



## **IRDA Public Disclosures**

**FOR THE QUARTER ENDED SEPTEMBER 30, 2023**

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**Aviva Life Insurance Company India Limited**  
IRDAI Registration Code: 0122

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FORM L-1-A-RA  
Name of the Insurer: Aviva Life Insurance Company India Limited  
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED REVENUE ACCOUNT FOR THE QUARTER ENDED SEPTEMBER 30, 2023  
Policyholders' Account (Technical Account)

Particulars	Schedule	LINKED		NON-LINKED							Q2'23-24	Upto the quarter ended September 30, 2023
				PARTICIPATING		NON PARTICIPATING						
		LIFE	PENSION	LIFE	PENSION	LIFE	PENSION	ANNUITY	HEALTH	VARIABLE INSURANCE		
		(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
<b>Premiums earned – net</b>	<b>L-4</b>											
(a) Premium		15,823	403	138	2	37,156	411	529	136	40	30,894	54,638
(b) Reinsurance ceded		(125)	-	-	(26)	(3,824)	-	-	(4)	-	(2,045)	(3,979)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-
<b>Income from Investments</b>												
(a) Interest, Dividends and Rent – Gross		5,228	469	132	4	25,616	711	286	117	11	16,545	32,572
(b) Profit on sale/redemption of investments		13,790	1,451	14	-	437	32	13	2	-	8,315	15,739
(c) (Loss) on sale/ redemption of investments		(490)	(39)	-	-	(11)	(2)	(1)	-	-	(193)	(543)
(d) Transfer/Gain on revaluation/change in fair value		15,023	1,292	-	-	-	-	-	-	-	(375)	16,315
(e) Amortisation of Premium / Discount on investments		1,548	72	(1)	-	6,000	21	3	1	5	3,622	7,651
Other Income												
(a) Fee, Charges and Rent Income		1	-	1	-	142	1	-	-	-	91	145
Contribution from Shareholders' A/c												
- towards extra mortality/other charges		37	-	-	-	15	-	-	-	-	21	52
- towards excess of expense of management			-	-	-	-	-	-	-	-	-	-
<b>TOTAL (A)</b>		<b>50,835</b>	<b>3,648</b>	<b>284</b>	<b>(20)</b>	<b>65,531</b>	<b>1,174</b>	<b>830</b>	<b>252</b>	<b>56</b>	<b>56,875</b>	<b>1,22,590</b>
Commission*	<b>L-5</b>	633	-	2	-	1,689	12	6	-	-	1,613	2,342
Operating Expenses related to Insurance Business	<b>L-6</b>	5,625	36	5	1	7,678	107	71	43	1	6,087	13,567
Provision for Doubtful debts		40	-	-	-	53	1	-	-	-	(32)	94
Bad debts written off		-	-	-	-	-	-	-	-	-	-	-
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-
(a) For diminution in the value of investments (Net)		-	-	-	-	-	-	-	-	-	-	-
(b) Others		-	-	-	-	-	-	-	-	-	-	-
Goods and Services Tax on UIIP Charges		644	30	-	-	-	-	-	-	-	340	674
<b>TOTAL (B)</b>		<b>6,942</b>	<b>66</b>	<b>7</b>	<b>1</b>	<b>9,420</b>	<b>120</b>	<b>77</b>	<b>43</b>	<b>1</b>	<b>8,008</b>	<b>16,677</b>
Benefits Paid (Net)	<b>L-7</b>	25,544	2,362	82	3	16,452	818	261	107	101	22,744	45,730
Interim Bonuses Paid		121	17	4	-	-	-	-	-	-	77	142
Change in valuation of liability in respect of life policies												
(a) Gross		(388)	(71)	104	-	33,957	(96)	515	60	(46)	21,002	34,035
(b) Amount ceded in Reinsurance		(43)	(1)	-	-	(540)	-	5	-	-	(1,577)	(579)
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	-
(d) Fund Reserve for Linked Policies		19,527	1,006	-	-	-	-	-	-	-	3,601	20,533
(e) Fund for Discontinued Policies		1,385	-	-	-	-	-	-	-	-	(551)	1,385
<b>TOTAL (C)</b>		<b>46,146</b>	<b>3,313</b>	<b>190</b>	<b>3</b>	<b>49,869</b>	<b>722</b>	<b>781</b>	<b>167</b>	<b>55</b>	<b>45,296</b>	<b>1,01,246</b>
<b>SURPLUS/ (DEFICIT) (D) = (A)-(B)-(C)</b>		<b>(2,253)</b>	<b>269</b>	<b>87</b>	<b>(24)</b>	<b>6,242</b>	<b>332</b>	<b>(28)</b>	<b>42</b>	<b>-</b>	<b>3,571</b>	<b>4,667</b>
<b>Amount transferred from Shareholders' Account (Non-technical Account)</b>		3,059	-	-	-	609	3	28	-	-	722	3,699
<b>APPROPRIATIONS</b>												
Transfer to Shareholders' Account		-	-	-	-	-	-	-	-	-	-	-
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-
Transfer to/(from) Funds for Future Appropriations		740	98	88	(24)	-	-	-	-	-	484	902
Transfer to Balance sheet		65	171	-	-	6,852	335	-	42	-	3,811	7,465
<b>TOTAL (D)</b>		<b>805</b>	<b>269</b>	<b>88</b>	<b>(24)</b>	<b>6,852</b>	<b>335</b>	<b>-</b>	<b>42</b>	<b>-</b>	<b>4,295</b>	<b>8,367</b>
The break up of Total surplus is as under:												
(a) Interim Bonuses Paid:		121	17	4	-	-	-	-	-	-	77	142
(b) Allocation of Bonus to policyholders:		-	-	-	-	-	-	-	-	-	-	-
(c) Surplus shown in the Revenue Account:		805	269	88	(24)	6,852	335	-	42	-	4,295	8,367
<b>(d) Total Surplus: ((a)+(b)+(c)):</b>		<b>926</b>	<b>286</b>	<b>92</b>	<b>(24)</b>	<b>6,852</b>	<b>335</b>	<b>-</b>	<b>42</b>	<b>-</b>	<b>4,372</b>	<b>8,509</b>

\*including Rewards and/or remuneration to agents, brokers or other intermediaries

FORM L-1-A-RA  
Name of the Insurer: Aviva Life Insurance Company India Limited  
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED REVENUE ACCOUNT FOR THE QUARTER ENDED SEPTEMBER 30, 2022  
Policyholders' Account (Technical Account)

Particulars	Schedule	LINKED		NON-LINKED							Q2'22-23	Upto the quarter ended September 30, 2022
				PARTICIPATING		NON PARTICIPATING						
		LIFE	PENSION	LIFE	PENSION	LIFE	PENSION	ANNUITY	HEALTH	VARIABLE INSURANCE		
		(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)						(Rs. In lacs)	(Rs. In lacs)
Premiums earned – net	L-4											
(a) Premium		13,551	486	178	2	35,789	537	395	140	36	29,034	51,114
(b) Reinsurance ceded		(130)	-	-	-	(3,115)	-	-	(55)	-	(1,612)	(3,300)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-
Income from Investments												
(a) Interest, Dividends and Rent – Gross		5,087	481	120	-	22,820	(11)	1,028	-	12	15,164	29,537
(b) Profit on sale/redemption of investments		14,079	1,397	7	-	181	-	58	-	-	6,514	15,722
(c) (Loss) on sale/ redemption of investments		(3,248)	(286)	(1)	-	(22)	-	-	-	-	(1,436)	(3,557)
(d) Transfer/Gain on revaluation/change in fair value		(14,367)	(1,473)	-	-	-	-	-	-	-	16,736	(15,840)
(e) Amortisation of Premium / Discount on investments		1,022	38	(2)	-	5,062	11	-	-	(3)	2,984	6,128
Other Income												
(a) Fee, Charges and Rent Income		1	-	1	-	148	1	-	-	-	72	151
Contribution from Shareholders' A/c												
- towards extra mortality/other charges		50	-	-	-	10	-	-	-	-	30	60
- towards excess of expense of management		-	-	-	-	-	-	-	-	-	-	-
TOTAL (A)		16,045	643	303	2	60,873	538	1,481	85	45	67,486	80,015
Commission*	L-5	79	1	1	-	1,163	4	1	-	-	832	1,249
Operating Expenses related to Insurance Business	L-6	3,440	31	2	-	8,843	210	564	2	7	6,291	13,099
Provision for Doubtful debts		20	-	-	-	52	1	3	-	-	(10)	76
Bad debts written off		-	-	-	-	-	-	-	-	-	-	-
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-
(a) For diminution in the value of investments (Net)		-	-	-	-	54	-	-	-	-	54	54
(b) Others		-	-	-	-	-	-	-	-	-	-	-
Goods and Services Tax on ULIP Charges		632	33	-	-	-	-	-	-	-	330	665
TOTAL (B)		4,171	65	3	-	10,112	215	568	2	7	7,497	15,143
Benefits Paid (Net)	L-7	25,698	2,849	75	3	18,624	1,002	210	63	79	27,074	48,603
Interim Bonuses Paid		64	4	1	-	-	-	-	-	-	47	69
Change in valuation of liability in respect of life policies												
(a) Gross		(87)	(5)	134	-	26,317	(316)	353	(4)	(34)	(42,474)	26,358
(b) Amount ceded in Reinsurance		149	-	2	-	3,833	2	-	693	-	62,122	4,679
(c) Amount accepted in Reinsurance	-	-	-	-	-	-	-	-	-	-	-	-
(d) Fund Reserve for Linked Policies	(15,539)	(2,403)	-	-	-	-	-	-	-	-	12,956	(17,942)
(e) Fund for Discontinued Policies	2,078	-	-	-	-	-	-	-	-	-	(133)	2,078
TOTAL (C)		12,363	445	212	3	48,774	688	563	752	45	59,592	63,845
SURPLUS/ (DEFICIT) (D) =(A)-(B)-(C)		(489)	133	88	(1)	1,987	(365)	350	(669)	(7)	397	1,027
Amount transferred from Shareholders' Account (Non-technical Account)		462	-	-	-	1,070	375	-	669	8	1,403	2,584
APPROPRIATIONS												
Transfer to Shareholders' Account		-	-	-	-	-	-	-	-	-	-	-
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-
Transfer to/(from) Funds for Future Appropriations	(57)	(24)	88	(1)	-	-	-	-	-	-	340	6
Transfer to Balance sheet	30	157	-	-	-	3,057	10	350	-	1	1,460	3,605
TOTAL (D)		(27)	133	88	(1)	3,057	10	350	-	1	1,800	3,611
The break up of Total surplus is as under:												
(a) Interim Bonuses Paid:		64	4	1	-	-	-	-	-	-	47	69
(b) Allocation of Bonus to policyholders:		-	-	-	-	-	-	-	-	-	-	-
(c) Surplus shown in the Revenue Account:	(27)	133	88	(1)	3,057	10	350	-	-	1	1,800	3,611
(d) Total Surplus: ((a)+(b)+(c)):		37	137	89	(1)	3,057	10	350	-	1	1,847	3,680

FORM L-2-A-PL

Name of the Insurer: Aviva Life Insurance Company India Limited  
Registration No. 122 with the IRDA, dated 14 May 2002

**UNAUDITED PROFIT AND LOSS ACCOUNT FOR THE QUARTER ENDED SEPTEMBER 30, 2023**  
**Shareholders' Account (Non-technical Account)**

Particulars	Q2'23-24	Upto the quarter ended September 30, 2023	Q2'22-23	Upto the quarter ended September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
Amount transferred from Policyholders Account (Technical Account)	-	-	-	-
Income From Investments				
(a) Interest, Dividends and Rent – Gross	976	1,889	926	1,828
(b) Profit on sale/redemption of investments	-	4	59	63
(c) (Loss) on sale/ redemption of investments	(6)	(6)	(11)	(11)
(d) Amortisation of Premium / Discount on Investments	(30)	(67)	(46)	(88)
Other Income	-	-	-	-
<b>TOTAL (A)</b>	<b>940</b>	<b>1,820</b>	<b>928</b>	<b>1,792</b>
Expense other than those directly related to the insurance business	240	502	385	543
Contribution to the Policyholders Account (Technical Account)				
(a) Towards Excess Expenses of Management	-	-	-	-
(b) Towards extra mortality/other charges	21	52	30	60
Interest on subordinated debt	-	-	-	-
Expenses towards CSR activities	-	-	-	-
Penalties	-	-	-	-
Bad debts written off	-	-	-	-
Amount Transferred to Policyholders' Account	722	3,699	1,403	2,584
Provisions (Other than taxation)				
(a) For diminution in the value of investments (net)	-	-	-	-
(b) Provision for doubtful debts	-	-	-	-
(c) Others	-	-	-	-
<b>TOTAL (B)</b>	<b>983</b>	<b>4,253</b>	<b>1,818</b>	<b>3,187</b>
Profit/(Loss) before tax	(43)	(2,433)	(890)	(1,395)
Provision for Taxation	-	-	-	-
Profit/(Loss) after tax	(43)	(2,433)	(890)	(1,395)
<b>APPROPRIATIONS</b>				
(a) Balance at the beginning of the period	(1,61,767)	(1,59,377)	(1,48,039)	(1,47,534)
(b) Interim dividends paid during the period	-	-	-	-
(c) Proposed final dividend	-	-	-	-
(d) Dividend distribution on tax	-	-	-	-
(e) Transfer to reserves/ other accounts	-	-	-	-
<b>Profit/ (Loss) carried forward to the Balance Sheet</b>	<b>(1,61,810)</b>	<b>(1,61,810)</b>	<b>(1,48,929)</b>	<b>(1,48,929)</b>

AVIVA LIFE INSURANCE COMPANY INDIA LIMITED

FORM L-3-A-B5

Name of the Insurer: Aviva Life Insurance Company India Limited  
Registration No. 122 with the IRDA, dated 14 May 2002

## UNAUDITED BALANCE SHEET AS AT SEPTEMBER 30, 2023

Particulars	Schedule	AS AT September 30, 2023 (Rs. In lacs)	AS AT September 30, 2022 (Rs. In lacs)
<b>SOURCES OF FUNDS</b>			
<b>SHAREHOLDERS' FUNDS:</b>			
SHARE CAPITAL	L-8,L-9	2,18,990	2,00,490
SHARE APPLICATION MONEY PENDING ALLOTMENT		-	-
RESERVES AND SURPLUS	L-10	-	-
CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT		575	-
<b>Sub-Total</b>		<b>2,19,565</b>	<b>2,00,490</b>
<b>BORROWINGS</b>			
<b>POLICYHOLDERS' FUNDS:</b>			
CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT	L-11	-	-
SURPLUS ON POLICYHOLDER'S ACCOUNT - Pending transfer to Shareholder's account		801	93
POLICY LIABILITIES		7,465	3,605
FUNDS FOR DISCONTINUED POLICIES:		8,71,234	7,73,652
(i) Discontinued on Account of non-payment of premiums		16,295	17,577
INSURANCE RESERVES		-	-
PROVISION FOR LINKED LIABILITIES		3,43,951	3,30,777
<b>Sub-Total</b>		<b>12,39,746</b>	<b>11,25,704</b>
FUNDS FOR FUTURE APPROPRIATIONS			
Linked		9,468	8,619
Non-Linked (Non-PAR)		-	-
Non-Linked (PAR)		476	395
DEFERRED TAX LIABILITIES (Net)		-	-
<b>TOTAL</b>		<b>14,69,255</b>	<b>13,35,208</b>
<b>APPLICATION OF FUNDS</b>			
<b>INVESTMENTS</b>			
- Shareholders'	L-12	60,516	52,276
- Policyholders'	L-13	8,60,737	7,68,895
- Assets held to cover linked liabilities	L-14	3,69,714	3,56,973
LOANS	L-15	-	-
FIXED ASSETS	L-16	776	1,589
DEFERRED TAX ASSETS (Net)			
<b>CURRENT ASSETS</b>			
Cash & Bank Balance	L-17	4,371	2,176
Advances And Other Assets	L-18	43,392	40,681
<b>Sub-Total (A)</b>		<b>47,763</b>	<b>42,857</b>
CURRENT LIABILITIES	L-19	31,379	35,446
PROVISIONS	L-20	682	865
<b>Sub-Total (B)</b>		<b>32,061</b>	<b>36,311</b>
<b>NET CURRENT ASSETS (C) = (A - B)</b>		<b>15,702</b>	<b>6,546</b>
MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted)	L-21	-	-
DEBIT BALANCE IN PROFIT AND LOSS ACCOUNT (Shareholders' Account)		1,61,810	1,48,929
<b>TOTAL</b>		<b>14,69,255</b>	<b>13,35,208</b>

Particulars	AS AT September 30, 2023	AS AT September 30, 2022
Uncalled liability in Partly paid-up investments	Nil	Nil
Underwriting commitments outstanding (in respect of shares and securities)	Nil	Nil
Claims, other than those under policies, not acknowledged as debts	254	76
Guarantees given by or on behalf of the Company	Nil	Nil
Reinsurance obligations to the extent not provided for in accounts	Nil	Nil
Others (in relation to Claims against policies)	2,001	2,070
Statutory demands/liabilities in dispute, not provided for	13,265	13,296

Management believes that at present, no provision is required in the books of account in respect of the above matters.

## FORM L-4-PREMIUM SCHEDULE

Particulars	Q2'23-24	Upto the quarter ended September 30, 2023	Q2'22-23	Upto the quarter ended September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
First year premiums	7,477	14,435	6,053	11,126
Renewal premiums	22,399	37,544	22,657	39,132
Single premiums	1,018	2,659	324	856
<b>TOTAL PREMIUM</b>	<b>30,894</b>	<b>54,638</b>	<b>29,034</b>	<b>51,114</b>
<b>Premium Income from business written :</b>				
In India	30,894	54,638	29,034	51,114
Outside India	-	-	-	-
<b>TOTAL PREMIUM</b>	<b>30,894</b>	<b>54,638</b>	<b>29,034</b>	<b>51,114</b>

## FORM L-5-COMMISSION SCHEDULE

Particulars	Q2'23-24	Upto the quarter ended September 30, 2023	Q2'22-23	Upto the quarter ended September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
Commission Paid				
Direct - First year premiums	623	1,109	652	970
- Renewal premiums	193	360	165	252
- Single premiums	2	7	-	-
<b>Total</b>	<b>818</b>	<b>1,476</b>	<b>817</b>	<b>1,222</b>
Add : Commission on Re-insurance Accepted	-	-	-	-
Less : Commission on Re-insurance Ceded	-	-	-	-
<b>NET COMMISSION</b>	<b>818</b>	<b>1,476</b>	<b>817</b>	<b>1,222</b>
Rewards and/or remuneration to agents, brokers or other intermediaries	795	866	15	27
<b>NET COMMISSION INCLUDING REWARDS</b>	<b>1,613</b>	<b>2,342</b>	<b>832</b>	<b>1,249</b>
<b>Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission):</b>				
Agents	327	565	142	224
Brokers	102	241	93	125
Corporate Agency	1,027	1,347	554	825
Referral	-	-	-	-
Others (Insurance Marketing Firm)	157	189	43	75
<b>TOTAL (B)</b>	<b>1,613</b>	<b>2,342</b>	<b>832</b>	<b>1,249</b>
<b>Commission and Rewards on (Excluding Reinsurance) Business written :</b>				
In India	1,613	2,342	832	1,249
Outside India	-	-	-	-
<b>TOTAL COMMISSION AND REWARDS</b>	<b>1,613</b>	<b>2,342</b>	<b>832</b>	<b>1,249</b>



## FORM L-6-OPERATING EXPENSES SCHEDULE

Particulars	Q2'23-24	Upto the quarter ended September 30, 2023	Q2'22-23	Upto the quarter ended September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
Employees' remuneration and welfare benefits	3,717	8,002	3,573	7,291
Travel, conveyance and vehicle running expenses	137	216	83	130
Training expenses	-	(1)	3	1
Rents, rates and taxes	414	788	469	1,053
Repairs & Office Maintenance	183	281	184	569
Printing and stationery	47	91	36	80
Communication expenses	77	191	96	216
Legal and professional charges	252	447	(123)	185
Medical fees	16	4	11	23
Auditors' fees, expenses etc				
a) as auditor	19	32	17	33
b) as adviser or in any other capacity, in respect of				
(i) Taxation matters	-	-	-	-
(ii) Insurance matters	-	-	-	-
(iii) Management services; and	-	-	-	-
c) in any other capacity	2	4	2	3
Advertisement and publicity	(11)	480	366	967
Business Development and Sales Promotion Expenses	85	735	301	342
Stamp duty on policies	274	484	47	135
Interest and bank charges	33	69	42	84
Depreciation	192	403	302	575
Information technology and related expenses	486	959	473	988
Goods and Service Tax on premium	38	61	26	54
Others				
a) Electricity	69	126	70	144
b) Recruitment	14	111	185	187
c) Miscellaneous expenses	43	84	36	38
<b>TOTAL</b>	<b>6,087</b>	<b>13,567</b>	<b>6,198</b>	<b>13,099</b>
In India	6,087	13,567	6,198	13,099
Outside India	-	-	-	-

## FORM L-7-BENEFITS PAID SCHEDULE

Particulars	Q2'23-24	Upto the quarter ended September 30, 2023	Q2'22-23	Upto the quarter ended September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
Insurance Claims				
(a) Claims by Death,	3,014	6,212	2,790	5,887
(b) Claims by Maturity,	4,336	8,180	7,064	12,272
(c) Annuities/Pension payment	115	217	100	194
(d) Periodical Benefit	1,437	2,483	1,917	3,547
(e) Health	38	173	50	85
(f) Surrender	13,350	27,956	14,681	25,962
(g) Other benefits				
- Riders	2	12	5	6
- Interest to policy holders	540	540	180	392
- Withdrawals	1,378	2,479	1,545	3,074
(Amount ceded in reinsurance):				
(a) Claims by Death,	(1,188)	(2,456)	(1,252)	(2,794)
(b) Claims by Maturity,	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-
(d) Periodical Benefit	-	-	-	-
(e) Health	(11)	(66)	(6)	(22)
(f) Surrender	-	-	-	-
(g) Other benefits				
- Riders	-	-	-	-
- Interest to policy holders	-	-	-	-
- Withdrawals	-	-	-	-
(Amount accepted in reinsurance):				
(a) Claims by Death,	-	-	-	-
(b) Claims by Maturity,	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-
(d) Periodical Benefit	-	-	-	-
(e) Health	-	-	-	-
(f) Surrender	-	-	-	-
(g) Other benefits	-	-	-	-
- Riders	-	-	-	-
- Interest to policy holders	-	-	-	-
- Withdrawals	-	-	-	-
<b>TOTAL</b>	<b>23,011</b>	<b>45,730</b>	<b>27,074</b>	<b>48,603</b>
<b>Benefits paid (Net)</b>				
In India	23,011	45,730	27,074	48,603
Outside India	-	-	-	-
<b>Total Benefits paid (Net)</b>	<b>23,011</b>	<b>45,730</b>	<b>27,074</b>	<b>48,603</b>

**FORM L-8-SHARE CAPITAL SCHEDULE**

Particulars	AS AT	AS AT
	September 30, 2023	September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)
Authorized Capital 2,500,000,000 (Previous Year 2,500,000,000) Equity shares of Rs 10 each	2,50,000	2,50,000
Issued, Subscribed and Called up Capital 2,189,900,000 (Previous Year 2,004,900,000 ) Equity shares of Rs 10 each, fully paid up)	2,18,990	2,00,490
Less : Calls unpaid	-	-
Add : Shares forfeited (Amount originally paid up)	-	-
Less: Par value of Equity Shares bought back	-	-
Less: Preliminary expenses	-	-
Expenses including commission or brokerage or underwriting or subscription of shares.	-	-
<b>TOTAL</b>	<b>2,18,990</b>	<b>2,00,490</b>

FORM L-9-PATTERN OF SHAREHOLDING SCHEDULE

Shareholder	AS AT September 30, 2023		AS AT September 30, 2022	
	Number of Shares	% of Holding	Number of Shares	% of Holding
Promoters				
- Indian - Dabur Invest Corp.(Partnership Firm)	56,93,74,000	26%	52,12,74,000	26%
- Foreign - Aviva International Holdings Limited, UK	1,62,05,26,000	74%	1,48,36,26,000	74%
- Others	-	0%	-	0%
TOTAL	2,18,99,00,000	100%	2,00,49,00,000	100%

## FORM L-9A- PART A:PATTERN OF SHAREHOLDING SCHEDULE as at SEPTEMBER 30, 2023

S.No.	Category	No. of Investors	Number of Shares	% of Holding	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares	As a percentage of Total Shares held	Number of shares	As a percentage of Total Shares held
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):*								
	(i) Mr V C Burman	-	56,93,73,660	26%	56,937	-	-	-	-
	(ii) Ms Asha Burman	-	100		0	-	-	-	-
	(iii) Mr Pradip Burman	-	100		0	-	-	-	-
	(iv) Ms Indira Burman	-	100		0	-	-	-	-
	(v) Mr Ashok Kumar Jain	-	10		0	-	-	-	-
	(vi) Ms Gagan Ahluwalia	-	10		0	-	-	-	-
	(vii) Mr Abhay Kumar Aggarwal	-	10		0	-	-	-	-
	(viii) Mr Arun Gupta	-	10		0	-	-	-	-
	*Indian Shareholding is held by Dabur Invest Corp ("DIC"), a Partnership firm. Persons mentioned at A.1i) (i) to A.1 i) (iii) above are partners of the firm who have been nominated by DIC to hold the shares on its behalf. Persons mentioned in A.1 i)(iv) to A.1 i)(viii) are affiliates of Dabur Invest Corp and hold shares for the beneficial interest of Dabur Invest Corp.								
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:	-	1,62,05,26,000	74%	1,62,053	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
B.	Non Promoters								
B.1	Public Shareholders	-	-	-	-	-	-	-	-
1.1)	Institutions								
i)	Mutual Funds	-	-	-	-	-	-	-	-
ii)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-
iii)	Financial Institutions/Banks	-	-	-	-	-	-	-	-
iv)	Insurance Companies	-	-	-	-	-	-	-	-
v)	FII belonging to Foreign promoter	-	-	-	-	-	-	-	-
vi)	FII belonging to Foreign Promoter of Indian Promoter	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii)	Alternative Investment Fund	-	-	-	-	-	-	-	-
ix)	Any other (Please specify)	-	-	-	-	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	-	-	-	-	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	-	-	-	-	-	-	-	-
iii)	NBFCs registered with RBI	-	-	-	-	-	-	-	-
iv)	Others:								
	- Trusts	-	-	-	-	-	-	-	-
	- Non Resident Indian	-	-	-	-	-	-	-	-
	- Clearing Members	-	-	-	-	-	-	-	-
	- Non Resident Indian Non Repatriable	-	-	-	-	-	-	-	-
	- Bodies Corporate	-	-	-	-	-	-	-	-
	- IEPF	-	-	-	-	-	-	-	-
v)	Any other (Please Specify)	-	-	-	-	-	-	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder	-	-	-	-	-	-	-	-
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
	TOTAL	-	2,18,99,00,000	100%	2,18,990	-	-	-	-

## FORM L-9A- PART B: PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE

S.No.	Shareholder	No. of Investors	Number of Shares	% of Holding	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares	As a percentage of Total Shares held	Number of shares	As a percentage of Total Shares held
<b>A</b>	<b>Promoters &amp; Promoters Group</b>								
<b>A.1</b>	<b>Indian Promoters</b>								
i)	Individuals/HUF (Names of major shareholders):*								
	(i) Ms Shivani Burman	-	NA	19%	NA	-	-	-	-
	(ii) Mr V C Burman	-	NA	24%	NA	-	-	-	-
	(iii) Ms Asha Burman	-	NA	19%	NA	-	-	-	-
	(iv) Mr Pradip Burman	-	NA	38%	NA	-	-	-	-
	* Indian Promoter, Dabur Invest Corp, is a partnership firm and the partners in the partnership firm share the profits and losses of the firm in a fixed proportion as indicated above.								
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
<b>A.2</b>	<b>Foreign Promoters</b>								
i)	Individuals (Name of major shareholders):	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
<b>B.</b>	<b>Non Promoters</b>								
<b>B.1</b>	<b>Public Shareholders</b>	-	-	-	-	-	-	-	-
1.1)	Institutions								
i)	Mutual Funds	-	-	-	-	-	-	-	-
ii)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-
iii)	Financial Institutions/Banks	-	-	-	-	-	-	-	-
iv)	Insurance Companies	-	-	-	-	-	-	-	-
v)	FI belonging to Foreign promoter #	-	-	-	-	-	-	-	-
vi)	FI belonging to Foreign Promoter of Indian Promoter #	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii)	Alternative Investment Fund	-	-	-	-	-	-	-	-
ix)	Any other (Please specify)	-	-	-	-	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	-	-	-	-	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	-	-	-	-	-	-	-	-
iii)	NBFCs registered with RBI	-	-	-	-	-	-	-	-
iv)	Others:								
	- Trusts	-	-	-	-	-	-	-	-
	- Non Resident Indian	-	-	-	-	-	-	-	-
	- Clearing Members	-	-	-	-	-	-	-	-
	- Non Resident Indian Non Repatriable	-	-	-	-	-	-	-	-
	- Bodies Corporate	-	-	-	-	-	-	-	-
	- IEPF	-	-	-	-	-	-	-	-
v)	Any other (Please Specify)	-	-	-	-	-	-	-	-
<b>B.2</b>	<b>Non Public Shareholders</b>	-	-	-	-	-	-	-	-
2.1)	Custodian/DR Holder	-	-	-	-	-	-	-	-
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
	<b>TOTAL</b>	-	-	<b>100%</b>	-	-	-	-	-

**FORM L-10-RESERVES AND SURPLUS SCHEDULE**

Particulars	AS AT	AS AT
	September 30, 2023	September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)
Capital Reserve	-	-
Capital Redemption Reserve	-	-
Share Premium	-	-
Revaluation Reserve	-	-
General Reserves	-	-
Less: Debit balance in Profit and Loss Account, if any	-	-
Less: Amount utilized for Buy-back	-	-
Catastrophe Reserve	-	-
Other Reserves	-	-
Balance of profit in Profit and Loss Account	-	-
<b>TOTAL</b>	<b>-</b>	<b>-</b>

FORM L-11-BORROWINGS SCHEDULE

Particulars	AS AT	AS AT
	September 30, 2023	September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)
Debentures/ Bonds	-	-
Banks	-	-
Financial Institutions	-	-
Others	-	-
TOTAL	-	-



## FORM L-12-INVESTMENT - SHAREHOLDERS

Particulars	AS AT September 30, 2023 (Rs. In lacs)	AS AT September 30, 2022 (Rs. In lacs)
<b>LONG TERM INVESTMENTS</b>		
<b>Government Securities and Government guaranteed bonds including treasury bills</b>	16,233	16,638
(Market value (Rs. In Lacs) Current Year 16,081 Previous Year 16,405)		
<b>Other Approved Securities</b>	29,579	25,717
(Market value (Rs. In Lacs) Current Year 29,053 Previous Year 25,029)		
<b>Other Approved Investments</b>		
(a) Shares		
(aa) Equity	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(bb) Preference	-	-
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Term Deposits)	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
<b>Investments in Infrastructure , Social Sector and Housing :</b>	8,913	7,062
<b>Non Convertible Debentures</b>		
(Market value (Rs. In Lacs) Current Year 8,876 Previous Year 7,011)		
Equity Shares	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
<b>Other Investments</b>		
Debentures/ Bonds	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
Equity Shares	1,431	-
(Historical value (Rs. In Lacs) Current Year 856 Previous Year 1)		
<b>SHORT TERM INVESTMENTS</b>		
<b>Government Securities and Government guaranteed bonds including treasury bills</b>	68	135
(Market value (Rs. In Lacs) Current Year 68 Previous Year 134)		
<b>Other Approved Securities</b>	2,530	-
(Market value (Rs. In Lacs) Current Year 2,529 Previous Year NIL)		
<b>Other Approved Investments</b>		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(b) Mutual Funds	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Commercial Papers , Certificate of Deposits and Term Deposits)	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(f) Other Securities (Reverse Repo)/(Treps)	386	223
(Market value (Rs. In Lacs) Current Year 286 Previous Year 223)		
(g) Subsidiaries	-	-
(h) Investment Properties-Real Estate	-	-
<b>Investments in Infrastructure , Social Sector and Housing :</b>	1,376	2,501
<b>Non Convertible Debentures</b>		
(Market value (Rs. In Lacs) Current Year 1,370 Previous Year 2,513)		
<b>Other Investments</b>		
Mutual Funds	-	-
Debentures/ Bonds	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
<b>TOTAL</b>	<b>60,516</b>	<b>52,276</b>
<b>INVESTMENTS</b>		
In India	60,516	52,276
Outside India	-	-
<b>TOTAL</b>	<b>60,516</b>	<b>52,276</b>

## Form L-13-INVESTMENTS-POLICYHOLDERS

Particulars	AS AT September 30, 2023 (Rs. In lacs)	AS AT September 30, 2022 (Rs. In lacs)
<b>LONG TERM INVESTMENTS</b>		
<b>Government Securities and Government guaranteed bonds including treasury bills</b> (Market value (Rs. In Lacs) Current Year 500,867 Previous Year 493,487)	5,04,017	5,00,463
<b>Other Approved Securities</b> (Market value (Rs. In Lacs) Current Year 182,088 Previous Year 121,786)	1,85,097	1,27,388
<b>Other Approved Investments</b>		
(a) Shares		
(aa) Equity	210	176
(Historical value (Rs. In Lacs) Current Year 114 Previous Year 89)		
(bb) Preference	-	-
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Term Deposits)	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
<b>Investments in Infrastructure , Social Sector and Housing :</b>	1,54,297	1,19,045
<b>Non Convertible Debentures</b> (Market value (Rs. In Lacs) Current Year 153,665 Previous Year 119,444)		
Equity Shares	4	10
(Historical value (Rs. In Lacs) Current Year 2 Previous Year 6)		
<b>Other Investments</b>		
Non Convertible debentures	421	421
(Market value (Rs. In Lacs) Current Year 421 Previous Year 421)		
Equity Shares	1,752	10
(Historical value (Rs. In Lacs) Current Year 1,048 Previous Year 13)		
<b>SHORT TERM INVESTMENTS</b>		
<b>Government Securities and Government guaranteed bonds including treasury bills</b> (Market value (Rs. In Lacs) Current Year 11,251 Previous Year 14,532)	11,264	14,539
<b>Other Approved Securities</b> (Market value (Rs. In Lacs) Current Year 40 Previous Year 403)	40	404
<b>Other Approved Investments</b>		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(b) Mutual Funds	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Commercial Papers, Certificate of Deposits and Term Deposits)	2,267	498
(Market value (Rs. In Lacs) Current Year 2,267 Previous Year 498)		
(f) Other Securities (Reverse Repo)/(Treps)	753	5,891
(Market value (Rs. In Lacs) Current Year 754 Previous Year 5,891)		
(g) Subsidiaries	-	-
Investment Properties-Real Estate	-	-
<b>Investments in Infrastructure , Social Sector and Housing :</b>		
Non Convertible Debentures	615	50
(Market value (Rs. In Lacs) Current Year 615 Previous Year 50)		
Commercial Paper	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
<b>Other Investments</b>		
Non Convertible Debentures	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
<b>TOTAL</b>	<b>8,60,737</b>	<b>7,68,895</b>
<b>INVESTMENTS</b>		
In India	8,60,737	7,68,895
Outside India	-	-
<b>TOTAL</b>	<b>8,60,737</b>	<b>7,68,895</b>

## Form L-14-INVESTMENTS - ASSETS HELD TO COVER LINKED LIABILITIES

Particulars	AS AT September 30, 2023	AS AT September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)
<b>LONG TERM INVESTMENTS</b>		
<b>Government Securities and Government guaranteed bonds Including Treasury Bills</b>	48,965	41,564
(Historical value (Rs. In Lacs) Current Year 49,247 Previous Year 42,066)		
<b>Other Approved Securities</b>	27,321	16,158
(Historical value (Rs. In Lacs) Current Year 27,586 Previous Year 15,562)		
<b>Other Approved Investments</b>		
(a) Shares		
(aa) Equity	1,76,322	1,56,849
(Historical value (Rs. In Lacs) Current Year 126,692 Previous Year 114,459)		
(bb) Preference	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(b) Mutual Funds	1,186	1,397
(Historical value (Rs. In Lacs) Current Year 1,231 Previous Year 1,755)		
(c) Derivative Instruments	-	-
(d) Debentures/Bonds	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Certificate of Deposits, Term Deposits and Commercial papers)	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
<b>Investments in Infrastructure, Social Sector and Housing :</b>		
(a) Non Convertible Debentures	23,151	27,067
(Historical value (Rs. In Lacs) Current Year 23,246 Previous Year 27,206)		
(b) Equity	27,082	16,615
(Historical value (Rs. In Lacs) Current Year 17,252 Previous Year 12,340)		
<b>Other Investments</b>		
(a) Equity Shares	7,186	13,111
(Historical value (Rs. In Lacs) Current Year 5,031 Previous Year 9,468)		
(b) Debentures/Bonds	886	886
(Historical value (Rs. In Lacs) Current Year 4,000 Previous Year 4,000)		
(c) Mutual Funds	19,697	20,366
(Historical value (Rs. In Lacs) Current Year 16,217 Previous Year 12,360)		
<b>SHORT TERM INVESTMENTS</b>		
<b>Government Securities and Government guaranteed bonds Including Treasury Bills</b>		
Government Securities and Government guaranteed bonds	14,759	41,780
(Historical value (Rs. In Lacs) Current Year 14,560 Previous Year 41,504)		
<b>Other Approved Securities</b>	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
<b>Other Approved Investments</b>		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Certificate of Deposits, Term Deposits and Commercial papers)	13,343	3,490
(Historical value (Rs. In Lacs) Current Year 13,103 Previous Year 3,363)		
(f) Other Securities (Reverse Repo)/(Trepo)	6,832	11,367
(Historical value (Rs. In Lacs) Current Year 6,830 Previous Year 11,365)		
(g) Subsidiaries	-	-
(h) Investment Properties-Real Estate	-	-
<b>Investments in Infrastructure , Social Sector and Housing :</b>	951	503
Non Convertible Debentures		
(Historical value (Rs. In Lacs) Current Year 969 Previous Year 502)		
<b>Other Investments</b>		
(a) Mutual Funds	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(a) Debentures/Bonds	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
Balances in Bank	815	(385)
Other Current Assets (net)	1,218	6,205
<b>TOTAL</b>	<b>3,69,714</b>	<b>3,56,973</b>
<b>INVESTMENTS</b>		
In India	3,69,714	3,56,973
Outside India	-	-
<b>TOTAL</b>	<b>3,69,714</b>	<b>3,56,973</b>

## FORM L-15-LOANS SCHEDULE

Particulars	AS AT	AS AT
	September 30, 2023	September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)
Security-Wise Classification		
Secured		
(a) On mortgage of property		
(aa) In India	-	-
(bb) Outside India	-	-
(b) On Shares, Bonds, Govt. Securities etc	-	-
(c) Loans against policies	-	-
(d) Others (to be specified)	-	-
Unsecured	-	-
<b>TOTAL</b>		
BORROWER-WISE CLASSIFICATION		
(a) Central and State Governments	-	-
(b) Banks and Financial Institutions	-	-
(c) Subsidiaries	-	-
(d) Companies	-	-
(e) Loans against policies	-	-
(f) Others	-	-
<b>TOTAL</b>		
PERFORMANCE-WISE CLASSIFICATION		
(a) Loans classified as standard	-	-
(aa) In India	-	-
(bb) Outside India	-	-
(b) Non-standard loans less provisions	-	-
(aa) In India	-	-
(bb) Outside India	-	-
<b>TOTAL</b>		
MATURITY-WISE CLASSIFICATION		
(a) Short Term	-	-
(b) Long Term	-	-
<b>Total</b>	-	-

## FORM L-16-FIXED ASSETS SCHEDULE

(Rs. In lacs)

Particulars	Cost/ Gross Block				Depreciation / Amortisation				Net Block	
	Opening Balance as on APRIL1, 2023	Additions during the period	Deductions/ Adjustments	AS AT September 30, 2023	Opening Balance as on APRIL1, 2023	For the period	Sales/ Adjustments	AS AT September 30, 2023	AS AT September 30, 2023	AS AT MARCH 31, 2023
<b>Intangibles</b>										
Software	6,080	31	-	6,111	5,927	74	-	6,001	110	153
<b>Tangibles</b>										
Leasehold Improvements	3,060	9	2,145	924	2,728	96	2,137	687	237	332
Buildings	-	-	-	-	-	-	-	-	-	-
Furniture & Fittings	1,311	2	1,028	285	1,287	7	1,026	268	17	24
Information Technology Equipment	4,495	-	1,178	3,317	4,105	162	1,179	3,088	229	390
Vehicles	78	2	1	79	43	13	1	55	24	35
Office Equipment	790	15	196	609	593	51	194	450	159	197
<b>Sub Total</b>	<b>15,814</b>	<b>59</b>	<b>4,548</b>	<b>11,325</b>	<b>14,683</b>	<b>403</b>	<b>4,537</b>	<b>10,549</b>	<b>776</b>	<b>1,131</b>
Capital Work In Progress	-	-	-	-	-	-	-	-	-	-
<b>TOTAL</b>	<b>15,814</b>	<b>59</b>	<b>4,548</b>	<b>11,325</b>	<b>14,683</b>	<b>403</b>	<b>4,537</b>	<b>10,549</b>	<b>776</b>	<b>1,131</b>
<b>PREVIOUS YEAR</b>	<b>15,085</b>	<b>1,100</b>	<b>411</b>	<b>15,774</b>	<b>13,987</b>	<b>574</b>	<b>386</b>	<b>14,175</b>	<b>1,589</b>	

## FORM L-17-CASH AND BANK BALANCE SCHEDULE

Particulars	AS AT	AS AT
	September 30, 2023 (Rs. In lacs)	September 30, 2022 (Rs. In lacs)
Cash (including cheques, drafts and stamps)	349	139
Bank Balances		
(a) Deposit Accounts*		
(aa) Short-term (due within 12 months of the date of balance sheet)	1,339	808
(bb) Others	-	425
(b) Current Accounts	2,683	804
(c) Others	-	-
Money at Call and Short Notice		
(a) With Banks	-	-
(b) With other Institutions	-	-
Others	-	-
<b>TOTAL</b>	<b>4,371</b>	<b>2,176</b>
<i>Balances with non-scheduled banks included above</i>	-	-
<b>CASH AND BANK BALANCES</b>		
In India	4,371	2,176
Outside India	-	-
<b>TOTAL</b>	<b>4,371</b>	<b>2,176</b>

\* Current Year amount includes brought forward Fixed Deposit amounting to Rs. 325 (In lacs) (Previous Year Rs. 425 (In lacs)) which were due for maturity after 3 months at the time of placement and hence were considered as investing activity

## FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE

## ADVANCES AND OTHER ASSETS

Particulars	AS AT September 30, 2023 (Rs. In lacs)		AS AT September 30, 2022 (Rs. In lacs)	
<b>ADVANCES</b>				
Reserve deposits with ceding companies		-		-
Application money for investments		-		-
Prepayments		546		571
Advances to Directors/Officers		-		-
Advance tax paid and taxes deducted at source	6		23	
Less: Provision for doubtful debts	(1)	5	(1)	22
Others (includes vendor, travel advances & salary recoverable)	3,259		1,675	
Less : Provision for doubtful debts	(224)	3,035	(290)	1,385
<b>TOTAL (A)</b>		<b>3,586</b>		<b>1,978</b>
<b>OTHER ASSETS</b>				
Income accrued on investments		17,276		15,377
Outstanding Premiums		2,629		2,446
Agents' Balances	1,043		976	
Less : Provision for doubtful debts	(942)	101	(825)	151
Foreign Agencies' Balances		-		-
Due from other entities carrying on insurance business (including reinsurers)		38		-
Others				
- Investment pertaining to Unclaimed Policyholders Fund		12,637		14,086
- Interest on Investment pertaining to Unclaimed Policyholders Fund (Net of FMC)		3,498		3,169
- Refundable Security Deposits	2,114		2,110	
Less: Provision for doubtful security deposit	(229)	1,885	(148)	1,962
- Receivables against unsettled investment contracts		-		-
- Goods and Service Tax/Service Tax Unutilised Credit		1,376		929
- Other Reinsurance assets		366		583
<b>TOTAL (B)</b>		<b>39,806</b>		<b>38,703</b>
<b>TOTAL (A+B)</b>		<b>43,392</b>		<b>40,681</b>

## FORM L-19-CURRENT LIABILITIES SCHEDULE

Particulars	AS AT	AS AT
	September 30, 2023 (Rs. In lacs)	September 30, 2022 (Rs. In lacs)
Agents' Balances	712	593
Balances due to other insurance companies	-	286
Deposits held on re-insurance ceded	-	-
Premiums received in advance	1,235	874
Unallocated premium	1,110	886
Sundry creditors		
Micro, Small & Medium Enterprises	-	-
Others	112	248
Unclaimed Amount - Policyholders	12,637	14,086
Interest on Investment pertaining to Unclaimed Policyholders Fund	3,498	3,169
Claims Outstanding	2,095	5,711
Annuities Due	231	160
Due to Officers/Directors	-	-
Others:		
- Accrual for expenses	6,833	7,435
- Goods and Service Tax /Service Tax payable	727	577
- Payables for unsettled investment contracts	-	-
- Statutory Dues Payable	473	321
- Employee and other dues Payable	698	686
- Other Reinsurance Liability	1,018	414
<b>TOTAL</b>	<b>31,379</b>	<b>35,446</b>

## FORM L-20-PROVISIONS SCHEDULE

Particulars	AS AT	AS AT
	September 30, 2023 (Rs. 000's)	September 30, 2022 (Rs. 000's)
For taxation (less payments and taxes deducted at source)	-	-
Others:		
Provision for Gratuity	81	139
Provision for Leave Encashment	262	220
Provision for Other Long Term Benefits	205	341
Provision for Other Employee Benefits	134	165
<b>TOTAL</b>	<b>682</b>	<b>865</b>



FORM L-21-MISC EXPENDITURE SCHEDULE

Particulars	AS AT	AS AT
	September 30, 2023	September 30, 2022
	(Rs. In lacs)	(Rs. In lacs)
Discount Allowed in issue of shares/ debentures	-	-
Others	-	-
TOTAL	-	-

## FORM L-22-ANALYTICAL RATIOS

S.No.	Particulars	Q2'23-24	Upto the quarter ended September 30, 2023	Q2'22-23	Upto the quarter ended September 30, 2022
1	<b>New business premium income growth rate - segment wise</b>				
	<b>(I) Linked Business:</b>				
	a) Life	38%	62%	19%	37%
	b) Pension	4%	50%	(18)%	(17)%
	c) Health	NA	NA	NA	NA
	d) Variable Insurance	NA	NA	NA	NA
	<b>(II) Non-Linked Business:</b>				
	<b>Participating:</b>				
	a) Life	NA	NA	NA	NA
	b) Annuity	NA	NA	NA	NA
	c) Pension	NA	NA	NA	NA
	d) Health	NA	NA	NA	NA
	e) Variable Insurance	NA	NA	NA	NA
	<b>Non Participating:</b>				
	a) Life	31%	33%	(34)%	(12)%
	b) Annuity	61%	34%	(4)%	26%
	c) Pension	(55)%	(36)%	(25)%	(35)%
	d) Health	NA	NA	NA	NA
	e) Variable Insurance	17%	11%	110%	89%
2	<b>Percentage of Single Premium (Individual Business) to Total New Business Premium (Individual Business)</b>	10%	10%	6%	9%
3	<b>Percentage of Linked New Business Premium (Individual Business) to Total New Business Premium (Individual Business)</b>	45%	52%	28%	27%
4	<b>Net Retention Ratio</b>	93%	93%	94%	94%
5	<b>Conservation Ratio</b>				
	<b>(I) Linked Business:</b>				
	a) Life	70%	67%	61%	73%
	b) Pension	89%	83%	83%	89%
	c) Health	NA	NA	NA	NA
	d) Variable Insurance	NA	NA	NA	NA
	<b>(II) Non-Linked Business:</b>				
	<b>Participating:</b>				
	a) Life	79%	78%	89%	90%
	b) Annuity	NA	NA	NA	NA
	c) Pension	92%	100%	89%	73%
	d) Health	NA	NA	NA	NA
	e) Variable Insurance	NA	NA	NA	NA
	<b>Non Participating:</b>				
	a) Life	81%	78%	75%	74%
	b) Annuity	NA	NA	NA	NA
	c) Pension	94%	60%	60%	73%
	d) Health	97%	97%	93%	93%
	e) Variable Insurance	0%	0%	0%	0%
6	<b>Expense of Management to Gross Direct Premium Ratio</b>	25%	29%	25%	28%
7	<b>Commission Ratio (Gross commission paid to Gross Premium)</b>	5%	4%	3%	2%
8	<b>Business Development and Sales Promotion Expenses to New Business Premium</b>	1%	4%	3%	2%
9	<b>Brand/Trade Mark Usage Fee/ Charges to New Business Premium</b>	NA	NA	NA	NA
10	<b>Ratio of policy holder's fund to shareholder's funds</b>	21.64	21.64	22.00	22.00
11	<b>Ratio of surplus to policyholders' liability</b>	0.00	0.00	0.01	0.00
12	<b>Change in net worth (Rs. in Lacs)</b>	10,053	10,053	-7,979	-7,979
13	<b>Growth in Network</b>	18%	18%	(13)%	(13)%
14	<b>Profit after tax/Total Income</b>	(0)%	(2)%	(1)%	(2)%
15	<b>(Total real estate + loans)/(Cash &amp; invested assets)</b>	NA	NA	NA	NA
16	<b>Total investments/(Capital + Surplus)</b>	19.79	19.79	22.85	22.85
17	<b>Total affiliated investments/(Capital+ Surplus)</b>	NA	NA	NA	NA
18A	<b>Investment Yield (Gross) with total gains</b>				
	<b>Policyholders' Funds:</b>				
	<b>Non-Linked:</b>				
	R1. PAR	1.9%	4.0%	1.8%	3.8%
	R2. Non-PAR	1.9%	3.9%	1.9%	3.9%
	R3.Sub-TOTAL	1.9%	3.9%	1.9%	3.9%
	<b>Linked:</b>				
	R4. PAR	1.5%	4.6%	2.5%	(0.3)%
	R5. Non-PAR	2.7%	10.6%	7.1%	(0.0)%
	R6. Sub-TOTAL	2.7%	10.4%	6.9%	(0.0)%
	R7. Grand Total	2.1%	5.8%	3.5%	2.6%
	<b>Shareholders' Funds</b>	1.9%	3.3%	1.8%	3.5%
18B	<b>Investment Yield (Net) (Only realized gains)</b>				
	<b>Policyholders' Funds:</b>				
	<b>Non-Linked:</b>				
	R1. PAR	1.9%	4.0%	1.8%	3.8%
	R2. Non-PAR	1.9%	3.9%	1.9%	3.9%
	R3.Sub-TOTAL	1.9%	3.9%	1.9%	3.9%
	<b>Linked:</b>				
	R4. PAR	2.1%	3.9%	1.7%	3.3%
	R5. Non-PAR	2.8%	5.7%	2.0%	4.3%
	R6. Sub-TOTAL	2.8%	5.6%	2.0%	4.2%
	R7. Grand Total	2.2%	4.4%	2.0%	4.0%
	<b>Shareholders' Funds</b>	1.9%	3.3%	1.8%	3.5%

## FORM L-22-ANALYTICAL RATIOS

S.No.	Particulars	Q2'23-24	Upto the quarter ended September 30, 2023	Q2'22-23	Upto the quarter ended September 30, 2022
19	<b>Persistency Ratio - Number of Policy Basis ( Regular Premium/Limited Premium Payment under Individual category)</b>				
	For 13th month	58%	58%	61%	70%
	For 25th month	52%	57%	48%	55%
	For 37th month	42%	48%	46%	59%
	For 49th Month	44%	56%	49%	59%
	for 61st month	44%	44%	41%	41%
	<b>Persistency Ratio - Premium Basis ( Regular Premium/Limited Premium Payment under Individual category)</b>				
	For 13th month	63%	73%	72%	77%
	For 25th month	61%	63%	58%	60%
	For 37th month	49%	51%	50%	49%
	For 49th Month	47%	46%	49%	50%
	for 61st month	48%	44%	46%	44%
20	<b>NPA Ratio</b>				
	<b>Gross NPA Ratio</b>				
	Shareholders' Funds	0.3%	0.3%	0.4%	0.4%
	Policyholders' Funds	0.7%	0.7%	0.7%	0.7%
	<b>Net NPA Ratio</b>				
	Shareholders' Funds	0%	0%	0%	0%
	Policyholders' Funds	0.1%	0.1%	0.1%	0.1%
21	<b>Solvency Ratio - As per Form KT-3</b>	189%	189%	185%	185%
22	<b>Debt Equity Ratio</b>	NA	NA	NA	NA
23	<b>Debt Service Coverage Ratio</b>	NA	NA	NA	NA
24	<b>Interest Service Coverage Ratio</b>	NA	NA	NA	NA
25	<b>Average ticket size in Rs. - Individual premium (Non-Single)</b>	67,528	69,882	69,513	69,741
	<b>Equity Holding Pattern for Life Insurers</b>				
	(a) No. of shares (in Lakhs)	21,899		20,049	
	(b) Percentage of shareholding (Indian / Foreign)	26% / 74%		26% / 74%	
	(c) %of Government holding (in case of public sector insurance companies)	Nil		Nil	
	(a) Basic and diluted EPS before extraordinary items (net of tax expense)	(0.11)		(0.07)	
	(b) Basic and diluted EPS after extraordinary items (net of tax expense)	(0.11)		(0.07)	
	(iv) Book value per share (Rs)	2.95		2.75	

Aviva Life Insurance Company India Limited (Registration Code: 0122)

**FORM L-24-VALUATION OF NET LIABILITIES**

(Rs. In lacs)

Type	Category of business	Mathematical Reserves As At September 30, 2023	Mathematical Reserves As At September 30, 2022
Par	<b>Non-Linked -VIP</b>		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	<b>Non-Linked -Others</b>		
	Life	3,346	3,064
	General Annuity	-	-
	Pension	93	88
	Health	-	-
	<b>Linked -VIP</b>		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	<b>Linked -Others</b>		
	Life	3,866	4,555
	General Annuity	-	-
	Pension	254	314
	Health	-	-
<b>Total Par</b>		<b>7,558</b>	<b>8,021</b>
Non-Par	<b>Non-Linked -VIP</b>		
	Life	604	364
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	<b>Non-Linked -Others</b>		
	Life	8,34,469	7,38,862
	General Annuity	7,633	6,874
	Pension	18,618	18,600
	Health	3,135	2,479
	<b>Linked -VIP</b>		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	<b>Linked -Others</b>		
	Life	3,27,996	3,14,867
	General Annuity	-	-
	Pension	31,467	31,939
	Health	-	-
<b>Total Non Par</b>		<b>12,23,922</b>	<b>11,13,986</b>
Total Business	<b>Non-Linked -VIP</b>		
	Life	604	364
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	<b>Non-Linked -Others</b>		
	Life	8,37,815	7,41,926
	General Annuity	7,633	6,874
	Pension	18,710	18,688
	Health	3,135	2,479
	<b>Linked -VIP</b>		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	<b>Linked -Others</b>		
	Life	3,31,863	3,19,421
	General Annuity	-	-
	Pension	31,721	32,253
	Health	-	-
<b>Total</b>		<b>12,31,480</b>	<b>11,22,006</b>

(Rs. In lacs)

Geographical Distribution of Total Business												
SL. No.	State/Union Territory	New Business - Rural( Individual)			New Business - Urban(Individual )			Total New Business(Individual )			Renewal Premium	Total Premium (New Business and Renewal)
		No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured		
	STATES											
1	Andhra Pradesh	2	2	36	105	121	1,747	107	123	1,783	375	498
2	Arunachal Pradesh	(1)	(0)	(10)	12	18	311	11	17	301	144	161
3	Assam	2	1	7	127	98	924	129	99	930	561	659
4	Bihar	364	78	1,118	1,472	391	5,916	1,836	469	7,034	603	1,072
5	Chhattisgarh	1	(1)	(7)	34	23	313	35	23	305	137	160
6	Goa	1	0	2	12	12	182	13	12	184	39	51
7	Gujarat	(2)	(2)	(96)	154	260	1,643	152	258	1,547	846	1,104
8	Haryana	502	84	1,291	324	169	2,184	826	253	3,475	1,527	1,780
9	Himachal Pradesh	-	-	-	4	3	26	4	3	26	53	56
10	Jharkhand	1	0	26	62	34	512	63	34	538	217	250
11	Karnataka	2	1	24	196	320	5,195	198	321	5,219	2,031	2,352
12	Kerala	-	-	-	77	80	732	77	80	732	430	510
13	Madhya Pradesh	37	15	88	169	101	1,625	206	116	1,713	496	612
14	Maharashtra	235	116	2,372	1,408	1,222	18,337	1,643	1,338	20,709	4,492	5,830
15	Manipur	-	-	-	5	2	25	5	2	25	11	13
16	Meghalaya	-	-	-	5	2	30	5	2	30	13	15
17	Mizoram	-	-	-	-	-	-	-	-	-	3	3
18	Nagaland	-	-	-	8	7	210	8	7	210	13	21
19	Odisha	31	12	151	126	98	1,541	157	110	1,692	576	687
20	Punjab	2	0	4	41	32	502	43	33	505	418	451
21	Rajasthan	9	3	105	71	150	1,661	80	153	1,766	445	598
22	Sikkim	-	-	-	-	-	-	-	-	-	8	8
23	Tamil Nadu	-	-	-	360	428	5,055	360	428	5,055	1,742	2,171
24	Telangana	2	0	50	132	178	2,730	134	178	2,780	1,024	1,202
25	Tripura	(4)	1	(31)	128	83	677	124	84	646	134	218
26	Uttarakhand	-	-	-	14	15	138	14	15	138	94	109
27	Uttar Pradesh	15	2	56	248	247	4,236	263	249	4,292	1,597	1,847
28	West Bengal	7	3	49	434	351	3,617	441	355	3,666	1,268	1,622
	<b>TOTAL</b>	<b>1,206</b>	<b>317</b>	<b>5,235</b>	<b>5,728</b>	<b>4,446</b>	<b>60,069</b>	<b>6,934</b>	<b>4,763</b>	<b>65,304</b>	<b>19,299</b>	<b>24,062</b>
	UNION TERRITORIES											
1	Andaman and Nicobar Islands	-	-	-	-	-	-	-	-	-	4	4
2	Chandigarh	-	-	-	13	14	255	13	14	255	113	127
3	Dadra and Nagar Haveli and Diu	-	-	-	1	0	5	1	0	5	10	10
4	Govt. of NCT of Delhi	(1)	(0)	(1)	278	818	7,965	277	818	7,963	2,910	3,728
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	49	49
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	15	15	274	15	15	274	15	29
	<b>TOTAL</b>	<b>(1)</b>	<b>(0)</b>	<b>(1)</b>	<b>307</b>	<b>847</b>	<b>8,498</b>	<b>306</b>	<b>847</b>	<b>8,496</b>	<b>3,100</b>	<b>3,947</b>
	<b>Grand Total</b>	<b>1,205</b>	<b>317</b>	<b>5,234</b>	<b>6,035</b>	<b>5,293</b>	<b>68,567</b>	<b>7,240</b>	<b>5,610</b>	<b>73,801</b>	<b>22,399</b>	<b>28,009</b>
	<b>IN INDIA</b>							<b>7,240</b>	<b>5,610</b>	<b>73,801</b>	<b>22,399</b>	<b>28,009</b>
	<b>OUTSIDE INDIA</b>							-	-	-	-	-

(Rs. In lacs)

Geographical Distribution of Total Business												
SL. No.	State/Union Territory	New Business - Rural( Individual)			New Business - Urban(Individual )			Total New Business(Individual )			Renewal Premium	Total Premium (New Business and Renewal)
		No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured		
	STATES											
1	Andhra Pradesh	4	6	47	202	225	2,816	206	231	2,863	588	819
2	Arunachal Pradesh	-	-	-	38	142	2,502	38	142	2,502	250	392
3	Assam	2	2	7	267	228	2,386	269	230	2,393	933	1,163
4	Bihar	553	114	1,737	1,976	549	8,468	2,529	663	10,205	952	1,615
5	Chhattisgarh	4	0	7	48	32	425	52	32	431	238	270
6	Goa	3	0	5	19	19	270	22	19	275	82	101
7	Gujarat	-	1	(34)	335	522	3,974	335	522	3,940	1,347	1,869
8	Haryana	1,149	198	3,152	1,095	462	5,813	2,244	659	8,965	2,773	3,432
9	Himachal Pradesh	-	-	-	13	14	137	13	14	137	82	96
10	Jharkhand	8	3	49	111	73	1,170	119	76	1,219	326	402
11	Karnataka	2	1	24	407	591	7,834	409	592	7,858	3,408	4,000
12	Kerala	1	0	3	155	148	1,613	156	149	1,617	662	811
13	Madhya Pradesh	84	31	597	280	162	2,628	364	193	3,224	762	955
14	Maharashtra	507	245	4,852	2,471	2,180	31,228	2,978	2,425	36,080	7,168	9,594
15	Manipur	-	-	-	6	3	32	6	3	32	18	21
16	Meghalaya	-	-	-	9	5	67	9	5	67	27	32
17	Mizoram	-	-	-	1	1	11	1	1	11	2	3
18	Nagaland	-	-	-	20	25	430	20	25	430	22	47
19	Odisha	67	27	403	275	288	3,604	342	315	4,006	1,026	1,341
20	Punjab	2	0	4	78	67	1,029	80	68	1,032	699	767
21	Rajasthan	14	4	116	163	227	2,673	177	231	2,789	781	1,012
22	Sikkim	-	-	-	1	1	5	1	1	5	9	10
23	Tamil Nadu	-	-	-	664	798	8,592	664	798	8,592	2,910	3,708
24	Telangana	4	1	61	266	362	4,521	270	363	4,581	1,692	2,055
25	Tripura	23	9	92	199	115	1,208	222	124	1,300	204	328
26	Uttarakhand	-	-	-	24	30	360	24	30	360	158	189
27	Uttar Pradesh	19	5	78	509	543	7,639	528	547	7,717	2,772	3,319
28	West Bengal	19	5	69	821	702	6,895	840	707	6,964	2,078	2,785
	<b>TOTAL</b>	<b>2,465</b>	<b>652</b>	<b>11,267</b>	<b>10,453</b>	<b>8,515</b>	<b>1,08,328</b>	<b>12,918</b>	<b>9,167</b>	<b>1,19,595</b>	<b>31,972</b>	<b>41,139</b>
	UNION TERRITORIES											
1	Andaman and Nicobar Islands	-	-	-	-	-	-	-	-	-	4	4
2	Chandigarh	-	-	-	32	34	488	32	34	488	197	232
3	Dadra and Nagar Haveli and Diu	-	-	-	6	3	82	6	3	82	15	18
4	Govt. of NCT of Delhi	-	-	-	622	1,380	12,844	622	1,380	12,844	5,253	6,633
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	77	77
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	23	21	345	23	21	345	25	46
	<b>TOTAL</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>683</b>	<b>1,439</b>	<b>13,758.94</b>	<b>683</b>	<b>1,439</b>	<b>13,759</b>	<b>5,571</b>	<b>7,010</b>
	<b>Grand Total</b>	<b>2,465</b>	<b>652</b>	<b>11,267</b>	<b>11,136</b>	<b>9,953</b>	<b>1,22,087</b>	<b>13,601</b>	<b>10,606</b>	<b>1,33,354</b>	<b>37,544</b>	<b>48,149</b>
	<b>IN INDIA</b>							<b>13,601</b>	<b>10,606</b>	<b>1,33,354</b>	<b>37,544</b>	<b>48,149</b>
	<b>OUTSIDE INDIA</b>							-	-	-	-	-

(Rs. In lacs)

Geographical Distribution of Total Business- GROUP															
Sl. No.	State / Union Territory	New Business - Rural(Group)				New Business - Urban(Group)				Total New Business(Group)				Renewal Premium	Total Premium (New Business and Renewal)
		No. of Schemes	No. of Lives (Actual)	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured		
	STATES														
1	Andhra Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Chhattisgarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	2	663	14	9,451	2	663	14	9,451	-	14
7	Gujarat	-	-	-	-	7	10,784	203	61,840	7	10,784	203	61,840	-	203
8	Haryana	-	-	-	-	8	3,557	91	55,589	8	3,557	91	55,589	-	91
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	6	5,297	108	84,177	6	5,297	108	84,177	-	108
12	Kerala	-	-	-	-	1	3,252	21	2,739	1	3,252	21	2,739	-	21
13	Madhya Pradesh	-	-	-	-	3	6,783	202	1,39,231	3	6,783	202	1,39,231	-	202
14	Maharashtra	-	-	-	-	28	23,610	1,107	3,82,100	28	23,610	1,107	3,82,100	-	1,107
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Odisha	-	-	-	-	1	269	3	1,076	1	269	3	1,076	-	3
20	Punjab	-	-	-	-	-	620	(0)	6,019	-	620	(0)	6,019	-	(0)
21	Rajasthan	-	-	-	-	-	1,202	61	6,098	-	1,202	61	6,098	-	61
22	Sikkim	-	-	-	-	-	40	6	2,002	-	40	6	2,002	-	6
23	Tamil Nadu	-	-	-	-	6	12,796	178	1,11,635	6	12,796	178	1,11,635	-	178
24	Telangana	-	-	-	-	3	13,945	167	49,195	3	13,945	167	49,195	-	167
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttar Pradesh	-	-	-	-	11	95,422	414	5,58,154	11	95,422	414	5,58,154	-	414
28	West Bengal	-	-	-	-	4	9,508	238	1,11,872	4	9,508	238	1,11,872	-	238
	<b>TOTAL</b>	-	-	-	-	<b>80</b>	<b>1,87,748</b>	<b>2,812</b>	<b>15,81,180</b>	<b>80</b>	<b>1,87,748</b>	<b>2,812</b>	<b>15,81,180</b>	-	<b>2,812</b>
	UNION TERRITORIES														
1	Andaman and Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and Di	-	-	-	-	-	-	(0)	-	-	-	(0)	-	-	(0)
4	Govt. of NCT of Delhi	-	-	-	-	4	6,404	73	59,114	4	6,404	73	59,114	-	73
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	<b>TOTAL</b>	-	-	-	-	<b>4</b>	<b>6,404</b>	<b>73</b>	<b>59,114</b>	<b>4</b>	<b>6,404</b>	<b>73</b>	<b>59,114</b>	-	<b>73</b>
	<b>Grand Total</b>	-	-	-	-	<b>84</b>	<b>1,94,152</b>	<b>2,885</b>	<b>16,40,294</b>	<b>84</b>	<b>1,94,152</b>	<b>2,885</b>	<b>16,40,294</b>	-	<b>2,885</b>
	<b>IN INDIA</b>	-	-	-	-	-	-	-	-	<b>84</b>	<b>1,94,152</b>	<b>2,885</b>	<b>16,40,294</b>	-	<b>2,885</b>
	<b>OUTSIDE INDIA</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	-

(Rs. In lacs)

Geographical Distribution of Total Business- GROUP															
Sl. No.	State / Union Territory	Rural				Urban				Total Business				Renewal Premium	Total Premium (New Business and Renewal)
		No. of Schemes	No. of Lives	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured		
	STATES														
1	Andhra Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	5	(0)	25	-	5	(0)	25	-	(0)
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Chhattisgarh	-	-	-	-	-	25	(0)	125	-	25	(0)	125	-	(0)
6	Goa	-	-	-	-	3	875	18	10,768	3	875	18	10,768	-	18
7	Gujarat	-	-	-	-	8	11,377	216	64,698	8	11,377	216	64,698	-	216
8	Haryana	-	-	-	-	13	8,001	228	1,46,830	13	8,001	228	1,46,830	-	228
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	15	8,430	163	1,39,171	15	8,430	163	1,39,171	-	163
12	Kerala	-	-	-	-	2	15,787	88	8,660	2	15,787	88	8,660	-	88
13	Madhya Pradesh	-	-	-	-	3	6,790	202	1,39,266	3	6,790	202	1,39,266	-	202
14	Maharashtra	-	-	-	-	52	91,610	2,565	8,70,926	52	91,610	2,565	8,70,926	-	2,565
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Odisha	-	-	-	-	1	269	3	1,076	1	269	3	1,076	-	3
20	Punjab	-	-	-	-	1	1,454	2	14,338	1	1,454	2	14,338	-	2
21	Rajasthan	-	-	-	-	-	1,787	79	9,153	-	1,787	79	9,153	-	79
22	Sikkim	-	-	-	-	-	40	6	2,002	-	40	6	2,002	-	6
23	Tamil Nadu	-	-	-	-	8	78,568	446	1,80,586	8	78,568	446	1,80,586	-	446
24	Telangana	-	-	-	-	3	22,513	254	57,526	3	22,513	254	57,526	-	254
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttar Pradesh	-	-	-	-	18	1,40,106	596	7,53,268	18	1,40,106	596	7,53,268	-	596
28	West Bengal	-	-	-	-	6	25,984	295	1,37,990	6	25,984	295	1,37,990	-	295
	<b>TOTAL</b>	-	-	-	-	133	4,13,621	5,158	25,36,409	133	4,13,621	5,158	25,36,409	-	5,158
	UNION TERRITORIES														
1	Andaman and Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and Diu	-	-	-	-	-	-	(0)	-	-	-	(0)	-	-	(0)
4	Govt. of NCT of Delhi	-	-	-	-	11	1,30,138	1,331	3,54,654	11	1,30,138	1,331	3,54,654	-	1,331
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	<b>TOTAL</b>	-	-	-	-	11	1,30,138	1,331	3,54,654	11	1,30,138	1,331	3,54,654	-	1,331
	<b>Grand Total</b>	-	-	-	-	144	5,43,759	6,489	28,91,063	144	5,43,759	6,489	28,91,063	-	6,489
<b>IN INDIA</b>										144	5,43,759	6,489	28,91,063	-	6,489
<b>OUTSIDE INDIA</b>										-	-	-	-	-	-



FORM L-26-INVESTMENT ASSETS (LIFE INSURERS) - 3A

FORM - 3A  
(Read with Regulation 10)  
Statement as on: September 30, 2023  
Statement of Investment Assets (Life Insurers)  
(Business within India)  
Periodicity of Submission: Quarterly

Section I		(Rs. In lacs)	
No	PARTICULARS	SCH	AMOUNT
1	Investments (Shareholders)	8	60,516.65
	Investments (Policyholders)	8A	8,60,737.30
	Investments (Linked Liabilities)	8B	3,69,714.06
2	Loans	9	0.00
3	Fixed Assets	10	775.64
4	Current Assets		
	a. Cash & Bank Balance	11	4,371.19
	b. Advances & Other Assets	12	43,225.16
5	Current Liabilities		
	a. Current Liabilities	13	31,212.08
	b. Provisions	14	682.49
	c. Misc. Exp not Written Off	15	0.00
	d. Debit Balance of P&L A/c		1,61,809.56
Application of Funds as per Balance Sheet (A)			14,69,255.00
Less: Other Assets			Amount
1	Loans (if any)	9	0.00
2	Fixed Assets (if any)	10	775.64
3	Cash & Bank Balance (if any)	11	4,371.19
4	Advances & Other Assets (if any)	12	43,225.16
5	Current Liabilities	13	31,212.08
6	Provisions	14	682.49
7	Misc. Exp not Written Off	15	0.00
8	Investments held outside India		
9	Debit Balance of P&L A/c		1,61,809.56
TOTAL (B)			1,78,286.99
Investment Assets (A-B)			12,90,968.01

Reconciliation of Investment Assets		(Rs. In lacs)
Total Investment Assets (as per Balance Sheet)		12,90,968.01
Balance Sheet Value of:		
A. Life Fund		8,93,702.89
B. Pension & General Annuity and Group Business		27,551.07
C. Unit Linked Funds		3,69,714.05
		12,90,968.01

Section II

NON - LINKED BUSINESS

</

				(Rs. In lacs)					
B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS		% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
			PAR	NON PAR					
			(a)	(b)					
1	Central Govt. Sec	Not Less than 20%	0.00	21,405.34	21,405.34	78%	0.00	21,405.34	21,773.16
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not Less than 40%	0.00	23,225.78	23,225.78	84%	0.00	23,225.78	23,581.63
3	Balance in Approved investment	Not Less than 40%	0.00	4,325.29	4,325.29	16%	0.00	4,325.29	4,427.04
TOTAL PENSION, GENERAL ANNUITY FUND		100%	0.00	27,551.07	27,551.07	100%		27,551.07	28,008.67

LINKED BUSINESS			(Rs. In lacs)				
C. LINKED FUNDS			% as per Reg	PH		Total Fund	Actual %
				PAR	NON PAR		
1	Approved Investments		Not Less than 75%	13,558.72	3,28,386.43	3,41,945.15	92%
2	Other Investments		Not More than 25%	29.01	27,739.90	27,768.90	8%
TOTAL LINKED INSURANCE FUND			100%	13,587.72	3,56,126.33	3,69,714.05	100.0%

CERTIFICATION:  
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30-Oct-23

Note:

1 (+) FRSM refers to 'Funds representing Solvency Margin'

2 Funds beyond Solvency Margin shall have a separate Custody Account.

3 Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time

4 Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

5 Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

® FVC includes impairment provisions taken on bonds and equities

Chief Financial Officer

## FORM L-27-UNIT LINKED NAV - 3A

FORM 3A  
(Read with Regulation 10)  
Unit Linked Insurance Business  
Periodicity of Submission: Quarterly  
Statement as on: September 30, 2023

PART - B  
(Rs. In lacs)

	Group Superannuation & Gratuity	Group Superannuation & Gratuity Cash	Group Superannuation & Gratuity Debt	Group Superannuation & Gratuity	Group Superannuation & Gratuity
PARTICULARS	ULGF00210/03/2006GROUPBALAN122	ULGF00531/03/2006GROUPCASHF122	ULGF00310/03/2006GROUPDEBTF122	ULGF00410/03/2006GROUPGROWT122	ULGF00113/07/2005GROUPSECUR122
<b>Opening Balance (Market Value)</b>	<b>1,627.69</b>	<b>281.88</b>	<b>23,196.25</b>	<b>2,361.88</b>	<b>5,588.35</b>
Add: Inflow during the Quarter	46.35	452.99	23.32	119.35	39.95
Increase / (Decrease) Value of Inv. [Net]	30.17	4.61	243.74	48.08	81.11
Less: Outflow during the Quarter	55.04	445.72	747.16	51.00	261.83
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>1,649.17</b>	<b>293.75</b>	<b>22,716.15</b>	<b>2,478.31</b>	<b>5,447.59</b>

INVESTMENT OF UNIT FUND	ULGF00210/03/2006GROUPBALAN122		ULGF00531/03/2006GROUPCASHF122		ULGF00310/03/2006GROUPDEBTF122		ULGF00410/03/2006GROUPGROWT122		ULGF00113/07/2005GROUPSECUR122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	441.24	26.8%	245.81	83.7%	9338.99	41.1%	448.95	18.1%	1857.28	34.1%
State Government Securities	193.91	11.8%	0.00	0.0%	5324.10	23.4%	270.42	10.9%	882.36	16.2%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	255.64	15.5%	0.00	0.0%	4336.09	19.1%	172.40	7.0%	979.09	18.0%
Equity	562.35	34.1%	0.00	0.0%	0.00	0.0%	1205.79	48.7%	968.51	17.8%
Money Market Investments	140.28	8.5%	47.89	16.3%	3235.52	14.2%	185.14	7.5%	621.67	11.4%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	1593.41	96.6%	293.70	100.0%	22234.70	97.9%	2282.69	92.1%	5308.91	97.5%
Current Assets:										
Accrued Interest	18.46	1.1%	0.01	0.0%	364.84	1.6%	15.87	0.6%	72.52	1.3%
Dividend Recievable	0.01	0.0%	-	0.0%	-	0.0%	0.02	0.0%	0.02	0.0%
Bank Balance	0.09	0.0%	0.09	0.0%	4.68	0.0%	31.75	1.3%	(7.46)	-0.1%
Receivable for Sale of Investments	(0.00)	0.0%	-	0.0%	-	0.0%	7.70	0.3%	(0.00)	0.0%
Other Current Assets (for Investments)	0.01	0.0%	-	0.0%	0.37	0.0%	0.01	0.0%	0.11	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(1.73)	-0.1%	-	0.0%	(0.00)	0.0%	(9.22)	-0.4%	(0.93)	0.0%
Fund Mgmt Charges Payable	(0.26)	0.0%	(0.05)	0.0%	(3.53)	0.0%	(0.38)	0.0%	(0.84)	0.0%
Other Current Liabilities (for Investments)	(0.00)	0.0%	(0.00)	0.0%	(0.08)	0.0%	(0.01)	0.0%	(0.02)	0.0%
Sub Total (B)	16.58	1.0%	0.05	0.0%	366.29	1.6%	45.74	1.8%	63.39	1.2%
Other Investments (<=25%)	0.00		0.00		0.00		0.00		0.00	
Corporate Bonds	11.07	0.7%	0.00	0.0%	115.16	0.5%	4.43	0.2%	26.58	0.5%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	28.11	1.7%	0.00	0.0%	0.00	0.0%	46.32	1.9%	48.71	0.9%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	99.14	4.0%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	39.18	2.4%	0.00	0.0%	115.16	0.5%	149.88	6.0%	75.29	1.4%
Total (A + B + C)	1649.17	100.0%	293.75	100.0%	22716.15	100.0%	2478.32	100.0%	5447.59	100.0%
Fund Carried Forward (as per LB 2)	1649.17		293.75		22716.15		2478.32		5447.59	

**Note:**

- The aggregate of all the above Segregated Unit-Funds should reconcile with item C of FORM 3A (P. Date :
- Details of Item 12 of FORM LB 2 which forms part of IRDA (Actural Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B).
- Other Investments' are as permitted under Sec 27A(2)
- Market value of the securities considered for NAV calculation shall be taken for the purpose of applicability of Pattern of Investments for ULIP
- All the assets of linked funds at the segregated level including net current assets shall be considered in the denominator for limit monitoring

Chief Financial Officer

Date :  
30-Oct-2023

## FORM L-27-UNIT LINKED NAV - 3A

FORM 3A  
(Read with Regulation 10)  
Unit Linked Insurance Business  
Periodicity of Submission: Quarterly  
Statement as on: September 30, 2023

PART - B  
(Rs. In lacs)

	Grp Superann-Short Term Debt fund	Pension Unit Linked Balanced Fund	Pension Unit Linked Balanced - II Fund	Pension Unit linked Growth fund	Pension Unit Linked Growth - II Fund
PARTICULARS	ULGF00613/02/2009GROUPSDEBT122	ULIF00311/02/2003PNSBALANCE122	ULIF02325/01/2010PNBALAN-II122	ULIF00703/03/2005PNSNGROWTH122	ULIF02425/01/2010PNGROWT-II122
<b>Opening Balance (Market Value)</b>	<b>27.04</b>	<b>4,090.93</b>	<b>622.15</b>	<b>4,147.02</b>	<b>1,069.99</b>
Add: Inflow during the Quarter	0.06	319.92	61.22	120.12	59.86
Increase / (Decrease) Value of Inv. [Net]	0.41	72.66	11.05	75.33	19.74
Less: Outflow during the Quarter	0.00	140.72	14.20	226.83	68.29
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>27.51</b>	<b>4,342.79</b>	<b>680.21</b>	<b>4,115.64</b>	<b>1,081.30</b>

INVESTMENT OF UNIT FUND	ULGF00613/02/2009GROUPSDEBT122		ULIF00311/02/2003PNSBALANCE122		ULIF02325/01/2010PNBALAN-II122		ULIF00703/03/2005PNSNGROWTH122		ULIF02425/01/2010PNGROWT-II122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	26.42	96.0%	1303.88	30.0%	179.74	26.4%	762.79	18.5%	199.62	18.5%
State Government Securities	0.00	0.0%	598.67	13.8%	116.27	17.1%	358.96	8.7%	117.56	10.9%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	547.96	12.6%	63.12	9.3%	406.77	9.9%	83.00	7.7%
Equity	0.00	0.0%	1487.32	34.2%	223.84	32.9%	2024.02	49.2%	537.12	49.7%
Money Market Investments	1.00	3.6%	242.76	5.6%	79.53	11.7%	258.37	6.3%	71.41	6.6%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	27.42	99.7%	4180.60	96.3%	662.49	97.4%	3810.91	92.6%	1008.71	93.3%
Current Assets:										
Accrued Interest	(0.00)	0.0%	48.73	1.1%	6.69	1.0%	30.07	0.7%	7.02	0.6%
Dividend Recievable	-	0.0%	0.03	0.0%	0.00	0.0%	0.04	0.0%	0.01	0.0%
Bank Balance	0.09	0.3%	10.10	0.2%	0.14	0.0%	8.12	0.2%	(2.52)	-0.2%
Receivable for Sale of Investments	-	0.0%	(0.00)	0.0%	(0.00)	0.0%	12.21	0.3%	3.38	0.3%
Other Current Assets (for Investments)	-	0.0%	0.01	0.0%	0.01	0.0%	0.01	0.0%	0.01	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	-	0.0%	(1.45)	0.0%	(0.22)	0.0%	(17.18)	-0.4%	(5.39)	-0.5%
Fund Mgmt Charges Payable	(0.00)	0.0%	(1.05)	0.0%	(0.18)	0.0%	(1.19)	0.0%	(0.28)	0.0%
Other Current Liabilities (for Investments)	(0.00)	0.0%	(0.01)	0.0%	(0.00)	0.0%	(0.01)	0.0%	(0.00)	0.0%
Sub Total (B)	0.09	0.3%	56.36	1.3%	6.45	0.9%	32.07	0.8%	2.22	0.2%
Other Investments (<=25%)	0.00		0.00		0.00		0.00		0.00	
Corporate Bonds	0.00	0.0%	31.01	0.7%	0.00	0.0%	17.72	0.4%	6.64	0.6%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	0.00	0.0%	74.82	1.7%	11.27	1.7%	78.94	1.9%	20.55	1.9%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	176.00	4.3%	43.18	4.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	0.00	0.0%	105.83	2.4%	11.27	1.7%	272.67	6.6%	70.37	6.5%
Total (A + B + C)	27.51	100.0%	4342.79	100.0%	680.21	100.0%	4115.64	100.0%	1081.30	100.0%
Fund Carried Forward (as per LB 2)	27.51		4342.79		680.21		4115.64		1081.30	

## FORM L-27-UNIT LINKED NAV - 3A

FORM 3A  
(Read with Regulation 10)  
Unit Linked Insurance Business  
Periodicity of Submission: Quarterly  
Statement as on: September 30, 2023

PART - B  
(Rs. In lacs)

	Pension Unit Linked Infrastructure fund	Pension Unit Linked Index Fund	Pension Unit Linked Index - II fund	Pension Unit Linked PSU fund	Pension Unit linked Secure fund
PARTICULARS	ULIF02525/01/2010PNSNINFRAF122	ULIF01122/01/2008PNSNINDEXF122	ULIF02625/01/2010PNINDEX-II122	ULIF02725/01/2010PNSNPSUFND122	ULIF00803/03/2005PNSNSECURE122
<b>Opening Balance (Market Value)</b>	<b>265.03</b>	<b>11,852.42</b>	<b>2,602.00</b>	<b>816.53</b>	<b>1,076.15</b>
Add: Inflow during the Quarter	20.08	123.06	53.02	17.22	8.03
Increase / (Decrease) Value of Inv. [Net]	22.18	342.30	71.86	144.14	14.02
Less: Outflow during the Quarter	2.20	551.06	154.80	50.41	45.99
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>305.10</b>	<b>11,766.72</b>	<b>2,572.08</b>	<b>927.48</b>	<b>1,052.21</b>

INVESTMENT OF UNIT FUND	ULIF02525/01/2010PNSNINFRAF122		ULIF01122/01/2008PNSNINDEXF122		ULIF02625/01/2010PNINDEX-II122		ULIF02725/01/2010PNSNPSUFND122		ULIF00803/03/2005PNSNSECURE122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	366.42	34.8%
State Government Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	169.23	16.1%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	201.69	19.2%
Equity	287.70	94.3%	9866.26	83.8%	2150.34	83.6%	818.43	88.2%	160.32	15.2%
Money Market Investments	6.80	2.2%	155.34	1.3%	39.89	1.6%	20.69	2.2%	96.96	9.2%
Mutual funds	0.00	0.0%	122.35	1.0%	33.70	1.3%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	294.50	96.5%	10143.95	86.2%	2223.93	86.5%	839.13	90.5%	994.63	94.5%
Current Assets:										
Accrued Interest	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	16.39	1.6%
Dividend Recievable	0.02	0.0%	(0.00)	0.0%	-	0.0%	1.12	0.1%	0.00	0.0%
Bank Balance	0.25	0.1%	47.20	0.4%	7.65	0.3%	(6.58)	-0.7%	0.05	0.0%
Receivable for Sale of Investments	2.88	0.9%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%
Other Current Assets (for Investments)	0.00	0.0%	(0.00)	0.0%	(0.00)	0.0%	-	0.0%	0.03	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(2.72)	-0.9%	(66.33)	-0.6%	(15.88)	-0.6%	-	0.0%	(0.13)	0.0%
Fund Mgmt Charges Payable	(0.08)	0.0%	(1.67)	0.0%	(0.49)	0.0%	(0.24)	0.0%	(0.20)	0.0%
Other Current Liabilities (for Investments)	(0.00)	0.0%	(0.01)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%
Sub Total (B)	0.35	0.1%	-20.81	-0.2%	-8.72	-0.3%	-5.70	-0.6%	16.14	1.5%
Other Investments (<=25%)	0.00		0.00		0.00		0.00		0.00	
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	33.22	3.2%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	10.25	3.4%	153.88	1.3%	33.76	1.3%	0.00	0.0%	8.22	0.8%
Mutual funds- Exchange traded funds	0.00	0.0%	1489.70	12.7%	323.11	12.6%	94.05	10.1%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	10.25	3.4%	1643.58	14.0%	356.87	13.9%	94.05	10.1%	41.44	3.9%
Total (A + B + C)	305.10	100.0%	11766.72	100.0%	2572.08	100.0%	927.48	100.0%	1052.21	100.0%
Fund Carried Forward (as per LB 2)	305.10		11766.72		2572.08		927.48		1052.21	

## FORM L-27-UNIT LINKED NAV - 3A

FORM 3A  
(Read with Regulation 10)  
Unit Linked Insurance Business  
Periodicity of Submission: Quarterly  
Statement as on: September 30, 2023

PART - B  
(Rs. In lacs)

	Pension Unit Linked Protector Fund	Pension Unit Linked Protector -II fund	Pension Unifised with Profit fund	Unit Linked Balanced fund	Unit Linked Balanced- II fund
PARTICULARS	ULIF01408/02/2008PNSPROTECT122	ULIF02825/01/2010PNPROTE-II122	ULIF00411/02/2003PNSWPROFIT122	ULIF00106/06/2002LIFBALANCE122	ULIF01508/01/2010LIBALAN-II122
<b>Opening Balance (Market Value)</b>	<b>1,424.63</b>	<b>212.41</b>	<b>1,398.46</b>	<b>24,707.81</b>	<b>14,779.23</b>
Add: Inflow during the Quarter	43.49	8.25	51.67	496.18	1,761.02
Increase / (Decrease) Value of Inv. [Net]	18.17	2.30	22.36	416.75	267.00
Less: Outflow during the Quarter	290.82	26.76	91.10	1,595.33	2,308.55
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>1,195.46</b>	<b>196.20</b>	<b>1,381.38</b>	<b>24,025.40</b>	<b>14,498.71</b>

INVESTMENT OF UNIT FUND	ULIF01408/02/2008PNSPROTECT122		ULIF02825/01/2010PNPROTE-II122		ULIF00411/02/2003PNSWPROFIT122		ULIF00106/06/2002LIFBALANCE122		ULIF01508/01/2010LIBALAN-II122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	411.55	34.4%	67.83	34.6%	476.78	34.5%	7317.38	30.5%	3627.87	25.0%
State Government Securities	249.65	20.9%	52.66	26.8%	609.28	44.1%	3076.76	12.8%	2052.03	14.2%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	192.10	16.1%	14.57	7.4%	100.06	7.2%	3463.30	14.4%	1706.44	11.8%
Equity	180.64	15.1%	27.36	13.9%	159.64	11.6%	8097.67	33.7%	5681.55	39.2%
Money Market Investments	125.92	10.5%	22.35	11.4%	35.79	2.6%	1192.70	5.0%	1072.12	7.4%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	1159.86	97.0%	184.77	94.2%	1381.54	100.0%	23147.81	96.3%	14140.02	97.5%
Current Assets:										
Accrued Interest	13.58	1.1%	2.29	1.2%	26.58	1.9%	269.80	1.1%	138.29	1.0%
Dividend Recievable	0.00	0.0%	0.00	0.0%	-	0.0%	0.11	0.0%	0.08	0.0%
Bank Balance	0.04	0.0%	1.16	0.6%	(29.88)	-2.2%	(9.94)	0.0%	(100.85)	-0.7%
Receivable for Sale of Investments	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%
Other Current Assets (for Investments)	0.02	0.0%	0.01	0.0%	(0.00)	0.0%	0.07	0.0%	0.03	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(0.18)	0.0%	(0.01)	0.0%	-	0.0%	(5.82)	0.0%	(31.89)	-0.2%
Fund Mgmt Charges Payable	(0.23)	0.0%	(0.05)	0.0%	-	0.0%	(5.82)	0.0%	(3.82)	0.0%
Other Current Liabilities (for Investments)	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.06)	0.0%	(0.03)	0.0%
Sub Total (B)	13.23	1.1%	3.39	1.7%	-3.30	-0.2%	248.34	1.0%	1.83	0.0%
Other Investments (<=25%)	0.00		0.00		0.00		0.00		0.00	
Corporate Bonds	13.29	1.1%	6.64	3.4%	0.00	0.0%	221.47	0.9%	70.87	0.5%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	9.09	0.8%	1.39	0.7%	3.14	0.2%	407.78	1.7%	285.99	2.0%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	22.38	1.9%	8.03	4.1%	3.14	0.2%	629.25	2.6%	356.86	2.5%
Total (A + B + C)	1195.46	100.0%	196.20	100.0%	1381.38	100.0%	24025.40	100.0%	14498.71	100.0%
Fund Carried Forward (as per LB 2)	1195.46		196.20		1381.38		24025.40		14498.71	

## FORM L-27-UNIT LINKED NAV - 3A

FORM 3A  
(Read with Regulation 10)  
Unit Linked Insurance Business  
Periodicity of Submission: Quarterly  
Statement as on: September 30, 2023

PART - B  
(Rs. In lacs)

	Unit Linked Debt Fund	Unit Linked Debt -II Fund	Unit Linked Enhancer fund	Unit Linked Enhancer - II fund	Unit Linked Growth Fund
PARTICULARS	ULIF01306/02/2008LIFEDEBTFU122	ULIF01608/01/2010LIFDEBT-II122	ULIF01230/01/2008LIENHANCER122	ULIF01708/01/2010LIFENHN-II122	ULIF00527/01/2004LIFEGROWTH122
<b>Opening Balance (Market Value)</b>	<b>525.67</b>	<b>7,900.32</b>	<b>6,073.28</b>	<b>76,772.75</b>	<b>72,736.15</b>
Add: Inflow during the Quarter	307.28	787.49	308.59	7,905.52	1,333.55
Increase / (Decrease) Value of Inv. [Net]	3.83	68.50	152.80	2,038.68	1,575.55
Less: Outflow during the Quarter	330.81	1,488.64	355.51	8,734.84	3,999.10
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>505.97</b>	<b>7,267.66</b>	<b>6,179.16</b>	<b>77,982.12</b>	<b>71,646.15</b>

INVESTMENT OF UNIT FUND	ULIF01306/02/2008LIFEDEBTFU122		ULIF01608/01/2010LIFDEBT-II122		ULIF01230/01/2008LIENHANCER122		ULIF01708/01/2010LIFENHN-II122		ULIF00527/01/2004LIFEGROWTH122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	214.17	42.3%	2983.97	41.1%	0.00	0.0%	0.00	0.0%	7418.78	10.4%
State Government Securities	178.25	35.2%	1058.52	14.6%	0.00	0.0%	0.00	0.0%	3588.00	5.0%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	32.69	6.5%	2110.80	29.0%	0.00	0.0%	0.00	0.0%	4088.29	5.7%
Equity	0.00	0.0%	0.00	0.0%	5189.65	84.0%	65103.48	83.5%	47413.77	66.2%
Money Market Investments	64.55	12.8%	951.99	13.1%	63.48	1.0%	1358.20	1.7%	2710.94	3.8%
Mutual funds	0.00	0.0%	0.00	0.0%	61.67	1.0%	763.72	1.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
<b>Sub Total (A)</b>	<b>489.66</b>	<b>96.8%</b>	<b>7105.28</b>	<b>97.8%</b>	<b>5314.79</b>	<b>86.0%</b>	<b>67225.39</b>	<b>86.2%</b>	<b>65219.78</b>	<b>91.0%</b>
Current Assets:										
Accrued Interest	7.13	1.4%	130.35	1.8%	0.02	0.0%	(0.00)	0.0%	297.74	0.4%
Dividend Recievable	-	0.0%	-	0.0%	0.10	0.0%	1.28	0.0%	0.90	0.0%
Bank Balance	2.63	0.5%	(54.87)	-0.8%	27.86	0.5%	427.10	0.5%	143.20	0.2%
Receivable for Sale of Investments	-	0.0%	-	0.0%	28.51	0.5%	339.53	0.4%	287.06	0.4%
Other Current Assets (for Investments)	0.01	0.0%	0.27	0.0%	(0.00)	0.0%	(0.00)	0.0%	0.08	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(0.00)	0.0%	(0.00)	0.0%	(34.41)	-0.6%	(659.47)	-0.8%	(413.26)	-0.6%
Fund Mgmt Charges Payable	(0.10)	0.0%	(1.91)	0.0%	(2.08)	0.0%	(20.08)	0.0%	(20.74)	0.0%
Other Current Liabilities (for Investments)	(0.00)	0.0%	(0.03)	0.0%	(0.01)	0.0%	(0.07)	0.0%	(0.07)	0.0%
<b>Sub Total (B)</b>	<b>9.66</b>	<b>1.9%</b>	<b>73.80</b>	<b>1.0%</b>	<b>19.99</b>	<b>0.3%</b>	<b>88.29</b>	<b>0.1%</b>	<b>294.92</b>	<b>0.4%</b>
Other Investments (<=25%)	0.00		0.00		0.00		0.00		0.00	
Corporate Bonds	6.64	1.3%	88.59	1.2%	0.00	0.0%	0.00	0.0%	155.03	0.2%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	0.00	0.0%	0.00	0.0%	217.40	3.5%	2680.41	3.4%	1849.90	2.6%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	626.97	10.1%	7988.02	10.2%	4126.53	5.8%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
<b>Sub Total (C)</b>	<b>6.64</b>	<b>1.3%</b>	<b>88.59</b>	<b>1.2%</b>	<b>844.37</b>	<b>13.7%</b>	<b>10668.44</b>	<b>13.7%</b>	<b>6131.46</b>	<b>8.6%</b>
<b>Total (A + B + C)</b>	<b>505.97</b>	<b>100.0%</b>	<b>7267.66</b>	<b>100.0%</b>	<b>6179.16</b>	<b>100.0%</b>	<b>77982.12</b>	<b>100.0%</b>	<b>71646.16</b>	<b>100.0%</b>
<b>Fund Carried Forward (as per LB 2)</b>	<b>505.97</b>		<b>7267.66</b>		<b>6179.16</b>		<b>77982.12</b>		<b>71646.16</b>	

## FORM L-27-UNIT LINKED NAV - 3A

FORM 3A  
(Read with Regulation 10)  
Unit Linked Insurance Business  
Periodicity of Submission: Quarterly  
Statement as on: September 30, 2023

PART - B  
(Rs. In lacs)

	Unit Linked Growth - II fund	Unit Linked Infrastructure fund	Unit Linked Index fund	Unit Linked Index - II fund	Unit Linked Protector Fund
PARTICULARS	ULIF01808/01/2010LIGROWT-II122	ULIF01908/01/2010LIFEINFRAF122	ULIF01002/01/2008LIFEINDEXF122	ULIF02008/01/2010LIFINDX-II122	ULIF00911/07/2006LIFPROTECT122
<b>Opening Balance (Market Value)</b>	<b>16,306.66</b>	<b>5,197.23</b>	<b>5,547.34</b>	<b>7,719.34</b>	<b>2,421.56</b>
Add: Inflow during the Quarter	737.05	1,628.11	80.68	189.78	162.78
Increase / (Decrease) Value of Inv. [Net]	355.83	459.58	162.09	207.70	32.29
Less: Outflow during the Quarter	1,045.58	294.53	315.03	316.15	298.68
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>16,353.95</b>	<b>6,990.38</b>	<b>5,475.08</b>	<b>7,800.67</b>	<b>2,317.95</b>

INVESTMENT OF UNIT FUND	ULIF01808/01/2010LIGROWT-II122		ULIF01908/01/2010LIFEINFRAF122		ULIF01002/01/2008LIFEINDEXF122		ULIF02008/01/2010LIFINDX-II122		ULIF00911/07/2006LIFPROTECT122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	1701.99	10.4%	0.00	0.0%	0.00	0.0%	0.00	0.0%	816.55	35.2%
State Government Securities	952.14	5.8%	0.00	0.0%	0.00	0.0%	0.00	0.0%	322.20	13.9%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	756.52	4.6%	0.00	0.0%	0.00	0.0%	0.00	0.0%	502.21	21.7%
Equity	10798.73	66.0%	6529.44	93.4%	4601.70	84.0%	6492.04	83.2%	353.18	15.2%
Money Market Investments	675.51	4.1%	199.33	2.9%	82.77	1.5%	145.75	1.9%	231.39	10.0%
Mutual funds	0.00	0.0%	0.00	0.0%	57.64	1.1%	79.44	1.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	14884.91	91.0%	6728.77	96.3%	4742.11	86.6%	6717.23	86.1%	2225.53	96.0%
Current Assets:										
Accrued Interest	63.61	0.4%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	32.03	1.4%
Dividend Recievable	0.20	0.0%	0.46	0.0%	-	0.0%	-	0.0%	0.01	0.0%
Bank Balance	48.01	0.3%	45.16	0.6%	25.03	0.5%	65.06	0.8%	25.27	1.1%
Receivable for Sale of Investments	65.71	0.4%	51.55	0.7%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%
Other Current Assets (for Investments)	0.01	0.0%	0.00	0.0%	(0.00)	0.0%	(0.00)	0.0%	0.04	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(88.38)	-0.5%	(66.55)	-1.0%	(32.18)	-0.6%	(45.68)	-0.6%	(0.32)	0.0%
Fund Mgmt Charges Payable	(4.25)	0.0%	(1.80)	0.0%	(0.78)	0.0%	(2.01)	0.0%	(0.45)	0.0%
Other Current Liabilities (for Investments)	(0.02)	0.0%	(0.01)	0.0%	(0.00)	0.0%	(0.01)	0.0%	(0.01)	0.0%
Sub Total (B)	84.89	0.5%	28.81	0.4%	-7.93	-0.1%	17.36	0.2%	56.57	2.4%
Other Investments (<=25%)	0.00		0.00		0.00		0.00		0.00	
Corporate Bonds	26.58	0.2%	0.00	0.0%	0.00	0.0%	0.00	0.0%	17.72	0.8%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	421.33	2.6%	232.81	3.3%	71.56	1.3%	101.58	1.3%	18.12	0.8%
Mutual funds- Exchange traded funds	936.25	5.7%	0.00	0.0%	669.34	12.2%	964.49	12.4%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	1384.16	8.5%	232.81	3.3%	740.90	13.5%	1066.07	13.7%	35.84	1.5%
Total (A + B + C)	16353.95	100.0%	6990.38	100.0%	5475.08	100.0%	7800.67	100.0%	2317.95	100.0%
Fund Carried Forward (as per LB 2)	16353.95		6990.38		5475.08		7800.67		2317.95	

## FORM L-27-UNIT LINKED NAV - 3A

FORM 3A  
(Read with Regulation 10)  
Unit Linked Insurance Business  
Periodicity of Submission: Quarterly  
Statement as on: September 30, 2023

PART - B  
(Rs. In lacs)

	Unit Linked Protector - II fund	Unit Linked PSU fund	Unit Linked Secure fund	Unitised with Profit fund	Unit Linked Liquid Fund
PARTICULARS	ULIF02108/01/2010LIPROTE-II122	ULIF02208/01/2010LIFEPSUFND122	ULIF00627/01/2004LIFESECURE122	ULIF00225/06/2002LIFWPROFIT122	ULIF02903/05/2010LIFELIQUID122
Opening Balance (Market Value)	5,380.87	10,375.01	10,208.00	12,278.91	-
Add: Inflow during the Quarter	1,025.20	3,106.64	237.73	301.86	-
Increase / (Decrease) Value of Inv. [Net]	67.42	2,016.02	138.50	191.76	-
Less: Outflow during the Quarter	1,425.62	656.73	704.47	566.17	-
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>5,047.87</b>	<b>14,840.93</b>	<b>9,879.75</b>	<b>12,206.34</b>	<b>-</b>

INVESTMENT OF UNIT FUND	ULIF02108/01/2010LIPROTE-II122		ULIF02208/01/2010LIFEPSUFND122		ULIF00627/01/2004LIFESECURE122		ULIF00225/06/2002LIFWPROFIT122		ULIF02903/05/2010LIFELIQUID122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	1818.49	36.0%	0.00	0.0%	3489.90	35.3%	4723.31	38.7%	0.00	0.0%
State Government Securities	954.30	18.9%	0.00	0.0%	1201.54	12.2%	4994.39	40.9%	0.00	0.0%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	895.31	17.7%	0.00	0.0%	2343.24	23.7%	850.48	7.0%	0.00	0.0%
Equity	779.99	15.5%	12841.74	86.5%	1486.22	15.0%	1373.68	11.3%	0.00	0.0%
Money Market Investments	486.57	9.6%	483.12	3.3%	1273.95	12.9%	129.75	1.1%	0.00	0.0%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	4934.65	97.8%	13324.86	89.8%	9794.85	99.1%	12071.60	98.9%	0.00	0.0%
Current Assets:										
Accrued Interest	69.31	1.4%	0.01	0.0%	140.19	1.4%	236.99	1.9%	-	0.0%
Dividend Recievable	0.01	0.0%	15.35	0.1%	0.03	0.0%	-	0.0%	-	0.0%
Bank Balance	(26.71)	-0.5%	59.54	0.4%	(127.21)	-1.3%	(128.11)	-1.0%	-	0.0%
Receivable for Sale of Investments	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	-	0.0%
Other Current Assets (for Investments)	0.10	0.0%	(0.00)	0.0%	0.14	0.0%	(0.00)	0.0%	-	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(0.61)	0.0%	(29.81)	-0.2%	(1.28)	0.0%	-	0.0%	-	0.0%
Fund Mgmt Charges Payable	(1.33)	0.0%	(3.72)	0.0%	(1.93)	0.0%	-	0.0%	-	0.0%
Other Current Liabilities (for Investments)	(0.01)	0.0%	(0.01)	0.0%	(0.04)	0.0%	(0.00)	0.0%	-	0.0%
Sub Total (B)	40.76	0.8%	41.36	0.3%	9.91	0.1%	108.88	0.9%	0.00	0.0%
Other Investments (<=25%)	0.00		0.00		0.00		0.00		0.00	
Corporate Bonds	33.22	0.7%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	39.24	0.8%	0.00	0.0%	74.99	0.8%	25.87	0.2%	0.00	0.0%
Mutual funds- Exchange traded funds	0.00	0.0%	1474.71	9.9%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	72.46	1.4%	1474.71	9.9%	74.99	0.8%	25.87	0.2%	0.00	0.0%
Total (A + B + C)	5047.87	100.0%	14840.93	100.0%	9879.75	100.0%	12206.34	100.0%	0.00	0.0%
Fund Carried Forward (as per LB 2)	5047.87		14840.93		9879.75		12206.34		0.00	0.00



## FORM L-27-UNIT LINKED NAV - 3A

FORM 3A  
(Read with Regulation 10)  
Unit Linked Insurance Business  
Periodicity of Submission: Quarterly  
Statement as on: September 30, 2023

PART - B  
(Rs. In lacs)

	Unit Linked Wealth Builder fund	Group Superannuation & Gratuity	Unit Linked Dynamic P/E Fund	Discontinued Policy Fund	Total of All Funds
PARTICULARS	ULIF03020/07/2010LIFEWEALTH122	ULGF00728/03/2011GROUPINCOM122	ULIF03201/08/2011LIFDYNAMIC122	ULIF03127/01/2011LIDISCLCY122	
<b>Opening Balance (Market Value)</b>	-	<b>0.00</b>	<b>8,182.73</b>	<b>16,845.79</b>	<b>3,66,619.45</b>
Add: Inflow during the Quarter	-	-	139.37	2,693.64	24,770.42
Increase / (Decrease) Value of Inv. [Net]	-	-	193.44	258.40	9,830.35
Less: Outflow during the Quarter	-	-	343.66	3,502.83	31,506.16
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	-	<b>0.00</b>	<b>8,171.88</b>	<b>16,295.00</b>	<b>3,69,714.04</b>

INVESTMENT OF UNIT FUND	ULIF03020/07/2010LIFEWEALTH122		ULGF00728/03/2011GROUPINCOM122		ULIF03201/08/2011LIFDYNAMIC122		ULIF03127/01/2011LIDISCLCY122		Total of All Funds	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	0.00	0.0%	0.00	0.0%	988.44	12.1%	12496.04	76.7%	63724.19	17.2%
State Government Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	27321.20	7.4%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	24101.75	6.5%
Equity	0.00	0.0%	0.00	0.0%	6000.51	73.4%	0.00	0.0%	203403.00	55.0%
Money Market Investments	0.00	0.0%	0.00	0.0%	181.83	2.2%	3484.19	21.4%	20175.45	5.5%
Mutual funds	0.00	0.0%	0.00	0.0%	67.08	0.8%	0.00	0.0%	1185.60	0.3%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	0.00	0.0%	0.00	0.0%	7237.86	88.6%	15980.23	98.1%	3,39,911.20	91.9%
Current Assets:										
Accrued Interest	-	0.0%	(0.00)	-0.1%	(0.00)	0.0%	(0.00)	0.0%	2,008.51	0.5%
Dividend Recievable	-	0.0%	-	0.0%	0.12	0.0%	-	0.0%	19.93	0.0%
Bank Balance	-	0.0%	0.00	100.1%	12.99	0.2%	316.35	1.9%	815.47	0.2%
Receivable for Sale of Investments	-	0.0%	-	0.0%	34.58	0.4%	-	0.0%	833.11	0.2%
Other Current Assets (for Investments)	-	0.0%	-	0.0%	(0.00)	0.0%	-	0.0%	1.34	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		-	0.0%
Payable for Investments	-	0.0%	-	0.0%	(27.62)	-0.3%	-	0.0%	(1,558.63)	-0.4%
Fund Mgmt Charges Payable	-	0.0%	-	0.0%	(2.12)	0.0%	(1.56)	0.0%	(85.20)	0.0%
Other Current Liabilities (for Investments)	-	0.0%	-	0.0%	(0.02)	0.0%	(0.02)	0.0%	(0.58)	0.0%
Sub Total (B)	0.00	0.0%	0.00	100.0%	17.92	0.2%	314.77	1.9%	2,033.94	0.6%
Other Investments (<=25%)	0.00		0.00		0.00		0.00		-	
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	885.88	0.2%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	0.00	0.0%	0.00	0.0%	230.32	2.8%	0.00	0.0%	7185.76	1.9%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	685.77	8.4%	0.00	0.0%	19697.26	5.3%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	0.00	0.0%	0.00	0.0%	916.09	11.2%	0.00	0.0%	27,768.90	7.5%
Total (A + B + C)	0.00	0.0%	0.00	100.0%	8171.88	100.0%	16295.00	100.0%	3,69,714.04	100.0%
Fund Carried Forward (as per LB 2)	0.00		0.00		8171.88		16295.00		3,69,714.04	

FORM L-28-UNIT LINKED NAV - 3A

FORM - 3A  
(Read with Regulation 10)  
Link to FORM 3A (Part B)  
Statement as on: September 30, 2023  
Periodicity of Submission: Quarterly  
Statement of NAV of Segregated Funds

(Rs. In lacs)

No	Fund Name	SFIN	Date of Launch	Par/Non Par	Assets Under Management on the above date	NAV as per LB 2	NAV as on the above date*	Previous Qtr NAV	2nd Previous Qtr NAV	3rd Previous Qtr NAV	4th Previous Qtr NAV	Return/Yield	3 Year Rolling CAGR	Highest NAV since Inception
1	Unit Linked Balanced fund	ULIF00106/06/2002LIFBALANCEI122	04-Jun-02	Non Par	24,025.40	98.9000	98.9000	97.2427	92.3262	92.9092	90.3361	9.5%	11.0%	99.9099
2	Pension Unit Linked Balanced Fund	ULIF00311/02/2003PNSBALANCEI122	11-Feb-03	Non Par	4,342.79	76.3596	76.3596	75.0828	71.3706	71.9102	70.0106	9.1%	10.8%	77.1591
3	Unit Linked Growth Fund	ULIF00527/01/2004LIFEGROWTHI122	27-Jan-04	Non Par	71,646.15	98.6836	98.6836	96.5891	88.7568	91.4306	87.6918	12.5%	18.4%	100.8983
4	Unit Linked Secure fund	ULIF00627/01/2004LIFESECUREI122	27-Jan-04	Non Par	9,879.75	39.9989	39.9989	39.4571	38.0939	37.8790	37.0655	7.9%	8.1%	40.1923
5	Pension Unit linked Growth fund	ULIF00703/03/2005PNSNGROWTHI122	03-Mar-05	Non Par	4,115.64	54.3274	54.3274	53.3538	49.8343	50.7976	49.1084	10.6%	14.4%	55.2455
6	Pension Unit linked Secure fund	ULIF00803/03/2005PNSNSECUREI122	03-Mar-05	Non Par	1,052.21	36.0628	36.0628	35.5914	34.3637	34.1870	33.4525	7.8%	6.7%	36.2458
7	Group Superannuation & Gratuity Secure Fund	ULGR00113/07/2005GROUPSECUR122	13-Jul-05	Non Par	5,447.59	39.8483	39.8483	39.2709	37.8553	37.6692	36.8257	8.2%	8.4%	40.0655
8	Group Superannuation & Gratuity Debt Fund	ULGR00310/03/2006GROUPDEBT122	10-Mar-06	Non Par	22,716.15	34.5773	34.5773	34.2135	33.4794	32.9841	32.4295	6.6%	4.7%	34.6340
9	Group Superannuation & Gratuity Balanced Fund	ULGF00210/03/2006GROUPBALAN122	10-Mar-06	Non Par	1,649.17	39.3213	39.3213	38.6043	36.6639	36.8426	35.7727	9.9%	11.3%	39.7087
10	Group Superannuation & Gratuity Growth Fund	ULGF00410/03/2006GROUPGROWT122	10-Mar-06	Non Par	2,478.31	51.0545	51.0545	50.0319	46.6955	47.4465	45.7422	11.6%	15.3%	51.8626
11	Group Superannuation & Gratuity Cash Fund	ULGF00531/03/2006GROUPCASHF122	31-Mar-06	Non Par	293.75	30.7426	30.7426	30.2817	29.8280	29.4264	29.0598	5.8%	3.9%	30.7426
12	Unit Linked Protector Fund	ULIF00911/07/2006LIFPROTECTI122	11-Jul-06	Non Par	2,317.95	33.8226	33.8226	33.3739	32.2137	32.0427	31.3178	8.0%	8.2%	33.9925
13	Unit Linked Index fund	ULIF01002/01/2008LIFEINDEXF122	02-Jan-08	Non Par	5,475.08	34.4810	34.4810	33.4945	30.3399	31.3908	29.6179	16.4%	23.7%	35.4211
14	Pension Unit Linked Index Fund	ULIF01122/01/2008PNSINDEXF122	22-Jan-08	Non Par	11,766.72	42.4630	42.4630	41.2619	37.3646	38.6674	36.4748	16.4%	23.7%	43.6180
15	Unit Linked Enhancer fund	ULIF01230/01/2008LIENHANCER122	30-Jan-08	Non Par	6,179.16	45.2578	45.2578	44.1446	39.8081	41.5557	39.6628	14.1%	22.9%	46.5609
16	Unit Linked Debt Fund	ULIF01306/02/2008LIFEDEBTFU122	04-Feb-08	Non Par	505.97	29.9286	29.9286	29.6400	28.9997	28.5707	28.0948	6.5%	4.8%	29.9854
17	Pension Unit Linked Protector Fund	ULIF01408/02/2008PNSPROTECTI122	08-Feb-08	Non Par	1,195.46	29.7941	29.7941	29.4047	28.4154	28.2371	27.6243	7.9%	7.7%	29.9508
18	Grp Superann-Short Term Debt fund	ULGF00613/02/2009GROUPSDEBT122	13-Feb-09	Non Par	27.51	24.5793	24.5793	24.2139	23.8508	23.5401	23.2440	5.7%	3.8%	24.5793
19	Unit Linked PSU fund	ULIF02208/01/2010LIFEPSUFND122	08-Jan-10	Non Par	14,840.93	27.2485	27.2485	23.1721	20.6735	21.2899	18.4486	47.7%	44.9%	27.2495
20	Unit Linked Index - II fund	ULIF02008/01/2010LIFINDX-II122	08-Jan-10	Non Par	7,800.67	36.7054	36.7054	35.7417	32.4788	33.6287	31.8118	15.4%	23.0%	37.7089
21	Unit Linked Growth - II fund	ULIF01808/01/2010LIGROWTH-II122	08-Jan-10	Non Par	16,353.95	35.1678	35.1678	34.4215	31.6688	32.6118	31.3004	12.4%	18.5%	35.9479
22	Unit Linked Balanced- II fund	ULIF01508/01/2010LIBALAN-II122	08-Jan-10	Non Par	14,498.71	30.2806	30.2806	29.7525	28.1389	28.3854	27.5732	9.8%	11.8%	30.6399
23	Unit Linked Enhancer - II fund	ULIF01708/01/2010LIFENHN-II122	08-Jan-10	Non Par	77,982.12	44.4099	44.4099	43.2535	39.0340	40.6627	38.8058	14.4%	23.2%	45.6860
24	Unit Linked Debt -II fund	ULIF01608/01/2010LIFEDEBT-II122	08-Jan-10	Non Par	7,267.66	24.3516	24.3516	24.1351	23.6432	23.3318	22.9771	6.0%	4.1%	24.3899
25	Unit Linked Infrastructure fund	ULIF01908/01/2010LIFEINFRAFI122	08-Jan-10	Non Par	6,990.38	25.5014	25.5014	23.5745	21.0991	21.6663	21.0407	21.2%	32.2%	25.7601
26	Unit Linked Protector - II fund	ULIF02108/01/2010LIPROTE-II122	08-Jan-10	Non Par	5,047.87	25.8590	25.8590	25.5379	24.6866	24.5539	24.0532	7.5%	7.1%	25.9952
27	Pension Unit Linked Index - II fund	ULIF02625/01/2010PNIINDEX-II122	25-Jan-10	Non Par	2,572.08	40.8052	40.8052	39.7048	36.0696	37.2836	35.1948	15.9%	23.4%	41.9213
28	Pension Unit Linked PSU fund	ULIF02725/01/2010PNSPSUFND122	25-Jan-10	Non Par	927.48	28.1026	28.1026	23.8134	21.2027	21.8323	18.9123	48.6%	45.2%	28.1037
29	Pension Unit Linked Balanced - II fund	ULIF02325/01/2010PNBALAN-II122	25-Jan-10	Non Par	680.21	28.8392	28.8392	28.3630	26.9317	27.0613	26.3530	9.4%	11.1%	29.1248
30	Pension Unit Linked Growth - II fund	ULIF02425/01/2010PNGROWTH-II122	25-Jan-10	Non Par	1,081.30	33.1510	33.1510	32.5405	30.4619	31.0050	29.9613	10.6%	14.7%	33.6811
31	Pension Unit Linked Infrastructure fund	ULIF02525/01/2010PNSINFRAFI122	25-Jan-10	Non Par	305.10	25.9000	25.9000	23.9617	21.4126	22.0219	21.3984	21.0%	32.2%	26.1711
32	Pension Unit Linked Protector -II fund	ULIF02825/01/2010PNPROTE-II122	25-Jan-10	Non Par	196.20	24.0376	24.0376	23.7570	22.9500	22.8268	22.3769	7.4%	7.3%	24.1567
33	Unit Linked Liquid fund	ULIF02903/05/2010LIFEQUIDLI122	03-May-10	Non Par	-	NA	NA	NA						12.4150
34	Unit Linked Wealth Builder fund	ULIF03020/07/2010LIFEWEALTHI122	20-Jul-10	Non Par	-	NA	NA	NA						18.8686
35	Discontinued Policy Fund	ULIF03127/07/2011LIDISCLCYI122	27-Jan-11	Non Par	16,295.00	21.6604	21.6604	21.3180	20.9769	20.6685	20.3973	6.2%	4.3%	21.6604
36	Group Superannuation & Gratuity Income fund	ULGR00728/03/2011GROUPINCOMI122	25-Mar-11	Non Par	0.00	17.0280	17.0280	17.0280	17.0280	17.0280	17.0280	0.0%	0.0%	17.0397
37	Unit Linked Dynamic P/E Fund	ULIF03201/08/2011LIFDYNAMICI122	01-Aug-11	Non Par	8,171.88	36.0467	36.0467	35.2012	32.1353	33.1343	31.8205	13.3%	20.0%	36.8529
38	Unitised with Profit fund	ULIF00225/06/2002LIFWPROHTI122	25-Jun-02	Par	12,206.34	55.6764	55.6764	53.0094	50.4972	48.1297	45.8242	21.5%	16.8%	55.6764
39	Pension Unitised with Profit fund	ULIF00411/02/2003PNSWPROHTI122	11-Feb-03	Par	1,381.38	56.4090	56.4090	53.8189	51.3741	49.0653	46.8124	20.5%	15.8%	56.4090
Total					3,69,714.04									

CERTIFICATION  
Certified that the performance of all segregated funds have been placed and reviewed by the Board. All information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

DATE 30-Oct-23  
Note: 1. \* NAV should reflect the published NAV on the reporting date  
2. NAV should be upto 4 decimals  
@ 2 funds viz., Unit Linked Wealth Builder Fund and Unit Linked Liquid fund are closed ended funds and are left with no policyholders.

Chief Financial Officer

## FORM L-29- Detail regarding debt securities

## Unit Linked

Rs. In Lacs

Detail Regarding debt securities								
	MARKET VALUE				Book Value			
	As at September 30, 2023	as % of total for this class	As at September 30, 2022	as % of total for this class	As at September 30, 2023	as % of total for this class	As at September 30, 2022	as % of total for this class
<b>Break down by credit rating</b>								
AAA rated	1,24,649	91.5%	1,31,118	91.8%	1,25,261	89.5%	1,32,213	90.0%
AA or better	10,673	7.8%	10,811	7.6%	10,725	7.7%	10,758	7.3%
Rated below AA but above A	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Rated below A but above B	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Any other	886	0.7%	886	0.6%	4,000	2.9%	4,000	2.7%
	<b>1,36,208</b>	<b>100.0%</b>	<b>1,42,815</b>	<b>100.0%</b>	<b>1,39,986</b>	<b>100.0%</b>	<b>1,46,971</b>	<b>100.0%</b>
<b>BREAKDOWN BY RESIDUAL MATURITY</b>								
Up to 1 year	35,885	26.3%	57,140	40.0%	35,907	25.7%	57,138	38.9%
more than 1 year and upto 3years	23,043	16.9%	5,285	3.7%	26,406	18.9%	5,378	3.7%
More than 3years and up to 7years	26,718	19.6%	45,290	31.7%	26,980	19.3%	49,264	33.5%
More than 7 years and up to 10 years	24,393	17.9%	23,554	16.5%	24,414	17.4%	23,662	16.1%
More than 10 years and up to 15 years	18,683	13.7%	6,297	4.4%	18,756	13.4%	6,352	4.3%
More than 15 years and up to 20 years	109	0.1%	108	0.1%	112	0.1%	112	0.1%
Above 20 years	7,376	5.4%	5,141	3.6%	7,410	5.3%	5,066	3.4%
	<b>1,36,208</b>	<b>100.0%</b>	<b>1,42,815</b>	<b>100.0%</b>	<b>1,39,986</b>	<b>100.0%</b>	<b>1,46,971</b>	<b>100.0%</b>
<b>Breakdown by type of the issuer</b>								
Central Government	63,724	46.8%	83,344	58.4%	64,010	45.7%	83,845	57.0%
State Government	27,321	20.1%	16,157	11.3%	27,586	19.7%	16,562	11.3%
Corporate Securities	45,163	33.2%	43,313	30.3%	48,390	34.6%	46,565	31.7%
	<b>1,36,208</b>	<b>100.0%</b>	<b>1,42,815</b>	<b>100.0%</b>	<b>1,39,986</b>	<b>100.0%</b>	<b>1,46,971</b>	<b>100.0%</b>

## Non Unit Linked

Rs. In Lacs

Detail Regarding debt securities								
	MARKET VALUE				Book Value			
	As at September 30, 2023	as % of total for this class	As at September 30, 2022	as % of total for this class	As at September 30, 2023	as % of total for this class	As at September 30, 2022	as % of total for this class
<b>Break down by credit rating</b>								
AAA rated	9,17,127	99.8%	8,20,227	99.7%	9,17,127	99.8%	8,20,227	99.7%
AA or better	310	0.0%	327	0.0%	310	0.0%	327	0.0%
Rated below AA but above A	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Rated below A but above B	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Any other	1,904	0.2%	1,904	0.2%	1,904	0.2%	1,904	0.2%
	<b>9,19,341</b>	<b>100.0%</b>	<b>8,22,458</b>	<b>100.0%</b>	<b>9,19,341</b>	<b>100.0%</b>	<b>8,22,458</b>	<b>100.0%</b>
<b>BREAKDOWN BY RESIDUAL MATURITY</b>								
Up to 1 year	19,301	2.1%	24,242	2.9%	19,301	2.1%	24,242	2.9%
more than 1 year and upto 3years	28,336	3.1%	16,183	2.0%	28,336	3.1%	16,183	2.0%
More than 3years and up to 7years	1,39,051	15.1%	94,695	11.5%	1,39,051	15.1%	94,695	11.5%
More than 7 years and up to 10 years	1,76,358	19.2%	1,76,539	21.5%	1,76,358	19.2%	1,76,539	21.5%
More than 10 years and up to 15 years	2,89,891	31.5%	2,76,834	33.7%	2,89,891	31.5%	2,76,834	33.7%
More than 15 years and up to 20 years	1,64,143	17.9%	1,50,366	18.3%	1,64,143	17.9%	1,50,366	18.3%
Above 20 years	1,02,261	11.1%	83,599	10.2%	1,02,261	11.1%	83,599	10.2%
	<b>9,19,341</b>	<b>100.0%</b>	<b>8,22,458</b>	<b>100.0%</b>	<b>9,19,341</b>	<b>100.0%</b>	<b>8,22,458</b>	<b>100.0%</b>
<b>Breakdown by type of the issuer</b>								
Central Government	5,32,387	57.9%	5,32,581	64.8%	5,32,387	57.9%	5,32,581	64.8%
State Government	2,16,442	23.5%	1,52,703	18.6%	2,16,442	23.5%	1,52,703	18.6%
Corporate Securities	1,70,512	18.5%	1,37,174	16.7%	1,70,512	18.5%	1,37,174	16.7%
	<b>9,19,341</b>	<b>100.0%</b>	<b>8,22,458</b>	<b>100.0%</b>	<b>9,19,341</b>	<b>100.0%</b>	<b>8,22,458</b>	<b>100.0%</b>

1. In case a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

TREPS has been classified as Corporate Securities and AAA rated instrument.  
 AAA rated includes all Sovereign rated instruments#  
 Non-ULIP includes Shareholder fund.

AVIVA LIFE INSURANCE COMPANY INDIA LIMITED

FORM L-30 : Related Party Transactions

PART-A Related Party Transactions

(Rs. In lacs)

Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Q2'23-24	Upto the quarter ended September 30, 2023	Q2'22-23	Upto the quarter ended September 30, 2022
Aviva International Holdings Limited, UK	Control	NA	-	-	-	-
Dabur Invest Corp. (Partnership Firm)	Significant Influence	NA	-	-	-	-
Aviva International Insurance Limited	Significant Influence	NA	-	-	-	-
Singapore Life Limited (Earlier known as Aviva Limited, Singapore)	Significant Influence	NA	-	-	-	-
Aviva Investors Global Services Limited, UK	Significant Influence	NA	-	-	-	-
Aviva Investors Asia Pte. Limited	Significant Influence	NA	-	-	-	-
Aviva Life Services UK Limited	Significant Influence	NA	-	-	-	-
Aviva Group Holdings Limited	Significant Influence	NA	-	-	-	-
Aviva PLC	Significant Influence	NA	-	-	-	-
Sesame Group India Pvt Ltd	Significant Influence	NA	-	-	-	-
Experian Credit Information Company of India Private Limited	Entity in which relative of director is a director	NA	-	-	-	-
Key Managerial Personnel	Key Managerial Personnel	Remuneration	(239.72)	(442.97)	(438.35)	(596.61)
AVIVA Central Services UK limited	Significant Influence	Recharge for the cost towards various projects.	(2,662.03)	(2,893.63)	-	-

PART-B Related Party Transaction Balances - As at the end of the Quarter ended September 30, 2023

(Rs. In lacs)

Name of the Related Party	Nature of Relationship with the Company	Amount of Outstanding Balances including Commitments	Whether Payable / Receivable	Whether Secured? If so, Nature of consideration to be provided at the time of settlement	Details of any Guarantees given or received	Balance under Provision for doubtful debts relating to the outstanding balance receivable	Expenses recognised up to the quarter end during the year in respect of bad or doubtful debts due from the related party
AVIVA Central Services UK limited	Significant Influence	1,001.75	Receivable	NA	NA	NA	NA

## FORM L-31 LNL - 6 : Board of Directors &amp; Key Person

BOD and Key Person Information			July-September 2023
Sl. No.	Name of person	Role/designation	Details of change in the period
1	Sumithasri EV	Chairwoman, Non - Executive Independent Director	NA
2	Lee Patrick Callaghan	Non - Executive Director	NA
3	Mohit Burman	Non - Executive Director	NA
4	Pritam Das Narang	Non - Executive Director	NA
5	Suresh Mahalingam	Non - Executive Independent Director	NA
6	Randy Lianggara	Non - Executive Director	NA
7	Andrew Maitland Dinwiddie	Non - Executive Director	NA
8	Amit Agarwal	Non - Executive Independent Director	Appointed w.e.f. August 26, 2023
9	Asit Rath	Chief Executive Officer and Managing Director	NA
10	Joydev Sengupta	Head- Legal & Compliance	Designation changed to Head of Legal & Compliance w.e.f. September 28, 2023
11	Vijayalakshmi Natarajan	Chief Compliance Officer & Chief Risk Officer	Resigned on August 31, 2023
12	Akhilesh Gupta	Chief Investment officer	NA
13	Kunal Anand	Chief Operations and Customer Services Officer	NA
14	Vinit Kapahi	Head- Marketing	NA
15	Vishnu Kumar	Chief Distribution Officer	NA
16	Sonali Athalye	Chief Financial Officer	NA
17	Ajai Kumar Tripathi	Appointed Actuary	NA
18	Anaahat Singh	Head- People Function	NA
19	Gyanendra Singh	Chief Technology Officer	Joined the Organization on September 18, 2023.
20	Komal Jolly	Company Secretary	NA

Key Persons as defined in IRDAI Corporate Governance Guidelines, 2016

Note:

- a) "Key Management Person" as defined under Annexure 4 (Guidelines on appointment and reporting of Key Management Persons) of Corporate Governance  
b) In case of directors, designation to include "Independent Director / Non-executive Director / Executive Director / Managing Director/Chairman"

## FORM L-32- Solvency Margin - KT 3

## Available Solvency Margin And Solvency Ratio - Total Business

(Rs. In lacs)

Item	Description	Notes No	Adjusted Value	
			AS AT September 30, 2023	AS AT September 30, 2022
01	Available Assets in Policyholders' Fund:	1	12,52,056	11,45,944
	Deduct:			
02	Mathematical Reserves	2	12,31,480	11,22,006
03	Other Liabilities	3	14,262	18,226
04	<b>Excess in Policyholders' funds</b>		<b>6,314</b>	<b>5,711</b>
05	Available Assets in Shareholders Fund:	4	64,817	55,401
	Deduct:			
06	Other Liabilities of shareholders' fund	3	27	229
07	<b>Excess in Shareholders' funds</b>		<b>64,790</b>	<b>55,172</b>
08	Total ASM (04)+(07)		71,104	60,883
09	Total RSM		37,701	32,997
10	<b>Solvency Ratio (ASM/RSM)</b>		<b>1.89</b>	<b>1.85</b>

## Certification:

I, Ajai Kumar Tripathi, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

Place: Gurugram  
Date: 17-Oct-23

**Sd/-**  
Ajai Kumar Tripathi  
**Appointed Actuary**

## Notes

- 1) Item No. 01 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Policyholders A/C;
- 2) Item No. 02 shall be the amount of the Mathematical Reserves as mentioned in Form H;
- 3) Item No. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- 4) Item No. 05 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Shareholders A/C;

FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122  
Statement As on : September 30, 2023  
Details of Non Performing Assets-Quarterly  
Periodicity of Submission : Quarterly

Name of the Fund -LIFE FUND

(Rs. In lacs)											
NO	PARTICULARS	Bonds/Debentures		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD (As on Date)	Prev. FY (As on MAR 31, 2023)	YTD (As on Date)	Prev. FY (As on MAR 31, 2023)	YTD (As on Date)	Prev. FY (As on MAR 31, 2023)	YTD (As on Date)	Prev. FY (As on MAR 31, 2023)	YTD (As on Date)	Prev. FY (As on MAR 31, 2023)
1	Investment Assets (As per Form 5)	1,63,684.25	1,49,346.94	-	-	3,307.15	25,315.18	7,26,818.56	6,84,486.22	8,93,809.95	8,59,148.34
2	Gross NPA	2,731.64	2,731.64	-	-	-	-	-	-	2,731.64	2,731.64
3	% of Gross NPA on Investment Assets (2/1)	1.67%	1.83%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.31%	0.32%
4	Provision Made on NPA	2,310.84	2,310.84	-	-	-	-	-	-	2,310.84	2,310.84
5	Provision as a % on NPA (4/2)	84.60%	84.60%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	84.60%	84.60%
6	Provision on Standard Assets	-	-	-	-	-	-	-	0.10	-	0.10
7	Net Investment Assets (1-4)	1,61,304.98	1,46,967.67	-	-	3,307.15	25,315.18	7,26,818.56	6,84,486.22	8,91,430.69	8,56,769.07
8	Net NPA (2-4)	420.79	420.79	-	-	-	-	-	-	420.79	420.79
9	% of Net NPA to Net Investment Assets (8/7)	0.26%	0.29%	-	-	-	-	-	-	0.05%	0.05%
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

**CERTIFICATION**  
Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.

2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet

3 Gross NPA is investments classified as NPA, before any provisions

4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time

5 Net Investment assets is net of 'provisions'

6 Net NPA is gross NPAs less provisions

7 Write off as approved by the board

Bonds/Debentures include the Bonds issued by Food Corporation of India (carrying value of 8.05 cr) having a Category Code of CGSL.

Gross NPA includes redemption receivables from DHFL amounting to INR 8.27 Cr though Net Current Assets is not supposed to be part of Investment assets of Life funds

Chief Financial Officer

## FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122  
 Statement As on : September 30, 2023  
 Details of Non Performing Assets-Quarterly  
 Periodicity of Submission : Quarterly

## Name of the Fund -Pension General Annuity Fund

(Rs. In lacs)											
NO	PARTICULARS	Bonds/Debt Instruments		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD (As on Date)	Prev. FY (As on MAR 31, 2023)	YTD (As on Date)	Prev. FY (As on MAR 31, 2023)	YTD (As on Date)	Prev. FY (As on MAR 31, 2023)	YTD (As on Date)	Prev. FY (As on MAR 31, 2023)	YTD (As on Date)	Prev. FY (As on MAR 31, 2023)
1	Investment Assets (As per Form 5)	4,225.82	4,348.41	-	-	99.46	141.15	23,225.78	23,096.33	27,551.07	27,585.89
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision Made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % on NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	4,225.82	4,348.41	-	-	99.46	141.15	23,225.78	23,096.33	27,551.07	27,585.89
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

## CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- Net Investment assets is net of 'provisions'
- Net NPA is gross NPAs less provisions
- Write off as approved by the board

\_\_\_\_\_  
 Chief Financial Officer



## FORM L-33-NPAs

FORM - 7

COMPANY NAME &amp; CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement As on : September 30, 2023

Details of Non Performing Assets-Quarterly

Periodicity of Submission : Quarterly

Name of the Fund - ULIP Fund

NO	PARTICULARS	Bonds/Debtentures		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD	Prev. FY	YTD	Prev. FY	YTD	Prev. FY	YTD	Prev. FY	YTD	Prev. FY
		(As on Date)	(As on MAR 31, 2023)	(As on Date)	(As on MAR 31, 2023)	(As on Date)	(As on MAR 31, 2023)	(As on Date)	(As on MAR 31, 2023)	(As on Date)	(As on MAR 31, 2023)
1	Investment Assets (As per Form 5)	24,987.63	17,592.60	-	-	20,175.45	24,892.26	3,24,550.97	3,05,021.99	3,69,714.05	3,47,506.86
2	Gross NPA	5,586.80	5,586.80	-	-	-	-	-	-	5,586.80	5,586.80
3	% of Gross NPA on Investment Assets (2/1)	22.36%	31.76%	-	-	-	-	-	-	1.51%	1.61%
4	Provision Made on NPA	4,700.92	4,700.92	-	-	-	-	-	-	4,700.92	4,700.92
5	Provision as a % on NPA (4/2)	84.14%	84.14%	-	-	-	-	-	-	84.14%	84.14%
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)**	24,987.63	17,592.60	-	-	20,175.45	24,892.26	3,24,550.97	3,05,021.99	3,69,714.05	3,47,506.86
8	Net NPA (2-4)	885.88	885.88	-	-	-	-	-	-	885.88	885.88
9	% of Net NPA to Net Investment Assets (8/7)	3.55%	5.04%	-	-	-	-	-	-	0.24%	0.25%
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

## CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

\*\* The provisions for NPA and Standard Assets disclosed above (Rs 45.87 Cr.) are already netted off in the carrying value of ULIP assets of Rs. 3,697.14 Cr.

Chief Financial Officer

## FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1

(Read with Regulation 10)

Statement as on: September 30, 2023

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund -LIFE FUND

(Rs. In lacs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)			
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>3</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>3</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>3</sup>
<b>A</b>	<b>GOVERNMENT SECURITIES</b>													
	Central Government Bonds	CGSB	4,96,385.28	9,840.75	2.0%	2.0%	4,93,716.94	19,565.56	4.0%	4.0%	4,96,035.31	20,115.86	4.1%	4.1%
	Treasury Bills	CTRB	10,832.80	190.02	1.8%	1.8%	14,382.79	501.60	3.5%	3.5%	7,931.63	195.52	2.5%	2.5%
<b>B</b>	<b>GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES</b>													
	State Government Bonds	SGGB	2,06,726.11	3,668.73	1.8%	1.8%	1,99,627.24	7,064.97	3.5%	3.5%	1,38,010.86	4,717.66	3.4%	3.4%
	Central Government Guaranteed Loans/Bonds	CGSL	804.67	17.44	2.2%	2.2%	804.80	34.69	4.3%	4.3%	805.82	34.79	4.3%	4.3%
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	2,316.84	40.34	1.7%	1.7%	2,316.69	80.68	3.5%	3.5%	2,315.41	80.68	3.5%	3.5%
<b>C</b>	<b>HOUSING &amp; LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT</b>													
	TAXABLE BONDS													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	11,289.49	226.33	2.0%	2.0%	11,291.81	449.54	4.0%	4.0%	11,731.37	457.64	3.9%	3.9%
	<b>Bonds / Debentures issued by HUDCO</b>	HTHD	8,564.87	143.06	1.7%	1.7%	8,577.44	284.57	3.3%	3.3%	8,678.23	285.48	3.3%	3.3%
<b>D</b>	<b>INFRASTRUCTURE INVESTMENTS</b>													
	TAXABLE BONDS													
	Infrastructure - PSU - Equity shares - Quoted	ITPE	0.66	0.02	2.9%	2.9%	0.66	0.06	9.6%	9.6%	0.59	0.04	7.5%	7.5%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1.54	4.41	286.0%	286.0%	4.03	4.86	120.5%	120.5%	5.30	0.34	6.4%	6.4%
	Infrastructure - PSU - Debentures / Bonds	IPTD	1,18,237.06	2,224.34	1.9%	1.9%	1,17,844.08	4,844.23	4.1%	4.1%	96,403.66	3,639.87	3.8%	3.8%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	501.37	8.63	1.7%	1.7%	627.30	21.69	3.5%	3.5%	1,504.30	53.08	3.5%	3.5%
	Long Term Bank Bonds Approved Investment - Infrastructure	ILBI	21,833.37	412.70	1.9%	1.9%	18,232.33	686.84	3.8%	3.8%	3,379.96	120.43	3.6%	3.6%
<b>E</b>	<b>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS</b>													
	PSU - Equity shares - Quoted	EAEQ	3.60	0.07	0.02	0.02	3.58	0.39	0.11	0.11	3.02	0.17	0.06	0.06
	<b>Corporate Securities - Equity shares (Ordinary)- Quoted</b>	EACE	109.76	2.29	2.1%	2.1%	108.11	11.07	10.2%	10.2%	81.07	6.46	8.0%	8.0%
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	-	-	-	-	-	-	-	542.07	52.76	9.7%	9.7%
	Deposits - CDs with Scheduled Banks	EDCD	2,246.97	39.96	1.8%	1.8%	2,166.86	77.82	3.6%	3.6%	491.94	11.26	2.3%	2.3%
	Deposits - Repo / Reverse Repo	ECMR	3,453.80	56.55	1.6%	1.6%	5,369.16	172.92	3.2%	3.2%	4,893.80	102.18	2.1%	2.1%
	Corporate Securities - Debentures	ECOS	-	-	-	-	-	-	-	-	216.20	3.45	1.6%	1.6%
<b>F</b>	<b>OTHER INVESTMENTS</b>													
	Reclassified Approved Investments - Debt	ORAD	1,904.27	-	0.0%	0.0%	1,904.27	-	0.0%	0.0%	1,904.27	(54.28)	-2.9%	-2.9%
	Equity Shares (incl Co-op Societies)	OESH	1,903.99	0.01	0.0%	0.0%	1,218.07	0.08	0.0%	0.0%	13.85	0.63	4.6%	4.6%
	<b>TOTAL</b>		<b>8,87,116.48</b>	<b>16,875.63</b>	<b>1.9%</b>	<b>1.9%</b>	<b>8,78,196.15</b>	<b>33,801.56</b>	<b>3.8%</b>	<b>3.8%</b>	<b>7,74,948.65</b>	<b>29,824.05</b>	<b>3.8%</b>	<b>3.8%</b>

## CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30-Oct-23

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on Investment shall be reconciled with figures in P&amp;L and Revenue account

YTD Income on investment excludes the Income on Investment of Unclaimed Policyholders Fund

## FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1

(Read with Regulation 10)

Statement as on: September 30, 2023

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund - Pension General Annuity Fund

(Rs. In lacs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)			
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>1</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>1</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>1</sup>
<b>A</b>	<b>GOVERNMENT SECURITIES</b>													
	Central Government Bonds	CGSB	21,102.27	404.49	1.9%	1.9%	21,101.87	808.98	3.8%	3.8%	21,559.26	885.28	4.1%	4.1%
	Treasury Bills	CTRB	395.69	6.84	1.7%	1.7%	564.84	19.34	3.4%	3.4%	113.41	2.62	2.3%	2.3%
<b>B</b>	<b>GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES</b>		-	-	-	-	-	-	-	-	-	-	-	-
	State Government Bonds	SGGB	1,736.08	30.21	1.7%	1.7%	1,562.68	54.24	3.5%	3.5%	1,868.99	55.81	3.0%	3.0%
<b>C</b>	<b>HOUSING &amp; LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT</b>		-	-	-	-	-	-	-	-	-	-	-	-
	TAXABLE BONDS		-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	130.00	2.31	1.8%	1.8%	130.00	4.60	3.5%	3.5%	130.00	4.60	3.5%	3.5%
<b>D</b>	<b>INFRASTRUCTURE INVESTMENTS</b>		-	-	-	-	-	-	-	-	-	-	-	-
	TAXABLE BONDS		-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPTD	3,166.58	70.78	2.2%	2.2%	3,409.17	193.10	5.7%	5.7%	4,215.60	185.85	4.4%	4.4%
	Long Term Bank Bonds Approved Investment - Infrastructure	ILBI	929.01	16.96	1.8%	1.8%	634.90	22.55	3.6%	3.6%	-	-	-	-
<b>E</b>	<b>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS</b>		-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Repo / Reverse Repo	ECMR	112.35	1.84	1.6%	1.6%	130.37	4.21	3.2%	3.2%	167.70	3.74	2.2%	2.2%
	Corporate Securities - Debentures	ECOS	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
<b>F</b>	<b>OTHER INVESTMENTS</b>		-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified Approved Investments - Debt	ORAD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	-	-	0.0%	0.0%
	<b>TOTAL</b>		<b>27,571.98</b>	<b>533.43</b>	<b>1.9%</b>	<b>1.9%</b>	<b>27,533.82</b>	<b>1,107.02</b>	<b>2.1%</b>	<b>2.1%</b>	<b>28,054.95</b>	<b>1,137.89</b>	<b>4.1%</b>	<b>4.1%</b>

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30-Oct-23

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment shall be reconciled with figures in P&amp;L and Revenue account

Income against Category Code "ORAD" is due to adjustment in provision on DHFL bonds.

## FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1

(Read with Regulation 10)

Statement as on: September 30, 2023

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund -ULIP Fund

(Rs. In lacs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)			
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>3</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>3</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>2</sup>	Net Yield (%) <sup>3</sup>
<b>A</b>	<b>GOVERNMENT SECURITIES</b>													
	Central Government Bonds	CGSB	51,999.95	542.44	1.0%	1.0%	50,818.35	1,676.65	3.3%	3.3%	68,937.10	155.27	0.2%	0.2%
	Treasury Bills	CTRB	14,181.01	247.71	1.7%	1.7%	15,464.39	537.63	3.5%	3.5%	15,141.74	336.80	2.2%	2.2%
<b>B</b>	<b>GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES</b>		-	-			-	-			-	-		
	State Government Bonds	SGGB	23,254.89	322.30	1.4%	1.4%	28,143.88	1,382.25	4.9%	4.9%	14,101.76	191.27	1.4%	1.4%
<b>C</b>	<b>HOUSING &amp; LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT</b>													
	TAXABLE BONDS													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	579.51	9.63	1.7%	1.7%	581.20	23.24	4.0%	4.0%	6,737.28	(54.90)	-0.8%	-0.8%
<b>D</b>	<b>INFRASTRUCTURE INVESTMENTS</b>													
	TAXABLE BONDS		-	-			-	-			-	-		
	Infrastructure - PSU - Equity shares - Quoted	ITPE	9,527.70	2,349.35	24.7%	24.7%	8,328.26	3,062.60	36.8%	36.8%	4,980.57	205.86	4.1%	4.1%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	14,055.75	2,179.94	15.5%	15.5%	13,365.25	4,071.31	30.5%	30.5%	11,426.39	515.60	4.5%	4.5%
	Infrastructure - PSU - Debentures / Bonds	IPTD	6,286.92	97.23	1.5%	1.5%	4,469.24	141.39	3.2%	3.2%	13,564.10	280.45	2.1%	2.1%
	Long Term Bank Bonds Approved Investment - Infrastructure	ILBI	2,520.82	40.23	1.6%	1.6%	2,526.22	95.20	0.0%	0.0%	-	-		
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	4,139.40	61.33	1.5%	1.5%	4,152.98	156.19	3.8%	3.8%	4,247.25	(24.04)	-0.6%	-0.6%
	OTHER INVESTMENTS													
	Infrastructure - Equity (including unlisted)	IOEQ	-	-	-	-	-	-	-	-	75.49	(6.91)	-9.2%	-9.2%
<b>E</b>	<b>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS</b>													
	PSU - Equity shares - Quoted	EAEQ	17,315.28	1,646.76	9.5%	9.5%	15,470.14	3,462.00	22.4%	22.4%	12,929.39	866.78	6.7%	6.7%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	1,57,991.67	2,086.06	1.3%	1.3%	1,55,757.32	17,136.14	11.0%	11.0%	1,46,690.08	(669.58)	-0.5%	-0.5%
	Deposits - CDs with Scheduled Banks	EDCD	16,386.99	289.40	1.8%	1.8%	13,439.18	481.58	3.6%	3.6%	1,332.39	30.64	2.3%	2.3%
	Equity Exchange Traded Fund	EETF	1,164.81	90.93	7.8%	7.8%	1,121.33	130.53	11.6%	11.6%	1,519.37	(465.00)	-30.6%	-30.6%
	Commercial Papers	ECPP	-	-	-	-	-	-	0.0%	0.0%	2,468.88	52.73	2.1%	2.1%
	Deposits - Repo / Reverse Repo	ECMR	14,465.27	236.37	1.6%	1.6%	13,522.72	438.55	3.2%	3.2%	16,183.10	366.52	2.3%	2.3%
	Corporate Securities - Debentures	ECOS	-	-	-	-	-	-	0.0%	0.0%	49.35	1.81	3.7%	3.7%
	Net Current Assets (Only in respect of ULIP Business)	ENCA	2,033.95	-	-	-	2,033.95	-	-	-	5,691.38	-	0.0%	0.0%
<b>F</b>	<b>OTHER INVESTMENTS</b>													
	Equity Shares (incl Co-op Societies)	OESH	7,516.24	492.43	6.6%	6.6%	7,765.21	2,741.01	35.3%	35.3%	13,703.90	(487.72)	-3.6%	-3.6%
	Reclassified Approved Investments - Debt	ORAD	6,884.45	90.41	1.3%	1.3%	6,902.63	237.52	3.4%	3.4%	999.38	(114.12)	-11.4%	-11.4%
	Equity Exchange Traded Fund	OETF	19,680.02	359.38	1.8%	1.8%	19,377.46	2,282.31	11.8%	11.8%	21,609.48	1,189.87	5.5%	5.5%
	<b>TOTAL</b>		<b>3,69,984.63</b>	<b>11,141.90</b>	<b>3.0%</b>	<b>3.0%</b>	<b>3,63,239.69</b>	<b>38,056.09</b>	<b>10.5%</b>	<b>10.5%</b>	<b>3,62,388.41</b>	<b>2,371.33</b>	<b>0.7%</b>	<b>0.7%</b>

## CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30-Oct-23

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment shall be reconciled with figures in P&amp;L and Revenue account

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122  
Statement as on: September 30, 2023  
Statement of Downgraded Investment  
Periodicity of Submission: Quarterly

PART - A

(Rs. In lacs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter<sup>1</sup></u>								
		NIL							
B.	<u>As on Date<sup>2</sup></u>								
	9.00% Reliance Capital NCD 09.09.2026 (ISIN : INE013A079D5)	ORAD	1,904.27	28-Sep-16	CARE	AAA	D	23-Sep-19	

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30-Oct-23

**Chief Financial Officer**

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.  
2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.  
3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.  
4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122  
Statement as on: September 30, 2023  
Statement of Downgraded Investment  
Periodicity of Submission: Quarterly

PART - A

(Rs. In lacs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter <sup>1</sup></u>								
		NIL							
B.	<u>As on Date <sup>2</sup></u>								
		NIL							

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30-Oct-23

**Chief Financial Officer**

1 Provide details of Down Graded Investments during the Quarter.  
2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.  
3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.  
4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

## FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122  
Statement as on: September 30, 2023  
Statement of Downgraded Investment  
Periodicity of Submission: Quarterly

Name of Fund - ULIP Fund

PART - A

(Rs. In lacs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
	NIL								
B.	<u>As on Date</u> <sup>2</sup>								
	8.43% Indiabulls HF 22-Feb-2028 (ISIN : INE148I07IQ8)	HTDN	5,987.71	28-Mar-18	CRISIL	AAA	AA	10-Feb-20	
	9.00% Reliance Capital NCD 09.09.2026 (ISIN : INE013A079D5)	ORAD	885.88	9-Sep-16	CARE	AAA	D	23-Sep-19	

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30-Oct-23

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Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority

## FORM L-36-Premium and number of lives covered by policy type

(Rs. In lacs)																	
S.No.	Particulars	Q2'23-24				Q2'22-23				Upto the quarter ended				Upto the quarter ended			
		Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
1	First year Premium																
	i Individual Single Premium- (ISP)																
	From 0-10000	-	-	-	-	-	-	-	-	0	16	16	4	-	-	-	-
	From 10,000-25,000	43	19	18	94	2	1	1	2	90	38	37	365	5	3	3	6
	From 25001-50,000	90	21	25	243	20	4	4	24	194	46	45	767	48	10	10	59
	From 50,001- 75,000	28	4	4	96	11	2	2	14	28	4	4	96	11	2	2	14
	From 75,000-100,000	59	6	6	161	9	1	1	85	97	10	10	297	26	3	3	107
	From 1,00,001 -1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	28	1	2	34	55	2	2	235	138	6	6	172	172	4	4	380
	ii Individual Single Premium (ISPA)- Annuity																
	From 0-50000	54	16	17	-	37	12	10	-	124	37	37	-	97	30	27	-
	From 50,001-100,000	26	4	5	-	28	4	3	-	63	10	10	-	84	11	10	-
	From 1,00,001-150,000	52	4	4	-	99	7	4	-	126	10	10	-	112	8	5	-
	From 150,001- 2,00,000	37	3	3	-	-	-	-	-	36	2	2	-	17	1	1	-
	From 2,00,001-250,000	23	1	1	-	-	-	-	-	46	2	2	-	-	-	-	-
	From 2,50,001 -3,00,000	29	1	1	-	-	-	-	-	29	1	1	-	29	1	1	-
	Above Rs. 3,00,000	105	2	2	-	57	1	1	-	105	2	2	-	57	1	1	-
	iii Group Single Premium (GSP)																
	From 0-10000	(6)	-	90	(523)	-	-	1	2	(10)	-	167	190	-	-	6	33
	From 10,000-25,000	-	-	-	-	-	-	-	-	2	-	256	283	-	-	1	10
	From 25001-50,000	3	1	225	367	-	-	8	31	6	1	1,755	911	2	-	25	198
	From 50,001- 75,000	3	-	846	396	-	-	-	-	10	-	2,859	1,439	2	-	12	155
	From 75,000-100,000	5	-	522	551	-	-	-	-	15	1	3,135	1,813	3	-	15	166
	From 1,00,001 -1,25,000	7	-	1,857	1,041	-	-	-	-	12	-	2,956	1,843	2	-	5	160
	Above Rs. 1,25,000	426	5	24,193	31,249	-	-	-	-	1,538	6	2,18,959	1,45,477	179	2	684	9,950
	iv Group Single Premium- Annuity- GSPA																
	From 0-50000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 150,001- 2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,50,001 -3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	v Individual non Single Premium- INSP																
	From 0-10000	125	1,867	1,861	3,334	215	1,469	1,469	2,679	127	3,337	3,331	4,148	174	1,958	1,958	2,706
	From 10,000-25,000	246	1,274	1,262	9,534	207	998	998	2,969	425	2,181	2,170	14,540	325	1,541	1,541	4,705
	From 25001-50,000	768	1,826	1,762	16,950	889	2,174	2,171	14,662	1,419	3,354	3,289	29,605	1,366	3,336	3,333	22,583
	From 50,001- 75,000	439	589	540	5,521	287	462	457	4,223	903	1,369	1,302	11,660	482	763	757	6,755
	From 75,000-100,000	676	685	628	9,332	863	879	879	11,883	1,192	1,214	1,155	15,982	1,398	1,425	1,424	19,333
	From 1,00,001 -1,25,000	410	286	251	3,858	210	185	184	2,585	894	659	620	8,306	320	287	286	3,974
	Above Rs. 1,25,000	2,372	631	471	24,643	2,003	654	653	25,258	4,571	1,303	1,138	47,410	2,996	1,009	1,007	37,458
	vi Individual non Single Premium- Annuity- INSPA																
	From 0-50000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 150,001- 2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,50,001 -3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	vii Group Non Single Premium (GNSP)																
	From 0-10000	(67)	1	21,309	1,34,492	(10)	1	2,567	21,492	(135)	1	41,179	2,42,146	(22)	1	21,334	69,377
	From 10,000-25,000	10	1	2,217	26,410	3	1	631	4,984	14	1	3,234	35,124	7	1	1,718	23,242
	From 25001-50,000	12	1	876	15,176	4	-	369	12,184	22	3	1,725	28,979	11	1	1,593	34,644
	From 50,001- 75,000	9	1	713	9,605	6	1	782	2,032	17	3	1,154	23,805	14	1	1,714	17,686
	From 75,000-100,000	5	-	860	3,020	4	3	1,897	756	11	3	1,892	8,681	7	5	2,227	2,661
	From 1,00,001 -1,25,000	15	7	1,691	15,151	3	-	139	1,670	18	7	1,751	18,418	12	1	880	14,559
	Above Rs. 1,25,000	2,463	67	1,38,753	14,03,359	1,375	26	58,879	2,02,368	4,968	118	2,62,737	23,81,954	4,046	40	1,28,125	6,15,880
	viii Group Non Single Premium- Annuity- GNSPA																
	From 0-10000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 25001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001- 75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001 -1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-



## FORM L-36-Premium and number of lives covered by policy type

(Rs. In lacs)																		
S.No.	Particulars		Q2'23-24				Q2'22-23				Upto the quarter ended				Upto the quarter ended			
			Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
2	Renewal Premium																	
	i	Individual																
		From 0-10000	1,287	21,589	18,825	7,97,300	1,266	21,548	21,255	7,94,685	2,249	42,187	39,284	15,21,671	2,257	43,108	42,682	15,30,130
		From 10,000-25,000	2,948	17,193	15,237	5,14,451	3,115	18,039	17,715	5,14,952	5,205	38,466	36,308	10,51,843	5,507	41,138	40,634	10,65,401
		From 25001-50,000	4,566	10,459	8,834	1,81,441	4,638	10,508	10,286	1,84,084	7,585	23,703	21,928	3,92,467	7,753	24,568	24,206	4,00,904
		From 50,001- 75,000	1,555	2,249	1,727	49,507	1,593	2,293	2,215	52,538	2,698	5,994	5,410	1,14,703	2,743	6,165	6,017	1,19,254
		From 75,000-100,000	3,561	3,348	2,652	69,289	3,555	3,351	3,322	70,497	5,672	6,502	5,790	1,39,173	5,804	6,581	6,537	1,40,472
		From 1,00,001 -1,25,000	938	764	534	23,451	886	732	708	23,660	1,540	1,849	1,604	50,027	1,515	1,805	1,770	50,366
		Above Rs. 1,25,000	7,544	2,391	1,530	1,32,481	7,204	2,347	2,318	1,35,158	12,595	4,853	3,968	2,87,329	13,120	4,900	4,849	2,97,534
	ii	Individual- Annuity																
		From 0-10000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 25001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 50,001- 75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 1,00,001 -1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	iii	Group																
		From 0-10000	-	5	619	28	102	6	4,239	73,782	-	10	10,887	533	119	18	35,652	86,884
		From 10,000-25,000	-	-	-	-	62	1	354	41,997	-	-	-	-	77	2	841	51,533
		From 25001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 50,001- 75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 1,00,001 -1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		Above Rs. 1,25,000	-	-	-	-	236	4	3,758	96,759	-	-	-	-	237	4	3,758	96,759
	iv	Group- Annuity																
		From 0-10000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 25001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 50,001- 75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		From 1,00,001 -1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Note:

1. Premium stands for premium amount.
2. No. of lives means no. of lives insured under the policies.
3. Premium collected for Annuity will be disclosed separately as stated above.

## FORM L-37- Business Acquisition Through Different Channels (Group)

(Rs. In lacs)

Business Acquisition through different channels (Group)													
Sl. No.	Channels	Q2'23-24			Q2'22-23			Upto the quarter ended September 30, 2023			Upto the quarter ended September 30, 2022		
		No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium
1	Individual agents	-	-	-	-	139	1	-	-	-	-	170	9
2	Corporate Agents-Banks	1	836	135	5	22,778	102	1	1,307	217	6	40,475	313
3	Corporate Agents -Others	-	-	-	-	-	-	-	-	-	-	-	-
4	Brokers	76	1,72,326	1,655	12	30,398	211	123	4,72,045	3,657	18	44,807	479
5	Micro Agents	-	-	-	-	-	-	-	-	-	-	-	-
6	Direct Business	7	20,990	1,096	15	11,958	1,071	20	70,407	2,615	28	72,887	3,462
7	IMF	-	-	-	-	-	-	-	-	-	-	-	-
8	Others (Please Specify)	-	-	-	-	-	-	-	-	-	-	-	-
	Total(A)	84	1,94,152	2,885	32	65,273	1,385	144	5,43,759	6,489	52	1,58,339	4,263
1	Referral Arrangements (B)	-	-	-	-	-	-	-	-	-	-	-	-
	Grand Total (A+B)	84	1,94,152	2,885	32	65,273	1,385	144	5,43,759	6,489	52	1,58,339	4,263

## FORM L-38 - Business Acquisition through different channels (Individuals)

(Rs. In lacs)

S.No.	Channels	Business Acquisition through different channels (Individuals)							
		Q2'23-24		Q2'22-23		Upto the quarter ended September 30, 2023		Upto the quarter ended September 30, 2022	
		No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium
1	Individual agents	465	1,207	660	885	1,030	2,340	1,113	1,410
2	Corporate Agents-Banks	4,836	2,018	4,535	2,016	8,517	3,389	6,598	2,943
3	Corporate Agents -Others	43	52	128	98	80	85	322	261
4	Brokers	17	127	209	240	150	444	288	340
5	Micro Agents	-	-	-	-	-	-	-	-
6	Direct Business			-	-				
	- Online (Through Company Website)	1	1	7	36	1	1	10	55
	- Others	1,604	2,001	1,227	1,566	3,262	3,903	1,894	2,344
7	IMF	50	165	89	150	133	369	168	365
8	Common Service Centres	-	-	-	-	-	-	-	-
9	Web Aggregators	-	-	-	-	-	-	-	-
10	Point of Sales	224	36	-	-	428	70	-	-
11	Others (Please Specify)			-	-			-	-
	<b>Total (A)</b>	<b>7,240</b>	<b>5,607</b>	<b>6,855</b>	<b>4,991</b>	<b>13,601</b>	<b>10,602</b>	<b>10,393</b>	<b>7,718</b>
1	Referral Arrangements (B)	-	3	-	1	-	3	-	2
	<b>Grand Total (A+B)</b>	<b>7,240</b>	<b>5,610</b>	<b>6,855</b>	<b>4,992</b>	<b>13,601</b>	<b>10,606</b>	<b>10,393</b>	<b>7,720</b>

FORM L-39 - Data on Settlement of Claims for the quarter ended September 30, 2023

Date: September 30, 2023

## Individual

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	807	679	11	25	-	-	1,522	4,605
2	Survival Benefit	2,342	595	-	-	-	-	2,937	1,439
3	Annuities / Pension	934	522	181	173	317	183	2,310	116
4	Surrender	-	2,435	-	-	-	-	2,435	10,239
5	Other benefits	12	1,141	4	-	1	-	1,158	3,248
	Death Claims		201					201	2,841

## Group

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	-	-	-	-	-	-	-
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Surrender	-	9	-	-	-	-	9	183
5	Other benefits	-	661	-	-	-	-	661	1,380
	Death Claims	-	536	-	-	-	-	536	1,028

FORM L-39-Data on Settlement of Claims for the period ended September 30, 2023

Date: September 30, 2023

## Individual

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	1,355	1,289	38	30	-	-	2,712	8,413
2	Survival Benefit	4,200	1,161	-	-	-	-	5,361	2,470
3	Annuities / Pension	1,853	1,158	269	231	227	342	4,080	193
4	Surrender	-	5,039	1	-	-	-	5,040	21,757
5	Other benefits	18	2,277	16	4	3	5	2,323	6,346
	Death Claims		364					364	4,660

## Group

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	1	-	-	-	-	1	0
2	Survival Benefit	-		-	-	-	-	-	
3	Annuities / Pension	-		-	-	-	-	-	
4	Surrender	-	13	-	-	-	-	13	217
5	Other benefits	-	1,316	-	-	-	-	1,316	2,485
	Death Claims	-	787	-	-	-	-	787	1,748

## FORM L-40 - Quarterly Claims data for Life for the quarter ended September 30, 2023

Date: September 30, 2023

## Death Claims

No. of claims only

Sl. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period	39	72
2	Claims Intimated / Booked during the period	183	507
(a)	Less than 3 years from the date of acceptance of risk	21	487
(b)	Greater than 3 years from the date of acceptance of risk	162	20
3	Claims Paid during the period	201	536
4	Claims Repudiated during the period	3	2
5	Claims Rejected		
6	Unclaimed		
7	Claims O/S at End of the period	18	41
	Outstanding Claims:-		
	Less than 3 months	-	-
	3 months and less than 6 months		
	6 months and less than 1 year		
	1 year and above		

## Individual

No. of claims only

Sl. No.	Claims Experience	Maturity	Survival Benefit1	Annuities/ Pension	Surrender*	Other Benefits**
1	Claims O/S at the beginning of the period	105	4	7,231	51	101
2	Claims Booked during the period	1,502	2,942	2,353	2,401	1,151
3	Claims Paid during the period	1,522	2,937	2,310	2,435	1,158
4	Unclaimed	34	-	-	-	5
5	Claims O/S at End of the period	51	9	7,274	16	84
	Outstanding Claims (Individual)					
	Less than 3 months	36	9	696	16	9
	3 months and less than 6 months	15	-	685		
	6 months and less than 1 year	-	-	1,139		
	1 year and above	-	-	4,754		

\*1 cases in the surrender category were reversed from the opening balance as customer opted to continue

\*\* 1 case in the Partial Withdrawal category were reversed from the opening balance.

## FORM L-40-Quarterly Claims data for Life for the period ended September 30, 2023

Date: September 30, 2023

## Death Claims

No. of claims only

Sl. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period	-	-
2	Claims Intimated / Booked during the period	385	832
(a)	Less than 3 years from the date of acceptance of risk	57	801
(b)	Greater than 3 years from the date of acceptance of risk	328	31
3	Claims Paid during the period	364	787
4	Claims Repudiated during the period	3	4
5	Claims Rejected		
6	Unclaimed		
7	Claims O/S at End of the period	18	41
	Outstanding Claims:-		
	Less than 3 months	-	-
	3 months and less than 6 months	-	-
	6 months and less than 1 year	-	-
	1 year and above	-	-

## Individual

No. of claims only

Sl. No.	Claims Experience	Maturity	Survival Benefit1	Annuities/ Pension	Surrender*	Other Benefits**
1	Claims O/S at the beginning of the period	114	-	6,654	42	109
2	Claims Booked during the period	2,705	5,370	4,700	5,015	2,309
3	Claims Paid during the period	2,712	5,361	4,080	5,040	2,323
4	Unclaimed	56	-			5
5	Claims O/S at End of the period	51	9	7,274	16	84
	Outstanding Claims (Individual)					
	Less than 3 months	36	9	696	16	11
	3 months and less than 6 months	15	-	685		4
	6 months and less than 1 year	-	-	1,139		8
	1 year and above	-	-	4,754		61

\*12 cases in the surrender category were reversed from the opening balance as customer opted to continue

\*\* 1 case in the Partial Withdrawal category were reversed from the opening balance.

GRIEVANCE DISPOSAL								
SI No.	Particulars	Opening Balance	Additions during the quarter (net of duplicate complaints)	Complaints Resolved/ Settled during the quarter			Complaints Pending at the end of the quarter	Total Complaints registered upto the quarter during the financial year
				Fully Accepted	Partial Accepted	Rejected		
1	Complaints made by customers							
a)	Death Claims	0	1	0	0	1	0	4
b)	Policy Servicing	1	16	11	1	4	1	33
c)	Proposal Processing	0	3	0	3	0	0	7
d)	Survival Claims	0	10	7	0	3	0	29
e)	ULIP Related	0	0	0	0	0	0	1
f)	Unfair Business Practices	13	157	28	14	118	10	350
g)	Others	0	1	1	0	0	0	1
	<b>Total Number</b>	<b>14</b>	<b>188</b>	<b>47</b>	<b>18</b>	<b>126</b>	<b>11</b>	<b>425</b>

2	Total No. of policies during previous year:	10,393
3	Total No. of claims during previous year:	504
4	Total No. of policies during current year:	13,601
5	Total No. of claims during current year:	385
6	Total No. of Policy Complaints (current year) per 10,000 policies (current year):	10
7	Total No. of Claim Complaints (current year) per 10,000 claims registered (current year):	104

8	Duration wise Pending Status	Complaints made by customers		Complaints made by Intermediaries		Total	Percentage to Pending complaints
		Number	Percentage to Pending complaints	Number	Percentage to Pending complaints	Number	
a)	Up to 15 days	11	100%	0	NA	11	100%
b)	15 - 30 days	0	0%	0	NA	0	0%
c)	30 - 90 days	0	0%	0	NA	0	0%
d)	90 days & Beyond	0	0%	0	NA	0	0%
	<b>Total Number of Complaints</b>	<b>11</b>	<b>100%</b>	<b>0</b>	<b>NA</b>	<b>11</b>	<b>100%</b>



Form L-42 - Valuation Basis (Life Insurance) - Individual

Range (Minimum to Maximum) of parameters used for valuation																	
Type	Category of business	Interest Rate		Mortality Rate		Morbidity Rate		Fixed Expenses <sup>2</sup>		Variable Expenses <sup>3</sup>		Inflation Rate		Withdrawal rates <sup>4</sup>		Future Bonus Rates (Assumption)	
		As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022
Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	5.30% To 6.10%	5.30% To 6.10%	115.0%	115.0%	NA	NA	1159.00	1104.00	1.01%	1.01%	5%	5%	1.6% To 27.1%	1.6% To 27.1%	2.31% To 4.75%	2.31% To 4.75%
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	5.30% To 6.10%	5.30% To 6.10%	115.0%	115.0%	NA	NA	1159.00	1104.00	1.01%	1.01%	5%	5%	0.0%	1.6% To 31.7%	2.45% To 3.50%	2.45% To 3.50%
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked-Others																
	Life	5.10% To 5.95%	5.10% To 5.95%	51.0% To 115.0%	51.0% To 115.0%	NA	NA	1217.00	1159.00	0.00% To 1.07%	0.00% To 1.07%	5%	5%	4.5% To 68.6%	8.5% To 68.6%	6%	6%
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	5.10% To 5.95%	5.10% To 5.95%	51.0% To 115.0%	51.0% To 115.0%	NA	NA	1217.00	1159.00	1.07%	1.07%	5%	5%	3.9% To 65.5%	7.4% To 65.5%	6%	6%
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Non-Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Non-Linked -Others																
	Life	5.25% To 6.05%	5.10% To 5.95%	25.3% To 259.0%	25.3% To 259.0%	NA	NA	59.00 To 1159.00	56.00 To 1104.00	0.00% To 2.59%	0.00% To 1.73%	5%	5%	0.0% To 35.0%	0.5% To 35.0%		
	General Annuity	5.70% To 6.45%	5.10% To 5.95%	46.0% To 63.0%	64.0%	NA	NA	1159.00	1104.00	0.00%	0.00%	5%	5%	0.0%	0.0%		
	Pension	5.25% To 6.10%	5.10% To 5.95%	51.0% To 115.0%	51.0% To 115.0%	NA	NA	1159.00	1104.00	0.00% To 1.01%	0.00% To 1.01%	5%	5%	0.0% To 14.0%	3.5% To 26.5%		
	Health	5.25% To 6.05%	5.10% To 5.95%	51.0% To 85.0%	63.8% To 115.0%	NA	NA	869.00	828.00	0.76% To 1.73%	0.76% To 1.73%	5%	5%	2.4% To 17.5%	2.8% To 21.0%		
	Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Linked-Others																
	Life	5.10% To 5.95%	5.10% To 5.95%	64.0% To 138.0%	64.0% To 138.0%	NA	NA	730.00 To 1217.00	696.00 To 1159.00	0.00% To 1.73%	0.00% To 1.73%	5%	5%	3.3% To 68.6%	2.6% To 38.1%		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		
	Pension	5.10% To 5.95%	5.10% To 5.95%	64.0% To 138.0%	64.0% To 138.0%	NA	NA	1217.00	1159.00	0.00% To 1.07%	0.00% To 1.07%	5%	5%	7.4% To 65.5%	4.0% To 35.3%		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		

1 Individual and Group Business are to be reported separately  
2 Fixed per policy expenses  
3 Premium related expenses  
4 Restricted to Lapse and Surrender  
In addition, insurers may also voluntarily disclose Product and Type wise Actual Bonus Declared separately.

In addition to the above, the following shall be mentioned.  
1 Brief details on valuation data covering its accuracy, completeness and reasonableness and how the data flows to the valuation system  
2 Brief mention of any significant change in the valuation basis and /or methodology

Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016

Brief Details on Valuation Data

- a. How the policy data needed for valuation is accessed
- The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".
- b. How the valuation bases are supplied to the system
- Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

Change in Valuation method or bases:

- (1) Economic Assumptions  
No changes made in economic assumptions since last valuation i.e. Mar'23
- (2) Expense Assumptions  
No changes made in expense assumptions since last valuation except that the fixed expenses have been increased by 5% w.e.f. 1st Jan'23.
- (3) Mortality Assumptions  
Experience analysis was done basis Dec'22 data for performing the statutory valuation as at Mar'23 and accordingly changes were made in the valuation assumptions. Further no changes were made for valuation as at Sep'23.
- (4) Withdrawal Assumptions  
Experience analysis was done basis Dec'22 data for performing the statutory valuation as at Mar'23 and accordingly changes were made in the valuation assumptions. Further no changes were made for valuation as at Sep'23.

## Form L-42: Valuation Basis (Life Insurance) - Group

Range (Minimum to Maximum) of parameters used for valuation																	
Type	Category of business	Interest Rate		Mortality Rate		Morbidity Rate		Fixed Expenses <sup>2</sup>		Variable Expenses <sup>3</sup>		Inflation Rate		Withdrawal rates <sup>4</sup>		Future Bonus Rates (Assumption)	
		As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022	As at 30-Sep for the year 2023	As at 30-Sep for the year 2022
Par	<b>Non-Linked -VIP</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Non-Linked -Others</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Linked -VIP</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Linked -Others</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Non-Par	<b>Non-Linked -VIP</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Non-Linked -Others</b>																
	Life	5.25% To 6.05%	5.10% To 5.95%	58.4% To 226.0%	58.4% To 226.0%	NA	NA	0 To 678.56	0 To 646.25	0.00% To 1.01%	0.00% To 1.01%	5%	5%	1.4% To 10.6%	1.4% To 10.6%		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Linked -VIP</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Linked -Others</b>																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NOT APPLICABLE																	

1 Individual and Group Business are to be reported separately

2 Fixed per policy expenses

3 Premium related expenses

4 Restricted to Lapse and Surrender

In addition, insurers may also voluntarily disclose Product and Type wise Actual Bonus Declared separately.

In addition to the above, the following shall be mentioned.

1 Brief details on valuation data covering its accuracy, completeness and reasonableness and how the data flows to the valuation system

2 Brief mention of any significant change in the valuation basis and /or methodology

Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016

**Brief Details on Valuation Data**a. **How the policy data needed for valuation is accessed**

The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

b. **How the valuation bases are supplied to the system**

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

**Change in Valuation method or bases:**

- (1) **Economic Assumptions**  
No changes made in economic assumptions since last valuation i.e. Mar'23
- (2) **Expense Assumptions**  
No changes made in expense assumptions since last valuation except that the fixed expenses have been increased by 5% w.e.f. 1st Jan'23.
- (3) **Mortality Assumptions**  
No changes made in mortality assumptions since last valuation.
- (4) **Withdrawal Assumptions**  
No changes made in withdrawal assumptions since last valuation.

\*The above mentioned assumptions and details correspond to long term group products. For One Year Renewable Group Term Assurance business, reserves are maintained on UPR basis in excel models.

## Form L- 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Absain/Not Voted)	Reason supporting the vote decision
12-Jul-2023	RELIANCE CAPITAL LIMITED	Voting Agenda Items 48 CoC meeting	Management	1. RESOLVED THAT the settlement proposal (as uploaded on VDR on July 06, 2023) ("Settlement Offer") received from IndusInd Bank Limited ("IndusInd Bank") vide its email dated July 05, 2023 to settle the ongoing arbitration dispute in relation to 2,04,97,423 shares of Reliance Nippon Life Asset Management Limited (now known as Nippon India Asset Management Company Limited (RNAM): (NAM Shares): is hereby approved by the committee of creditors ("CoC") of Reliance Capital Limited ("RCL"). The terms of Settlement Offer, inter alia, provides: (a) The Parties (to the aforementioned arbitration) have mutually agreed that IndusInd Bank shall transfer an amount equivalent to [12.88 per cent (12.88%)] of the following sum ("Settlement Amount"): i. total value of the RNAM Shares i.e. 2,04,97,423 shares of RNAM calculated on the basis of the closing price per share on the NSE on the working day immediately preceding the date of award by the Arbitrator ("Reference Date"); plus ii. the total dividend accrued on the Subject Shares from the date of invocation of the pledge of the RNAM Shares i.e. 18 November 2019 up to the Reference Date. b) Accordingly, within 5 (five) working days of the passing of the award by the Arbitrator, IndusInd Bank shall transfer the Settlement Amount towards the full and final satisfaction of the RCLs claim by RTGS and share the payment advice evidencing the said payment to the RCLs advocates. The necessary documentation shall be entered into for the said settlement by the Administrator in discussions with the Advisors.	For	For	No concern with the proposed recommendation
05-Jul-2023	Nestle India Limited	Postal Ballot	Management	Appointment of Mr. Satish Srinivasan (DIN: 10173407), as a Whole-time Director, designated as Executive Director-Technical, for a term of five consecutive years commencing from 1st July 2023 upto 30th June 2028 and including remuneration.	For	For	No concern with the proposed recommendation
05-Jul-2023	Tata Steel Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Standalone Financial Statements of the Company for the Financial Year ended March 31, 2023, together with the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
05-Jul-2023	Tata Steel Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Consolidated Financial Statements of the Company for the Financial Year ended March 31, 2023, together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
05-Jul-2023	Tata Steel Limited	Annual General Meeting	Management	To declare dividend of Rs 3.60/- per Ordinary (equity) Share of face value Rs 1/- each for the Financial Year 2022-23.	For	For	No concern with the proposed recommendation
05-Jul-2023	Tata Steel Limited	Annual General Meeting	Management	To appoint a Director in the place of Mr. N. Chandrasekaran (DIN: 00121863), who retires by rotation in terms of Section 152(6) of the Companies Act, 2013 and, being eligible, seeks re-appointment.	For	For	No concern with the proposed recommendation
05-Jul-2023	Tata Steel Limited	Annual General Meeting	Management	Ratification of Remuneration of Rs 30 lakh plus applicable taxes and reimbursement of out-of-pocket expenses payable to Messrs Shome & Banerjee, Cost Accountants (Firm Registration Number - 000001), who have been appointed by the Board of Directors on the recommendation of the Audit Committee, as the Cost Auditors of the Company, to conduct the audit of the cost records maintained by the Company for the Financial Year ending March 31, 2024.	For	For	No concern with the proposed recommendation
05-Jul-2023	Tata Steel Limited	Annual General Meeting	Management	Material Related Party Transaction(s) with Tata Metaliks Limited - Financial Transaction for an aggregate value of up to Rs 800 crore, to be entered during FY2023-24.	For	For	No concern with the proposed recommendation
05-Jul-2023	Tata Steel Limited	Annual General Meeting	Management	Material Related Party Transaction(s) between Tata Steel Minerals Canada Ltd., an indirect subsidiary of Tata Steel Limited and IOC Sales Limited, a third party, to benefit Tata Steel UK Limited, a subsidiary of Tata Steel Limited via T S Global Procurement Company Pte. Ltd., an indirect wholly-owned subsidiary of Tata Steel Limited for an aggregate transaction value of up to Rs 1,200 crore, for purchase and sale of raw materials and other transactions for business, to be entered during FY2023-24.	For	For	No concern with the proposed recommendation
05-Jul-2023	Tata Steel Limited	Annual General Meeting	Management	Material Related Party Transaction(s) with Tata Motors Limited and Poshs Metal Industries Private Limited, a third party for an aggregate value of up to Rs 1,040 crore (directly with Tata Motors for an amount up to Rs 690 crore and through third party, viz. Poshs for an amount up to Rs 350 crore), for purchase and sale of goods, receiving and rendering of services and other transactions for business, to be entered during FY2023-24.	For	For	No concern with the proposed recommendation
05-Jul-2023	Tata Steel Limited	Annual General Meeting	Management	Material Related Party Transaction(s) between Tata Steel Downstream Products Ltd, a wholly-owned subsidiary of Tata Steel Limited and ancillary entities of Tata Motors Limited to benefit Tata Motors Limited, a related party of Tata Steel Limited for an aggregate value of up to Rs 3,200 crore, for purchase and sale of steel products and other transactions for business, to be entered during FY2023-24.	For	For	No concern with the proposed recommendation
05-Jul-2023	Tata Steel Limited	Annual General Meeting	Management	Appointment of Dr. Shekhar C. Mande (DIN: 10083454) as an Independent Director of the Company, not liable to retire by rotation, for a term of 5 years commencing June 1, 2023 through May 31, 2028 (both days inclusive).	For	For	No concern with the proposed recommendation
17-Jul-2023	LTIMindtree Ltd	Annual General Meeting	Management	To consider and adopt the Audited Standalone Financial Statements of the Company for the year ended March 31, 2023 and the reports of the Board of Directors and Auditor thereon; and the Audited Consolidated Financial Statements of the Company for the year ended March 31, 2023 and the report of the Auditor thereon.	For	For	No concern with the proposed recommendation
17-Jul-2023	LTIMindtree Ltd	Annual General Meeting	Management	To declare a final dividend of Rs.40/- per equity share of face value of Rs.1/- each, for the Financial Year 2022-23.	For	For	No concern with the proposed recommendation
17-Jul-2023	LTIMindtree Ltd	Annual General Meeting	Management	To appoint a Director in place of Mr. Sudhir Chaturvedi (DIN:07180115), who retires by rotation, and being eligible, has offered himself for re-appointment.	For	For	No concern with the proposed recommendation
17-Jul-2023	LTIMindtree Ltd	Annual General Meeting	Management	To appoint a Director in place of Mr. Anilkumar Manibhai Naik (DIN:00001514), who retires by rotation, and being eligible, has offered himself for re-appointment until conclusion of the Annual General Meeting to be held in calendar year 2024.	For	For	No concern with the proposed recommendation
17-Jul-2023	LTIMindtree Ltd	Annual General Meeting	Management	Re-appointment of Mr. Anilkumar Manibhai Naik (DIN:00001514), as Non-Executive Director to hold office until conclusion of the Annual General Meeting to be held in calendar year 2024.	For	For	No concern with the proposed recommendation

## Form L- 43 Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Absain/Not Voted)	Reason supporting the vote decision
17-Jul-2023	LTIMindtree Ltd	Annual General Meeting	Management	Revision of remuneration of Mr. Sudhir Chaturvedi (DIN:07180115), Whole-time Director & President Markets with effect from April 1, 2023, for the remaining period of his present tenure as Whole-time Director upto November 8, 2026.	For	For	No concern with the proposed recommendation
17-Jul-2023	LTIMindtree Ltd	Annual General Meeting	Management	To enter into and/or continue to enter into contract(s)/transaction(s) with Larsen & Toubro Limited (Holding Company), a Related Party within the meaning of Section 2(76) of the Companies Act, 2013 and Regulation 2(1)(zb) of the SEBI Listing Regulations, in the nature of a) sale, purchase, lease or supply of goods or business assets or property or equipment; b) availing or rendering of services including the use of Trademark, and availing Corporate bank guarantee to provide to LTIMindtree customers, etc.; and c) transfer of any resources, services or obligations to meet business objectives/requirements (Related Party Transactions), upto an amount of Rs.2,000 crore, valid from the date of the 27th Annual General Meeting until the 28th Annual General Meeting to be held in calendar year 2024.	For	For	No concern with the proposed recommendation
25-Jul-2023	Bajaj Auto Limited	Annual General Meeting	Management	To consider and adopt the standalone and consolidated financial statements of the Company for the financial year ended 31 March 2023, together with the Directors and Auditors Reports thereon.	For	For	No concern with the proposed recommendation
25-Jul-2023	Bajaj Auto Limited	Annual General Meeting	Management	To declare a dividend.	For	For	No concern with the proposed recommendation
25-Jul-2023	Bajaj Auto Limited	Annual General Meeting	Management	To appoint a director in place of Niraj Bajaj (DIN 00028261), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
25-Jul-2023	Bajaj Auto Limited	Annual General Meeting	Management	To appoint a director in place of Sanjiv Bajaj (DIN 00014615), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
25-Jul-2023	Bajaj Auto Limited	Annual General Meeting	Management	To appoint a director in place of Madhur Bajaj (DIN 00014593), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
25-Jul-2023	Bajaj Auto Limited	Annual General Meeting	Management	Re-appointment of Rakesh Sharma (DIN 08242670) as Whole-time Director with the designation as Executive Director (WTD) of the Company for another term of five years commencing from 1 January 2024 till 31 December 2028, liable to retire by rotation, and including remuneration.	For	For	No concern with the proposed recommendation
26-Jul-2023	Bajaj Finance Limited	Annual General Meeting	Management	To consider and adopt the standalone and consolidated financial statements of the Company for the financial year ended 31 March 2023, together with the Directors' and Auditors' Reports thereon.	For	For	No concern with the proposed recommendation
26-Jul-2023	Bajaj Finance Limited	Annual General Meeting	Management	To declare a dividend of Rs.30 per equity share of face value of Rs.2 for the financial year ended 31 March 2023.	For	For	No concern with the proposed recommendation
26-Jul-2023	Bajaj Finance Limited	Annual General Meeting	Management	To appoint a director in place of Rajiv Bajaj (DIN: 00018262), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and, being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
26-Jul-2023	Bajaj Finance Limited	Annual General Meeting	Management	To make offer(s) or an invitation(s) or to issue non-convertible debentures (NCDs), secured or unsecured, at face value or such other price as may be permissible under the relevant regulations as the Board may determine in accordance with any of the aforementioned directions or regulations, under one or more letter(s) of offer/disclosure document as may be issued by the Company and in one or more series, during a period of one year commencing from the date of this annual general meeting, on a private placement basis and on such terms and conditions as the Board may deem fit and appropriate for each series, as the case may be provided that the borrowings by way of issue of NCDs to be within the overall limit of borrowing approved by the members of the Company from time to time.	For	For	No concern with the proposed recommendation
26-Jul-2023	Bajaj Finance Limited	Annual General Meeting	Management	Alteration of Articles of Association of the Company.	For	For	No concern with the proposed recommendation
09-Aug-2023	RELIANCE CAPITAL LIMITED	Voting Agenda Items 50 CoC meeting	Management	Resolved that the: a) Equity shares of Reliance Communications Limited held by the Corporate Debtor shall be sold in accordance with regulation 29 of the IIRP (Insolvency Resolution Process for Corporate Persons) Regulations, 2016 (â€œCIRP Regulationsâ€œ) subject to release of charge from secured lenders of the b) The mandate for selling the shares is given to the Reliance Securities Limited (related party) and brokerage and private placement charges to be paid on actual basis and the said cost will form part of the insolvency resolution process cost. c) Execution of trades for the holding in Reliance Communication Limited will be subject to obtaining requisite approvals under SEBI (Prohibition of Insider Trading) Regulations, 2015 and the Insolvency and Bankruptcy Code, 2016â€œ	For	For	No concern with the proposed recommendation
09-Aug-2023	RELIANCE CAPITAL LIMITED	Voting Agenda Items 50 CoC meeting	Management	RESOLVED THAT pursuant to the requests received from Option II bidders for return of the earnest money deposited by them, the members of the CoC hereby resolve to return the EMD to the Option II Bidders.	For	For	No concern with the proposed recommendation
09-Aug-2023	RELIANCE CAPITAL LIMITED	Voting Agenda Items 50 CoC meeting	Management	Other matters â€œVistra ITCL is appointed as trustee as per the terms set out herein. Vistra ITCL and/ or Administrator are hereby authorised by the Committee of Creditors of Reliance Capital Limited (â€œRCLâ€œ) to file necessary application(s) with the SEBI for the purposes of seeking exemption from any open offer requirements under the SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 in relation to the shares of Reliance Home Finance Limited (â€œRHFLâ€œ) held by RCL, which shall be transferred to a trust in accordance with the terms of the resolution plan approved by the CoC. Further resolved that the shares of RHFL shall be held in trust solely for the benefit of the creditors of RCL, and any proceeds from the sale of shares held in trust shall be distributed among the assenting financial creditors as per the distribution resolution passed by the CoC on May 27, 2023. Vistra ITCL and/ or Administrator are further authorised to take such other actions (including payment of fees) as may be required in furtherance of the exemption application.â€œ	For	For	No concern with the proposed recommendation

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## Form L- 43 Voling Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Abs tain/Not Voted)	Reason supporting the vote decision
27-Jul-2023	Tech Mahindra Limited	Annual General Meeting	Management	To confirm the Interim (Special) Dividend paid on Equity Shares and to declare a Final Dividend (Including Special Dividend) on Equity Shares for the financial year ended 31st March, 2023.	For	For	No concern with the proposed recommendation
27-Jul-2023	Tech Mahindra Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Manoj Bhat (DIN: 05205447), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
27-Jul-2023	Tech Mahindra Limited	Annual General Meeting	Management	Appointment of Mr. Mohit Joshi (DIN: 08339247) as a Director of the Company, liable to retire by rotation.	For	For	No concern with the proposed recommendation
27-Jul-2023	Tech Mahindra Limited	Annual General Meeting	Management	Appointment of Mr. Mohit Joshi (DIN: 08339247) as Whole-time Director of the Company designated as Managing Director (Designate) and Key Managerial Personnel with effect from 20th June, 2023 up to 19th December 2023 (both days inclusive) and as Managing Director and CEO of the Company (MD & CEO) and Key Managerial Personnel, for the period from 20th December, 2023 to 19th June, 2028 (both days inclusive) and including remuneration.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the: a) audited standalone financial statements of the Bank, for the fiscal year ended 31 March, 2023 and the reports of the Board of Directors and the auditors thereon; and b) audited consolidated financial statements, for the fiscal year ended 31 March, 2023 together with the report of auditors thereon.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	To declare dividend on the equity shares of the Bank, for the fiscal year ended 31 March, 2023.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	To re-appoint Ashish Kotecha (DIN: 02384614) as a Director, who retires by rotation and being eligible offers himself for re-appointment.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Appointment of Nuran Subramanian Vishwanathan (N. S. Vishwanathan) (DIN: 09568559) as an Independent Director of the Bank, for a period of 4 (four) years, with effect from 30 May, 2023 upto 29 May, 2027 (both days inclusive), not liable to retire by rotation.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Appointment and remuneration of Nuran Subramanian Vishwanathan (N. S. Vishwanathan) (DIN: 09568559) as the Non-Executive (Part-time) Chairman of the Bank, subject to approval of RBI, for a period of 3 (three) years, effective from (i) 27 October 2023; or (ii) the date of approval of his appointment by RBI in case the approval is not received prior to 27 October, 2023.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Appointment of Subrat Mohanty (DIN: 08679444) as a Director and Whole-time Director (designated as Executive Director) of the Bank and payment of remuneration effective from (i) 1 May, 2023; or (ii) the date of approval of his appointment by Reserve Bank of India (RBI) , whichever is later, liable to retire by rotation.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Revision in the remuneration payable to Amitabh Chaudhry (DIN: 00531120) Managing Director and CEO of the Bank with effect from 1 April, 2023, subject to approval of the RBI.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Revision in the remuneration payable to Rajiv Anand (DIN: 02541753) Deputy Managing Director of the Bank with effect from 1 April , 2023, subject to approval of the RBI.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Alteration to the Articles of Association of the Bank.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Borrowing / raising of funds denominated in Indian rupees or any other permitted foreign currency, by issue of debt securities including, but not limited to, long term bonds, masala bonds, sustainable / ESG Bonds (including green bonds), optionally / compulsorily convertible debentures, non-convertible debentures, perpetual debt instruments, AT 1 Bonds, Infrastructure Bonds and Tier II Capital Bonds or such other debt securities as may be permitted under the RBI guidelines, from time to time and / or for making offers and / or invitations thereof, and / or issue(s)/issuances thereof, on a private placement basis for an amount of up to Rs.35,000 crores.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Material related party transactions for acceptance of deposits in current / savings account or any other similar accounts permitted to be opened under applicable laws may exceed Rs.1,000 crores or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Material related party transactions for subscription of securities issued by the related parties and / or purchase of securities (of related or other unrelated parties) from related parties may exceed Rs.1,000 crores or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Material related party transactions for sale of securities (of related or other unrelated parties) to related parties may exceed Rs.1,000 crores or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Material related party transactions for issue of securities of the Bank to related parties, payment of interest and redemption amount thereof may exceed Rs.1,000 crores or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation

## Form L- 43 Voling Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Abs tain/Not Voted)	Reason supporting the vote decision
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Material related party transactions for receipt of fees / commission for distribution of insurance products and other related business may exceed Rs.1,000 crores or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Material related party transactions for fund based or non-fund based credit facilities including consequential interest / fees may exceed Rs.1,000 crores or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, or such other threshold, as may be applicable from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Material related party transactions for money market instruments / term borrowing / term lending (including repo / reverse repo) may exceed Rs.1,000 crores or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, or any other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
28-Jul-2023	Axis Bank Limited	Annual General Meeting	Management	Material related party transactions pertaining to forex and derivative contracts may exceed Rs.1,000 crores or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
28-Jul-2023	JSW Steel Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2023, together with the Reports of the Board of Directors and the Auditors thereon and the Audited Consolidated Financial Statements of the Company for the financial year ended March 31, 2023, together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
28-Jul-2023	JSW Steel Limited	Annual General Meeting	Management	To declare dividend at the rate of Rs.3.40 per equity share of Rs.1 each of the Company for the financial year 2022-23 and that the said dividend be paid out of the profits of the Company to eligible equity shareholders.	For	For	No concern with the proposed recommendation
28-Jul-2023	JSW Steel Limited	Annual General Meeting	Management	To appoint Mr. Sajjan Jindal (DIN: 00017762), who retires by rotation as a Director and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
28-Jul-2023	JSW Steel Limited	Annual General Meeting	Management	Ratification of Remuneration of Rs.18,50,000 plus taxes as applicable and reimbursement of actual travel and out of pocket expenses, to be paid to M/s. Shome & Banerjee (ICWAI Registration No.0000011), Cost Auditors of the Company, for the financial year 2023-24.	For	For	No concern with the proposed recommendation
28-Jul-2023	JSW Steel Limited	Annual General Meeting	Management	Consent for issue of specified securities to Qualified Institutional Buyers (QIBs): i. Non-Convertible Debentures with warrants which are convertible into or exchangeable with Equity Shares at a later date, for an amount not exceeding Rs.7,000 Crores inclusive of such premium as may be decided by the Board; and / or ii. Equity Shares and/or convertible securities (other than warrants) for an amount not exceeding Rs.7,000 Crores inclusive of such premium as may be decided by the Board.	For	For	No concern with the proposed recommendation
28-Jul-2023	JSW Steel Limited	Annual General Meeting	Management	Material Related Party Transaction(s) with JSW One Distribution Limited.	For	For	No concern with the proposed recommendation
29-Jul-2023	SBI Life Insurance Company Limited	Postal Ballot	Management	To extend the tenure of Mr. Mahesh Kumar Sharma, (DIN: 08740737) as the Managing Director and Chief Executive Officer (CEO) of the Company, till September 30, 2023 wherein his term of appointment has ended on May 08, 2023 including remuneration.	For	For	No concern with the proposed recommendation
01-Aug-2023	Titan Company Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Standalone Financial Statements for the financial year ended 31st March 2023, together with the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
01-Aug-2023	Titan Company Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Consolidated Financial Statements for the financial year ended 31st March 2023, together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
01-Aug-2023	Titan Company Limited	Annual General Meeting	Management	To declare dividend on equity shares for the financial year ended 31st March 2023.	For	For	No concern with the proposed recommendation
01-Aug-2023	Titan Company Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Bhaskar Bhat (DIN: 00148778), who retires by rotation and being eligible, offers himself for re-appointment up to and inclusive of 28th August 2024.	For	For	No concern with the proposed recommendation
01-Aug-2023	Titan Company Limited	Annual General Meeting	Management	Re-appointment of Mr. B Santhanam (DIN: 00494806), as an Independent Director, not liable to retire by rotation, for a second term with effect from 3rd August 2023 to 9th May 2028.	For	For	No concern with the proposed recommendation
01-Aug-2023	Titan Company Limited	Annual General Meeting	Management	Material Related Party Transaction(s) between Titan Company Limited and Titan Global Retail LLC, Dubai, for an aggregate value of up to Rs.1,600 crore to be entered into during the financial year 2023-24.	For	For	No concern with the proposed recommendation
01-Aug-2023	Titan Company Limited	Annual General Meeting	Management	Authorised to appoint Branch Auditors for any branch office of the Company, whether existing or which may be opened/ acquired hereafter, outside India, in consultation with the Company's Auditors, any person(s) qualified to act as Branch Auditors within the provisions of Section 143(B) of the Act and to fix their remuneration.	For	For	No concern with the proposed recommendation
10-Aug-2023	Cipla Limited	Annual General Meeting	Management	To receive, consider and adopt the audited standalone financial statements of the Company for the financial year ended 31st March, 2023 and the reports of the Board of Directors and Auditor thereon.	For	For	No concern with the proposed recommendation



## Form L- 43 Voling Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Abs tain/Not Voted)	Reason supporting the vote decision
10-Aug-2023	Cipla Limited	Annual General Meeting	Management	To receive, consider and adopt the audited consolidated financial statements of the Company for the financial year ended 31st March, 2023 and the report of the Auditor thereon.	For	For	No concern with the proposed recommendation
10-Aug-2023	Cipla Limited	Annual General Meeting	Management	To declare final dividend Rs.8.50/-per share of the company, as recommended by the Board of Directors for the financial year ended 31st March 2023.	For	For	No concern with the proposed recommendation
10-Aug-2023	Cipla Limited	Annual General Meeting	Management	To re-appoint Mr Umang Vohra (DIN: 02296740), Managing Director and Global Chief Executive Officer, who retires by rotation and being eligible has offered himself for re-appointment.	For	For	No concern with the proposed recommendation
10-Aug-2023	Cipla Limited	Annual General Meeting	Management	Ratification of remuneration of Rs.12,50,000/-plus applicable taxes and reimbursement of out-of-pocket expenses to be paid to Mr D. H. Zaveri, the cost auditor, to audit the cost records maintained by the Company for the financial year ending 31st March, 2024.	For	For	No concern with the proposed recommendation
10-Aug-2023	Tata Steel Limited	Court Convened Meeting	Management	Scheme of Amalgamation amongst Tata Steel Limited (Transferee Company/ the Company) and Tata Metaliks Limited (Transferor Company) and their respective shareholders under Sections 230 to 232 of the Act (Scheme).	For	For	No concern with the proposed recommendation
11-Aug-2023	HDFC Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the audited financial statements (standalone) of the Bank for the financial year ended March 31, 2023 along with the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
11-Aug-2023	HDFC Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the audited financial statements (consolidated) of the Bank for the financial year ended March 31, 2023 along with the Report of Auditors thereon.	For	For	No concern with the proposed recommendation
11-Aug-2023	HDFC Bank Limited	Annual General Meeting	Management	To consider declaration of dividend on Equity Shares.	For	For	No concern with the proposed recommendation
11-Aug-2023	HDFC Bank Limited	Annual General Meeting	Management	To appoint a director in place of Mr. Kaizad Bharucha (DIN: 02490648), who retires by rotation and, being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
11-Aug-2023	HDFC Bank Limited	Annual General Meeting	Management	To fix the overall audit remuneration of M.M. Nissim & Co. LLP, Chartered Accountants (ICAI Firm Registration No. 107122W/W100672) and M/s. Price Waterhouse LLP, Chartered Accountants (ICAI Firm Registration No. 301112E/ E300264 of the joint statutory auditors shall aggregate to Rs.9,00,00,000 and shall be allocated equally between the joint statutory auditors, along with additional reimbursement and/ or payment of out of pocket expenses for FY 2023-24.	For	For	No concern with the proposed recommendation
11-Aug-2023	HDFC Bank Limited	Annual General Meeting	Management	Appointment of Mrs. Renu Kamad (DIN: 00008064) as a Non-Executive (Non-Independent) Director of the Bank, to hold office from July 1, 2023 to September 2, 2027 (both days inclusive), liable to retire by rotation.	For	For	No concern with the proposed recommendation
11-Aug-2023	HDFC Bank Limited	Annual General Meeting	Management	Appointment of Mr. Keki Mistry (DIN: 00008886) as a Non-Executive (Non-Independent) Director of the Bank, to hold office from June 30, 2023 to November 6, 2029 (both days inclusive), liable to retire by rotation.	For	For	No concern with the proposed recommendation
11-Aug-2023	HDFC Bank Limited	Annual General Meeting	Management	To Issue Unsecured Perpetual Debt Instruments (part of Additional Tier I capital), Tier II Capital Bonds and Long Term Bonds (financing of infrastructure and affordable housing) on a private placement basis as per the structure and within the limits permitted by the RBI, of an amount in aggregate not exceeding Rs.50,000 crores.	For	For	No concern with the proposed recommendation
11-Aug-2023	HDFC Bank Limited	Annual General Meeting	Management	To approve the Related Party Transactions with HDFC Credila Financial Services Limited for an aggregate amount may exceed Rs.1,000 crore during financial year 2023-24.	For	For	No concern with the proposed recommendation
11-Aug-2023	HDFC Bank Limited	Annual General Meeting	Management	To approve the Related Party Transactions with HCL Technologies Limited for an aggregate amount may exceed Rs.1,000 crore during the remaining period in financial year 2023-24.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	To consider and adopt the Financial Statements of the Company for the financial year ended 31st March, 2023, the Consolidated Financial Statements for the said financial year and the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	To confirm Interim Dividend of Rs.6/- per Ordinary Share of Rs.1/- each, and declare Final Dividend of Rs.6.75 and Special Dividend of Rs.2.75 per Ordinary Share for the financial year ended 31st March, 2023.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Sumant Bhargavan (DIN: 01732482) who retires by rotation and, being eligible, offers himself for re-election.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Mukesh Gupta (DIN: 06638754) who retires by rotation and, being eligible, offers himself for re-election.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	Remuneration of Rs.3,85,00,000/- to Messrs. S R B C & CO LLP, Chartered Accountants (Registration No. 324982E/E3000003), as Statutory Auditors of the Company, for conduct of audit for the financial year 2023-24.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	Appointment of Ms. Alka Marezban Bharucha (DIN: 00114067) as a Director and also as an Independent Director of the Company with effect from 12th August, 2023 for a period of five years.	For	Against	Dr. Alka Bharucha serves on the boards of five listed companies. Given their full-time responsibilities, regulations allow whole-time directors of listed companies to be independent directors in a maximum of three listed companies. As co-founder & Partner at Barucha & Partners, her responsibilities are equivalent to a whole-time directorship. Therefore, her high number of directorships on listed companies are not in keeping with the spirit of the regulation.
11-Aug-2023	ITC Limited	Annual General Meeting	Management	Re-appointment of Mr. Anand Nayak (DIN: 00973758) as a Director and also as an Independent Director of the Company with effect from 13th July, 2024 for a period of five years.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	Re-appointment of Mr. Ajit Kumar Seth (DIN: 08504093) as a Director and also as an Independent Director of the Company with effect from 13th July, 2024 for a period of five years.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	Appointment of Mr. Hemant Malik (DIN: 06435812) as a Director, liable to retire by rotation, and also as a Whole-time Director of the Company with effect from 12th August, 2023 for a period of three years and including remuneration.	For	For	No concern with the proposed recommendation



## Form L- 43 Voling Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Abs tain/Not Voted)	Reason supporting the vote decision
11-Aug-2023	ITC Limited	Annual General Meeting	Management	Re-appointment of Mr. Sanjiv Puri (DIN: 00280529) as a Director, not liable to retire by rotation, and also as the Managing Director & Chairman of the Company with effect from 22nd July, 2024 for a period of five years and including remuneration.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	Payment of commission to the Non-Executive Directors of the Company, for each of the five financial years commencing from 1st April, 2024, ranging between Rs.1,00,00,000/- and Rs.1,30,00,000/- individually, as the Board of Directors of the Company.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	To enter into contracts / arrangements / transactions with British American Tobacco (GLP) Limited, United Kingdom (BAT GLP), a related party of the company in the aggregate, does not exceed Rs.2,350 Crores during the financial year 2024-25.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	Ratification of remuneration of Rs.5,00,000/- plus goods and services tax as applicable, and reimbursement of out-of-pocket expenses payable to Messrs. S. Mahadevan & Co., Cost Accountants, appointed by the Board of Directors of the Company as the Cost Auditors to conduct audit of cost records maintained by the Company in respect of 'Wood Pulp' and 'Paper and Paperboard' products for the financial year 2023-24.	For	For	No concern with the proposed recommendation
11-Aug-2023	ITC Limited	Annual General Meeting	Management	Ratification of remuneration of Rs.6,50,000/- plus goods and services tax as applicable, and reimbursement of out-of-pocket expenses payable to Messrs. S. Mahadevan & Co., Cost Accountants, appointed by the Board of Directors of the Company as the Cost Auditors to conduct audit of cost records maintained in respect of all applicable products of the Company, other than Wood Pulp and 'Paper and Paperboard' products, for the financial year 2023-24.	For	For	No concern with the proposed recommendation
11-Aug-2023	UltraTech Cement Limited	Annual General Meeting	Management	To receive, consider and adopt: a. The Audited Standalone Financial Statements for the financial year ended 31st March, 2023 and the reports of Directors and Auditors thereon. b. The Audited Consolidated Financial Statements for the financial year ended 31st March, 2023 and the reports of Auditors thereon.	For	For	No concern with the proposed recommendation
11-Aug-2023	UltraTech Cement Limited	Annual General Meeting	Management	To declare dividend on equity shares for the financial year ended 31st March, 2023.	For	For	No concern with the proposed recommendation
11-Aug-2023	UltraTech Cement Limited	Annual General Meeting	Management	Appoint a Director in place of Mrs. Rajashree Birla (DIN: 00022995) who retires from office by rotation, and being eligible, offers herself for re-appointment and continuation in office.	For	For	No concern with the proposed recommendation
11-Aug-2023	UltraTech Cement Limited	Annual General Meeting	Management	Ratification of remuneration of Rs.20,00,000/- payable to M/s. D. C. Dave & Co., Cost Accountants, Mumbai and of Rs.21,50,000/- payable to M/s. N. D. Birla & Co., Cost Accountants, Ahmedabad appointed by the Board of Directors of the Company	For	For	No concern with the proposed recommendation
11-Aug-2023	UltraTech Cement Limited	Annual General Meeting	Management	Alteration of Articles of Association of the Company.	For	For	No concern with the proposed recommendation
19-Aug-2023	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the standalone audited financial statement of the Bank for the financial year ended 31st March, 2023 together with the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
19-Aug-2023	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the consolidated audited financial statement of the Bank for financial year ended 31st March, 2023 together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
19-Aug-2023	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To confirm payment of interim dividend at the rate of Rs.0.405 per 8.10% Non-Convertible Perpetual Non-Cumulative Preference Share of Rs.5/- as declared by the Board of Directors for financial year 2022-23.	For	For	No concern with the proposed recommendation
19-Aug-2023	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To declare dividend at the rate of Rs.1.50 per equity share of Rs.5/- as recommended by the Board of Directors for the financial year 2022-23 and that the same be paid out of the profits of the Bank for the financial year ended 31st March, 2023.	For	For	No concern with the proposed recommendation
19-Aug-2023	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To re-appoint Mr. Dipak Gupta (DIN: 00004771), who retires by rotation and, being eligible, has offered himself for re-appointment.	For	For	No concern with the proposed recommendation
19-Aug-2023	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To re-appoint Mr. C. Jayaram (DIN: 00012214), who retires by rotation and, being eligible, has offered himself for re-appointment.	For	For	No concern with the proposed recommendation
19-Aug-2023	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Payment of an overall audit fee not exceeding Rs.37,500,000/- to Price Waterhouse LLP, Chartered Accountants (Firm Registration Number 301112E/ E300264) and KKC & Associates LLP, Chartered Accountants (Firm Registration Number:105146W/W100621), the Joint Statutory Auditors of the Bank, for financial year 2023-24 and that the same be allocated by the Bank between the Joint Statutory Auditors, depending on their respective roles and responsibilities and scope of work, in addition to any out of pocket expenses, outlays and taxes, as applicable.	For	For	No concern with the proposed recommendation
23-Aug-2023	Eicher Motors Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements (including Audited Consolidated Financial Statements) of the Company for the financial year ended March 31, 2023 together with the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
23-Aug-2023	Eicher Motors Limited	Annual General Meeting	Management	To declare a dividend of Rs.37/- per equity share of face value of Re.1/- each for the financial year ended March 31, 2023.	For	For	No concern with the proposed recommendation
23-Aug-2023	Eicher Motors Limited	Annual General Meeting	Management	To appoint Mr. Sidhartha Vikram Lal (DIN: 00037645), who retires by rotation and being eligible, offers himself for re-appointment as a Director.	For	For	No concern with the proposed recommendation
23-Aug-2023	Eicher Motors Limited	Annual General Meeting	Management	Ratification of Remuneration of Rs.5,00,000/- plus taxes as applicable and reimbursement of out of pocket expenses payable to M/s. Jyothi Satish & Co., Cost Accountants (Firm registration No. 101197), appointed by the Board of Directors on the recommendation of the Audit Committee, as Cost Auditor of the Company to conduct audit of the relevant cost records of the Company for the financial year 2022-23.	For	For	No concern with the proposed recommendation
23-Aug-2023	Eicher Motors Limited	Annual General Meeting	Management	To consider and approve re-appointment of Mr. Vinod Kumar Aggarwal (DIN: 00038906) as Non-executive Non-Independent Director of the Company liable to retire by rotation, to hold office for a period of 5 years with effect from April 1, 2024.	For	For	No concern with the proposed recommendation
23-Aug-2023	Eicher Motors Limited	Annual General Meeting	Management	To consider and approve Material Related Party Transactions between VE Commercial Vehicles Limited (VECV), Subsidiary of the Company, and Volvo Group India Private Limited, a related party of VECV for an aggregating up to Rs.4,000 crores excluding taxes, during the financial year 2023-24.	For	For	No concern with the proposed recommendation

## Form L- 43 Voling Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Absain/Not Voted)	Reason supporting the vote decision
24-Aug-2023	Bharti Airtel Limited	Annual General Meeting	Management	To receive, consider and adopt the audited standalone and consolidated financial statements of the Company for the financial year ended March 31, 2023 together with the reports of Auditors thereon.	For	For	No concern with the proposed recommendation
24-Aug-2023	Bharti Airtel Limited	Annual General Meeting	Management	To declare dividend at the rate of Rs.4/- per fully paid-up equity share of face value of Rs.5/- each and a pro-rata dividend at the rate of Rs.1/- per partly paid-up equity shares of face value of Rs.5/- each (Paid-up value of Rs.1.25/- per share), as recommended by the Board of Directors for the financial year ended March 31, 2023.	For	For	No concern with the proposed recommendation
24-Aug-2023	Bharti Airtel Limited	Annual General Meeting	Management	To re-appoint Mr. Gopal Vittal (DIN: 02291778) as a Director of the Company (designated as Managing Director & CEO), liable to retire by rotation.	For	For	No concern with the proposed recommendation
24-Aug-2023	Bharti Airtel Limited	Annual General Meeting	Management	Ratification of remuneration of Rs.12,50,000/- plus applicable taxes payable to Sanjay Gupta & Associates, Cost Accountants (Firm registration no. 00212) as Cost Auditors of the Company for conducting the cost audit for financial year 2023-24.	For	For	No concern with the proposed recommendation
24-Aug-2023	Bharti Airtel Limited	Annual General Meeting	Management	To re-appoint Ms. Kimsuka Narasimhan (DIN: 02102783) as an Independent Director of the Company for a second term of five consecutive years with effect from March 30, 2024 up to March 29, 2029.	For	For	No concern with the proposed recommendation
24-Aug-2023	Bharti Airtel Limited	Annual General Meeting	Management	Revision in the remuneration of Mr. Sunil Bharti Mittal (DIN: 00042491), Chairman of the Company w.e.f. April 1, 2023 for the remaining period of his present term ending on September 30, 2026.	For	Against	Sunil Bharti Mittal's FY23 remuneration is Rs 387.7 mn; Rs. 167.7 mn from Bharti Airtel (listed) and Rs. 220.0 mn (GBP 2.2 mn) from overseas subsidiaries. His estimated remuneration for FY24 is Rs. 592.2 mn; Rs. 315.0 mn from Bharti Airtel (listed) and Rs. 277.2 mn (GBP 2.6 mn) from the overseas subsidiary. Through this resolution, the company seeks approval to revise his remuneration from ~150.0 mn to ~Rs. 300.0 mn from Bharti Airtel (standalone). However, it is unclear if his remuneration from subsidiaries shall also be revised on similar lines till the end of his current tenure. Thus, there is no clarity on his actual remuneration from the consolidated business.
24-Aug-2023	Bharti Airtel Limited	Annual General Meeting	Management	To approve Material Related Party Transactions with Bharti Hexacom Limited, a subsidiary company in aggregate, does not exceed Rs. 2,800 Crore in a financial year, provided that the said contract(s)/ arrangement(s)/ transaction(s) shall be carried out at arms length basis and in the ordinary course of business of the Company.	For	For	No concern with the proposed recommendation
24-Aug-2023	Bharti Airtel Limited	Annual General Meeting	Management	To approve Material Related Party Transactions with Nxta Data Limited, a subsidiary company in aggregate, does not exceed Rs.3,000 Crore in a financial year, provided that the said contract(s)/ arrangement(s)/ transaction(s) shall be carried out at arms length basis and in the ordinary course of business of the Company.	For	For	No concern with the proposed recommendation
24-Aug-2023	Bharti Airtel Limited	Annual General Meeting	Management	To approve Material Related Party Transactions with Indus Towers Limited, a joint venture company in aggregate, does not exceed Rs.17,000 Crores in a financial year, provided that the said contract(s)/ arrangement(s)/ transaction(s) shall be carried out at arms length basis and in the ordinary course of business of the Company.	For	For	No concern with the proposed recommendation
24-Aug-2023	IndusInd Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements (Standalone and Consolidated) of the Bank for the Financial Year ended March 31, 2023, together with the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
24-Aug-2023	IndusInd Bank Limited	Annual General Meeting	Management	To declare Dividend at the rate of Rs.14 per Equity Share of Rs.10 each of the Bank, fully paid, for the Financial Year ended March 31, 2023.	For	For	No concern with the proposed recommendation
24-Aug-2023	IndusInd Bank Limited	Annual General Meeting	Management	To re-appoint Mr. Sumant Kathpalia (DIN: 01054434) as a Director liable to retire by rotation.	For	For	No concern with the proposed recommendation
24-Aug-2023	IndusInd Bank Limited	Annual General Meeting	Management	Payment of remuneration to M/s. M S K A & Associates (Firm Registration Number 105047W) and M/s. M.P. Chitale & Co. (Firm Registration Number 101851W), Joint Statutory Auditors of the Bank for financial year 2023- 24 of Rs.2,70,00,000 per annum.	For	For	No concern with the proposed recommendation
24-Aug-2023	IndusInd Bank Limited	Annual General Meeting	Management	Payment of Remuneration to Mr. Sumant Kathpalia (DIN: 01054434), Managing Director & Chief Executive Officer (MD & CEO) of the Bank.	For	For	No concern with the proposed recommendation
24-Aug-2023	IndusInd Bank Limited	Annual General Meeting	Management	To borrow/ raise funds denominated in Indian Rupees or any other permitted Foreign Currency, by issuance of , Non Convertible Debentures, Medium Term Notes, Infrastructure Bonds, Green Bonds, Tier 2 Capital Bonds, Perpetual Debt Instruments, AT 1 Bonds or such other debt securities by whatever name called, in domestic and/or overseas market as may be permitted under the aforesaid norms, from time to time, (Debt Securities) on Private Placement basis and/ or for making offers and/ or invitations thereof, and/ or issue/ issuances thereof, on Private Placement basis, in one or more tranches and/ or series and/ or under one or more shelf disclosure documents/ Placement Memorandum and/ or one or more Letters of Offer, and on such terms and conditions for each series/ tranches, including the price, coupon, premium, discount, tenor etc., as may be deemed fit and appropriate by the Board, as per the structure and within the overall limits as may be permitted by the RBI, from time to time, for an aggregate amount not exceeding Rs.20,000 crores.	For	For	No concern with the proposed recommendation
25-Aug-2023	Grasim Industries Limited	Annual General Meeting	Management	To receive, consider and adopt: - The Audited Standalone Financial Statements of the Company for the financial year ended 31st March 2023, and the Reports of the Board of Directors and the Auditors thereon; and - The Audited Consolidated Financial Statements of the Company for the financial year ended 31st March 2023, and Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
25-Aug-2023	Grasim Industries Limited	Annual General Meeting	Management	To declare a final dividend of Rs.10 per equity share of the Company for the financial year ended 31st March 2023.	For	For	No concern with the proposed recommendation
25-Aug-2023	Grasim Industries Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Kumar Mangalam Birla (DIN: 00012813), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
25-Aug-2023	Grasim Industries Limited	Annual General Meeting	Management	To appoint a Director in place of Dr. Sanjiv Misra (DIN: 00013625), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation

## Form L- 43 Voling Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Abs tain/Not Voted)	Reason supporting the vote decision
25-Aug-2023	Grasim Industries Limited	Annual General Meeting	Management	Ratification of the remuneration of Rs.20 Lakh plus applicable taxes and reimbursement of out-of-pocket expenses, payable to M/s. D. C. Dave and Co., Cost Accountants, Mumbai (Registration No. 000611) for conducting audit of the cost accounting records of the Company for the financial year ending 31st March 2024.	For	For	No concern with the proposed recommendation
25-Aug-2023	Grasim Industries Limited	Annual General Meeting	Management	Re-appointment of Mr. Harikrishna Agarwal (DIN:09288720), as the Managing Director of the Company for a period of two years with effect from 1st December 2023 to 30th November 2025 and including remuneration.	For	For	No concern with the proposed recommendation
25-Aug-2023	Larsen & Toubro Limited	Postal Ballot	Management	Approval for buyback by the Company of 3,33,33,333 fully paid-up Equity Shares of face value of Rs. 2 each (Equity Shares) from the members of the Company at a maximum price of up to Rs. 3,000/- per Equity Share (Buyback Offer Price) subject to any increase to the Buyback Offer Price as may be approved by the Board or the committee thereof (Final Buyback Offer Price), payable in cash for an aggregate consideration of up to Rs. 10,000 crore.	For	For	No concern with the proposed recommendation
28-Aug-2023	Divis Laboratories Ltd	Annual General Meeting	Management	To consider and adopt the audited financial statements of the Company, both standalone and consolidated, for the financial year ended March 31, 2023, and the reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
28-Aug-2023	Divis Laboratories Ltd	Annual General Meeting	Management	To declare dividend of Rs. 30/- per equity share of face value Rs. 2/- each (i.e. @ 1500%) for the financial year ended March 31, 2023.	For	For	No concern with the proposed recommendation
28-Aug-2023	Divis Laboratories Ltd	Annual General Meeting	Management	To appoint a director in place of Dr. Kiran S. Divi (DIN: 00006503), who retires by rotation at this Annual General Meeting and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
28-Aug-2023	Divis Laboratories Ltd	Annual General Meeting	Management	To appoint a director in place of Ms. Nilima Prasad Divi (DIN: 06388001), who retires by rotation at this Annual General Meeting and being eligible, offers herself for re-appointment.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To consider and adopt (a) the audited financial statement of the Company for the financial year ended March 31, 2023 and the reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To consider and adopt (b) the audited consolidated financial statement of the Company for the financial year ended March 31, 2023 and the report of Auditors thereon.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To declare dividend at the rate of Rs 9/- per equity share of Rs 10/- each fully paid-up of the Company, as recommended by the Board of Directors, for the financial year ended March 31, 2023 and the same be paid out of the profits of the Company.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To appoint Shri P.M.S. Prasad (DIN: 00012144), who retires by rotation at this meeting as a Director of the Company.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To appoint Shri Nikhil R. Meswani (DIN: 00001620), who retires by rotation at this meeting as a Director of the Company.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To re-appoint Shri Mukesh D. Ambani (DIN: 00001695) as Managing Director of the Company, for a period of 5 years, on expiry of his present term of office, i.e., with effect from April 19, 2024.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To re-appoint Smt. Arundhati Bhattacharya (DIN: 02011213) as an Independent Director, not liable to retire by rotation and to hold office for a second term of 5 consecutive years, that is, up to October 16, 2028.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To ratify the remuneration to be paid to the Cost Auditors appointed by the Board of Directors, to conduct the audit of cost records of the Company for the financial year ending March 31, 2024.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To alter the Articles of Association of the Company.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To alter the Objects Clause of the Memorandum of Association of the Company.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To approve Material Related Party Transactions of the Company.	For	For	No concern with the proposed recommendation
28-Aug-2023	Reliance Industries Limited	Annual General Meeting	Management	To approve Material Related Party Transactions of Subsidiaries of the Company.	For	For	No concern with the proposed recommendation
29-Aug-2023	Maruti Suzuki India Limited	Annual General Meeting	Management	To consider and adopt the audited standalone financial statements of the Company for the financial year ended 31st March, 2023 and the reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
29-Aug-2023	Maruti Suzuki India Limited	Annual General Meeting	Management	To consider and adopt the audited consolidated financial statements of the Company for the financial year ended 31st March, 2023 and the report of the Auditors thereon.	For	For	No concern with the proposed recommendation
29-Aug-2023	Maruti Suzuki India Limited	Annual General Meeting	Management	To declare dividend at the rate of INR 90 per equity share.	For	For	No concern with the proposed recommendation
29-Aug-2023	Maruti Suzuki India Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Kinji Saito, who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
29-Aug-2023	Maruti Suzuki India Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Kenichi Ayukawa, who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
29-Aug-2023	Maruti Suzuki India Limited	Annual General Meeting	Management	Appointment of Mr. Yukihiro Yamashita (DIN:10237093) to fill the casual vacancy caused by the resignation of Mr. Shigetoshi Itoii.	For	For	No concern with the proposed recommendation
29-Aug-2023	Maruti Suzuki India Limited	Annual General Meeting	Management	Ratification of remuneration of INR 2.85 lac plus applicable taxes thereon besides reimbursement of out of pocket expenses on actuals in connection with the audit payable to M/s R.J. Goel and Co., Cost Accountants (Firm Registration No. 000026) appointed by the Board of Directors as Cost Auditor to conduct the audit of the applicable cost records of the Company for the financial year 2023-24.	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To receive, consider and adopt the audited Standalone as well as Consolidated Financial Statements of the Company for the Financial year ended March 31, 2023 together with Reports of the Directors, the Auditors thereon.	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To declare the Final Dividend of Rs.0.50 per equity share for the Financial Year 2022-23 (FY 23).	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Om Prakash Singh (DIN: 08704968), who retires by rotation and being eligible, offers himself for re- appointment.	For	For	No concern with the proposed recommendation

## Form L- 43 Voling Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Absain/Not Voted)	Reason supporting the vote decision
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To authorize the Board of Directors for fixing the remuneration of Statutory Auditors as appointed by the Comptroller and Auditor General of India for FY24.	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	Appointment of Mr. Arun Kumar Singh (DIN: 06646894) as a Director, designated as Chairman of the Company, not liable to retire by rotation and including remuneration.	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	Appointment of Mrs. Sushma Rawat (DIN: 09361428) as Director (Exploration) of the Company, liable to retire by rotation and including remuneration.	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	Appointment of Mr. Manish Patil (DIN: 10139350) as Director (HR) of the Company, liable to retire by rotation and including remuneration.	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	Ratification of remuneration Rs.6 lakh per Cost Audit Firm, plus applicable GST thereon and out of pocket expenses to conduct audit of cost records of the Company to six firms of Cost Auditors as appointed by the Board of Directors for FY 23.	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	Approval of Material Related Party Transaction(s) with Oil and Natural Gas Corporation Employees Contributory Provident Fund Trust for value of Rs.13,500 million for FY 25.	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	Approval of Material Related Party Transaction(s) with Petronet LNG Limited for value of Rs.47,983 million for FY 25.	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	Approval of Material Related Party Transaction(s) with ONGC Tripura Power Company Limited of value upto Rs.10,507 million for FY 25.	For	For	No concern with the proposed recommendation
29-Aug-2023	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	Approval of Material Related Party Transaction(s) with ONGC Petro additions Limited (OPaL) of value upto Rs.82,507 Million for FY 25.	For	For	No concern with the proposed recommendation
30-Aug-2023	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	To receive, consider and adopt:- i. the audited standalone financial statements of the Company for the financial year ended March 31, 2023 together with the reports of the Board of Directors and Auditors, thereon and ii. the audited consolidated financial statements of the Company for the financial year ended March 31, 2023 together with report of the Auditors thereon.	For	For	No concern with the proposed recommendation
30-Aug-2023	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	To confirm payment of Interim Dividend of Rs.6/- per equity share (120%) of face value of Rs.5/- each and to declare final dividend at the rate of Rs.9/- per equity share (180%) of face value of Rs.5/- each for the financial year ended March 31, 2023.	For	For	No concern with the proposed recommendation
30-Aug-2023	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	To appoint a director in place of Smt. Sangita Reddy, (DIN:00006285) who retires by rotation and being eligible offers herself for re-appointment.	For	For	No concern with the proposed recommendation
30-Aug-2023	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	Re-appointment of Dr. Pudugramam Murali Doraiswamy (DIN: 08235560) as an Independent Director of the Company, to hold office for a second term of 5 consecutive years on the Board of the Company commencing from 27th September, 2023 to 26th September, 2028, and he would not be liable to retire by rotation.	For	For	No concern with the proposed recommendation
30-Aug-2023	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	Re-appointment of Shri. Mandavilli Bhaskara Nageswara Rao (DIN: 00287260) as an Independent Director of the Company, to hold office for a second term of 5 consecutive years on the Board of the Company commencing from 9th February 2024 to 8th February, 2029, notwithstanding the fact that he has attained the age of 75 years and he would not be liable to retire by rotation.	For	For	No concern with the proposed recommendation
30-Aug-2023	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	Re-appointment of Smt. Velagapudi Kavitha Dutt (DIN: 00139274) as an Independent Director of the Company, to hold office for a second term of 5 consecutive years on the Board of the Company commencing from 9th February 2024 to 8th February, 2029, and she would not be liable to retire by rotation.	For	For	No concern with the proposed recommendation
30-Aug-2023	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	Payment of commission to the Non-Executive and Independent Directors of the Company (other than the Managing Director and/or Whole Time Directors), appointed from time to time, to be determined by the Board of Directors for each Non-Executive and Independent Director for each financial year over a period of five (5) financial years with effect from 1st April 2024 to 31st March 2029 and be distributed between such Directors in such a manner as the Board of Directors may from time to time determine within the overall maximum limit of 1% of the net profits of the Company.	For	For	No concern with the proposed recommendation
30-Aug-2023	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	To offer or invite subscriptions for secured/ unsecured redeemable non-convertible debentures, in one or more series/ tranches, aggregating upto Rs.5,000 million on a private placement basis.	For	For	No concern with the proposed recommendation
30-Aug-2023	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	Ratification of remuneration of Rs.1.65 million plus statutory levies as applicable, excluding out of pocket expenses payable to M/s. A.N. Raman & Associates, Cost Accountants, Chennai (Firm Registration No. 102111), appointed by the Board of Directors on the recommendation of the Audit Committee, as Cost Auditors of the Company to conduct the audit of the cost records of the Company for the financial year ending 31st March, 2024.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the audited standalone and consolidated financial statements for the financial year ended March 31, 2023 together with the Reports of the Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	To declare dividend on equity shares.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	To appoint a director in place of Mr. Sandeep Bakhshi (DIN: 00109206), who retires by rotation and being eligible offers himself for re-appointment.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of M/s M S K A & Associates, Chartered Accountants (Registration No. 105047W) as one of the Joint Statutory Auditors of the Bank, to hold office from the conclusion of this Meeting till the conclusion of the Thirtieth Annual General Meeting of the Bank at an overall remuneration of Rs 59.0 million, plus out-of-pocket expenses upto a maximum of Rs 3.0 million to be allocated between M/s M S K A & Associates and the other Joint Statutory Auditor as may be mutually agreed between the Bank and the Joint Statutory Auditors, depending upon their respective scope of work and goods and services tax and such other tax(es) as may be applicable towards audit fees for FY2024.	For	For	No concern with the proposed recommendation

## Form L-43 Voling Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Abs tain/Not Voted)	Reason supporting the vote decision
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of M/s KKC & Associates LLP, Chartered Accountants (formerly M/s Khimji Kunverji & Co LLP) (Registration No. 105146W/W100621) as one of the Joint Statutory Auditors of the Bank, to hold office from the conclusion of this Meeting till the conclusion of the Thirtieth Annual General Meeting of the Bank at an overall remuneration of Rs 59.0 million, plus out-of-pocket expenses upto a maximum of Rs 3.0 million to be allocated between M/s M S K A & Associates and M/s KKC & Associates LLP (formerly M/s Khimji Kunverji & Co LLP) as may be mutually agreed between the Bank and the Joint Statutory Auditors, depending upon their respective scope of work and goods and services tax and such other tax(es) as may be applicable towards audit fees for FY2024.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of Mr. Hari L. Mundra (DIN: 00287029) as an Independent Director of the Bank not liable to retire by rotation, for a second term commencing from October 26, 2023 to October 25, 2024.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of Mr. B. Siram (DIN: 02993708) as an Independent Director of the Bank not liable to retire by rotation, for a second term of three consecutive years commencing from January 14, 2024 to January 13, 2027.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of Mr. S. Madhavan (DIN: 06451889) as an Independent Director of the Bank not liable to retire by rotation, for a second term of three consecutive years commencing from April 14, 2024 to April 13, 2027.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Revision in remuneration of Mr. Sandeep Bakshi (DIN: 00109206), Managing Director & Chief Executive Officer of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Revision in remuneration of Mr. Sandeep Batra (DIN: 03620913), Executive Director of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Revision in remuneration of Mr. Rakesh Jha (DIN: 00042075), Executive Director of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Revision in remuneration of Mr. Anup Bagchi (DIN: 00105962), erstwhile Executive Director of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of Mr. Sandeep Bakshi (DIN: 00109206), as Managing Director & Chief Executive Officer of the Bank and including remuneration.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for sale of securities (issued by related or unrelated parties) to Related Party for FY2024 may exceed Rs 10.00 billion or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, as prescribed under the Applicable Laws or any other materiality threshold, as may be applicable from time to time, provided however, that the said contracts/arrangements/ transactions shall be carried out on an arms length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for current account deposits for FY2025 may exceed Rs 10.00 billion or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, as prescribed under the Applicable Laws or any other materiality threshold, as may be applicable from time to time, for each such Party, provided however, that the said contracts/arrangements/ transactions shall be carried out on an arms length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for subscription of securities issued by Related Parties and purchase of securities from Related Parties (issued by related or unrelated parties) for FY2025 may exceed Rs 10.00 billion or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, as prescribed under the Applicable Laws or any other materiality threshold, as may be applicable from time to time, for each such Party, provided however, that the said contracts/ arrangements/transactions shall be carried out on an arms length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for sale of securities (issued by related or unrelated parties) to Related Parties for FY2025 may exceed Rs 10.00 billion or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, as prescribed under the Applicable Laws or any other materiality threshold, as may be applicable from time to time, for each such Party, provided however, that the said contracts/ arrangements/transactions shall be carried out on an arms length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for fund-based and/or non-fund based credit facilities for FY2025 may exceed Rs 10.00 billion or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, as prescribed under the Applicable Laws or any other materiality threshold, as may be applicable from time to time, for each such Party, provided however, that the said contracts/ arrangements/transactions shall be carried out on an arms length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for undertaking repurchase (repo) transactions and other permitted short-term borrowing transactions with the Related Party for FY2025 may exceed Rs 10.00 billion or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, as prescribed under the Applicable Laws or any other materiality threshold, as may be applicable from time to time, provided however, that the said contracts/arrangements/transactions shall be carried out on an arms length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for undertaking reverse repurchase (reverse repo) and other permitted short-term lending transactions with the Related Party for FY2025 may exceed Rs 10.00 billion or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, as prescribed under the Applicable Laws or any other materiality threshold, as may be applicable from time to time, provided however, that the said contracts/arrangements/transactions shall be carried out on an arms length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation

## Form L- 43 Voling Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2023

Date: 30-Oct-23

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For/Against/Abs tain/Not Voted)	Reason supporting the vote decision
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for availing manpower services for certain activities from Related Party for FY2025 may exceed Rs 10.00 billion or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, as prescribed under the Applicable Laws or any other materiality threshold, as may be applicable from time to time, provided however, that the said contracts/arrangements/ transactions shall be carried out on an arms length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for availing insurance services from Related Party for FY2025 may exceed Rs 10.00 billion or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, as prescribed under the Applicable Laws or any other materiality threshold, as may be applicable from time to time, provided however, that the said contracts/arrangements/transactions shall be carried out on an arm's length basis and in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2023	NTPC Limited	Annual General Meeting	Management	To consider and adopt Audited Standalone & Consolidated Financial Statements of the Company for the financial year ended 31st March 2023, the reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
30-Aug-2023	NTPC Limited	Annual General Meeting	Management	To confirm payment of an interim dividend @ 42.50% (Rs.4.25 per equity share of Rs.10/-) on the paid up equity share capital of the Company and final dividend @ 30% (Rs.3.00 per equity share of Rs.10/-) as recommended by the Board of Directors of the company for the financial year 2022-23.	For	For	No concern with the proposed recommendation
30-Aug-2023	NTPC Limited	Annual General Meeting	Management	To appoint of Shri Ujjwal Kanti Bhattacharya (DIN: 08734219) Director (Projects), who retires by rotation as a Director of the company.	For	For	No concern with the proposed recommendation
30-Aug-2023	NTPC Limited	Annual General Meeting	Management	To appoint Shri Dillip Kumar Patel, (DIN: 08695490) Director (HR), who retires by rotation as a Director of the company.	For	For	No concern with the proposed recommendation
30-Aug-2023	NTPC Limited	Annual General Meeting	Management	To fix an appropriate remuneration of Statutory Auditors of the Company, appointed by the Comptroller and Auditor General of India for the financial year 2023-24.	For	For	No concern with the proposed recommendation
30-Aug-2023	NTPC Limited	Annual General Meeting	Management	To appoint Shri Shivam Sivastav (DIN: 10141887) as an Additional Director and designated as Director (Fuel) by the Board of Directors with effect from 30th April, 2023 to hold office until the date of this Annual General Meeting.	For	For	No concern with the proposed recommendation
30-Aug-2023	NTPC Limited	Annual General Meeting	Management	Ratification of remuneration of Rs. 46,84,000/- plus applicable taxes as approved by the Board of Directors payable to Cost Auditors appointed by the Board of Directors of the Company to conduct the audit of the cost records of the Company for the financial year 2023-24.	For	For	No concern with the proposed recommendation
30-Aug-2023	NTPC Limited	Annual General Meeting	Management	Authorized to make offer(s) or invitation(s) to subscribe to the secured/unsecured, redeemable, taxable/tax-free, cumulative/non-cumulative, non-convertible debentures (NCDs/Bonds) up to Rs 12,000 Crore in one or more tranches/series not exceeding 12 through private placement.	For	For	No concern with the proposed recommendation
07-Sep-2023	Hindustan Unilever Limited	Postal Ballot	Management	Appointment of Ms. Neelam Dhawan (DIN: 00871445) as an Independent Director of the Company not liable to retire by rotation, to hold office for a term of five consecutive years i.e., from 1st August, 2023 upto 31st July, 2028.	For	For	No concern with the proposed recommendation
07-Sep-2023	Hindustan Unilever Limited	Postal Ballot	Management	Re-appointment of Mr. Leo Puri (DIN: 01764813) as an Independent Director of the Company, not liable to retire by rotation, to hold office for a second term of five consecutive years i.e., from 12th October, 2023 upto 11th October, 2028.	For	For	No concern with the proposed recommendation
11-Sep-2023	Tata Steel Limited	Postal Ballot	Management	Material Related Party Transaction(s) With Angul Energy Limited for an aggregate value of up to Rs.1,690 crore, to be entered during FY2023-24.	For	For	No concern with the proposed recommendation
11-Sep-2023	Tata Steel Limited	Postal Ballot	Management	Material related party transaction(s) with Tata Projects Limited for an aggregate value of up to Rs.1,220 crore, to be entered during FY2023-24.	For	For	No concern with the proposed recommendation
11-Sep-2023	Tata Steel Limited	Postal Ballot	Management	Material related party transaction(s) between Tata Steel Downstream Products Limited, a wholly owned subsidiary of Tata Steel Limited and Tata Motors Limited, a related party of Tata Steel Limited for transactions of upto Rs.3,200 crore, to be entered during FY2023-24.	For	For	No concern with the proposed recommendation
11-Sep-2023	Tata Steel Limited	Postal Ballot	Management	Material modification in approved related party transaction(s) with Tata Motors Limited and Poshs Metal Industries Private Limited, a third party and increase the transaction value from Rs.350 crore to Rs.500 crore, thereby now aggregating to Rs.1,190 crore (directly with Tata Motors for an amount up to Rs.690 crore and through third party, viz. Poshs for an amount up to Rs.500 crore), for purchase and sale of goods, receiving and rendering of services and other transactions for business, to be entered during FY2023-24.	For	For	No concern with the proposed recommendation
11-Sep-2023	Tata Steel Limited	Postal Ballot	Management	Re-Appointment of Mr. T.V. Narendran (DIN: 03083605) as Chief Executive Officer and Managing Director (CEO & MD) of the Company for a further period of five years, with effect from September 19, 2023 through September 18, 2028, not liable to retire by rotation and including remuneration.	For	For	No concern with the proposed recommendation
15-Sep-2023	Asian Paints Limited	Postal Ballot	Management	Appointment of Mrs. Ireena Vittal (DIN: 05195656) as an Independent Director of the Company not liable to retire by rotation to hold office for a period of five consecutive years from 25th July, 2023 to 24th July, 2028.	For	For	No concern with the proposed recommendation
18-Sep-2023	Tata Steel Limited	Court Convened Meeting	Management	Scheme of Amalgamation amongst Tata Steel Limited (Transferor Company/the Company) and TRF Limited (Transferor Company) and their respective shareholders under Sections 230 to 232 of the Act (Scheme).	For	For	No concern with the proposed recommendation
22-Sep-2023	Adani Ports and Special Economic Zone Limited	Postal Ballot	Management	To appoint M/s. M S K A & Associates, Chartered Accountants (firm registration no. 105047W) as the Statutory Auditors of the Company to fill the casual vacancy caused by resignation of Deloitte Haskins & Sells LLP, till the date of next Annual General Meeting to be held in calendar year 2024 as Statutory Auditors of the Company.	For	For	No concern with the proposed recommendation
22-Sep-2023	Adani Ports and Special Economic Zone Limited	Postal Ballot	Management	To approve amendment to the Articles of Association of the Company.	For	For	No concern with the proposed recommendation



## FORM L-45 OFFICES AND OTHER INFORMATION

Sl. No.	Information	Number	
1	No. of offices at the beginning of the quarter*	59	
2	No. of branches approved during the quarter	-	
3	No. of branches opened during the quarter	Out of approvals of previous year	-
4		Out of approvals of this year	-
5	No. of branches closed during the quarter	-	
6	No. of branches at the end of the quarter	58	
7	No. of branches approved but not opened	-	
8	No. of rural branches	-	
9	No. of urban branches	58	
10	No. of Directors:-	9	
	(a) Independent Director	3	
	(b) Executive Director	1	
	(c) Non-executive Director (including Independent Director and women directors)	8	
	(d) Women Director	1	
	(e) Whole time director	1	
11	No. of Employees		
	(a) On-roll:	1,836	
	(b) Off-roll:	61	
	(c) Total	1,897	
12	No. of Insurance Agents and Intermediaries		
	(a) Individual Agents	3,143	
	(b) Corporate Agents-Banks	6	
	(c) Corporate Agents-Others	4	
	(d) Insurance Brokers	142	
	(e) Web Aggregators	-	
	(f) Insurance Marketing Firm	25	
	(g) Micro Agents	-	
	(h) Point of Sales persons (DIRECT)	1,294	
	(i) Other as allowed by IRDAI (To be specified)	-	

\* including head office.

## Employees and Insurance Agents and Intermediaries -Movement

Particulars	Employees	Insurance Agents and Intermediaries
Number at the beginning of the quarter	1,886	4,448
Recruitments during the quarter	418	458
Attrition during the quarter	468	292
Number at the end of the quarter	1,836	4,614