are equivalent to those of a whole-time directorship. Therefore, his high number of directorships on listed companies is not in keeping with the spirit of the regulation.
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	Payment of Commission to Non-Executive Directors of the Company	For	Against	In last four years, company has paid commission to Non-Executive Directors aggregating Rs. 25.0 mn to 120.0 mn. The company has not provided the quantum of commission it proposes to pay and has not defined a tenure for payment of commission. Further, the company must consider setting a cap in absolute terms on the commission payable.

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	Ratification of the remuneration of Cost Auditors for financial year 2021-22	For	For	No concern with the proposed recommendation
27-Aug-2021	Grasim Industries Limited	Annual General Meeting	Management	Ratification of the remuneration of Cost Auditors for financial year 2020-21	For	For	No concern with the proposed recommendation
26-Aug-2021	IndusInd Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements (Standalone and Consolidated) for the Financial Year ended March 31, 2021, together with the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
26-Aug-2021	IndusInd Bank Limited	Annual General Meeting	Management	To declare Dividend of Rs. 5/- per Equity Share for the Financial Year ended March 31, 2021.	For	For	No concern with the proposed recommendation
26-Aug-2021	IndusInd Bank Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Arun Tiwari (DIN: 05345547), who retires by rotation and, being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
26-Aug-2021	IndusInd Bank Limited	Annual General Meeting	Management	To appoint M/s Haribhakti & Co. LLP (Firm Regn. Number 103523W / W100048) as one of the Joint Statutory Auditors of the Bank.	For	For	No concern with the proposed recommendation
26-Aug-2021	IndusInd Bank Limited	Annual General Meeting	Management	To appoint M/s M P Chitale & Co.(Firm Registration Number 101851W) as one of the Joint Statutory Auditors of the Bank.	For	For	No concern with the proposed recommendation
26-Aug-2021	IndusInd Bank Limited	Annual General Meeting	Management	Appointment of Mr. Jayant Deshmukh (DIN: 08697679) as Non-Executive Independent Director	For	For	No concern with the proposed recommendation
26-Aug-2021	IndusInd Bank Limited	Annual General Meeting	Management	Payment of Compensation to Non-Executive Directors of the Bank	For	For	No concern with the proposed recommendation
26-Aug-2021	IndusInd Bank Limited	Annual General Meeting	Management	Augmentation of capital through further issue or placement of securities including American Depositary Receipts, Global Depositary Receipts, Qualified Institutional Placement, etc.,	For	For	No concern with the proposed recommendation
26-Aug-2021	IndusInd Bank Limited	Annual General Meeting	Management	Increase in the Authorised Share Capital and alteration of the Capital Clause of the Memorandum of Association and Article of Association of the Bank.	For	For	No concern with the proposed recommendation
26-Aug-2021	IndusInd Bank Limited	Annual General Meeting	Management	Issue of Long-Term Bonds / Non-Convertible Debentures on Private Placement Basis	For	For	No concern with the proposed recommendation
27-Aug-2021	HCL Technologies Limited	Annual General Meeting	Management	Adoption of Financial Statements along with the Reports of the Board of Directors and of the Auditors thereon	For	For	No concern with the proposed recommendation
27-Aug-2021	HCL Technologies Limited	Annual General Meeting	Management	To re-appoint Mr. Shikhar Malhotra (DIN - 00779720), who retires by rotation and being eligible, offers himself for re-appointment as a Director, liable to retire by rotation	For	For	No concern with the proposed recommendation
27-Aug-2021	HCL Technologies Limited	Annual General Meeting	Management	Re-appointment of Mr. Deepak Kapoor as an Independent Director of the Company	For	For	No concern with the proposed recommendation
27-Aug-2021	HCL Technologies Limited	Annual General Meeting	Management	Appointment of Ms. Vanitha Narayanan as an Independent Director of the Company	For	For	No concern with the proposed recommendation
27-Aug-2021	HCL Technologies Limited	Annual General Meeting	Management	Appointment of Mr. C. Vijayakumar as a Director of the Company	For	For	No concern with the proposed recommendation
27-Aug-2021	HCL Technologies Limited	Annual General Meeting	Management	Appointment of Mr. C. Vijayakumar as the Managing Director of the Company.	For	Against	C Vijayakumar's FY22 remuneration of Rs. 790.6 mn. His remuneration is higher than peers, while the company has capped components of his remuneration, the basis for determination of performance linked pay, which forms a significant proportion of remuneration, has not been disclosed. The company to disclose performance metrics that determine variable pay.
27-Aug-2021	HCL Technologies Limited	Annual General Meeting	Management	Approval for payment of advisory fee and extension of facilities & benefits to Shri Shiv Nadar as the Chairman Emeritus and Strategic Advisor to the Board	For	For	No concern with the proposed recommendation
31-Aug-2021	Bharti Airtel Limited	Annual General Meeting	Management	To receive, consider and adopt the audited standalone and consolidated financial statements of the Company for the financial year ended March 31, 2021 together with the reports of the Board of Directors and of the Auditors thereon	For	For	No concern with the proposed recommendation
31-Aug-2021	Bharti Airtel Limited	Annual General Meeting	Management	To re-appoint Mr. Rakesh Bharti Mittal as a Director, liable to retire by rotation	For	For	No concern with the proposed recommendation
31-Aug-2021	Bharti Airtel Limited	Annual General Meeting	Management	Appointment of Mr. Tao Yih Arthur Lang as a Director, liable to retire by rotation	For	For	No concern with the proposed recommendation
31-Aug-2021	Bharti Airtel Limited	Annual General Meeting	Management	Appointment of Ms. Nisaba Godrej as an Independent Director	For	For	No concern with the proposed recommendation
31-Aug-2021	Bharti Airtel Limited	Annual General Meeting	Management	Re-appointment of Mr. Sunil Bharti Mittal as the Chairman of the Company	For	For	No concern with the proposed recommendation
31-Aug-2021	Bharti Airtel Limited	Annual General Meeting	Management	Payment of commission to Non-Executive Directors (including Independent Directors) of the Company	For	For	No concern with the proposed recommendation
31-Aug-2021	Bharti Airtel Limited	Annual General Meeting	Management	Ratification of remuneration to be paid to Sanjay Gupta & Associates, Cost Accountants, Cost Auditors of the Company for the FY 2021-22	For	For	No concern with the proposed recommendation
06-Sep-2021	Britannia Industries Limited	Annual General Meeting	Management	To receive, consider and adopt: a. the Audited Standalone Financial Statements of the Company for the Financial Year ended 31 March 2021, together with the Reports of the Board of Directors and the Auditors thereon; b. the Audited Consolidated Financial Statements of the Company for the Financial Year ended 31 March 2021, together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
06-Sep-2021	Britannia Industries Limited	Annual General Meeting	Management	To resolve not to fill vacancy in place of the retiring director Mr. Jehangir N Wadia (DIN: 00088831), who has not offered himself for re-appointment in terms of Section 152 of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
06-Sep-2021	Britannia Industries Limited	Annual General Meeting	Management	Appointment of Dr. Ujjit Patel (DIN: 00175210) as an Independent Director of the Company	For	For	No concern with the proposed recommendation
06-Sep-2021	Britannia Industries Limited	Annual General Meeting	Management	Re-appointment of Dr. Y.S.P Thorat (DIN: 00135258) as an Independent Director of the Company	For	For	No concern with the proposed recommendation
06-Sep-2021	Britannia Industries Limited	Annual General Meeting	Management	Re-appointment of Dr. Ajay Shah (DIN: 01141239) as an Independent Director of the Company	For	For	No concern with the proposed recommendation

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Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
06-Sep-2021	Britannia Industries Limited	Annual General Meeting	Management	Termination of the existing Britannia Industries Limited – Employee Stock Option Scheme and replacing with Britannia Industries Limited – Phantom Option Scheme 2021 and replacing the options under Britannia Industries Limited – Employee Stock Option Scheme with the options under Britannia Industries Limited – Phantom Option Scheme 2021	For	For	No concern with the proposed recommendation
06-Sep-2021	Britannia Industries Limited	Annual General Meeting	Management	Approval of the Remuneration payable to Mr. Nusi N Wadia (DIN: 00015731), Chairman and NonExecutive Director of the Company, for the Financial Year 2020-21	For	For	No concern with the proposed recommendation
06-Sep-2021	Britannia Industries Limited	Annual General Meeting	Management	Appointment of Mr. N. Venkataraman (DIN: 05220857) as a Director of the Company	For	For	No concern with the proposed recommendation
06-Sep-2021	Britannia Industries Limited	Annual General Meeting	Management	Appointment of Mr. N. Venkataraman (DIN: 05220857) as a Whole-Time Director designated as Executive Director and Chief Financial Officer of the Company	For	For	No concern with the proposed recommendation
15-Sep-2021	Coal India Limited	Annual General Meeting	Management	To receive, consider and adopt: a. the Standalone Audited Financial Statements of the Company for the financial year ended March 31, 2021 including the Audited Balance Sheet as on March 31, 2021 and Statement of Profit & Loss for the year ended on that date and the Reports of the Board of Directors, Statutory Auditor and Comptroller and Auditor General of India thereon, b. the Consolidated Audited Financial Statements of the Company for the financial year ended March 31, 2021 including the Audited Balance Sheet as on March 31, 2021 and Statement of Profit & Loss for the year ended on that date and the Report of Statutory Auditor and Comptroller and Auditor General of India thereon.	For	For	No concern with the proposed recommendation
15-Sep-2021	Coal India Limited	Annual General Meeting	Management	To confirm 1st and 2nd Interim dividend paid @ Rs.7.50 per share and Rs.5/- per share respectively on equity shares for the Financial Year 2020-21 and to declare final dividend @ Rs.3.50 per share (35%) on equity shares for the financial year 2020-21.	For	For	No concern with the proposed recommendation
15-Sep-2021	Coal India Limited	Annual General Meeting	Management	To appoint a director in place of Shri V K Tiwari (DIN- 03575641) who retires by rotation in terms of Section 152(6) of the Companies Act, 2013 and Article 39(j) of Articles of Association of the Company and being eligible, offers himself for reappointment.	For	For	No concern with the proposed recommendation
15-Sep-2021	Coal India Limited	Annual General Meeting	Management	Appointment of Smt. Nirupama Kotru (DIN: 09204338), as an Additional Director of the Company	For	For	No concern with the proposed recommendation
15-Sep-2021	Coal India Limited	Annual General Meeting	Management	Remuneration payable to M/s. Shome & Banerjee, Cost Auditor (Registration Number: 000001) who were appointed as Cost Auditor by the Board of Directors of the Company to conduct the audit of the cost records of CIL (Standalone) for the financial year ended 31st March, 2021	For	For	No concern with the proposed recommendation
15-Sep-2021	Coal India Limited	Annual General Meeting	Management	Appointment of Shri Vinay Ranjan (DIN: 03636743), as a Whole time Director to function as Director(Personnel & IR) of the Company w.e.f 28th July, 2021 or till date of his superannuation or until further orders, in terms of Ministry of Coal letter no 21/04/2020-BA/ESTABLISHMENT dated 26th July, 2021.	For	For	No concern with the proposed recommendation
20-Sep-2021	Adani Ports and Special Economic Zone Limited	Court Convened Meeting	Management	Composite Scheme of Arrangement between Brahmi Tracks Management Services Private Limited and Adani Ports and Special Economic Zone Limited and Adani Tracks Management Services Private Limited and Sarajua Rail Corridor Private Limited and their respective shareholders and creditors under Sections 230 to 232 of the Companies Act, 2013 and other applicable provisions of the Companies Act, 2013	For	For	No concern with the proposed recommendation
24-Sep-2021	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To receive, consider and adopt the audited Standalone as well as Consolidated Financial Statements of the Company for the financial year ended March 31, 2021 together with Reports of the Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
24-Sep-2021	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To declare the Final Dividend of Rs.1.85 per equity share for the financial year 2020-21.	For	For	No concern with the proposed recommendation
24-Sep-2021	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To appoint a Director in place of Dr. Alka Mittal (DIN: 07272207), who retires by rotation and, being eligible, offers herself for re-appointment.	For	For	No concern with the proposed recommendation
24-Sep-2021	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To authorise the Board of Directors for fixing the remuneration of Statutory Auditors as appointed by the Comptroller and Auditors General of India for the financial year 2021-22.	For	For	No concern with the proposed recommendation
24-Sep-2021	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	Appointment of Shri Pankaj Kumar as the Director	For	For	No concern with the proposed recommendation
24-Sep-2021	Power Grid Corporation of India Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements including Consolidated Financial Statements of the Company for the Financial Year ended 31st March, 2021, together with the Board's Report, the Auditors' Report thereon and comments of the Comptroller and Auditor General of India	For	For	No concern with the proposed recommendation
24-Sep-2021	Power Grid Corporation of India Limited	Annual General Meeting	Management	To take note of payment of 1st and 2nd interim dividend and declare final dividend for the Financial Year 2020-21.	For	For	No concern with the proposed recommendation
24-Sep-2021	Power Grid Corporation of India Limited	Annual General Meeting	Management	To appoint a Director in place of Shri Vinod Kumar Singh (DIN 08679313), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation

Form L 43 Voting Activity Disclosure under Stewardship Code for the Quarter ending September 30, 21

Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
24-Sep-2021	Power Grid Corporation of India Limited	Annual General Meeting	Management	To appoint a Director in place of Shri M. Taj Mukarrum (DIN 08097837), who retires by rotation and being eligible, offers himself for re-appointment	For	For	No concern with the proposed recommendation
24-Sep-2021	Power Grid Corporation of India Limited	Annual General Meeting	Management	To authorize the Board of Directors of the Company to fix the remuneration of the Statutory Auditors for the Financial Year 2021-22.	For	For	No concern with the proposed recommendation
24-Sep-2021	Power Grid Corporation of India Limited	Annual General Meeting	Management	To appoint Shri Abhay Choudhary (DIN 07388432) as a Director liable to retire by rotation.	For	For	No concern with the proposed recommendation
24-Sep-2021	Power Grid Corporation of India Limited	Annual General Meeting	Management	Ratification of remuneration of the Cost Auditors for the Financial Year 2021-22	For	For	No concern with the proposed recommendation
24-Sep-2021	Power Grid Corporation of India Limited	Annual General Meeting	Management	To raise funds up to Rs.6,000 Crore, from domestic market through issue of secured / unsecured, non-convertible, noncumulative/cumulative, redeemable, taxable / tax-free Debentures/Bonds under Private Placement during the Financial Year 2022-23 in up to twenty tranches/offers.	For	For	No concern with the proposed recommendation
24-Sep-2021	SBI Life Insurance Company Limited	Annual General Meeting	Management	To receive, consider and adopt the Revenue Account, Profit and Loss Account and Receipts and Payments Account for the financial year ended March 31, 2021 and the Balance Sheet of the Company as of March 31, 2021, together with the reports of the Board of Directors' of the Company ("Board"), report of the Statutory Auditors of the Company ("Auditors") and comments of the Comptroller and Auditor General of India ("CAG").	For	For	No concern with the proposed recommendation
24-Sep-2021	SBI Life Insurance Company Limited	Annual General Meeting	Management	To confirm the interim dividend declared by the Company on March 25, 2021 as final dividend for the year ended March 31, 2021.	For	For	No concern with the proposed recommendation
24-Sep-2021	SBI Life Insurance Company Limited	Annual General Meeting	Management	The remuneration payable to the Statutory Auditors of the Company ("Auditors") for the financial year 2021-22.	For	For	No concern with the proposed recommendation
24-Sep-2021	SBI Life Insurance Company Limited	Annual General Meeting	Management	Revision in the remuneration of Mr. Mahesh Kumar Sharma (DIN: 08740737), Managing Director and Chief Executive Officer of the Company	For	For	No concern with the proposed recommendation
24-Sep-2021	SBI Life Insurance Company Limited	Annual General Meeting	Management	Appointment of Mr. Shobinder Duggal (DIN: 00039580), as an Independent Director of the Company	For	For	No concern with the proposed recommendation
24-Sep-2021	SBI Life Insurance Company Limited	Annual General Meeting	Management	Appointment of Dr. Tejendra Mohan Bhasin (DIN: 03091429), as an Independent Director of the Company.	For	For	No concern with the proposed recommendation
24-Sep-2021	SBI Life Insurance Company Limited	Annual General Meeting	Management	Appointment of Ms. Usha Sangwan (DIN: 02609263), as an Independent Director of the Company.	For	For	No concern with the proposed recommendation
27-Sep-2021	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	To receive, consider and adopt (a) the Audited Financial Statements of the Company for the Financial Year ended 31st March, 2021 (b) the Audited Consolidated Financial Statements of the Company for the Financial Year ended 31st March, 2021; and the Reports of the Board of Directors, the Statutory Auditors and the Comments of the Comptroller & Auditor General of India thereon.	For	For	No concern with the proposed recommendation
27-Sep-2021	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	To confirm the payments of First and Second Interim Dividend and to declare Final Dividend on Equity Shares for the Financial Year ended 31st March, 2021	For	For	No concern with the proposed recommendation
27-Sep-2021	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	To appoint a Director in place of Shri Arun Kumar Singh, Director (DIN: 06646894), who retires by rotation and being eligible, offers himself for reappointment	For	For	No concern with the proposed recommendation
27-Sep-2021	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	To authorize the Board of Directors of the Company to fix the remuneration of the Joint Statutory Auditors of the Company for the Financial Year 2021-22	For	For	No concern with the proposed recommendation
27-Sep-2021	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	Approval of Remuneration of the Cost Auditors for the Financial Year 2021-22	For	For	No concern with the proposed recommendation
27-Sep-2021	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	Appointment of Shri Vetsa Ramakrishna Gupta (DIN 08188547) as Director (Finance) (Whole time Director)	For	For	No concern with the proposed recommendation
28-Sep-2021	NTPC Limited	Annual General Meeting	Management	To consider and adopt (a) the Audited Standalone Financial Statements of the Company for the financial year ended 31st March 2021, the reports of the Board of Directors and Auditors thereon; and (b) the Audited Consolidated Financial Statements of the Company for the financial year ended 31st March 2021 and the report of the Auditors thereon	For	For	No concern with the proposed recommendation
28-Sep-2021	NTPC Limited	Annual General Meeting	Management	To confirm payment of Interim dividend and declare final dividend for the financial year 2020-21	For	For	No concern with the proposed recommendation
28-Sep-2021	NTPC Limited	Annual General Meeting	Management	To appoint Shri Anil Kumar Gautam (DIN: 08293632) Director (Finance), who retires by rotation as a Director	For	For	No concern with the proposed recommendation
28-Sep-2021	NTPC Limited	Annual General Meeting	Management	To appoint Shri Dilip Kumar Patel (DIN: 08695490) Director (HR), who retires by rotation as a Director	For	For	No concern with the proposed recommendation
28-Sep-2021	NTPC Limited	Annual General Meeting	Management	To fix the remuneration of the Statutory Auditors for the financial year 2021-22	For	For	No concern with the proposed recommendation
28-Sep-2021	NTPC Limited	Annual General Meeting	Management	To reappoint Shri Gurdeep Singh (DIN: 00307037), as Chairman & Managing Director of the Company	For	For	No concern with the proposed recommendation
28-Sep-2021	NTPC Limited	Annual General Meeting	Management	To increase borrowing powers of the Company from ` 2,00,000 Crore to ` 2,25,000 Crore	For	For	No concern with the proposed recommendation
28-Sep-2021	NTPC Limited	Annual General Meeting	Management	To mortgage or create charge over the movable and immovable properties of the Company in favour of lenders in connection with the borrowings of the Company	For	For	No concern with the proposed recommendation
28-Sep-2021	NTPC Limited	Annual General Meeting	Management	To ratify the remuneration of the Cost Auditors for the financial year 2021-22	For	For	No concern with the proposed recommendation
28-Sep-2021	NTPC Limited	Annual General Meeting	Management	To raise funds upto Rs.18,000 Crore through issue of Bonds/Debentures on Private Placement basis	For	For	No concern with the proposed recommendation

FORM L-45 OFFICES AND OTHER INFORMATION

Sl. No.	Information		Number
1	No. of offices at the beginning of the year		60
2	No. of branches approved during the year		-
3	No. of branches opened during the year	Out of approvals of previous year	-
4		Out of approvals of this year	-
5	No. of branches closed during the year		-
6	No of branches at the end of the year		60
7	No. of branches approved but not opened		-
8	No. of rural branches		-
9	No. of urban branches		60
10	No. of Directors:-		
	(a) Independent Director		3
	(b) Executive Director		1
	(c) Non-executive Director		10
	(d) Women Director		1
	(e) Whole time director		1
11	No. of Employees		
	(a) On-roll:		1,643
	(b) Off-roll:		78
	(c) Total		1,721
12	No. of Insurance Agents and Intermediaries		
	(a) Individual Agents		9,117
	(b) Corporate Agents-Banks		4
	(c) Corporate Agents-Others		3
	(d) Insurance Brokers		106
	(e) Web Aggregators		1
	(f) Insurance Marketing Firm		16
	(g) Micro Agents		-
	(h) Point of Sales persons (DIRECT)		-
	(i) Other as allowed by IRDAI (To be specified)		-

Employees and Insurance Agents and Intermediaries -Movement

Particulars	Employees	Insurance Agents and Intermediaries
Number at the beginning of the quarter	1,675	10,329
Recruitments during the quarter	374	386
Attrition during the quarter	328	1,468
Number at the end of the quarter	1,721	9,247