



IRDA Public Disclosures

FOR THE QUARTER ENDED DECEMBER 31, 2021

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Aviva Life Insurance Company India Limited

IRDAI Registration Code: 0122

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Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-1-A-RA
Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED REVENUE ACCOUNT FOR THE PERIOD ENDED DECEMBER 31, 2021
Policyholders' Account (Technical Account)

Particulars	Schedule	LINKED		NON-LINKED							Q3 '21-22	AS AT DECEMBER 31, 2021
				PARTICIPATING		NON PARTICIPATING						
		LIFE	PENSION	LIFE	PENSION	LIFE	PENSION	ANNUITY	HEALTH	VARIABLE INSURANCE		
		(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
Premiums earned – net	L-4											
(a) Premium		19,536	775	335	3	56,998	851	548	233	41	26,017	79,320
(b) Reinsurance ceded		(218)	(2)	(3)	-	(5,223)	1	-	(78)	-	(1,406)	(5,523)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-
Sub Total		19,318	773	332	3	51,775	852	548	155	41	24,611	73,797
Income from Investments												
(a) Interest, Dividends and Rent – Gross		9,235	832	156	-	37,498	-	1,444	-	34	16,358	49,199
(b) Profit on sale/redemption of investments		25,807	2,988	17	-	2,662	-	-	-	4	9,774	31,478
(c) (Loss) on sale/ redemption of investments		(1,791)	(176)	-	-	(469)	-	-	-	-	(765)	(2,436)
(d) Transfer/Gain on revaluation/change in fair value		18,697	1,780	-	-	-	-	-	-	-	(8,631)	20,477
(e) Appropriation/Expropriation Adjustment account		-	-	-	-	-	-	-	-	-	-	-
Sub Total		51,948	5,424	173	-	39,691	-	1,444	-	38	16,736	98,718
Other Income												
(a) Fee, Charges and Rent Income		1	-	2	-	219	1	-	-	-	66	223
(b) Contribution from Shareholders Account		-	-	-	-	-	-	-	-	-	-	-
- towards extra mortality/other charges		57	-	-	-	19	-	-	-	-	11	76
- towards excess of expense of management		-	-	-	-	-	-	-	-	-	-	-
- towards deficit funding and others		1,673	-	-	-	121	877	-	45	38	472	2,754
TOTAL (A)		72,997	6,197	507	3	91,825	1,730	1,992	200	117	41,896	175,568
Commission*	L-5	220	1	6	-	1,832	13	3	1	-	752	2,076
Operating Expenses related to Insurance Business	L-6	6,097	122	5	-	12,785	455	761	14	12	6,666	20,251
Provision for Doubtful debts		20	-	-	-	43	2	3	-	-	16	68
Bad debts written off		-	-	-	-	-	-	-	-	-	-	-
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-
(a) For diminution in the value of investments (Net)		1,363	108	(1)	-	(566)	-	-	-	-	(1)	904
(b) Others		-	-	-	-	-	-	-	-	-	-	-
Service Tax/Goods & Service Tax charges on Linked Charges		980	56	-	-	-	-	-	-	-	340	1,036
TOTAL (B)		8,680	287	10	-	14,094	470	767	15	12	7,773	24,335
Benefits Paid (Net)	L-7	43,287	6,172	193	22	29,525	809	275	92	929	24,826	81,304
Interim Bonuses Paid		208	8	5	2	-	-	-	-	-	141	223
Change in valuation of liability in respect of life policies												
(a) Gross		452	(23)	237	(18)	47,197	452	499	128	(824)	13,600	48,100
(b) Amount ceded in Reinsurance		(28)	-	-	-	(2,896)	(1)	-	(35)	-	843	(2,960)
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	-
(d) Fund Reserve for Linked Policies		19,682	(495)	-	-	-	-	-	-	-	(6,793)	19,187
(e) Fund for Discontinued Policies		558	-	-	-	-	-	-	-	-	(1,123)	558
TOTAL (C)		64,159	5,662	435	6	73,826	1,260	774	185	105	31,494	146,412
TOTAL (B+C)		72,839	5,949	445	6	87,920	1,730	1,541	200	117	39,267	170,747
SURPLUS/(DEFICIT) (D)=(A)-(B)-(C)		158	248	62	(3)	3,905	-	451	-	-	2,629	4,821
APPROPRIATIONS												
Transfer to Shareholders' Account		-	-	-	-	-	-	-	-	-	-	-
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-
Transfer to/(from) Funds for Future Appropriations		158	51	62	(3)	-	-	-	-	-	(108)	268
Transfer to Balance sheet		-	197	-	-	3,905	-	451	-	-	2,737	4,553
TOTAL (D)		158	248	62	(3)	3,905	-	451	-	-	2,629	4,821
The break up of Total surplus is as under:												
(a) Interim Bonuses Paid:		208	8	5	2	-	-	-	-	-	141	223
(b) Allocation of Bonus to policyholders:		-	-	-	-	-	-	-	-	-	-	-
(c) Surplus shown in the Revenue Account:		158	248	62	(3)	3,905	-	451	-	-	2,629	4,821
(d) Total Surplus: ((a)+(b)+(c)):		366	256	67	(1)	3,905	-	451	-	-	2,770	5,044

*Including Rewards and/or remuneration to agents, brokers or other intermediaries

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-1-A-RA

Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED REVENUE ACCOUNT FOR THE PERIOD ENDED DECEMBER 31, 2020
Policyholders' Account (Technical Account)

Particulars	Schedule	LINKED		NON-LINKED							Q3 '20-21	AS AT DECEMBER 31, 2020
				PARTICIPATING		NON PARTICIPATING						
		LIFE	PENSION	LIFE	PENSION	LIFE	PENSION	ANNUITY	HEALTH	VARIABLE INSURANCE		
		(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)						(Rs. In lacs)	(Rs. In lacs)
Premiums earned – net	L-4											
(a) Premium		17,866	1,192	355	4	50,226	981	584	248	4	23,221	71,460
(b) Reinsurance ceded		(258)	-	-	-	(4,683)	-	-	(50)	-	(1,598)	(4,991)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-
Sub Total		17,608	1,192	355	4	45,543	981	584	198	4	21,623	66,469
Income from Investments												
(a) Interest, Dividends and Rent – Gross		8,482	752	128	-	32,284	-	1,338	-	56	14,571	43,040
(b) Profit on sale/redemption of investments		14,510	1,827	33	-	5,017	-	-	-	8	10,811	21,395
(c) (Loss) on sale/ redemption of investments		(15,288)	(1,454)	(3)	-	(3,602)	-	-	-	-	(1,087)	(20,347)
(d) Transfer/Gain on revaluation/change in fair value		80,216	8,998	-	-	-	-	-	-	-	41,134	89,214
(e) Appropriation/Expropriation Adjustment account		-	-	-	-	-	-	-	-	-	-	-
Sub Total		87,920	10,123	158	-	33,699	-	1,338	-	64	65,428	133,302
Other Income												
(a) Fee, Charges and Rent Income		3	-	1	-	229	1	-	-	-	44	234
(b) Contribution from Shareholders Account		-	-	-	-	-	-	-	-	-	-	-
- towards extra mortality/other charges		67	-	-	-	16	-	-	-	-	12	83
- towards excess of expense of management		-	-	-	-	-	-	-	-	-	-	-
- towards deficit funding and others		812	66	-	-	1,316	1,103	-	-	18	(1,650)	3,315
TOTAL (A)		106,410	11,381	514	4	80,803	2,085	1,922	198	86	85,459	203,403
Commission*	L-5	170	6	9	-	1,272	20	5	1	-	479	1,483
Service Tax/Goods & Service Tax charges on Linked Charges		930	51	-	-	-	-	-	-	-	319	981
Operating Expenses related to Insurance Business	L-6	5,063	259	29	-	14,364	589	799	42	12	7,216	21,157
Provision for Doubtful debts		15	1	-	-	46	2	3	-	-	13	67
Bad debts written off		-	-	-	-	-	-	-	-	-	-	-
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-
(a) For diminution in the value of investments (Net)		-	-	(3)	-	75	-	-	-	-	(20)	72
(b) Others		-	-	-	-	-	-	-	-	-	-	-
TOTAL (B)		6,178	317	35	-	15,757	611	807	43	12	8,009	23,760
Benefits Paid (Net)	L-7	27,689	2,642	65	14	21,415	9,506	228	24	118	20,817	61,701
Interim Bonuses Paid		14	9	4	1	-	-	-	-	-	8	28
Change in valuation of liability in respect of life policies												
(a) Gross		428	(68)	288	(7)	44,082	(8,032)	594	110	(43)	15,121	37,352
(b) Amount ceded in Reinsurance		9	-	-	-	(447)	-	-	(10)	-	158	(448)
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	-
(d) Fund Reserve for Linked Policies		66,309	8,353	-	-	-	-	-	-	-	40,003	74,662
(e) Fund for Discontinued Policies		4,727	-	-	-	-	-	-	-	-	517	4,727
TOTAL (C)		99,176	10,936	357	8	65,050	1,474	822	124	75	76,624	178,022
TOTAL (B+C)		105,354	11,253	392	8	80,807	2,085	1,629	167	87	84,633	201,782
SURPLUS/DEFICIT (D)=(A)-(B)-(C)		1,056	128	122	(4)	(4)	-	293	31	(1)	826	1,621
APPROPRIATIONS												
Transfer to Shareholders' Account		-	-	-	-	-	-	-	-	-	-	-
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-
Transfer to/(from) Funds for Future Appropriations		1,054	127	123	(3)	-	-	-	-	-	573	1,301
Transfer to Balance sheet		2	-	-	-	(2)	-	293	29	-	253	322
TOTAL (D)		1,056	127	123	(3)	(2)	-	293	29	-	826	1,623
The break up of Total surplus is as under:												
(a) Interim Bonuses Paid:		15	9	4	1	-	-	-	-	-	8	29
(b) Allocation of Bonus to policyholders:		-	-	-	-	-	-	-	-	-	-	-
(c) Surplus shown in the Revenue Account:		1,056	127	123	(3)	(2)	-	293	29	-	826	1,623
(d) Total Surplus: ((a)+(b)+(c)):		1,071	136	127	(2)	(2)	-	293	29	-	834	1,652

FORM L-2-A-PL

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED PROFIT AND LOSS ACCOUNT FOR THE PERIOD ENDED DECEMBER 31, 2021
Shareholders' Account (Non-technical Account)

Particulars	Q3 '21-22 (Rs. In lacs)	AS AT DECEMBER 31, 2021 (Rs. In lacs)	Q3 '20-21 (Rs. In lacs)	AS AT DECEMBER 31, 2020 (Rs. In lacs)
Amount transferred from Policyholders Account (Technical Account)	-	-	-	-
Income From Investments				
(a) Interest, Dividends and Rent – Gross	983	3,055	1,084	3,361
(b) Profit on sale/redemption of investments	22	300	571	602
(c) (Loss) on sale/ redemption of investments	-	(56)	-	(495)
Other Income	-	-	-	-
TOTAL (A)	1,005	3,299	1,655	3,468
Expense other than those directly related to the insurance business	84	253	52	149
Managerial Remuneration (in excess of allowable limits)	17	183	49	239
Bad debts written off	-	-	-	-
Provisions (Other than taxation)				
(a) For diminution in the value of investments (net)	-	(103)	(2)	9
(b) Provision for doubtful debts	-	-	-	-
(c) Others	-	-	-	-
Contribution to the Policyholders Account (Technical Account)				
- towards excess of expense of management	-	-	-	-
- towards deficit funding and others	473	2,754	(1,650)	3,316
TOTAL (B)	573	3,087	(1,550)	3,714
Profit/(Loss) before tax	432	212	3,205	(246)
Provision for Taxation	-	-	-	-
Profit/(Loss) after tax	432	212	3,205	(246)
APPROPRIATIONS				
(a) Balance at the beginning of the period	(138,941)	(138,941)	(134,667)	(134,667)
(b) Interim dividends paid during the period	-	-	-	-
(c) Proposed final dividend	-	-	-	-
(d) Dividend distribution on tax	-	-	-	-
(e) Transfer to reserves/ other accounts	-	-	-	-
Profit/ (Loss) carried forward to the Balance Sheet	(138,509)	(138,729)	(131,461)	(134,913)
Earnings per equity share				
Basic and diluted earnings per equity share (Face value of Rs. 10 per share)		0.01		(0.01)

FORM L-3-A-B5

Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED BALANCE SHEET AS AT DECEMBER 31, 2021

Particulars	Schedule	AS AT DECEMBER 31, 2021 (Rs. in lacs)	AS AT DECEMBER 30, 2020 (Rs. in lacs)
SOURCES OF FUNDS			
<i>SHAREHOLDERS' FUNDS:</i>			
SHARE CAPITAL	L-8,L-9	200,490	200,490
RESERVES AND SURPLUS	L-10	-	-
CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT		-	47
Sub-Total		200,490	200,537
BORROWINGS			
<i>POLICYHOLDERS' FUNDS:</i>			
CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT	L-11	-	-
		105	433
SURPLUS ON POLICYHOLDER'S ACCOUNT - Pending transfer to Shareholder's account			
		4,553	324
POLICY LIABILITIES			
Linked - Individual - Life - Non Participating		3,313	2,909
Linked - Individual - Pension - Non Participating		43	98
Linked - Group - Life - Non Participating		-	-
Non Linked - Individual - Life - Participating		2,713	2,276
Non Linked - Individual - Pension - Participating		86	113
Non Linked - Individual - Life - Non Participating		663,940	584,261
Non Linked - Individual - Pension - Non Participating		18,544	17,298
Non Linked - Group - Life - Non Participating		5,441	4,253
Non Linked - Group - Pension - Non Participating		310	226
Non Linked - Annuity - Non Participating		5,937	5,270
Non Linked - Variable group		707	1,391
Non Linked Health Non Participating		1,748	1,247
INSURANCE RESERVES			
PROVISION FOR LINKED LIABILITIES			
Linked - Individual - Life - Participating		4,962	5,121
Linked - Individual - Pension - Participating		314	308
Linked - Individual - Life - Non Participating		286,938	264,324
Linked - Individual - Pension - Non Participating		32,226	33,266
Linked - Group - Life - Non Participating		31,013	30,616
Discontinued Policies Fund - Non payment of premium		17,521	17,518
Sub-Total		1,080,413	971,252
FUNDS FOR FUTURE APPROPRIATIONS			
FFA - Others		8,874	8,821
TOTAL		1,289,777	1,180,611
APPLICATION OF FUNDS			
INVESTMENTS			
- Shareholders'	L-12	59,886	63,310
- Policyholders'	L-13	703,186	624,887
- Assets held to cover linked liabilities	L-14	381,456	359,565
LOANS			
	L-15	-	-
FIXED ASSETS			
	L-16	1,205	1,114
CURRENT ASSETS			
Cash & Bank Balance	L-17	1,548	2,715
Advances And Other Assets	L-18	41,309	46,415
Sub-Total (A)		42,857	49,131
CURRENT LIABILITIES			
PROVISIONS	L-19	36,302	50,639
	L-20	1,240	1,670
Sub-Total (B)		37,542	52,309
NET CURRENT ASSETS (C) = (A – B)		5,315	(3,178)
MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted)			
	L-21	-	-
DEBIT BALANCE IN PROFIT AND LOSS ACCOUNT (Shareholders' Account)			
TOTAL		1,289,777	1,180,611

Particulars	As AT December 31, 2021 (Rs. In lacs)	As AT December 31, 2020 (Rs. In lacs)
Uncalled liability in Partly paid-up investments	958	2,006
Underwriting commitments outstanding (in respect of shares and securities)	Nil	Nil
Claims, other than those under policies, not acknowledged as debts	136	163
Guarantees given by or on behalf of the Company	Nil	Nil
Reinsurance obligations to the extent not provided for in accounts	Nil	Nil
Others (in relation to Claims against policies)	2,100	2,073
Statutory demands/liabilities in dispute, not provided for	Refer table below	

Nature	Nature	As AT December 31, 2021 (Rs. In lacs)	As AT December 31, 2020 (Rs. In lacs)
Service Tax Cases at Various Forums	Tax	6,594	6,594
	Penalty	6,514	6,514

Based on legal opinion, management believes that at present, no provision is required in the books of account in respect of the above matters.

FORM L-4-PREMIUM SCHEDULE

Particulars	Q3 '21-22	AS AT	Q3 '20-21	AS AT
	DECEMBER 31, 2021	DECEMBER 31, 2021	DECEMBER 31, 2020	DECEMBER 31, 2020
	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
First year premiums	5,883	16,997	3,436	12,450
Renewal premiums	19,624	61,111	19,662	58,035
Single premiums	510	1,212	123	977
TOTAL PREMIUM	26,017	79,320	23,221	71,462
Premium Income from business written :				
In India	26,017	79,320	23,221	71,462
Outside India	-	-	-	-
TOTAL PREMIUM	26,017	79,320	23,221	71,462

FORM L-5-COMMISSION SCHEDULE

Particulars	Q3 '21-22	AS AT	Q3 '20-21	AS AT
	(Rs. In lacs)	DECEMBER 31, 2021 (Rs. In lacs)	(Rs. In lacs)	DECEMBER 31, 2020 (Rs. In lacs)
Commission Paid				
Direct - First year premiums	542	1,437	307	1,046
- Renewal premiums	176	543	157	360
- Single premiums	8	23	(0)	0
Total	726	2,003	464	1,405
Add : Commission on Re-insurance Accepted	-	-	-	-
Less : Commission on Re-insurance Ceded	-	-	-	-
NET COMMISSION	726	2,003	464	1,405
Rewards and/or remuneration to agents, brokers or other intermediaries	26	73	15	78
NET COMMISSION INCLUDING REWARDS	752	2,076	479	1,483
Break-up of commission by distribution network:				
Agents	225	647	207	677
Brokers	50	275	5	81
Corporate Agency	425	1,036	229	596
Referral	-	3	1	1
Others (Insurance Marketing Firm)	52	115	38	128
TOTAL (B)	752	2,076	479	1,483
Commission and Rewards on Business written :				
In India	752	2,076	479	1,483
Outside India	-	-	-	-
TOTAL COMMISSION AND REWARDS	752	2,076	479	1,483

FORM L-6-OPERATING EXPENSES SCHEDULE

Particulars	Q3 '21-22	AS AT	Q3 '20-21	AS AT
	(Rs. In lacs)	DECEMBER 31, 2021 (Rs. In lacs)	(Rs. In lacs)	DECEMBER 31, 2020 (Rs. In lacs)
Employees' remuneration and welfare benefits	3,873	11,622	4,025	12,568
Travel, conveyance and vehicle running expenses	46	68	11	5
Training expenses	(5)	-	(1)	3
Rents, rates and taxes	968	2,090	667	2,089
Repairs & Office Maintenance	316	948	270	836
Printing and stationery	28	99	40	93
Communication expenses	107	333	96	319
Legal and professional charges	210	522	170	428
Medical fees	15	53	9	21
Auditors' fees, expenses etc				
a) as auditor	13	39	13	39
b) as adviser or in any other capacity, in respect of				
(i) Taxation matters	-	-	-	-
(ii) Insurance matters	-	-	-	-
(iii) Management services; and	-	-	-	-
c) in any other capacity	1	4	1	4
Advertisement and publicity	413	960	180	592
Business Development and Sales Promotion Expenses	70	213	110	413
Stamp duty on policies	21	289	22	328
Interest and bank charges	31	114	67	147
Depreciation	212	614	189	588
Information technology and related expenses	513	1,764	1,166	2,086
Goods and Service Tax/Service tax on premium	34	90	29	96
Others				
a) Electricity	56	185	74	219
b) Recruitment	43	158	44	189
c) Miscellaneous expenses	25	86	35	95
TOTAL	6,990	20,251	7,216	21,157
In India	6,990	20,251	7,216	21,157
Outside India	-	-	-	-

FORM L-7-BENEFITS PAID SCHEDULE

Particulars	Q3 '21-22	AS AT DECEMBER 31, 2021	Q3 '20-21	AS AT DECEMBER 31, 2020
	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)	(Rs. In lacs)
Insurance Claims				
(a) Claims by Death,	3,812	28,190	3,763	8,957
(b) Claims by Maturity,	5,606	14,083	5,309	14,721
(c) Annuities/Pension payment	1,468	3,913	988	12,331
(d) Periodical Benefit	1,880	5,705	1,571	3,373
(e) Health	70	200	10	70
(f) Surrender	13,891	46,825	10,602	25,897
(g) Other benefits				
- Riders	41	86	7	10
- Interest to policy holders	137	474	178	558
(Amount ceded in reinsurance):				
(a) Claims by Death,	(2,079)	(18,172)	(1,612)	(4,217)
(b) Claims by Maturity,	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-
(d) Periodical Benefit	-	-	-	-
(e) Health	-	-	-	-
(f) Surrender	-	-	-	-
(g) Other benefits	-	-	-	-
- Riders	-	-	-	-
- Interest to policy holders	-	-	-	-
(Amount accepted in reinsurance):				
(a) Claims by Death,	-	-	-	-
(b) Claims by Maturity,	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-
(d) Periodical Benefit	-	-	-	-
(e) Health	-	-	-	-
(f) Surrender	-	-	-	-
(g) Other benefits	-	-	-	-
- Riders	-	-	-	-
- Interest to policy holders	-	-	-	-
TOTAL	24,826	81,304	20,817	61,701
Benefits paid (Net)				
In India	24,826	81,304	20,817	61,701
Outside India	-	-	-	-
Total Benefits paid (Net)	24,826	81,304	20,817	61,701

FORM L-8-SHARE CAPITAL SCHEDULE

Particulars	AS AT	AS AT
	DECEMBER 31, 2021 (Rs. In lacs)	DECEMBER 30, 2020 (Rs. In lacs)
Authorized Capital	250,000	250,000
2,500,000,000 (Previous Year 2,500,000,000) Equity shares of Rs 10 each		
Issued, Subscribed and Called up Capital		
2,004,900,000 (Previous Year 2,004,900,000) Equity shares of Rs 10 each, fully paid up	200,490	200,490
Less : Calls unpaid	-	-
Add : Shares forfeited (Amount originally paid up)	-	-
Less: Par value of Equity Shares bought back	-	-
Less: Preliminary expenses	-	-
Expenses including commission or brokerage or underwriting or subscription of shares.	-	-
TOTAL	200,490	200,490



FORM L-9-PATTERN OF SHAREHOLDING SCHEDULE

Shareholder	AS AT DECEMBER 31, 2021		AS AT DECEMBER 30, 2020	
	Number of Shares	% of Holding	Number of Shares	% of Holding
Promoters				
- Indian - Dabur Invest Corp.(Partnership Firm)	1,022,499,000	51%	1,022,499,000	51%
- Foreign - Aviva International Holdings Limited, UK	982,401,000	49%	982,401,000	49%
- Others	-	0%	-	0%
TOTAL	2,004,900,000	100%	2,004,900,000	100%

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-9A- PART A: PATTERN OF SHAREHOLDING SCHEDULE as at DECEMBER 31, 21

S.No.	Category	No. of Investors	Number of Shares	% of Holding	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares	As a percentage of Total Shares held	Number of shares	As a percentage of Total Shares held
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):*								
	(i) Mr V C Burman	-	1,022,498,460	51%	102,250	-	-	-	-
	(ii) Ms Asha Burman	-	100		0	-	-	-	-
	(iii) Ms Indira Burman	-	100		0	-	-	-	-
	(iv) Mr Pradip Burman	-	100		0	-	-	-	-
	(v) Mr Mohit Burman	-	100		0	-	-	-	-
	(vi) Dr Anand Chand Burman	-	100		0	-	-	-	-
	(vii) Mr Ashok Kumar Jain	-	10		0	-	-	-	-
	(viii) Ms Gagan Ahluwalia	-	10		0	-	-	-	-
	(ix) Mr Abhay Kumar Aggarwal	-	10		0	-	-	-	-
	(x) Mr Arun Gupta	-	10		0	-	-	-	-
	*Indian Shareholding is held by Dabur Invest Corp ("DIC"), a Partnership firm. Persons mentioned at A.1 i) (i) to A.1 i) (iv) above are partners of the firm who have been nominated by DIC to hold the shares on its behalf. Persons mentioned in A.1 i) (v) to A.1 i) (x) are affiliates of Dabur Invest Corp and hold shares for the beneficial interest of Dabur Invest Corp.								
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:	-	982,401,000.00	49%	98,240.10	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	-	-	-	-	-	-	-	-
ii)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-
iii)	Financial Institutions/Banks	-	-	-	-	-	-	-	-
iv)	Insurance Companies	-	-	-	-	-	-	-	-
v)	FII belonging to Foreign promoter	-	-	-	-	-	-	-	-
vi)	FII belonging to Foreign Promoter of Indian Promoter	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii)	Alternative Investment Fund	-	-	-	-	-	-	-	-
ix)	Any other (Please specify)	-	-	-	-	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	-	-	-	-	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	-	-	-	-	-	-	-	-
iii)	NBFCs registered with RBI	-	-	-	-	-	-	-	-
iv)	Others:								
	- Trusts	-	-	-	-	-	-	-	-
	- Non Resident Indian	-	-	-	-	-	-	-	-
	- Clearing Members	-	-	-	-	-	-	-	-
	- Non Resident Indian Non Repatriable	-	-	-	-	-	-	-	-
	- Bodies Corporate	-	-	-	-	-	-	-	-
	- IEPF	-	-	-	-	-	-	-	-
v)	Any other (Please Specify)	-	-	-	-	-	-	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder	-	-	-	-	-	-	-	-
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
	TOTAL	-	2,004,900,000	100%	200,490	-	-	-	-

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-9A- PART B: PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE

S.No.	Shareholder	No. of Investors	Number of Shares	% of Holding	Paid up equity (Rs. in lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares	As a percentage of Total Shares held	Number of shares	As a percentage of Total Shares held
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):*								
	(i) Ms Shivani Burman	-	NA	19%	NA	-	-	-	-
	(ii) Mr V C Burman	-	NA	24%	NA	-	-	-	-
	(iii) Ms Asha Burman	-	NA	19%	NA	-	-	-	-
	(iv) Mr Pradip Burman	-	NA	19%	NA	-	-	-	-
	(v) Ms Indira Burman	-	NA	19%	NA	-	-	-	-
	* Indian Promoter, Dabur Invest Corp, being a partnership firm holds no shares. However, the partners in the partnership firm share the profits and losses of the firm in a fixed proportion as indicated above.								
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	-	-	-	-	-	-	-	-
ii)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-
iii)	Financial Institutions/Banks	-	-	-	-	-	-	-	-
iv)	Insurance Companies	-	-	-	-	-	-	-	-
v)	FII belonging to Foreign promoter #	-	-	-	-	-	-	-	-
vi)	FII belonging to Foreign Promoter of Indian Promoter #	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii)	Alternative Investment Fund	-	-	-	-	-	-	-	-
ix)	Any other (Please specify)	-	-	-	-	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	-	-	-	-	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	-	-	-	-	-	-	-	-
iii)	NBFCs registered with RBI	-	-	-	-	-	-	-	-
iv)	Others:								
	- Trusts	-	-	-	-	-	-	-	-
	- Non Resident Indian	-	-	-	-	-	-	-	-
	- Clearing Members	-	-	-	-	-	-	-	-
	- Non Resident Indian Non Repatriable	-	-	-	-	-	-	-	-
	- Bodies Corporate	-	-	-	-	-	-	-	-
	- IEPF	-	-	-	-	-	-	-	-
v)	Any other (Please Specify)	-	-	-	-	-	-	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder	-	-	-	-	-	-	-	-
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
	TOTAL	-	-	100%	-	-	-	-	-

FORM L-10-RESERVES AND SURPLUS SCHEDULE

Particulars	AS AT	AS AT
	DECEMBER 31, 2021 (Rs. In lacs)	DECEMBER 30, 2020 (Rs. In lacs)
Capital Reserve	-	-
Capital Redemption Reserve	-	-
Share Premium	-	-
Revaluation Reserve	-	-
General Reserves	-	-
Less: Debit balance in Profit and Loss Account, if any	-	-
Less: Amount utilized for Buy-back	-	-
Catastrophe Reserve	-	-
Other Reserves	-	-
Balance of profit in Profit and Loss Account	-	-
TOTAL	-	-

FORM L-11-BORROWINGS SCHEDULE

Particulars	AS AT	AS AT
	DECEMBER 31, 2021 (Rs. In lacs)	DECEMBER 30, 2020 (Rs. In lacs)
Debentures/ Bonds	-	-
Banks	-	-
Financial Institutions	-	-
Others	-	-
TOTAL	-	-

FORM L-12-INVESTMENT - SHAREHOLDERS

Particulars	AS AT	AS AT
	DECEMBER 31, 2021 (Rs. In lacs)	DECEMBER 30, 2020 (Rs. In lacs)
LONG TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills	21,507	20,637
(Market value (Rs in lacs) Current Year 22,240 Previous Year 22,258)		
Other Approved Securities	25,161	21,003
(Market value (Rs in lacs) Current Year 25,391 Previous Year 21,683)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(Historical value (Rs in lacs) Current Year NIL Previous Year NIL)		
(bb) Preference	-	-
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Term Deposits)	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :	8,640	6,483
Non Convertible Debentures		
(Market value (Rs in lacs) Current Year 8,914 Previous Year 6,978)		
Equity Shares	-	362
(Historical value (Rs in lacs) Current Year NIL Previous Year 412)		
Other Investments		
Debentures/ Bonds	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year 29)		
Equity Shares	-	-
(Historical value (Rs in lacs) Current Year NIL Previous Year NIL)		
SHORT TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills	2,778	5,016
(Market value (Rs in lacs) Current Year 2,779 Previous Year 5,113)		
Other Approved Securities	470	959
(Market value (Rs in lacs) Current Year 485 Previous Year 991)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(b) Mutual Funds	-	-
(Historical value (Rs in lacs) Current Year NIL Previous Year NIL)		
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Commercial Papers , Certificate of Deposits and Term Deposits)	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
(f) Other Securities (Reverse Repo)/(Treps)	567	6,320
(Market value (Rs in lacs) Current Year 567 Previous Year 6,320)		
(g) Subsidiaries	-	-
(h) Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :	763	2,500
Non Convertible Debentures		
(Market value (Rs in lacs) Current Year 764 Previous Year 2,568)		
Other Investments		
Mutual Funds	-	-
Debentures/ Bonds	-	30
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
TOTAL	59,886	63,310
INVESTMENTS		
In India	59,886	63,310
Outside India	-	-
TOTAL	59,886	63,310

Form L-13-INVESTMENTS-POLICYHOLDERS

Particulars	AS AT DECEMBER 31, 2021 (Rs. In lacs)	AS AT DECEMBER 30, 2020 (Rs. In lacs)
LONG TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills	454,566	391,598
(Market value (Rs in lacs) Current Year 476,883 Previous Year 445,678)		
Other Approved Securities	113,810	96,949
(Market value (Rs in lacs) Current Year 112,653 Previous Year 99,208)		
Other Approved Investments		
(a) Shares		
(aa) Equity	161	151
(Historical value (Rs in lacs) Current Year 64 Previous Year 76)		
(bb) Preference	-	-
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	537	4,548
(Market value (Rs in lacs) Current Year 563 Previous Year 4,931)		
(e) Other Securities (Term Deposits)	-	792
(Market value (Rs in lacs) Current Year NIL Previous Year 792)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :	111,277	100,994
Non Convertible Debentures		
(Market value (Rs in lacs) Current Year 117,014 Previous Year 110,910)		
Equity Shares	9	2,690
(Historical value (Rs in lacs) Current Year 5 Previous Year 3,123)		
Other Investments		
Non Convertible debentures	475	475
(Market value (Rs in lacs) Current Year 475 Previous Year 475)		
Equity Shares	12	7
(Historical value (Rs in lacs) Current Year 13 Previous Year 6)		
SHORT TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills	11,911	9,312
(Market value (Rs in lacs) Current Year 11,909 Previous Year 9,365)		
Other Approved Securities	762	1,051
(Market value (Rs in lacs) Current Year 768 Previous Year 1,081)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(Historical value (Rs in lacs) Current Year NIL Previous Year NIL)		
(b) Mutual Funds	-	-
(Historical value (Rs in lacs) Current Year NIL Previous Year NIL)		
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Commercial Papers, Certificate of Deposits and Term Deposits)	1,285	1,225
(Market value (Rs in lacs) Current Year 1,285 Previous Year 1,225)		
(f) Other Securities (Reverse Repo)/(Treps)	8,063	14,021
(Market value (Rs in lacs) Current Year 8,063 Previous Year 14,021)		
(g) Subsidiaries	-	-
Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :		
Non Convertible Debentures	318	1,075
(Market value (Rs in lacs) Current Year 318 Previous Year 1,100)		
Commercial Paper	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
Other Investments		
Non Convertible Debentures	-	-
(Market value (Rs in lacs) Current Year NIL Previous Year NIL)		
TOTAL	703,186	624,888
INVESTMENTS		
In India	703,186	624,888
Outside India	-	-
TOTAL	703,186	624,888

Form L-14-INVESTMENTS - ASSETS HELD TO COVER LINKED LIABILITIES

Particulars	AS AT	AS AT
	DECEMBER 31, 2021	DECEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)
LONG TERM INVESTMENTS		
Government Securities and Government guaranteed bonds Including Treasury Bills	80,015	53,085
(Historical value)(Rs in lacs) Current Year 80,333 Previous Year 51,999)		
Other Approved Securities	4,821	22,939
(Historical value)(Rs in lacs) Current Year 4,852 Previous Year 22,437)		
Other Approved Investments		
(a) Shares		
(aa) Equity	169,370	153,488
(Historical value)(Rs in lacs) Current Year 112,304 Previous Year 112,141)		
(bb) Preference	-	-
(Historical value)(Rs in lacs) Current Year NIL Previous Year NIL)		
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/Bonds	-	6,759
(Historical value)(Rs in lacs) Current Year NIL Previous Year 5,625)		
(e) Other Securities (Certificate of Deposits, Term Deposits and Commercial papers)	-	-
(Historical value)(Rs in lacs) Current Year NIL Previous Year NIL)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
Investments in Infrastructure, Social Sector and Housing :		
(a) Non Convertible Debentures	13,157	30,475
(Historical value)(Rs in lacs) Current Year 12,339 Previous Year 28,393)		
(b) Equity	15,657	16,322
(Historical value)(Rs in lacs) Current Year 10,430 Previous Year 13,739)		
Other Investments		
(a) Equity Shares*	20,859	11,246
(Historical value)(Rs in lacs) Current Year 5,279 Previous Year 2,697)		
(b) Debentures/Bonds	1,000	3,701
(Historical value)(Rs in lacs) Current Year 4,000 Previous Year 8,557)		
(c) Mutual Funds	24,358	20,872
(Historical value)(Rs in lacs) Current Year 24,358 Previous Year 20,872)		
SHORT TERM INVESTMENTS		
Government Securities and Government guaranteed bonds Including Treasury Bills		
Government Securities and Government guaranteed bonds	20,213	21,203
(Historical value)(Rs in lacs) Current Year 19,988 Previous Year 20,969)		
Other Approved Securities	-	-
(Historical value)(Rs in lacs) Current Year NIL Previous Year NIL)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(Historical value)(Rs in lacs) Current Year NIL Previous Year NIL)		
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	1,535
(Historical value)(Rs in lacs) Current Year NIL Previous Year 1,491)		
(e) Other Securities (Certificate of Deposits, Term Deposits and Commercial papers)	3,896	-
(Historical value)(Rs in lacs) Current Year 3,844 Previous Year NIL)		
(f) Other Securities (Reverse Repo)/(Treps)	19,496	12,471
(Historical value)(Rs in lacs) Current Year 19,495 Previous Year 12,471)		
(g) Subsidiaries	-	-
(h) Investment Properties-Real Estate	-	-
Investments in Infrastructure, Social Sector and Housing :		
Non Convertible Debentures	573	1,871
(Historical value)(Rs in lacs) Current Year 577 Previous Year 1,866)		
Other Investments		
(a) Mutual Funds	-	-
(Historical value)(Rs in lacs) Current Year NIL Previous Year NIL)		
(a) Debentures/Bonds	1,412	-
(Historical value)(Rs in lacs) Current Year 1,400 Previous Year NIL)		
Balances in Bank	107	8
Other Current Assets (net)	6,522	3,590
TOTAL	381,456	359,565
INVESTMENTS		
In India	381,456	359,565
Outside India	-	-
TOTAL	381,456	359,565

FORM L-15-LOANS SCHEDULE

Particulars	AS AT	AS AT
	DECEMBER 31, 2021 (Rs. In lacs)	DECEMBER 30, 2020 (Rs. In lacs)
Security-Wise Classification		
Secured		
(a) On mortgage of property		
(aa) In India	-	-
(bb) Outside India	-	-
(b) On Shares, Bonds, Govt. Securities etc	-	-
(c) Loans against policies	-	-
(d) Others (to be specified)	-	-
Unsecured	-	-
TOTAL		
BORROWER-WISE CLASSIFICATION		
(a) Central and State Governments	-	-
(b) Banks and Financial Institutions	-	-
(c) Subsidiaries	-	-
(d) Companies	-	-
(e) Loans against policies	-	-
(f) Others	-	-
TOTAL		
PERFORMANCE-WISE CLASSIFICATION	-	-
(a) Loans classified as standard	-	-
(aa) In India	-	-
(bb) Outside India	-	-
(b) Non-standard loans less provisions	-	-
(aa) In India	-	-
(bb) Outside India	-	-
TOTAL		
MATURITY-WISE CLASSIFICATION	-	-
(a) Short Term	-	-
(b) Long Term	-	-
Total	-	-

FORM L-16-FIXED ASSETS SCHEDULE

(Rs. In lacs)

Particulars	Cost/ Gross Block				Depreciation / Amortisation				Net Block	
	Opening Balance as on April 1, 2021	Additions during the period	Deductions/ Adjustments	AS AT DECEMBER 31, 2021	Opening Balance as on April 1, 2021	For the period	Sales/ Adjustments	AS AT DECEMBER 31, 2021	AS AT DECEMBER 31, 2021	AS AT MARCH 31, 2021
Intangibles										
Software	5,944	66	-	6,010	5,433	229	-	5,662	348	511
Tangibles										
Leasehold Improvements	2,919	7	171	2,755	2,772	41	159	2,654	101	147
Buildings	-	-	-	-	-	-	-	-	-	-
Furniture & Fittings	1,306	8	98	1,216	1,289	8	98	1,199	17	17
Information Technology Equipment	5,496	268	1,017	4,747	4,792	313	1,012	4,093	654	704
Vehicles	13	77	12	78	13	10	12	11	67	-
Office Equipment	699	20	224	495	688	13	223	478	17	11
Sub Total	16,377	446	1,522	15,301	14,987	614	1,504	14,097	1,204	1,390
Capital Work In Progress	-	-	-	-	-	-	-	-	1	2,160
TOTAL	16,377	446	1,522	15,301	14,987	614	1,504	14,097	1,205	3,550
PREVIOUS YEAR	15,353	365	41	15,677	14,160	588	3	14,745	1,114	

FORM L-17-CASH AND BANK BALANCE SCHEDULE

Particulars	AS AT	AS AT
	DECEMBER 31, 2021 (Rs. In lacs)	DECEMBER 30, 2020 (Rs. In lacs)
Cash (including cheques, drafts and stamps)	111	101
Bank Balances		
(a) Deposit Accounts*		
(aa) Short-term (due within 12 months of the date of balance sheet)	659	1,572
(bb) Others	425	425
(b) Current Accounts	353	617
(c) Others	-	-
Money at Call and Short Notice		
(a) With Banks	-	-
(b) With other Institutions	-	-
Others	-	-
TOTAL	1,548	2,715
<i>Balances with non-scheduled banks included above</i>	-	-
CASH AND BANK BALANCES		
In India	1,548	2,715
Outside India	-	-
TOTAL	1,548	2,715

* Current Year amount includes brought forward Fixed Deposit amounting to Rs. 425 (In lacs) (Previous Year Rs. 425 (In lacs)) which were due for maturity after 3 months at the time of placement and hence were considered as investing activity

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE

ADVANCES AND OTHER ASSETS

Particulars	AS AT		AS AT	
	DECEMBER 31, 2021		DECEMBER 30, 2020	
	(Rs. In lacs)		(Rs. In lacs)	
ADVANCES				
Reserve deposits with ceding companies		-		-
Application money for investments		-		-
Prepayments		456		265
Advances to Directors/Officers		-		-
Advance tax paid and taxes deducted at source	70		104	
Less: Provision for doubtful debts	(1)	69	(1)	103
Others (includes vendor, travel advances & salary recoverable)	980		733	
Less : Provision for doubtful debts	(284)	696	(294)	439
TOTAL (A)		1,221		808
OTHER ASSETS				
Income accrued on investments		13,728		15,459
Outstanding Premiums		1,553		2,811
Agents' Balances	819		810	
Less : Provision for doubtful debts	(746)	73	(753)	57
Foreign Agencies' Balances		-		-
Due from other entities carrying on insurance business (including reinsurers)		368		125
Others				
- Investment pertaining to Unclaimed Policyholders Fund		15,170		16,100
- Interest on Investment pertaining to Unclaimed Policyholders Fund (Net of FMC)		3,173		3,197
- Refundable Security Deposits	3,996		3,805	
Less: Provision for doubtful security deposit	(87)	3,909	(129)	3,676
- Receivables against unsettled investment contracts		-		3,301
- Goods and Service Tax/Service Tax Unutilised Credit		698		324
- Other Reinsurance assets		1,416		558
TOTAL (B)		40,088		45,609
TOTAL (A+B)		41,309		46,416

FORM L-19-CURRENT LIABILITIES SCHEDULE

Particulars	AS AT	AS AT
	DECEMBER 31, 2021	DECEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)
Agents' Balances	472	630
Balances due to other insurance companies	259	556
Deposits held on re-insurance ceded	-	-
Premiums received in advance	1,364	803
Unallocated premium	562	1,948
Sundry creditors		
Micro, Small & Medium Enterprises	-	1
Others	137	889
Unclaimed Amount - Policyholders	15,170	16,100
Interest on Investment pertaining to Unclaimed Policyholders Fund	3,173	3,197
Claims Outstanding	2,597	7,332
Annuities Due	12	12
Others:		
- Accrual for expenses	8,073	7,476
- Goods and Service Tax /Service Tax payable	126	28
- Payables for unsettled investment contracts	-	7,288
- Payable to Policyholders	3,343	3,212
- Statutory Dues Payable	375	438
- Employee and other dues Payable	639	729
TOTAL	36,302	50,639

FORM L-20-PROVISIONS SCHEDULE

Particulars	AS AT	AS AT
	DECEMBER 31, 2021	DECEMBER 30, 2020
	(Rs. 000's)	(Rs. 000's)
For taxation (less payments and taxes deducted at source)	-	-
Others:		
Provision for Gratuity	63	352
Provision for Leave Encashment	253	285
Provision for Other Long Term Benefits	508	543
Provision for Other Employee Benefits	416	490
TOTAL	1,240	1,670

FORM L-21-MISC EXPENDITURE SCHEDULE

Particulars	AS AT	AS AT
	DECEMBER 31, 2021	DECEMBER 30, 2020
	(Rs. In lacs)	(Rs. In lacs)
Discount Allowed in issue of shares/ debentures	-	-
Others	-	-
TOTAL	-	-

FORM L-22-ANALYTICAL RATIOS

S.No.	Particulars	Q3 '21-22	AS AT DECEMBER 31, 2021	Q3 '20-21	AS AT DECEMBER 31, 2020
1	New business premium income growth rate - segment wise				
	Linked Individual - Life Participating	NA	NA	NA	NA
	Linked Individual - Pension Participating	NA	NA	NA	NA
	Linked Individual - Life Non Participating	505%	92%	(57)%	(8)%
	Linked Individual - Pension Non Participating	548%	73%	(72)%	(25)%
	Linked Group - Non Participating	48%	21%	116%	78%
	Non Linked Individual - Life Participating	(100)%	(103)%	(97)%	(89)%
	Non Linked Individual - Pension Participating	NA	NA	NA	NA
	Non Linked Individual - Life Non Participating	45%	24%	(18)%	7%
	Non Linked Individual - Pension Non Participating	34%	(16)%	3337%	1853%
	Non Linked Group - Life Non Participating	(20)%	48%	118%	250%
	Non Linked Group - Pension Non Participating	NA	NA	NA	NA
	Non Linked Annuity Non Participating	83%	(6)%	(85)%	14%
	Non Linked - Variable - Group	3552298%	924%	(100)%	(91)%
	Non Linked Health Non Participating	(100)%	(100)%	(95)%	(90)%
2	Percentage of Single Premium (Individual Business) to Total New Business Premium (Individual Business)	8%	7%	4%	10%
3	Percentage of Linked New Business Premium (Individual Business) to Total New Business Premium (Individual Business)	35%	31%	13%	21%
4	Net Retention Ratio	95%	93%	93%	93%
5	Expense of Management to Gross Direct Premium Ratio	29%	29%	34%	32%
6	Commission Ratio (Gross commission paid to Gross Premium)	3%	3%	2%	2%
7	Business Development and Sales Promotion Expenses to New Business Premium	1%	1%	3%	3%
8	Ratio of policy holder's liabilities to shareholder's funds	21.10	18.00	17.24	15.00
9	Growth rate of shareholders' fund	(87)%	(6)%	1400%	(8)%
10	Ratio of surplus to policyholders' liability	24%	0%	4%	0%
11	Change in net worth (Rs. in Lacs)	(3863)	(3863)	(7181)	(7181)
12	Growth in Network	1%	1%	(9)%	(9)%
13	Profit after tax/Total Income	1%	0%	4%	(0)%
14	Total real estate + loans/(Cash & invested assets)	NA	NA	NA	NA
15	Total investments/(Capital + Surplus)	5.71	5.71	5.22	5.22
16	Total affiliated investments/(Capital+ Surplus)	NA	NA	NA	NA
17A	Investment Yield (Gross) with total gains				
	Policyholders' Funds:				
	Non-Linked:				
	R1. PAR	2.3%	6.0%	3.0%	6.8%
	R2. Non-PAR	2.0%	6.3%	2.7%	5.9%
	R3.Sub-TOTAL	2.0%	6.3%	2.7%	5.9%
	Linked:				
	R4. PAR	0.6%	5.9%	4.1%	11.8%
	R5. Non-PAR	0.3%	15.1%	15%	35%
	R6. Sub-TOTAL	0.3%	14.7%	15%	34%
	R7.Grand Total	1.4%	9.2%	7%	15%
	Shareholders' Funds	1.7%	5.7%	2.6%	5.4%
17B	Investment Yield (Net) (Only realized gains)				
	Policyholders' Funds:				
	Non-Linked:				
	R1. PAR	2.3%	5.9%	2.9%	6.6%
	R2. Non-PAR	2.0%	6.2%	2.7%	5.9%
	R3.Sub-TOTAL	2.0%	6.2%	2.7%	5.9%
	Linked:				
	R4. PAR	1.6%	6.3%	2.4%	6.0%
	R5. Non-PAR	2.6%	9.4%	2.0%	1.6%
	R6. Sub-TOTAL	2.6%	9.3%	2.0%	1.8%
	R7.Grand Total	2.2%	7.3%	2.5%	4.6%
	Shareholders' Funds	1.7%	5.5%	2.6%	5.4%
18	Conservation Ratio				
	Linked Individual - Life Participating	135%	95%	71%	87%
	Linked Individual - Pension Participating	101%	93%	98%	64%
	Linked Individual - Life Non Participating	98%	85%	73%	82%
	Linked Individual - Pension Non Participating	40%	63%	184%	110%
	Linked Group - Non Participating	0%	0%	0%	0%
	Non Linked Individual - Life Participating	89%	94%	84%	88%
	Non Linked Individual - Pension Participating	96%	86%	61%	76%
	Non Linked Individual - Life Non Participating	89%	93%	84%	85%
	Non Linked Individual - Pension Non Participating	73%	54%	80%	96%
	Non Linked Group - Life Non Participating	5%	64%	7%	50%
	Non Linked - Variable - Group	NA	NA	NA	NA
	Non Linked Health Non Participating	92%	94%	91%	92%
19	Persistence Ratio (by numbers)				
	For 13th month	62%	64%	53%	62%
	For 25th month	53%	57%	49%	55%
	For 37th month	73%	65%	44%	47%
	For 49th Month	57%	62%	37%	44%
	for 61st month	37%	42%	37%	37%
	Persistence Ratio (by premium)				
	For 13th month	73%	73%	60%	68%
	For 25th month	57%	60%	52%	59%
	For 37th month	50%	53%	47%	51%
	For 49th Month	47%	49%	43%	51%
	for 61st month	44%	44%	46%	44%
20	NPA Ratio				
	Gross NPA Ratio				
	Shareholders' Funds	0.32%	0.32%	0.48%	0.48%
	Policyholders' Funds	0.75%	0.75%	0.96%	0.96%
	Net NPA Ratio				
	Shareholders' Funds	0.00%	0.00%	0.12%	0.12%
	Policyholders' Funds	0.14%	0.14%	0.24%	0.24%
22	Solvency Ratio - As per Form KT-3	2.32	2.32	2.50	2.50
23	Debt Equity Ratio	NA	NA	NA	NA
24	Debt Service Coverage Ratio	NA	NA	NA	NA
25	Interest Service Coverage Ratio	NA	NA	NA	NA
26	Average ticket size in Rs. - Individual premium (Non-Single)	88915	81454	67683	64336
	Equity Holding Pattern for Life Insurers				
	(a) No. of shares (in Lakhs)		20,049		20,049
	(b) Percentage of shareholding (Indian / Foreign)		51% / 49%		51% / 49%
	(c) % of Government holding (in case of public sector insurance companies)		Nil		Nil
	(a) Basic and diluted EPS before extraordinary items (net of tax expense)		0.01		(0.01)
	(b) Basic and diluted EPS after extraordinary items (net of tax expense)		0.01		(0.01)
	(iv) Book value per share (Rs)		3.31		3.29

FORM L-23-RECEIPT AND PAYMENTS SCHEDULE

Particulars	AS AT DECEMBER 31, 2021 (Rs. In lacs)	AS AT DECEMBER 30, 2020 (Rs. In lacs)
I Cash flows from operating activities		
Premium received from policyholders, including advance receipts	82,281	76,612
Other Receipts	223	235
Payments to the re-insurers, net of commissions and claims	(5,990)	(5,401)
Payments of claims	(85,623)	(58,084)
Payments of commission and brokerage	(2,167)	(1,628)
Payments of other operating expenses	(22,488)	(21,600)
Deposits, advances and staff loans	(452)	41
Income taxes paid/ Refunded (Net)	(14)	85
Goods and Service tax paid	(712)	(519)
Other payments	-	-
Cash flows before extraordinary items	(34,943)	(10,259)
Cash flow from extraordinary operations	-	-
Net cash used in operating activities (I)	(34,943)	(10,259)
II Cash flows from investing activities		
Purchase of Fixed Assets	(426)	(500)
Proceeds from Sale of Fixed Assets	0	0
Purchase of Investment	(411,602)	(302,880)
Investments in Fixed Deposits	(800)	(200)
Proceeds from maturity of Fixed Deposits	900	600
Loans disbursed	-	-
Loans against policies	-	-
Proceeds from Sale/redemption of Investment	447,492	427,394
Repayments received	-	-
Rents/Interests/ Dividends received	54,331	46,635
Investments in money market instruments and in liquid mutual funds (Net)	(60,749)	(164,732)
Expenses related to investments	-	-
Net cash flow from investing activities (II)	29,147	6,318
III Cash flows from financing activities		
Proceeds from issuance of share capital	-	-
Proceeds from borrowing	-	-
Repayments of borrowing	-	-
Interest/dividends paid	-	-
Net cash generated from financing activities (III)	-	-
IV Effect of foreign exchange rates on cash and cash equivalents, net	-	-
Net increase/(decrease) in cash and cash equivalent (I+II+III+IV)	(5,795)	(3,941)
Cash and cash equivalent at beginning of the year	7,026	6,237
Cash and cash equivalent at the end of the year	1,230	2,296
Break up as follows :		
Components of Cash and Cash Equivalents		
Cash and Bank Balances (Refer to Note 1 below)	1,123	2,290
Bank balances - Linked Assets	107	6
Temporary book overdrafts	-	-
Total	1,230	2,296
Note 1		
Cash (including cheques,drafts and stamps)	111	101
Bank balances on current accounts	354	617
Fixed Deposits with maturity less than 3 months	659	1,572
Cash and Bank Balances	1,123	2,290
Note 2		
Cash and Bank Balances (Refer to Note 1 above)	1,123	2,290
Fixed Deposits with maturity more than 3 months (Considered as Investing Activity)	425	425
Cash and Bank Balances	1,548	2,715

FORM L-24-VALUATION OF NET LIABILITIES

(Rs. In lacs)

Type	Category of business	AS AT DECEMBER 31, 2021	AS AT DECEMBER 30, 2020
Par	Non-Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life	2,713	2,276
	General Annuity	-	-
	Pension	86	113
	Health	-	-
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked-Others		
	Life	4,962	5,121
	General Annuity	-	-
	Pension	314	308
	Health	-	-
	Total Par	8,074	7,819
Non-Par	Non-Linked -VIP		
	Life	707	1,391
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life	669,381	588,515
	General Annuity	5,937	5,270
	Pension	18,855	17,524
	Health	1,748	1,247
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked-Others		
	Life	335,570	312,194
	General Annuity	-	-
	Pension	35,484	36,535
	Health	-	-
	Total Non Par	1,067,682	962,676
Total Business	Non-Linked -VIP		
	Life	707	1,391
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life	672,094	590,791
	General Annuity	5,937	5,270
	Pension	18,941	17,637
	Health	1,748	1,247
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked-Others		
	Life	340,531	317,316
	General Annuity	-	-
	Pension	35,798	36,843
	Health	-	-
	Total	1,075,756	970,495

(Rs. In lacs)

Geographical Distribution of Total Business												
SL. No.	State/Union Territory	RURAL (Individual)			URBAN (Individual)			TOTAL (Individual)			Renewal Premium	Total Premium (New Business and Renewal)
		No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured		
	STATES											
1	Andhra Pradesh	3	5	127	67	68	923	70	73	1,050	369	443
2	Arunachal Pradesh	-	0	-	13	22	281	13	22	281	80	102
3	Assam	2	1	9	122	95	1,261	124	96	1,270	518	613
4	Bihar	196	62	921	198	92	1,251	394	155	2,172	436	591
5	Chhattisgarh	9	3	37	44	22	300	53	25	337	130	155
6	Goa	-	-	-	14	39	508	14	39	508	59	98
7	Gujarat	1	0	3	239	239	2,858	240	239	2,861	747	986
8	Haryana	6	7	90	113	227	2,382	119	235	2,472	1,231	1,465
9	Himachal Pradesh	-	0	-	5	7	122	5	7	122	63	69
10	Jharkhand	1	1	11	53	26	449	54	27	460	171	198
11	Karnataka	2	1	62	231	260	5,598	233	260	5,660	1,848	2,108
12	Kerala	1	0	3	36	41	505	37	41	508	371	412
13	Madhya Pradesh	35	9	135	230	109	2,130	265	119	2,265	362	481
14	Maharashtra	269	144	2,474	1,484	1,256	20,791	1,753	1,401	23,265	3,672	5,072
15	Manipur	-	-	-	5	5	51	5	5	51	15	20
16	Meghalaya	-	-	-	1	0	3	1	0	3	16	16
17	Mizoram	-	-	-	(1)	(2)	-	(1)	(2)	-	5	3
18	Nagaland	-	-	-	5	2	24	5	2	24	10	12
19	Odisha	19	9	182	214	173	2,327	233	182	2,510	547	730
20	Punjab	-	(1)	(14)	40	40	539	40	38	526	443	481
21	Rajasthan	5	3	104	115	79	1,353	120	82	1,457	434	516
22	Sikkim	-	-	-	1	1	11	1	1	11	9	10
23	Tamil Nadu	2	(0)	5	215	240	3,473	217	240	3,478	1,546	1,786
24	Telangana	3	1	11	100	152	2,792	103	153	2,803	1,062	1,214
25	Tripura	1	0	(2)	63	29	784	64	29	782	92	121
26	Uttarakhand	-	-	-	27	19	324	27	19	324	75	94
27	Uttar Pradesh	5	1	29	227	387	4,698	232	389	4,726	1,418	1,807
28	West Bengal	16	6	78	389	331	3,630	405	338	3,707	1,167	1,505
	TOTAL	576	255	4,266	4,250	3,957	59,367	4,826	4,212	63,633	16,894	21,106
	UNION TERRITORIES											
1	Andaman and Nicobar Islands	-	-	-	3	2	18	3	2	18	-	2
2	Chandigarh	-	-	-	10	12	166	10	12	166	151	163
3	Dadra and Nagar Haveli and D	-	-	-	2	1	16	2	1	16	12	12
4	Govt. of NCT of Delhi	-	-	-	322	640	7,733	322	640	7,733	2,466	3,106
5	Jammu & Kashmir	-	-	-	6	3	38	6	3	38	54	57
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puduchery	-	-	-	1	1	10	1	1	10	23	24
	TOTAL	-	-	-	344	658.27	7,981.13	344	658	7,981	2,705.63	3,363.89
	Grand Total	576	255	4,266	4,594	4,616	67,348	5,170	4,870	71,614	19,600	24,470
	IN INDIA							5,170	4,870	71,614	19,600	24,470
	OUTSIDE INDIA							-	-	-	-	-

FORM L-25-(i) : Geographical Distribution Channel - Individuals for the period ended December 31, 2021

Date: December 31, 2021

(Rs. In lacs)

Geographical Distribution of Total Business												
SL. No.	State/Union Territory	RURAL (Individual)			URBAN (Individual)			TOTAL (Individual)			Renewal Premium	Total Premium (New Business and Renewal)
		No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured		
	STATES											
1	Andhra Pradesh	7	8	200	180	188	3,629	187	196	3,829	1,084	1,280
2	Arunachal Pradesh	1	1	7	58	103	1,385	59	104	1,392	303	407
3	Assam	7	7	32	368	262	4,476	375	268	4,507	1,508	1,777
4	Bihar	378	129	1,893	506	253	3,890	884	383	5,783	1,234	1,617
5	Chhattisgarh	30	10	127	135	68	1,221	165	78	1,348	357	434
6	Goa	1	1	6	46	58	829	47	58	834	178	236
7	Gujarat	7	3	32	663	671	9,613	670	674	9,645	2,222	2,896
8	Haryana	16	16	258	343	491	7,642	359	507	7,900	3,633	4,140
9	Himachal Pradesh	2	2	21	9	10	159	11	12	179	182	195
10	Jharkhand	3	3	31	182	117	1,700	185	120	1,731	531	651
11	Karnataka	3	3	73	632	714	15,031	635	716	15,103	5,473	6,189
12	Kerala	3	5	45	105	81	1,882	108	86	1,927	1,113	1,199
13	Madhya Pradesh	130	41	653	542	269	5,478	672	310	6,131	1,113	1,423
14	Maharashtra	757	331	7,540	3,860	2,841	56,585	4,617	3,172	64,125	10,813	13,986
15	Manipur	-	0	-	6	5	53	6	5	53	35	40
16	Meghalaya	-	-	-	3	2	52	3	2	52	47	49
17	Mizoram	-	-	-	4	3	42	4	3	42	10	14
18	Nagaland	-	-	-	12	6	69	12	6	69	31	37
19	Odisha	44	24	404	536	472	6,886	580	496	7,290	1,792	2,289
20	Punjab	5	2	23	132	107	1,873	137	109	1,896	1,328	1,437
21	Rajasthan	10	5	127	264	177	3,216	274	182	3,343	1,231	1,413
22	Sikkim	-	-	-	2	1	15	2	1	15	29	30
23	Tamil Nadu	4	1	24	586	790	10,507	590	791	10,531	4,608	5,399
24	Telangana	4	2	20	294	340	7,277	298	341	7,296	2,919	3,260
25	Tripura	13	6	129	163	71	1,871	176	77	2,000	297	374
26	Uttarakhand	1	1	10	68	47	652	69	48	662	236	284
27	Uttar Pradesh	14	5	89	765	983	14,318	779	988	14,407	4,171	5,159
28	West Bengal	28	10	102	1,073	733	9,631	1,101	743	9,733	3,573	4,316
	TOTAL	1,468	616	11,844	11,537	9,862	169,979	13,005	10,478	181,823	50,052	60,529
	UNION TERRITORIES											
1	Andaman and Nicobar Islands	-	-	-	4	3	29	4	3	29	2	4
2	Chandigarh	-	-	-	42	48	563	42	48	563	367	415
3	Dadra and Nagar Haveli and D	-	-	-	3	2	24	3	2	24	20	21
4	Govt. of NCT of Delhi	-	-	-	773	1,481	20,306	773	1,481	20,306	8,329	9,810
5	Jammu & Kashmir	1	0	4	16	9	108	17	10	112	141	151
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	2	1	11	2	1	11	55	56
	TOTAL	1	0.29	3.90	840	1,543.27	21,042.11	841	1,544	21,046	8,914	10,457
	Grand Total	1,469	616	11,848	12,377	11,405	191,021	13,846	12,021	202,869	58,965	70,987
	IN INDIA							13,846	12,021	202,869	58,965	70,987
	OUTSIDE INDIA							-	-	-	-	-

Geographical Distribution of Total Business- GROUP															
Sl. No.	State / Union Territory	Rural(Group)				Urban(Group)				Total Business(Group)				Renewal Premium	Total Premium (New Business and Renewal)
		No. of Schemes	No. of Lives (Actual)	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured		
	STATES														
1	Andhra Pradesh	-	-	-	-	-	10	(0)	(79)	-	10	(0)	(79)	-	(0)
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Chhattisgarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	-	-	-	-	-	66	5	(2,360)	-	66	5	(2,360)	2	6
8	Haryana	-	-	-	-	-	3,227	492	16,935	-	3,227	492	16,935	5	497
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	1	4,007	32	(180,333)	1	4,007	32	(180,333)	-	32
12	Kerala	-	-	-	-	-	-	-	-	-	-	-	-	-	-
13	Madhya Pradesh	-	-	-	-	-	121	0	1,439	-	121	0	1,439	-	0
14	Maharashtra	-	-	-	-	1	12,526	644	(61,116)	1	12,526	644	(61,116)	-	644
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Odisha	-	-	-	-	-	8	1	68	-	8	1	68	-	1
20	Punjab	-	-	-	-	-	-	-	-	-	-	-	-	-	-
21	Rajasthan	-	-	-	-	-	-	-	-	-	-	-	-	-	-
22	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	-	-	-	-	-	638	177	1,537	-	638	177	1,537	-	177
24	Telangana	-	-	-	-	4	17,152	82	31,332	4	17,152	82	31,332	14	96
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	60	1	522	-	60	1	522	-	1
27	Uttar Pradesh	-	-	-	-	-	1,335	26	(272,589)	-	1,335	26	(272,589)	3	29
28	West Bengal	-	-	-	-	-	11,820	12	(28,124)	-	11,820	12	(28,124)	1	12
	TOTAL	-	-	-	-	6	50,970	1,471	(492,768)	6	50,970	1,471	(492,768)	23	1,494
	UNION TERRITORIES														
1	Andaman and Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	-	-	-	-	-	1,386	52	(209,238)	-	1,386	52	(209,238)	0	52
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL	-	-	-	-	-	1,386	52	(209,238)	-	1,386	52	(209,238)	0	52
	Grand Total	-	-	-	-	6	52,356	1,523	(702,006)	6	52,356	1,523	(702,006)	24	1,547
	IN INDIA									6	52,356	1,523	(702,006)	24	1,547
	OUTSIDE INDIA									-	-	-	-	-	-

(Rs. In lacs)

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FORM L-28-INVESTMENT ASSETS (LIFE INSURERS) - 3A

FORM - 3A
(Read with Regulation 10)
Statement as on: December 31, 2021
Statement of Investment Assets (Life Insurers)
(Business within India)
Periodicity of Submission: Quarterly

Section I				(Rs. In lacs)
Sl. No.	PARTICULARS	SCH	AMOUNT	
1	Investments (Shareholders)	8	59,885.91	
	Investments (Policyholders)	8A	703,184.27	
	Investments (Linked Liabilities)	8B	381,454.29	
2	Loans	9	0.00	
3	Fixed Assets	10	1,205.08	
4	Current Assets		0.00	
	a. Cash & Bank Balance	11	786.52	
	b. Advances & Other Assets	12	41,484.76	
5	Current Liabilities		0.00	
	a. Current Liabilities	13	35,714.09	
	b. Provisions	14	1,240.46	
	c. Misc. Exp not Written Off	15	0.00	
	d. Debit Balance of P&L A/c		138,681.70	
	Application of Funds as per Balance Sheet (A)		1,287,727.98	
	Less: Other Assets			
1	Loans (If any)	9	0.00	
2	Fixed Assets (If any)	10	1,205.08	
3	Cash & Bank Balance (If any)	11	786.52	
4	Advances & Other Assets (If any)	12	41,484.76	
5	Current Liabilities	13	35,714.09	
6	Provisions	14	1,240.46	
7	Misc. Exp not Written Off	15	0.00	
8	Investments held outside India		0.00	
9	Debit Balance of P&L A/c		138,681.70	
	TOTAL (B)		145,201.51	
	Investment Assets (A-B)		1,144,528.48	

Reconciliation of Investment Assets		(Rs. In lacs)
Total Investment Assets (as per Balance Sheet)		1,144,528.48
Balance Sheet Value of:		
A. Life Fund		735,142.45
B. Pension & General Annuity and Group Business		27,929.74
C. Unit Linked Funds		381,456.29
		1,144,528.48

Section II													(Rs. in lacs)	
NON - LINKED BUSINESS														
A. LIFE FUND		% as per Reg	SH		PH			Book Value (SH+PH)	Actual %	PVC Amount	Total fund	Market Value		
			Balance	FRSM*	UL-Non Unit Res	PAR	NON PAR							
			(a)	(b)	(c)	(d)	(e)	(f) = (a)+(b)+(c)+(d)+(e)	(a) = [(f) - (a)]%	(h)	(i)=(a)+(h)	(i)		
1	Central Govt. Sec	Not Less than 25%	0.00	24,285.05	11,613.21	1,946.01	432,116.56	469,960.82	63.8%	0.00	469,960.82	491,622.82		
2	Central Govt Sec, State Govt Sec or Other Approved Securities	Not Less than 50%	0.00	49,915.60	12,648.26	2,382.03	543,034.08	607,979.97	82.6%	0.00	607,979.97	628,727.14		
3	Investment subject to Exposure Norms													
	a. Infrastructure/ Social/ Housing Sector													
	1. Approved Investments	Not Less than 15%	0.00	9,403.08	570.02	586.11	105,998.34	116,557.55	15.8%	4.32	116,561.88	122,106.54		
	2. Other Investments		0.00	0.00	0.00	0.00	0.00	0.00	0.0%	0.00	0.00	0.00		
	b. i. Approved Investments		0.00	566.89	3,241.23	128.97	6,079.17	10,016.25	1.4%	97.38	10,113.63	10,140.12		
	ii. Other Investments	Not exceeding 35%	0.00	0.93	0.00	4.88	1,910.88	1,918.69	0.3%	-1,431.72	486.97	486.97		
	TOTAL LIFE FUND	100%	0.00	59,884.50	16,459.51	3,103.99	657,022.47	736,472.46		-1,330.01	735,142.45	761,460.77		

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS												(Rs. In lacs)
		% as per Reg	PH		PH			Book Value	Actual %	PVC Amount	Total Fund	Market Value
			PAR	NON PAR	(a)	(b)	(c)=(a)+(b)	(d)	(e)	(f)=(c)+(e)	(g)	
1	Central Govt. Sec	Not Less than 20%	0.00	20,801.66			20,801.66		74.5%	0.00	20,801.66	22,187.35
2	Central Govt Sec, State Govt Sec or Other Approved Securities	Not Less than 40%	0.00	22,985.44			22,985.44		82.3%	0.00	22,985.44	24,379.71
3	Balance in Approved Investment	Not Less than 40%	0.00	4,944.30			4,944.30		17.7%	0.00	4,944.30	5,411.48
	TOTAL PENSION, GENERAL ANNUITY FUND	100%	0.00	27,929.74			27,929.74		100.00%		27,929.74	29,791.19

LINKED BUSINESS												(Rs. In lacs)
C. LINKED FUNDS		% as per Reg	PH		PH			Total Fund	Actual %			
			PAR	NON PAR	(a)	(b)	(c)=(a)+(b)	(d)	(e)	(f)=(c)+(e)	(g)	
1	Approved Investments	Not Less than 75%	13,634.23	300,084.36			313,718.59		87.5%			
2	Other Investments	Not More than 25%	124.37	47,613.33			47,737.71		12.5%			
	TOTAL LINKED INSURANCE FUND	100%	13,758.60	347,697.69			361,456.29		100.0%			

CERTIFICATION:
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 19-Jan-22

Note:

- [+] FRSM refers to Funds representing Solvency Margin
- Funds beyond Solvency Margin shall have a separate Custody Account.
- Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
- Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
- Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

@ PVC Includes Impairment provisions taken on bonds and equities

Chief Financial Officer

FORM L-28-UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2021

	Group Superannuation & Gratuity Balanced Fund		Group Superannuation & Gratuity Cash Fund		Group Superannuation & Gratuity Debt Fund		Group Superannuation & Gratuity Growth Fund		Group Superannuation & Gratuity Secure Fund		Group Superannuation & Gratuity Short Term Debt Fund		Pension Unit Linked Balanced Fund		Pension Unit Linked Balanced - II Fund	
PARTICULARS	ULG000210/03/2004GROUPBALAN122	ULG000531/03/2004GROUPCASH122	ULG000310/03/2004GROUPDEBT122	ULG000410/03/2004GROUPGROW122	ULG00013/07/2005GROUPSECUR122	ULG000413/02/2005GROUPFDEBT122	ULG000311/02/2003PNSBALANCE122	ULG002325/01/2010PNBALAN-122								
Opening Balance (Market Value)	1532.92	296.34	22416.47	2246.02	4712.51	30.07	4970.89	670.76								
Add: Inflow during the Quarter	19.75	389.11	182.07	35.18	171.44	0.00	258.04	2.35								
Increase / (Decrease) Value of Inv (Net)	8.15	2.12	51.20	12.01	22.24	0.19	30.45	2.59								
Less: Outflow during the Quarter	48.11	413.46	700.45	45.90	420.87	0.00	236.07	11.29								
TOTAL INVESTIBLE FUNDS (NET VALUE)	1500.71	774.02	21947.30	2247.31	4491.34	30.26	4963.58	678.47								

INVESTMENT OF UNIT FUND	ULG000210/03/2004GROUPBALAN122	ULG000531/03/2004GROUPCASH122	ULG000310/03/2004GROUPDEBT122	ULG000410/03/2004GROUPGROW122	ULG00013/07/2005GROUPSECUR122	ULG000413/02/2005GROUPFDEBT122	ULG000311/02/2003PNSBALANCE122	ULG002325/01/2010PNBALAN-122								
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (n=795)																
Central Govt Securities	572.80	38.1%	721.45	93.2%	1898.12	86.4%	642.04	28.8%	2154.00	48.0%	226.60	94.0%	2377.40	47.2%	240.88	35.3%
State Government Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	129.74	8.6%	0.00	0.0%	1947.54	8.9%	37.35	1.7%	455.08	10.1%	0.00	0.0%	301.17	6.1%	67.72	10.8%
Equity	557.35	36.7%	0.00	0.0%	0.00	0.0%	1003.70	44.7%	743.84	16.5%	0.00	0.0%	1804.91	36.4%	200.80	34.7%
Money Market Investments	135.38	8.9%	29.40	3.8%	5434.94	24.8%	273.55	12.3%	874.04	19.3%	1.50	5.0%	444.09	1.3%	32.79	5.2%
Mutual Funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	1402.29	92.2%	747.04	96.5%	21292.62	97.0%	1963.44	87.4%	4329.02	96.1%	30.14	99.4%	4549.82	91.7%	372.19	91.0%
Current Assets:	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Accrued Interest	14.75	1.1%	0.00	0.0%	334.19	1.5%	13.40	0.6%	58.23	1.3%	0.00	0.0%	38.18	1.2%	7.47	1.2%
Dividend Receivable	(0.00)	0.0%	0.00	0.0%	-	0.0%	-	0.0%	-	0.0%	-	0.0%	-	0.0%	-	0.0%
Bank Balance	4.87	0.3%	27.04	3.5%	(2.02)	0.0%	3.80	0.2%	(2.99)	-0.1%	0.12	0.4%	9.44	0.2%	(0.98)	-0.2%
Receivable for Sale of Investments	12.53	0.8%	0.00	0.0%	197.57	0.9%	14.12	0.6%	39.12	0.9%	77.45	1.6%	20.71	3.3%	20.71	3.3%
Other Current Assets (for Investments)	0.00	0.0%	-	-	-	0.0%	0.00	0.0%	0.00	0.0%	-	-	(0.00)	0.0%	0.00	0.0%
Less: Current Liabilities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Payable for Investments	(0.00)	0.1%	-	0.0%	(0.00)	0.0%	(0.15)	0.0%	(1.15)	0.0%	0.00	0.0%	(3.14)	-0.1%	(0.43)	-0.1%
Fund Mgmt Charges Payable	(0.00)	0.0%	(0.07)	0.0%	(2.84)	0.0%	(0.29)	0.0%	(0.48)	0.0%	(0.00)	0.0%	(1.00)	0.0%	(0.14)	0.0%
Other Current Liabilities (for Investments)	(0.01)	0.0%	(0.01)	0.0%	(0.01)	0.0%	(0.01)	0.0%	(0.04)	0.0%	(0.00)	0.0%	(0.02)	0.0%	(0.03)	0.0%
Sub Total (B)	32.99	2.2%	26.98	3.5%	626.68	2.4%	31.07	1.4%	72.42	2.1%	0.12	0.4%	141.47	2.9%	26.44	4.2%
Other Investments (n=226)	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	12.50	0.8%	0.00	0.0%	130.00	0.6%	0.00	0.0%	30.00	0.7%	0.00	0.0%	35.00	0.7%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	72.94	4.8%	0.00	0.0%	0.00	0.0%	102.42	4.5%	99.49	2.2%	0.00	0.0%	237.08	4.8%	29.44	4.7%
Mutual Funds Exchange Traded Funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	140.18	6.2%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	85.44	5.6%	0.00	0.0%	130.00	0.6%	232.40	11.2%	129.49	2.9%	0.00	0.0%	272.08	5.4%	59.44	8.7%
Total (A + B + C)	1520.72	100.0%	774.02	100.0%	21947.30	100.0%	2247.31	100.0%	4491.34	100.0%	30.36	100.0%	4963.58	100.0%	678.47	100.0%
Fund Closed Forward (as per L8.2)	1520.72		774.02		21947.30		2247.31		4491.34		30.36		4963.58		678.47	

Date : 19-Jan-2022

Note:

- The aggregate of all the above Segregated Unit-Funds should reconcile with Item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of Item 12 of FORM L8.2 which forms part of ROR (Actual Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B).
- Other Investments are as permitted under Sec 27A(2)
- Market value of the securities considered for NAV calculation shall be taken for the purpose of applicability of Pattern of Investments for
- All the assets of linked funds at the segregated level including net current assets shall be considered in the denominator for limit

Treasury Bills are included under Central Government Securities.

Treasury Bills are included under Central Government Securities.

Chief Financial Officer

FORM L-28 UNIT LINKED NAV - 3A

FORM L-28 UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2021

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2021

PARTICULARS	Pension Unit linked Growth fund	Pension Unit linked Growth - B Fund	Pension Unit linked Infrastructure fund	Pension Unit linked Index fund	Pension Unit linked Index - B fund	Pension Unit linked PSU fund	Pension Unit linked Secure fund	Pension Unit linked Protector fund
Opening Balance (Market Value)	4387.81	978.15	338.49	12888.73	2561.18	8177.50	1347.51	1243.72
Add: Inflow during the Quarter	190.43	24.37	13.91	135.70	41.55	4.37	59.75	244.17
Increase / (Decrease) Value of Inv (Net)	26.31	3.18	14.13	-33.11	-13.18	-43.32	5.00	6.70
Less: Outflow during the Quarter	437.48	20.81	37.95	288.03	157.84	64.44	80.13	265.92
TOTAL INVESTIBLE FUNDS (MARK VALUE)	5149.26	997.97	332.57	12703.28	2457.67	791.91	1336.08	1587.90

INVESTMENT OF UNIT FUND	ULIP00703/03/2005PNSNGROWTH122	ULIP02425/01/2010PNSGROWTH122	ULIP02525/01/2010PNSINFRA122	ULIP01122/01/2008PNSINDEX122	ULIP02425/01/2010PNSINDEX-8122	ULIP02725/01/2010PNSPSUIND122	ULIP00803/03/2005PNSSECURE122	ULIP01408/02/2008PNSPROTECT122
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (A-295)								
Central Govt Securities	1702.01	27.4%	258.84	27.0%	0.00	0.0%	0.00	0.0%
State Government Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	191.43	3.1%	57.00	6.0%	0.01	0.0%	0.01	0.0%
Equity	2797.72	45.3%	439.26	45.9%	284.95	85.7%	9755.07	76.3%
Money Market Investments	618.78	10.0%	73.77	7.3%	3.40	1.1%	293.44	2.3%
Mutual Funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	5309.49	86.1%	828.89	86.4%	288.56	86.7%	10048.72	79.2%
Current Assets:								
Accrued Interest	40.15	0.7%	7.65	0.8%	0.00	0.0%	0.00	0.0%
Dividend Receivable	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Bank Balance	19.89	0.3%	11.00	0.1%	0.12	0.0%	15.41	0.1%
Receivable for Sale of Investments	49.38	1.1%	5.77	0.6%	7.49	2.3%	34.13	0.3%
Other Current Assets (for Investments)	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Less: Current Liabilities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Payable for Investments	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Fund Mgmt Charges Payable	11.49	0.0%	10.21	0.0%	10.07	0.0%	11.51	0.0%
Other Current Liabilities (for Investments)	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (B)	96.52	1.6%	12.14	1.3%	7.73	2.3%	48.04	0.4%
Other Investments (A-295)	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	20.00	0.3%	7.50	0.8%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	300.04	4.9%	47.03	4.9%	36.58	10.9%	834.73	6.6%
Mutual Funds - Exchange Traded Funds	443.19	7.2%	61.02	6.4%	0.00	0.0%	175.79	1.3%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	743.23	12.4%	114.05	12.1%	36.58	10.9%	1044.52	8.3%
Total (A + B + C)	6149.26	100.0%	957.09	100.0%	332.87	100.0%	2457.67	100.0%
Fund Closed Forward (as per L8.2)	5149.26		937.97		332.57		2457.67	

FORM L-28 UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on December 31, 2021

FORM L-28 UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on December 31, 2021

	Person Unit Linked Protector - H Fund		Person Unitlinked with Profit fund		Unit Linked Balanced fund		Unit Linked Balanced - H Fund		Unit Linked Debt Fund		Unit Linked Debt - H Fund		Unit Linked Enhancer fund		Unit Linked Enhancer - H Fund			
	PARTICULARS		ULF02826/01/2010PNPROTE-H122		ULF00411/02/2003PNWPROFIT122		ULF00106/06/2002ULFBALANCE122		ULF01508/01/2010LIRALAN-H122		ULF01306/02/2008LIFEDEBTU122		ULF01408/01/2010LIFEDEBT-H122		ULF01230/01/2008LIENHANCER122		ULF01708/01/2010LIENHN-H122	
Opening Balance (Market Value)	339.98		1421.64		30541.54		15354.82		7771.67		10311.00		4184.83		42687.98		42657.98	
Add: Inflow during the Quarter	38.07		6.14		725.32		1095.97		483.54		245.30		35.09		5634.77		5634.77	
Increase / (Decrease) Value of Inv. (Net)	1.00		9.30		134.71		62.30		0.89		9.81		43.71		504.27		504.27	
Less: Outflow during the Quarter	1.86		13.91		2203.78		1137.23		374.55		2140.75		450.18		4947.88		4947.88	
TOTAL INVESTIBLE FUNDS (NET VALUE)	377.81		1421.14		28363.79		15370.45		479.38		10635.27		4161.45		43647.41		43647.41	
INVESTMENT OF UNIT FUND																		
	ULF02826/01/2010PNPROTE-H122		ULF00411/02/2003PNWPROFIT122		ULF00106/06/2002ULFBALANCE122		ULF01508/01/2010LIRALAN-H122		ULF01306/02/2008LIFEDEBTU122		ULF01408/01/2010LIFEDEBT-H122		ULF01230/01/2008LIENHANCER122		ULF01708/01/2010LIENHN-H122			
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual		
Approved Investments (n=795)																		
Central Govt Securities	222.36	60.28	438.54	44.88	12729.77	44.28	9886.39	38.28	423.87	62.4%	4397.76	46.1%	0.00	0.0%	0.00	0.0%		
State Government Securities	0.00	0.0%	481.87	33.9%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Infrastructure Bonds	19.24	5.1%	106.33	7.5%	1817.31	6.3%	631.55	4.1%	97.35	5.9%	1487.13	14.0%	0.00	0.0%	0.00	0.0%		
Equity	53.21	14.1%	142.20	10.0%	10593.61	36.3%	5592.54	36.4%	0.00	0.0%	0.00	0.0%	4746.02	77.2%	48470.22	75.9%		
Money Market Investments	55.59	14.7%	269.99	2.1%	3553.19	1.9%	2189.20	14.2%	187.36	27.4%	2353.99	21.9%	81.48	1.3%	1933.42	3.1%		
Mutual Funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Sub Total (A)	355.40	94.1%	1397.28	98.3%	25743.88	88.9%	14299.49	93.0%	448.55	95.3%	10202.89	95.9%	4827.51	76.4%	60423.44	79.0%		
Current Assets:	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Accrued Interest	4.81	1.2%	22.97	1.6%	395.39	1.2%	138.76	0.9%	9.44	1.4%	178.37	1.7%	0.00	0.0%	0.00	0.0%		
Dividend Receivable	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Bank Balance	0.15	0.0%	111.70	0.8%	0.95	0.0%	15.64	0.0%	11.30	0.2%	56.62	0.5%	12.22	0.0%	45.01	0.1%		
Receivable for Sale of Investments	3.12	0.8%	10.00	0.0%	795.70	2.7%	118.92	0.8%	4.99	0.7%	99.95	0.9%	31.14	0.5%	218.31	0.3%		
Other Current Assets (for Investments)	(0.00)	(0.00)	-	0.0%	0.00	0.0%	(0.00)	0.0%	-	-	-	0.0%	(0.00)	(0.00)	(0.00)	(0.00)		
Less: Current Liabilities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Payable for Investments	(0.00)	0.0%	-	0.0%	(17.38)	-0.1%	19.33	-0.1%	8.00	0.0%	(0.00)	0.0%	11.52	0.0%	(38.49)	-0.1%		
Fund Mgmt Charges Payable	(0.00)	0.0%	0.00	0.0%	(5.85)	0.0%	(3.34)	0.0%	8.11	0.0%	(2.31)	0.0%	1.72	0.0%	(3.69)	0.0%		
Other Current Liabilities (for Investments)	(0.00)	0.0%	(0.00)	0.0%	0.12	0.0%	(0.10)	0.0%	(0.11)	0.0%	(0.10)	0.0%	(0.00)	0.0%	(0.00)	0.0%		
Sub Total (B)	7.72	2.0%	11.27	0.8%	1132.71	3.9%	241.08	1.6%	13.22	1.9%	332.38	3.1%	28.72	0.4%	211.10	0.3%		
Other Investments (n=225)	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Corporate Bonds	7.50	2.0%	0.00	0.0%	713.78	2.5%	80.00	0.5%	17.56	2.4%	100.00	0.9%	0.00	0.0%	0.00	0.0%		
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Equity	7.18	1.9%	12.60	0.9%	1316.55	4.7%	749.69	4.7%	0.00	0.0%	0.00	0.0%	507.43	8.6%	5319.27	8.3%		
Mutual Funds Exchange Traded Funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	780.81	12.7%	7895.45	12.4%		
Others	0.12	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%		
Sub Total (C)	14.48	3.8%	12.60	0.9%	2040.30	7.2%	829.69	5.4%	17.66	2.4%	100.00	0.9%	1308.22	21.2%	1314.47	20.7%		
Total (A + B + C)	377.81	100.0%	1421.14	100.0%	28788.89	100.0%	15370.45	100.0%	479.38	100.0%	10635.27	100.0%	4161.45	100.0%	43647.41	100.0%		
Fund Closed Forward (as per LB 2)	377.81		1421.14		28788.89		15370.45		479.38		10635.27		4161.45		43647.41			

FORM L-28 UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2021

FORM L-28 UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2021

	Unit Linked Growth Fund	Unit Linked Growth - B fund	Unit Linked Infrastructure fund	Unit Linked Index fund	Unit Linked Index - B fund	Unit Linked Protector Fund	Unit Linked Protector - B fund	Unit Linked PSU fund				
PARTICULARS	ULF00527/01/2004LIFEGROWTH122	ULF01808/01/2010UGROWT-H122	ULF01908/01/2010LIFENRA122	ULF01002/01/2008LIFEINDEX122	ULF02008/01/2010LIFNDX-H122	ULF00911/07/2004LIFPROTECT122	ULF02108/01/2010LIFPROTE-H122	ULF02008/01/2010LIFPSUFND122				
Opening Balance (Market Value)	95532.72	15571.56	3189.44	4363.97	7759.31	2915.59	5877.95	7155.11				
Add: Inflow during the Quarter	2073.87	751.06	2172.92	107.84	124.90	263.21	924.09	1727.97				
Increase / (Decrease) Value of Inv (Net)	291.49	43.15	132.14	-17.35	-36.13	11.09	13.38	-377.95				
Less: Outflow during the Quarter	8145.68	699.79	2122.56	1793.08	-303.83	2715.79	894.28	2101.29				
TOTAL INVESTIBLE FUNDS (MARK VALUE)	91754.49	15675.97	3322.16	4261.38	7524.25	2918.50	5921.19	6639.91				
INVESTMENT OF UNIT FUND	ULF00527/01/2004LIFEGROWTH122	ULF01808/01/2010UGROWT-H122	ULF01908/01/2010LIFENRA122	ULF01002/01/2008LIFEINDEX122	ULF02008/01/2010LIFNDX-H122	ULF00911/07/2004LIFPROTECT122	ULF02108/01/2010LIFPROTE-H122	ULF02008/01/2010LIFPSUFND122				
Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual			
Approved Investments (A-795)												
Central Govt Securities	13634.16	14.9%	2402.54	15.3%	0.00	0.0%	1630.95	56.0%	3655.30	51.6%	0.00	0.0%
State Government Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	2710.40	3.0%	254.83	1.6%	0.00	0.0%	0.00	0.0%	294.77	10.1%	432.89	7.3%
Equity	57549.99	62.7%	9563.54	60.9%	2801.14	84.3%	4782.30	77.1%	5855.07	77.4%	432.19	15.3%
Money Market Investments	7111.9	0.8%	914.02	5.8%	71.29	2.1%	145.47	2.3%	121.78	1.6%	344.23	11.6%
Mutual Funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total	74604.90	81.3%	13140.32	83.7%	2872.46	86.5%	4927.87	79.2%	5956.97	79.2%	2724.44	93.4%
Current Assets:												
Accrued Interest	364.43	0.4%	56.91	0.4%	0.00	0.0%	0.00	0.0%	47.29	1.4%	72.44	1.2%
Dividend Receivable	(0.00)	0.0%	0.00	0.0%	-	0.0%	-	0.0%	-	0.0%	(0.00)	0.0%
Bank Balance	132.87	0.0%	16.56	0.1%	18.92	0.4%	(4.30)	-0.1%	1.64	0.0%	(8.38)	-0.3%
Receivable for Sale of Investments	11919.13	2.1%	86.92	0.4%	73.17	2.2%	12.56	0.2%	12.20	0.3%	23.05	0.9%
Other Current Assets (for Investments)	(0.00)	0.0%	(0.00)	0.0%	0.00	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%
Less: Current Liabilities												
Payable for Investments	(0.00)	0.0%	(1.47)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.87)	0.0%	(1.74)	0.0%
Fund Mgmt Charges Payable	(22.03)	0.0%	(3.38)	0.0%	(0.72)	0.0%	(0.74)	0.0%	(0.42)	0.0%	(0.47)	0.0%
Other Current Liabilities (for Investments)	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%
Sub Total (B)	2228.41	2.4%	155.11	1.0%	91.37	2.8%	7.47	0.1%	19.24	0.3%	62.41	2.1%
Other Investments (A-225)												
Corporate Bonds	175.00	0.2%	30.00	0.2%	0.00	0.0%	0.00	0.0%	0.41	2.4%	37.50	0.6%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	6181.55	6.7%	1020.74	6.5%	306.15	10.8%	407.59	6.4%	499.33	6.6%	60.84	2.1%
Mutual Funds-Exchange Traded Funds	6362.92	6.9%	1349.81	8.6%	0.00	0.0%	838.43	13.6%	1048.71	13.9%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	14918.99	16.3%	2400.55	15.3%	316.15	10.8%	1244.04	20.4%	1544.04	20.4%	131.28	4.8%
Total (A + B + C)	91754.50	100.0%	15675.97	100.0%	3322.14	100.0%	7524.25	100.0%	2921.30	100.0%	6639.91	100.0%
Fund Closed Forward (as per L8.2)	91754.50		15675.97		3322.14		7524.25		2921.30		6639.91	

FORM L-2B-UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2021

FORM L-2B-UNIT LINKED NAV - 3A

FORM 3A
(Read with Regulation 10)
Unit Linked Insurance Business
Periodicity of Submission: Quarterly
Statement as on: December 31, 2021

PART - B
(Rs. In lacs)

	Unit linked Secure fund	Unit linked with Profit fund	Unit linked Liquid Fund	Unit linked Wealth Builder fund	Group Superannuation & Gratuity Income Fund	Unit linked Dynamic F/R Fund	Discontinued Policy Fund	Total of All Funds								
PARTICULARS	ULF00427/01/2004ULFSECURE122	ULF00225/04/2002ULFWPROFI122	ULF02903/05/2010ULFELIGUID122	ULF03020/07/2010ULFEWEALTH122	ULFG00728/03/2011GRGUPINCOM122	ULF03201/08/2011ULFDYNAMIC122	ULF03127/01/2011ULDISCPCLY122									
Opening Balance (Market Value)	13603.53	12875.35	0.00	0.00	0.00	8998.81	18643.87	38950.74								
Add: Inflow during the Quarter	568.96	111.92	0.00	0.00	0.00	116.46	1647.36	20116.77								
Increase / (Decrease) Value of Inv (Net)	44.14	73.70	0.00	0.00	0.00	47.77	143.27	1249.29								
Less: Outflow during the Quarter	1384.27	722.54	0.00	0.00	0.00	817.96	2913.31	29413.79								
TOTAL INVESTIBLE FUNDS (NAV VALUE)	12670.36	12337.44	0.00	0.00	0.00	8607.09	17921.19	381456.28								
INVESTMENT OF UNIT FUND	ULF00427/01/2004ULFSECURE122	ULF00225/04/2002ULFWPROFI122	ULF02903/05/2010ULFELIGUID122	ULF03020/07/2010ULFEWEALTH122	ULFG00728/03/2011GRGUPINCOM122	ULF03201/08/2011ULFDYNAMIC122	ULF03127/01/2011ULDISCPCLY122	Total of All Funds								
Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual							
Approved Investments (a=75%)																
Central Govt Securities	7365.12	58.18	5441.48	44.26	0.00	0.00	1792.46	20.06	14544.66	81.05	100.00	26.36				
State Government Securities	0.00	0.00	4392.53	35.25	0.00	0.00	0.00	0.00	0.00	0.00	0.00	4392.53	1.06			
Other Approved Securities	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			
Corporate Bonds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			
Infrastructure Bonds	1489.30	11.88	916.55	7.44	0.00	0.00	0.00	0.00	0.00	0.00	0.00	13736.15	3.45			
Equity	1939.82	15.58	1293.31	10.58	0.00	0.00	0.00	4751.17	55.25	0.00	0.00	18491.77	48.16			
Money Market Investments	221.16	1.78	177.70	1.43	0.00	0.00	0.00	548.19	6.45	3165.92	10.25	23392.18	6.15			
Mutual funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			
Deposit with Banks	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			
Sub Total	11035.39	87.15	12028.97	97.85	0.00	0.00	7091.84	82.45	17530.37	100.15	327089.31	85.75				
Current Assets:																
Accrued Interest	275.39	2.26	211.66	1.78	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			
Dividend Receivable	0.00	0.00	0.26	0.00	-	0.00	-	0.00	-	0.00	0.00	26.55	0.05			
Bank Balance	116.14	0.91	116.77	0.94	-	0.00	-	0.00	100.15	1.43	0.00	24.43	0.05			
Receivable for Sale of Investments	262.03	2.15	0.00	0.00	-	0.00	-	0.00	21.15	0.25	-	0.00	4297.25	1.15		
Other Current Assets (for Investments)	0.00	0.00	-	-	-	0.00	-	0.00	0.00	0.00	-	0.00	0.00	0.00		
Less: Current Liabilities																
Payable for Investments	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			
Fund Mgmt Charges Payable	0.00	0.00	0.00	0.00	-	0.00	-	0.00	1.86	0.02	1.44	0.00	73.50	0.05		
Other Current Liabilities (for Investments)	0.00	0.00	0.00	0.00	-	0.00	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
Sub Total (B)	516.23	4.11	197.09	1.61	0.00	0.00	0.00	0.00	100.00	1.43	34.01	0.45	9.17	0.15	4429.27	1.15
Other Investments (a=25%)																
Corporate Bonds	867.07	6.83	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2411.51	6.15		
Infrastructure Bonds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Equity	252.65	2.08	111.78	0.91	0.00	0.00	0.00	561.73	6.45	0.00	0.00	0.00	2076.84	5.35		
Mutual funds- Exchange traded funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	699.52	8.05	11.15	0.00	0.00	24358.36	6.45		
Others	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sub Total (C)	1119.72	8.89	111.78	0.91	0.00	0.00	0.00	1441.25	17.25	0.00	0.00	0.00	4777.71	12.45		
Total (A + B + C)	12670.34	100.05	12337.44	100.00	0.00	0.00	8607.09	100.00	17921.19	100.00	381456.27	100.00				
Fund Carried Forward (as per L8.2)	12670.36		12337.44		0.00	0.00	8607.09		17921.19		381456.28					

FORM 1-28 UNIT LINKED NAV - 3A

FORM - 3A
(Read with Regulation 10)
Link to FORM 3A (Part B)
Statement as on December 31, 2021
Periodicity of Submission: Quarterly
Statement of NAV of Seaseated Funds

No	Fund Name	SPIN	Date of Launch	Par/Non Par	Assets Under Management as on the above date	NAV as per 18.5	NAV as on the above date	Previous Qtr NAV	2nd Previous Qtr	3rd Previous Qtr	4th Previous Qtr	Return/Yield	3 Year Rolling CAGR	Highest NAV since Inception	(Rs. in lac)	
1	Unit Linked Balanced Fund	ULFD01/04/2005/FRALANC-E122	4-Jun-02	Non-Par	29,996.79	90.9353	90.9353	90.9778	85.1162	82.0931	80.3723	13.1%	10.4%	92.4953	92.4953	
2	Pension Unit Linked Balanced Fund	ULPD01/11/2002/PNBLANC-E122	11-Feb-03	Non-Par	4,963.58	70.4797	70.4797	70.1909	66.0473	63.4942	62.1247	13.4%	10.1%	71.8203	71.8203	
3	Unit Linked Growth Fund	ULUG02/27/01/2004/UEGROWTH122	27-Jan-04	Non-Par	91,754.49	88.1283	88.1283	87.9047	79.4460	74.8834	70.8973	24.3%	14.1%	92.0149	92.0149	
4	Unit Linked Secure Fund	ULUS02/27/01/2004/UESURE122	27-Jan-04	Non-Par	12,870.36	37.0802	37.0803	36.9494	33.4874	31.4879	30.5750	7.3%	4.9%	37.3144	37.3144	
5	Pension Unit Linked Growth Fund	ULPD03/03/2005/PNUGROWTH122	3-Mar-05	Non-Par	6,149.28	49.2922	49.2922	49.0512	45.4978	43.0905	41.4301	18.3%	12.3%	50.7721	50.7721	
6	Pension Unit Linked Secure Fund	ULPD03/03/2005/PNUSURE122	3-Mar-05	Non-Par	1,336.03	33.9796	33.9796	33.4719	32.2799	31.5123	31.4256	6.9%	7.5%	33.8742	33.8742	
7	Group Superannuation & Gratuity - Secure Fund	ULG04/11/07/2006/GROUPSECURE122	13-Jul-06	Non-Par	4,491.34	36.4835	36.4835	36.0206	34.7632	33.9018	33.5578	9.3%	8.7%	36.7973	36.7973	
8	Group Superannuation & Gratuity - Debt Fund	ULG04/03/03/2006/GROUPDEBT122	10-Mar-06	Non-Par	21,949.30	32.2948	32.2948	32.2237	31.3785	31.0851	31.2252	3.4%	6.7%	32.4651	32.4651	
9	Group Superannuation & Gratuity - Balanced Fund	ULG04/03/03/2006/GROUPBALANCE122	10-Mar-06	Non-Par	1,020.71	33.8431	33.8431	33.6561	33.4341	32.3883	31.5697	13.3%	10.6%	34.4910	34.4910	
10	Group Superannuation & Gratuity - Growth Fund	ULG04/03/03/2006/GROUPGROWTH122	10-Mar-06	Non-Par	2,947.31	45.5622	45.5622	45.3197	41.9071	39.7449	38.3354	18.9%	13.2%	46.8030	46.8030	
11	Group Superannuation & Gratuity - Cash Fund	ULG04/03/03/2006/GROUPCASH122	11-Mar-06	Non-Par	774.02	28.1760	28.1760	28.1903	27.1997	27.8609	27.4277	2.7%	4.1%	28.3030	28.3030	
12	Unit Linked Protector Fund	ULUP01/11/2007/ULPROTECTOR122	11-Jul-06	Non-Par	9,918.30	31.3352	31.3352	31.3078	29.8544	29.2978	29.0954	7.7%	7.7%	31.3799	31.3799	
13	Unit Linked Index Fund	ULUI01/02/2011/ULINDEX122	2-Jan-08	Non-Par	6,201.38	29.4194	29.4194	29.5099	24.4262	24.4369	22.9318	26.3%	17.1%	31.1740	31.1740	
14	Pension Unit Linked - Index Fund	ULPD11/22/01/2008/PNINDEX122	22-Jan-08	Non-Par	12,703.28	38.2762	38.2762	38.3700	32.2501	30.1313	28.2862	28.2%	17.1%	38.4161	38.4161	
15	Unit Linked Enhancer Fund	ULUE01/23/06/2008/UEHANCERT122	30-Jun-08	Non-Par	6,161.43	39.9361	39.9361	39.6669	38.3824	37.5153	36.4767	31.0%	16.2%	42.0827	42.0827	
16	Unit Linked Debt Fund	ULUD01/30/02/2008/ULDEBT122	6-Feb-08	Non-Par	4,79.38	27.9797	27.9797	27.9389	27.3013	27.0004	27.1493	3.0%	4.2%	28.0940	28.0940	
17	Pension Unit Linked Protector Fund	ULPD14/06/02/2008/PNPROTECTOR122	6-Feb-08	Non-Par	1,587.90	27.6687	27.6687	27.5706	26.4135	25.7647	25.5666	8.2%	7.4%	27.8141	27.8141	
18	Cash Superannuation Short Term Debt Fund	ULCS06/13/09/2009/CSSTDEBT122	13-Feb-09	Non-Par	36.26	22.7110	22.7110	22.6814	22.4262	22.2859	22.1410	6.9%	5.8%	22.4110	22.4110	
19	Unit Linked PSU Fund	ULUP02/08/01/2010/ULPSU122	8-Jan-10	Non-Par	6,399.97	17.0262	17.0262	17.0388	16.4951	15.8844	15.5786	4.3%	10.3%	17.0803	17.0803	
20	Unit Linked Index - II Fund	ULUI02/08/01/2010/ULINDEX-II122	8-Jan-10	Non-Par	7,524.25	31.7768	31.7768	31.9365	28.6307	26.5009	24.8738	27.8%	16.6%	33.7439	33.7439	
21	Unit Linked Growth - II Fund	ULUG01/08/01/2010/UGROWTH-II122	8-Jan-10	Non-Par	12,495.99	31.3781	31.3781	31.2526	28.5224	26.4799	25.1786	24.0%	14.5%	32.7014	32.7014	
22	Unit Linked Balanced - II Fund	ULUB01/08/01/2010/ULBALANCE-II122	8-Jan-10	Non-Par	15,370.45	27.5508	27.5508	27.4694	26.7588	24.7601	24.1430	14.0%	11.0%	28.0834	28.0834	
23	Unit Linked Balanced - I Fund	ULUB01/08/01/2010/ULBALANCE-I122	8-Jan-10	Non-Par	6,846.41	38.2320	38.2320	38.0860	34.4201	31.6384	29.4220	31.4%	16.9%	43.5334	43.5334	
24	Unit Linked Debt - II Fund	ULUD01/08/01/2010/ULDEBT-II122	8-Jan-10	Non-Par	10,630.27	23.0274	23.0274	23.0009	22.3554	22.1998	22.3150	3.2%	5.9%	23.1048	23.1048	
25	Unit Linked Infrastructure Fund	ULUI01/08/01/2010/ULINFRA122	8-Jan-10	Non-Par	3,222.16	21.8971	21.8971	20.8004	18.0603	16.4801	14.881	54.0%	24.7%	22.7756	22.7756	
26	Unit Linked Protector - II Fund	ULUP01/08/01/2010/ULPROTECTOR-II122	8-Jan-10	Non-Par	9,971.19	24.0538	24.0538	24.0041	23.9664	22.5506	22.4221	7.8%	7.4%	24.5897	24.5897	
27	Pension Unit Linked Index - II Fund	ULPD04/25/01/2010/PNINDEX-II122	25-Jan-10	Non-Par	25,437.67	35.0142	35.0142	35.1609	31.5314	29.1784	27.3386	26.7%	16.6%	37.1405	37.1405	
28	Pension Unit Linked PSU Fund	ULPD04/25/01/2010/PNPSU122	25-Jan-10	Non-Par	731.91	17.3775	17.3775	18.3992	16.8910	14.1611	12.1164	43.4%	10.5%	18.5038	18.5038	
29	Pension Unit Linked Balanced - II Fund	ULPD04/25/01/2010/PNBALANCE-II122	25-Jan-10	Non-Par	6,698.47	26.5154	26.5154	26.4245	24.7938	23.7434	23.2441	14.0%	10.9%	27.0842	27.0842	
30	Pension Unit Linked Growth - II Fund	ULPD04/25/01/2010/PNGROWTH-II122	25-Jan-10	Non-Par	9,52.00	36.1037	36.1037	36.0132	32.7787	29.2676	28.5985	18.9%	14.6%	31.1013	31.1013	
31	Pension Unit Linked Infrastructure Fund	ULPD04/25/01/2010/PNINFRA122	25-Jan-10	Non-Par	332.67	22.0748	22.0748	22.0025	18.9995	16.6861	14.3227	54.1%	23.8%	23.1725	23.1725	
32	Pension Unit Linked Protector - II Fund	ULPD04/25/01/2010/PNPROTECTOR-II122	25-Jan-10	Non-Par	3,771.81	22.4411	22.4411	22.3702	21.2874	20.7920	20.6894	8.6%	4.9%	22.6202	22.6202	
33	Unit Linked Liquid Fund	ULUL01/03/2010/ULLIQUID122	3-Mar-10	Non-Par	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	12.4130	12.4130
34	Unit Linked Wealth Builder Fund	ULUW01/03/2010/ULWEALTH122	20-Jul-10	Non-Par	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	18.8686	18.8686
35	Discontinued Policy Fund	ULUD01/27/01/2010/ULDISCONT122	27-Jan-11	Non-Par	17,521.19	19.8024	19.8024	19.8867	19.5352	19.4894	19.2481	3.0%	4.9%	19.8024	19.8024	
36	Group Superannuation & Gratuity - Income Fund	ULG04/27/03/2011/GROUPINCOME122	23-Mar-11	Non-Par	6.00	17.0280	17.0280	17.0280	17.0280	17.0280	17.0280	0.0%	0.0%	17.0280	17.0280	
37	Unit Linked Dynamic P.A. Fund	ULUD01/08/2011/ULDYNAMIC122	1-Aug-11	Non-Par	6,601.09	31.5120	31.5120	31.3886	28.9844	25.9885	24.175	13.1%	11.1%	31.2504	31.2504	
38	Unitized with Profit Fund	ULUP02/26/06/2003/UPWPROFIT122	26-Jun-05	Par	15,337.44	41.4106	41.4106	40.0911	38.4783	37.3444	36.1665	14.5%	12.4%	41.4106	41.4106	
39	Pension Unitized with Profit Fund	ULPD04/11/02/2003/PNUPWPROFIT122	11-Feb-03	Par	4,211.16	42.5821	42.5821	41.2444	39.9488	38.7072	37.5173	13.3%	16.3%	42.5821	42.5821	
Total					381,456.36											

CERTIFICATION
Certified that the performance of all seaated funds have been placed and reviewed by the Board. All information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

DATE 19-Jan-22
Note: 1. * NAV should reflect the published NAV on the reporting date
2. NAV should be upto 4 decimals

Chief Financial Officer

⑧ 2 funds viz., Unit Linked Wealth Builder Fund and Unit Linked Liquid Fund are closed ended funds and are left with no policyholders.

FORM L-29: Detail regarding debt securities

Unit Linked

(Rs. In lacs)

Detail Regarding debt securities								
	MARKET VALUE				Book Value			
	AS AT DECEMBER 31 2021	as % of total for this class	AS AT DECEMBER 31 2020	as % of total for this class	AS AT DECEMBER 31 2021	as % of total for this class	AS AT DECEMBER 31 2020	as % of total for this class
Break down by credit rating								
AAA rated	130,248	90.1%	137,222	89.1%	130,484	88.7%	133,314	86.5%
AA or better	11,923	8.2%	13,116	8.5%	11,203	7.6%	12,174	7.9%
Rated below AA but above A	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Rated below A but above B	1,412	1.0%	2,076	1.3%	1,401	1.0%	2,001	1.3%
Any other	1,000	0.7%	1,625	1.1%	4,000	2.7%	6,556	4.3%
	144,583	100.0%	154,040	100.0%	147,088	100.0%	154,044	100.0%
BREAKDOWN BY RESIDUAL MATURITY								
Up to 1 year	45,589	31.5%	37,080	24.1%	45,564	31.0%	37,034	24.0%
more than 1 year and upto 3 years	1,696	1.2%	16,974	11.0%	1,649	1.1%	18,337	11.9%
More than 3 years and up to 7 years	67,683	46.8%	27,937	18.1%	70,028	47.6%	29,460	19.1%
More than 7 years and up to 10 years	13,963	9.7%	39,576	25.7%	14,001	9.5%	38,359	24.9%
More than 10 years and up to 15 years	11,773	8.1%	6,865	4.5%	11,945	8.1%	6,770	4.4%
More than 15 years and up to 20 years	114	0.1%	304	0.2%	112	0.1%	284	0.2%
Above 20 years	3,765	2.6%	25,304	16.4%	3,789	2.6%	23,800	15.4%
	144,583	100.0%	154,040	100.0%	147,088	100.0%	154,044	100.0%
Breakdown by type of the issuer								
Central Government	100,228	69.3%	74,288	48.2%	100,530	68.3%	73,205	47.5%
State Government	4,821	3.3%	22,939	14.9%	4,852	3.3%	22,437	14.6%
Corporate Securities	39,534	27.3%	56,813	36.9%	41,706	28.4%	58,403	37.9%
	144,583	100.0%	154,040	100.0%	147,088	100.0%	154,044	100.0%

Non Unit Linked

(Rs. In lacs)

Detail Regarding debt securities									(Rs. in lacs)
	MARKET VALUE				Book Value				
	AS AT DECEMBER 31 2021	as % of total for this class	AS AT DECEMBER 31 2020	as % of total for this class	AS AT DECEMBER 31 2021	as % of total for this class	AS AT DECEMBER 31 2020	as % of total for this class	
Break down by credit rating									
AAA rated	761,279	99.7%	682,466	99.7%	761,279	99.7%	682,466	99.7%	
AA or better	345	0.0%	0	0.0%	345	0.0%	0	0.0%	
Rated below AA but above A	0	0.0%	0	0.0%	0	0.0%	0	0.0%	
Rated below A but above B	0	0.0%	0	0.0%	0	0.0%	0	0.0%	
Any other	1,904	0.2%	2,024	0.3%	1,904	0.2%	2,024	0.3%	
	763,527	100.0%	684,490	100.0%	763,527	100.0%	684,490	100.0%	
BREAKDOWN BY RESIDUAL MATURITY									
Up to 1 year	26,125	3.4%	40,374	5.9%	26,125	3.4%	40,374	5.9%	
more than 1 year and upto 3 years	26,633	3.5%	19,307	2.8%	26,633	3.5%	19,307	2.8%	
More than 3 years and up to 7 years	69,477	9.1%	46,635	6.8%	69,477	9.1%	46,635	6.8%	
More than 7 years and up to 10 years	137,737	18.0%	107,752	15.7%	137,737	18.0%	107,752	15.7%	
More than 10 years and up to 15 years	322,906	42.3%	239,877	35.0%	322,906	42.3%	239,877	35.0%	
More than 15 years and up to 20 years	141,823	18.6%	133,988	19.6%	141,823	18.6%	133,988	19.6%	
Above 20 years	38,826	5.1%	96,556	14.1%	38,826	5.1%	96,556	14.1%	
	763,527	100.0%	684,490	100.0%	763,527	100.0%	684,490	100.0%	
Breakdown by type of the issuer									
Central Government	491,569	64.4%	427,371	62.4%	491,569	64.4%	427,371	62.4%	
State Government	139,397	18.3%	119,154	17.4%	139,397	18.3%	119,154	17.4%	
Corporate Securities	132,562	17.4%	137,965	20.2%	132,562	17.4%	137,965	20.2%	
	763,527	100.0%	684,490	100.0%	763,527	100.0%	684,490	100.0%	

1. In case a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.

2. The detail of ULIP and Non-ULIP will be given separately.

3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

Fixed Deposit are considered as Equity and Equity related instrument as per IRDA Investment return guidelines.

TREPS has been classified as Corporate Securities and AAA rated instrument.

AAA rated includes all Sovereign rated instruments#

Non-ULIP includes Shareholder fund.

FORM L-30 : Related Party Transactions

PART-A Related Party Transactions

(Rs. In lacs)

Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Q3 '21-22	AS AT DECEMBER 31, 2021	Q3 '20-21	AS AT DECEMBER 31, 2020
Aviva Group Holdings Limited	Significant Influence	Recovery of reimbursement of Travel related expenses & secondment charges of expats	-	-	-	-
Aviva PLC	Significant Influence	Reimbursement on account of travel related expenses	-	-	-	-
		Reimbursement of Healthcare, consultancy & Relocation charges	-	-	-	-
Aviva Life Services UK Limited	Significant Influence	Reimbursement of Travel related expenses (Payable)	-	-	-	-
		Reimbursement of Travel related expenses receivable	-	-	-	-
Aviva Asia PTE Ltd.	Significant Influence	Reimbursement of Travel related expenses	-	-	-	-
Sesame Group India Pvt Ltd	Significant Influence	Sublease related transaction	-	34.11	37.07	70.10
Experian Credit Information Company of India Private Limited	Entity in which relative of director is a director	Professional charges for database access	-	-	0.12	0.12
Nilesh Karia	Key Managerial Personnel	Remuneration	-	(120.99)	(58.36)	(172.34)
Sonal Athalve	Key Managerial Personnel	Remuneration	(21.71)	(35.19)	-	-
Anuj Arora	Key Managerial Personnel	Remuneration	(8.45)	(26.42)	(7.92)	(22.44)
Trevor Bull	Key Managerial Personnel	Remuneration	-	-	(86.86)	(351.59)
Amit Malik	Key Managerial Personnel	Remuneration	(54.49)	(295.32)	-	-
AVIVA Central Services UK limited	Significant Influence	Recharge for the cost of resources towards various project including ISRA, vendor management, Cyber BPS, application etc. and professional fees of Bain & Co. in Aviva India	478.91	502.16	-	-
		Payable towards secondment charges of expats	-	(159.18)	(5.37)	(5.37)
		Reimbursement of Ex-Gratia payment	-	10.44	-	-
		Recovery of travel related expenses & secondment charges of expats	-	-	6.72	6.72

PART-B Related Party Transaction Balances - As at the end of the Quarter ended December 31, 2021

(Rs. In lacs)

Name of the Related Party	Nature of Relationship with the Company	Amount of Outstanding Balances including Commitments	Whether Payable / Receivable	Whether Secured? If so, Nature of consideration to be provided at the time of settlement	Details of any Guarantees given or received	Balance under Provision for doubtful debts relating to the outstanding balance receivable	Expenses recognised up to the quarter end during the year in respect of bad or doubtful debts due from the related party
Aviva Group Holdings Limited	Significant Influence	-	NA	NA	NA	NA	NA
Aviva PLC	Significant Influence	-	NA	NA	NA	NA	NA
Aviva Life Services UK Limited	Significant Influence	-	NA	NA	NA	NA	NA
Aviva Asia PTE Ltd.	Significant Influence	-	NA	NA	NA	NA	NA
Sesame Group India Pvt Ltd	Significant Influence	0.01	Receivable	NA	NA	NA	NA
Experian Credit Information Company of India Private Limited	Entity in which relative of director is a director	-	NA	NA	NA	NA	NA
AVIVA Central Services UK limited	Significant Influence	484.47	Receivable	NA	NA	NA	NA

FORM L-31 LNL - 6 : Board of Directors & Key Person

BOD and Key Person information			April- December 2021	
Sl. No.	Name of person	Role/designation	Details of change in the period	
			Date of Appointment	Date of Ceasing
1	Dr Anand Chand Burman	Director	NA	NA
2	Bobby Parikh	Director	NA	NA
3	E V Sumithasri	Director	NA	NA
4	Chetan Singh	Director	NA	01.10.2021
5	Lee Patrick Callaghan	Director	NA	NA
6	Mohit Burman	Director	NA	NA
7	Pradip Burman	Director	NA	NA
8	Pritam Das Narang	Director	NA	NA
10	Suresh Mahalingam	Director	NA	NA
11	Amit Malik	Chief Executive Officer and Managing Director	NA	NA
12	Randy Lianggara	Director	25.06.2021	NA
13	Ravi Tiwari	Additional Directors	07.10.2021	
14	Ajai Kumar Tripathi	Appointed Actuary and officiating Chief Investment Officer(CIO)	NA	NA
15	Joydev Sengupta	Head- Legal	NA	NA
17	Nilesh Ratilal Karia	Chief Financial Officer	NA	13.08.2021
18	Vijayalakshmi Natarajan	Chief Compliance Officer & Chief Risk Officer	NA	NA
19	Prashant Kalaver	Chief of Internal Audit	NA	NA
20	Akhilesh Gupta	Chief Investment officer	NA	NA
21	Kunal Anand	Chief Operations and Customer Services Officer	NA	NA
22	Vinit Kapahi	Head- Marketing	NA	NA
23	Ashish Mittal	Head- People Function	NA	NA
24	Vishnu Kumar	Chief Distribution Officer	12.07.2021	NA
25	Sonali Athalye	Chief Financial Officer	13.08.2021	NA
26	Anuj Arora	Company Secretary	NA	NA

Key Persons as defined in IRDAI Corporate Governance Guidelines, 2016

Note:

a) "Key Management Person" as defined under Annexure 4 (Guidelines on appointment and reporting of Key Management Persons) of Corporate Governance Guidelines for Insurers in India 2016

b) In case of directors, designation to include "Independent Director / Non-executive Director / Executive Director / Managing Director/Chairman"

FORM L-32- Solvency Margin - KT 3

Available Solvency Margin And Solvency Ratio - Total Business

(Rs. In lacs)

Item	Description	Notes No	Adjusted Value	
			AS AT DECEMBER 31, 2021	AS AT DECEMBER 31, 2020
01	Available Assets in Policyholders' Fund:	1	1,100,082	1,028,977
	Deduct:			
02	Mathematical Reserves	2	1,075,756	970,495
03	Other Liabilities	3	18,163	52,081
04	Excess in Policyholders' funds		6,163	6,401
05	Available Assets in Shareholders Fund:	4	66,588	66,054
	Deduct:			
06	Other Liabilities of shareholders' fund	3	275	228
07	Excess in Shareholders' funds		66,313	65,825
08	Total ASM (04)+(07)		72,476	72,227
09	Total RSM		31,231	28,922
10	Solvency Ratio (ASM/RSM)		2.32	2.50

Certification:

I, Ajai Kumar Tripathi, † the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

Place: Gurugram
Date: 9-Nov-21

Sd/-
Ajai Kumar Tripathi
Appointed Actuary

Notes

- 1) Item No. 01 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Policyholders A/C;
- 2) Item No. 02 shall be the amount of the Mathematical Reserves as mentioned in Form H;
- 3) Item No. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- 4) Item No. 05 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Shareholders A/C;

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122
Statement as on: December 31, 2021
Details of Non Performing Assets-Quarterly
Periodicity of Submission : Quarterly

Name of the Fund -LIFE FUND

NO	PARTICULARS	Bonds/Debentures		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD (AS on Date)	Prev. FY (As on 31 Mar 2021)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2021)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2021)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2021)	YTD (AS on Date)	Prev. FY (As on 31 Mar 2021)
1	Investment Assets (As per Form 5)	119,799.94	117,673.80	-	-	8,624.13	16,961.80	608,048.40	554,399.62	736,472.46	689,035.22
2	Gross NPA	2,731.64	3,207.11	-	-	-	-	-	-	2,731.64	3,207.11
3	% of Gross NPA on Investment Assets (2/1)	2.28%	2.73%	-	-	-	-	-	-	0.37%	0.47%
4	Provision Made on NPA	2,256.64	2,406.51	-	-	-	-	-	-	2,256.64	2,406.51
5	Provision as a % on NPA (4/2)	82.61%	75.04%	-	-	-	-	-	-	82.61%	75.04%
6	Provision on Standard Assets	-	-	-	-	-	-	5.65	641.12	5.65	641.12
7	Net Investment Assets (1-4)	117,420.67	115,267.29	-	-	8,624.13	16,961.80	608,048.40	554,399.62	734,093.20	686,628.71
8	Net NPA (2-4)	475.00	800.61	-	-	-	-	-	-	475.00	800.61
9	% of Net NPA to Net Investment Assets (8/7)	0.40%	0.69%	-	-	-	-	-	-	0.06%	0.12%
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'Fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
 - 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
 - 3 Gross NPA is investments classified as NPA, before any provisions
 - 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
 - 5 Net Investment assets is net of 'provisions'
 - 6 Net NPA is gross NPAs less provisions
 - 7 Write off as approved by the board
- Bonds/Debentures include the Bonds issued by Food Corporation of India having a Category Code of CGSL.
Provision on Standard Assets includes provision on equity shares
Gross NPA includes redeemed securities of DHFL amounting to INR 8.27 Cr.

Chief Financial Officer

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement as on: December 31, 2021

Details of Non Performing Assets-Quarterly

Periodicity of Submission : Quarterly

Name of the Fund - Pension General Annuity Fund

NO	PARTICULARS	Bonds/Debentures		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD (As on Date)	Prev. FY (As on 31 Mar 2021)	YTD (As on Date)	Prev. FY (As on 31 Mar 2021)	YTD (As on Date)	Prev. FY (As on 31 Mar 2021)	YTD (As on Date)	Prev. FY (As on 31 Mar 2021)	YTD (As on Date)	Prev. FY (As on 31 Mar 2021)
1	Investment Assets (As per Form 5)	4,445.59	4,313.85	-	-	498.71	191.49	22,985.44	22,541.90	27,929.74	27,047.25
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision Made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % on NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	4,445.59	4,313.85	-	-	498.71	191.49	22,985.44	22,541.90	27,929.74	27,047.25
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and UUP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

Chief Financial Officer

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement as on: December 31, 2021

Details of Non Performing Assets-Quarterly

Periodicity of Submission : Quarterly

Name of the Fund -UIP Fund

NO	PARTICULARS	Bonds/Debentures		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD (As on Date)	Prev. FY (As on 31 Mar 2021)	YTD (As on Date)	Prev. FY (As on 31 Mar 2021)	YTD (As on Date)	Prev. FY (As on 31 Mar 2021)	YTD (As on Date)	Prev. FY (As on 31 Mar 2021)	YTD (As on Date)	Prev. FY (As on 31 Mar 2021)
1	Investment Assets (As per Form 5)	16,142.11	37,837.71	-	-	23,392.18	15,714.65	341,922.00	307,816.98	381,456.29	361,369.35
2	Gross NPA	5,586.80	6,555.63	-	-	-	-	-	-	5,586.80	6,555.63
3	% of Gross NPA on Investment Assets (2/1)	34.61%	17.33%	-	-	-	-	-	-	1.46%	1.81%
4	Provision Made on NPA	4,586.80	4,930.63	-	-	-	-	-	-	4,586.80	4,930.63
5	Provision as a % on NPA (4/2)	82.10%	75.21%	-	-	-	-	-	-	82.10%	75.21%
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)**	16,142.11	37,837.71	-	-	23,392.18	15,714.65	341,922.00	307,816.98	381,456.29	361,369.35
8	Net NPA (2-4)	1,000.00	1,625.00	-	-	-	-	-	-	1,000.00	1,625.00
9	% of Net NPA to Net Investment Assets (8/7)	6.19%	4.29%	-	-	-	-	-	-	0.26%	0.45%
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and UIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

** The provisions for NPA and Standard Assets disclosed above (Rs 45.87 Cr.) are already netted off in the carrying value of UIP assets of Rs. 3814.56 Cr.

Chief Financial Officer

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
(Read with Regulation 10)
Statement as on: December 31, 2021
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -LIFE FUND

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%)	Net Yield (%)
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	453,831.66	9,222.42	2.0%	2.0%	444,670.94	27,049.93	6.1%	6.1%	427,802.19	29,891.34	7.0%	7.0%
	Treasury Bills	CTRB	4,185.29	35.99	0.9%	0.9%	1,809.93	46.82	2.6%	2.6%	1,566.60	56.94	3.6%	3.6%
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	State Government Bonds	SGSB	135,088.26	2,275.91	1.7%	1.7%	131,422.71	6,695.92	5.1%	5.1%	57,449.93	2,951.36	5.1%	5.1%
	Central Government Guaranteed Loans/Bonds	CGSL	806.45	17.49	2.2%	2.2%	806.70	52.28	6.5%	6.5%	807.72	52.28	6.5%	6.5%
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	2,314.61	40.34	1.7%	1.7%	2,314.29	121.02	5.2%	5.2%	1,985.81	106.00	5.3%	5.3%
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	TAXABLE BONDS													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	12,945.00	775.31	6.0%	6.0%	15,090.57	1,436.84	9.5%	9.5%	18,292.80	1,146.96	6.3%	6.3%
	Bonds / Debentures issued by HUDCO	HTHD	8,741.05	143.52	1.6%	1.6%	8,766.32	429.32	4.9%	4.9%	6103.09	292.61	4.8%	4.8%
D	INFRASTRUCTURE INVESTMENTS													
	TAXABLE BONDS		-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	0.58	0.08	14.2%	14.2%	0.60	0.10	15.9%	15.9%	28.22	(80.04)	-283.6%	-283.6%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	3.88	0.54	13.9%	13.9%	4.01	0.60	15.0%	15.0%	240.56	(158.86)	-66.0%	-66.0%
	Infrastructure - PSU - Debentures / Bonds	ITPD	82,594.62	1,576.78	1.9%	1.9%	77,448.44	5,480.18	7.1%	7.1%	70,698.05	4,322.85	6.1%	6.1%
	Infrastructure- Infrastructure Development Fund	IDDF	-	-	0.0%	0.0%	-	-	0.0%	0.0%	217.16	(30.14)	-13.9%	-13.9%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	1,506.09	26.69	1.8%	1.8%	1,506.82	79.77	5.3%	5.3%	1,380.78	83.65	6.1%	6.1%
	Long Term Bank Bonds Approved Investment - Infrastructure	ILBI	8,532.10	163.09	1.9%	1.9%	8,533.60	487.51	5.7%	5.7%	8,938.99	556.06	6.2%	6.2%
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	0.76	0.05	6.3%	6.3%	0.87	0.25	28.7%	28.7%	37.09	-152.60	-411.4%	-411.4%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	64.39	10.55	16.4%	16.4%	66.65	11.58	17.4%	17.4%	2,521.85	(2,774.01)	-110.0%	-110.0%
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECD8	792.00	43.06	5.4%	5.4%	1,090.08	186.99	17.2%	17.2%	2,042.09	327.68	16.0%	16.0%
	Deposits - CDs with Scheduled Banks	EDCD	490.73	4.86	1.0%	1.0%	354.82	10.51	0.03	0.03	2,799.42	123.40	4.4%	4.4%
	Units of Infrastructure Investment Trust	EUIT	-	-	0.0%	0.0%	1,721.72	1,034.44	60.1%	60.1%	3,542.26	(8.00)	-0.2%	-0.2%
	Deposits - Repo / Reverse Repo	ECMR	6,776.71	55.42	0.8%	0.8%	8,158.94	198.69	2.4%	2.4%	11,250.42	244.30	2.2%	2.2%
	Corporate Securities - Debentures	ECOS	537.22	10.05	1.9%	1.9%	851.57	307.62	36.1%	36.1%	7,867.90	509.12	6.5%	6.5%
F	OTHER INVESTMENTS													
	Reclassified Approved Investments - Debt	ORAD	1,904.27	0.32	0.0%	0.0%	1,944.04	305.30	15.5%	15.5%	2,024.29	0.27	0.0%	0.0%
	Equity Shares (incl Co-op Societies)	OESH	14.14	0.74	5.2%	5.2%	14.44	0.49	3.4%	3.4%	571.71	(690.99)	-120.9%	-120.9%
	TOTAL		721,129.80	14,403.20	2.0%	2.0%	706,798.06	43,956.15	6.2%	6.2%	628,169.15	36,770.17	5.85%	5.85%

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 19-Jan-22

Chief Financial Officer

- Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time
- 1 Based on daily simple Average of Investments
 - 2 Yield netted for Tax
 - 3 In the previous year's column, the figures of the corresponding Year to date of the previous financial year shall be shown
 - 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFNI) level and also at consolidated level.
 - 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account
- YTD Income on investment excludes the Income on investment of Unclaimed Policyholders Fund

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
(Read with Regulation 10)
Statement as on: December 31, 2021
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund - Pension General Annuity Fund

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)			
			Investment (Rs.) ¹	Income on Investment (Rs.) ¹	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.) ¹	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.) ¹	Gross Yield (%) ²	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	20,667.04	392.71	1.9%	1.9%	20,574.67	1,171.85	5.7%	5.7%	20,552.22	1,201.54	5.8%	5.8%
	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	462.32	21.38	4.6%	4.6%
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	State Government Bonds	SGSB	2,194.32	31.27	1.4%	1.4%	2,158.80	91.37	4.2%	4.2%	2,240.52	150.88	0.07	0.07
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	TAXABLE BONDS		-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	40.98	0.65	1.6%	1.6%	13.71	0.65	4.8%	4.8%	501.63	44.10	0.09	0.09
D	INFRASTRUCTURE INVESTMENTS													
	TAXABLE BONDS		-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IFPD	4,315	95	2.2%	2.2%	4,314.73	283.35	6.6%	6.6%	4,582.31	311.01	0.07	0.07
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	-	-	0.0%	0.0%	-	-	0.0%	0.0%	182.04	46.28	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	332.06	19.90	6.0%	6.0%
	Deposits - Repo / Reverse Repo	ECMR	359.88	2.96	0.8%	0.8%	402.67	9.64	2.4%	2.4%	980.60	21.08	2.1%	2.1%
	Corporate Securities - Debentures	ECOS	-	-	-	-	-	-	-	-	46.67	2.56	5.5%	5.5%
F	OTHER INVESTMENTS													
	TOTAL		27,577.52	522.45	1.9%	1.9%	27,464.58	1,556.84	5.7%	5.7%	29,900.37	1,818.75	6.1%	6.1%

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 19-Jan-22

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-34: YIELD ON INVESTMENTS-1

FORM - 1
(Read with Regulation 10)
Statement as on: December 31, 2021
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -ULIP Fund

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year)			
			Investment (Rs.) ¹	Income on Investment (Rs.) ¹	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.) ¹	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.) ¹	Gross Yield (%) ²	Net Yield (%) ²
A	GOVERNMENT SECURITIES													
	Central Government Bonds	CGSB	99,680.62	373.84	0.4%	0.4%	91,783.38	3,820.30	4.2%	4.2%	56,485.73	4,607.71	8.2%	8.2%
	Treasury Bills	CTRB	11,881.97	109.79	0.01	0.01	15,909.39	430.58	2.7%	2.7%	13,550.12	423.87	3.1%	3.1%
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
	State Government Bonds	SGSB	4,318.44	34.29	0.8%	0.8%	5,652.67	364.19	6.4%	6.4%	10,309.71	1,555.18	0.1%	0.1%
	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	0.1%	0.1%	-	0.10	-	-
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
	TAXABLE BONDS		-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	7,679.52	95.71	1.2%	1.2%	9,492.63	510.33	5.4%	5.4%	15,616.24	1,451.42	9.3%	9.3%
D	INFRASTRUCTURE INVESTMENTS													
	TAXABLE BONDS		-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	2,082.87	(297.26)	-14.3%	-14.3%	2,128.94	58.27	2.7%	2.7%	2,843.11	990.70	34.6%	34.6%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	13,448.79	903.56	6.7%	6.7%	13,152.33	3,979.14	30.3%	30.3%	12,488.75	3,266.92	26.2%	26.2%
	Infrastructure - PSU - Debentures / Bonds	ITPD	1,842.42	13.87	0.8%	0.8%	4,898.37	100.26	2.0%	2.0%	13,009.38	1,159.30	8.9%	8.9%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	4,475.42	37.39	0.01	0.01	4,500.10	235.77	5.2%	5.2%	5,166.46	417.76	8.1%	8.1%
	OTHER INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Equity (including unlisted)	IOEQ	109.58	13.06	11.9%	11.9%	106.12	30.51	0.0%	0.0%	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU - Equity shares - Quoted	EAEQ	10,511.84	(425.18)	-4.0%	-4.0%	9,743.57	2,622.30	26.9%	26.9%	5,577.26	848.45	0.1%	0.1%
	Corporate Securities - Equity shares (Ordinary) - Quoted	EACE	162,035.61	1,619.03	1.0%	1.0%	154,990.86	36,471.95	23.5%	23.5%	124,620.91	68,474.75	0.5%	0.5%
	Deposits - Deposit with Scheduled Banks, Rs (Incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	-	-	-	-	0.16	-	-	10.04	1.22	12.2%	12.2%
	Deposits - CDs with Scheduled Banks	EDCD	1,472.19	14.58	1.0%	1.0%	1,064.46	31.53	3.0%	3.0%	1,863.94	84.39	4.5%	4.5%
	Commercial Papers	ECCP	1,883.12	20.75	1.1%	1.1%	629.99	20.75	3.3%	3.3%	-	-	0.0%	0.0%
	Deposits - Repo / Reverse Repo	ECMR	15,131.54	123.66	0.8%	0.8%	15,915.30	381.89	2.4%	2.4%	14,758.29	345.74	2.2%	2.2%
	Corporate Securities - Debentures	ECOS	0.45	0.00	0.7%	0.7%	974.24	(581.78)	-59.7%	-59.7%	12,119.88	1,098.65	9.1%	9.1%
	Net Current Assets (Only in respect of ULIP Business)	ENCA	6,629.27	-	0.0%	0.0%	6,629.27	-	0.0%	0.0%	3,595.65	-	0.0%	0.0%
F	OTHER INVESTMENTS													
	Equity Shares (Incl Co-op Societies)	ORSH	22,408.16	1,084.22	4.8%	4.8%	19,392.39	4,511.56	23.3%	23.3%	7,150.15	3,937.17	0.5%	0.5%
	Reclassified Approved Investments - Debt	ORAD	2,417.60	23.40	0.01	0.01	2,997.24	440.54	21.4%	21.4%	3,234.32	725.40	22.4%	22.4%
	Equity Exchange Traded Fund	OETF	25,001.13	(1,106.03)	-4.4%	-4.4%	23,541.41	1,548.22	6.6%	6.6%	15,515.11	7,996.72	51.5%	51.5%
	TOTAL		393,010.58	2,640.70	0.7%	0.7%	383,502.66	55,176.46	14.4%	14.4%	319,935.05	97,405.66	30.4%	30.4%

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 19-Jan-22

Chief Financial Officer

- Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time
- Based on daily simple Average of Investments
 - Yield netted for Tax
 - In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
 - FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared of Segregated Fund (SFN) level and also of consolidated level.
 - YTD Income on Investment shall be reconciled with figures in P&L and Revenue account

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122
Statement as on: December 31, 2021
Statement of Downgraded Investment
Periodicity of Submission: Quarterly

Name of Fund - ULIP Fund

PART - A

									(Rs. In lacs)
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	During the Quarter ¹								
	NIL								
B.	As on Date ²								
	8.43% Indiabulls HF 22-Feb-2028 (ISIN : INE148I07IQ8)	HTDN	6,376.50	28-Mar-18	CRISIL	AAA	AA	10-Feb-20	
	9.00% Indiabulls Hsq Fin Ltd- 26-09-2026 (ISIN : INE148I07GL3)	HTDN	445.61	30-Mar-17	CARE	AAA	AA	17-Feb-20	
	9.00% Reliance Capital NCD 09.09.2026 (ISIN : INE013A079D5)	ORAD	1,000.00	9-Sep-16	CARE	AAA	D	23-Sep-19	
	9.90% Yes Bank 28-03-2022 (ISIN: INE528G08212)	ORAD	1,411.51	30-Oct-12	CARE	AA	BBB	10-Nov-20	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 19-Jan-22

Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority
Rating of 9.90% Yes Bank NCD 28.03.2022 was upgraded to BBB on 10-Nov-20

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM I-36-Premium and number of lives covered by policy type

S.No.	Particulars	Q3 '21-'22				Q3 '20-'21				AS AT DECEMBER 31, 2021				AS AT DECEMBER 31, 2020				(Rs. in lacs)
		Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	
1	First year Premium																	
i	Individual Single Premium- (ISP)																	
	From 0-10,000	-	-	-	-	0	6	6	2	(0)	(44)	(44)	(11)	1	101	101	25	
	From 10,000-25,000	2	1	1	3	13	7	5	26	17	10	10	98	29	16	14	54	
	From 25,001-50,000	25	5	4	31	11	3	3	16	45	9	8	138	57	13	13	58	
	From 50,001-75,000	30	-	-	-	10	-	-	-	14	2	2	18	19	3	2	26	
	From 75,000-100,000	-	-	-	-	-	-	-	-	39	-	-	48	20	-	-	20	
	From 1,00,001-1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Above Rs. 1,25,000	50	2	2	63	40	2	2	80	139	6	6	174	155	4	3	310	
ii	Individual Single Premium (ISPA)- Annuity																	
	From 0-50,000	67	21	20	-	14	4	6	-	157	53	48	-	184	59	48	-	
	From 50,001-100,000	83	11	10	-	11	2	1	-	153	22	21	-	114	16	13	-	
	From 1,00,001-1,50,000	34	3	3	-	-	-	-	-	71	4	4	-	54	5	4	-	
	From 1,50,001-2,00,000	35	2	2	-	-	-	-	-	52	3	3	-	49	3	1	-	
	From 2,00,001-2,50,000	-	-	-	-	-	-	-	-	48	2	2	-	50	2	1	-	
	From 2,50,001-3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	68	1	1	-	98	2	1	-	
iii	Group Single Premium (GSP)																	
	From 0-10,000	(0)	-	(1)	(911)	-	-	-	(714)	(9)	-	(48)	(3,014)	(0)	-	4	(2,137)	
	From 10,000-25,000	0	3	33	-	-	-	-	-	1	-	6	62	0	-	1	20	
	From 25,001-50,000	1	-	7	100	0	-	100	50	2	-	25	160	1	-	104	86	
	From 50,001-75,000	2	-	10	137	-	-	-	-	3	-	37	139	1	-	7	29	
	From 75,000-100,000	2	-	10	156	-	-	-	-	2	-	10	156	-	-	-	-	
	From 1,00,001-1,25,000	2	-	16	146	2	-	838	420	6	-	409	456	2	-	838	420	
	Above Rs. 1,25,000	133	-	515	6,917	19	-	171	610	340	1	2,837	20,563	95	-	7,442	6,358	
iv	Group Single Premium- Annuity- GSPA																	
	From 0-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 1,00,001-1,50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 1,50,001-2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 2,00,001-2,50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 2,50,001-3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
v	Individual non Single Premium- INSP																	
	From 0-10,000	(10)	423	423	770	40	457	457	591	98	1,456	1,456	4,522	122	1,552	1,552	1,606	
	From 10,000-25,000	193	943	940	8,549	160	750	746	4,842	583	2,899	2,894	34,114	620	3,268	3,251	23,507	
	From 25,001-50,000	427	1,514	1,513	14,323	441	984	974	9,345	1,235	3,977	3,872	41,424	1,616	4,083	4,051	38,597	
	From 50,001-75,000	305	475	467	5,261	184	260	253	3,319	817	1,260	1,245	15,777	692	1,136	1,118	13,273	
	From 75,000-100,000	846	862	861	11,793	415	419	417	6,563	2,156	2,197	2,195	30,567	1,360	1,385	1,381	21,375	
	From 1,00,001-1,25,000	160	143	160	2,715	79	62	61	1,186	413	369	364	7,609	277	212	211	3,908	
	Above Rs. 1,25,000	2,423	762	757	28,070	910	303	303	13,603	5,516	1,714	1,704	68,430	3,368	1,059	1,057	46,482	
vi	Individual non Single Premium- Annuity- INSPA																	
	From 0-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 1,00,001-1,50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 1,50,001-2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 2,00,001-2,50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 2,50,001-3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
vii	Group Non Single Premium (GNSP)																	
	From 0-10,000	(70)	-	8,599	(849,845)	(95)	2	(144)	(218,353)	(271)	-	40,326	(1,188,755)	(144)	3	15,941	(473,478)	
	From 10,000-25,000	7	-	2,074	10,346	3	-	757	5,187	20	1	10,061	70,114	13	1	7,911	15,818	
	From 25,001-50,000	17	-	1,297	8,781	5	3	1,807	4,438	39	-	7,617	28,818	18	10	12,164	19,710	
	From 50,001-75,000	17	-	3,616	16,476	10	3	5,860	11,018	34	1	7,157	35,659	21	7	8,300	21,511	
	From 75,000-100,000	17	-	2,302	7,405	3	-	160	6,271	29	-	7,571	55,143	9	1	2,424	14,589	
	From 1,00,001-1,25,000	16	-	1,189	11,489	6	1	471	2,716	34	-	4,130	28,731	14	4	1,375	12,994	
	Above Rs. 1,25,000	1,378	6	32,719	86,763	1,280	27	70,481	440,589	5,958	68	128,837	1,212,578	4,480	88	324,256	1,809,290	
viii	Group Non Single Premium- Annuity- GNSPA																	
	From 0-10,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 25,001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 50,001-75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	From 1,00,001-1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-36-Premium and number of lives covered by policy type

S.No.	Particulars	Q3 '21-'22				Q3 '20-'21				AS AT DECEMBER 31, 2021				AS AT DECEMBER 31, 2020				(Rs. in lacs)
		Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	
2	Renewal Premium																	
	I Individual																	
	From 0-10,000	1,328	17,805	17,537	719,322	1,320	16,770	16,500	679,112	3,647	62,633	61,864	2,269,405	3,716	64,422	63,614	2,306,947	
	From 10,000-25,000	3,098	15,227	14,910	432,172	3,082	14,599	14,325	409,911	8,995	59,415	58,720	1,523,390	9,118	67,304	61,392	1,539,824	
	From 25,001-50,000	4,291	8,895	8,638	157,163	4,085	8,438	8,188	143,630	12,423	34,769	34,096	563,100	12,020	35,466	34,773	556,901	
	From 50,001-75,000	1,376	1,744	1,678	38,408	1,602	1,665	1,597	35,900	4,109	8,071	7,852	157,761	3,911	8,119	7,881	157,448	
	From 75,000-100,000	5,041	3,028	3,007	60,946	2,730	2,749	2,736	55,800	8,761	9,473	9,414	200,611	8,175	9,246	9,196	195,690	
	From 1,00,001-1,25,000	753	524	497	16,056	939	496	466	14,773	2,291	2,313	2,248	66,528	2,104	2,364	2,293	63,814	
	Above Rs. 1,25,000	5,702	2,072	2,045	107,082	5,885	1,877	1,854	100,286	18,741	6,917	6,842	408,140	18,252	6,859	6,774	421,765	
	II Individual- Annuity																	
	From 0-10,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 25,001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	III Group																	
	From 0-10,000	0	16	3,663	417	0	6	5,507	92	0	43	21,856	1,544	0	23	40,554	546	
	From 10,000-25,000	0	1	120	60	1	1	265	270	2	3	158	370	4	13	9,204	4,217	
	From 25,001-50,000	2	3	19,286	5,014	2	4	1,555	651	6	13	31,055	10,239	10	22	29,311	13,674	
	From 50,001-75,000	1	-	-	6	3	-	3	21,035	6	5	10,212	5,011	8	10	57,937	17,545	
	From 75,000-100,000	1	1	264	290	1	1	-	9	13	11	1,847	6,530	10	8	795	5,761	
	From 1,00,001-1,25,000	-	-	-	-	1	1	136	1,847	9	7	1,807	6,681	13	9	2,014	10,099	
	Above Rs. 1,25,000	20	4	10,058	8,778	13	3	1,163	14,927	2,109	56	149,941	976,092	892	40	103,363	658,547	
	IV Group- Annuity																	
	From 0-10,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 25,001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Note:

1. Premium stands for premium amount.
2. No. of lives means no. of lives insured under the policies.
3. Premium collected for Annuity will be disclosed separately as stated above.

FORM L-37- Business Acquisition Through Different Channels (Group)

(Rs. In lacs)

Business Acquisition through different channels (Group)													
Sl. No.	Channels	Q3 '21-22			Q3 '20-21			AS AT DECEMBER 31, 2021			AS AT DECEMBER 31, 2020		
		No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium
1	Individual agents	-	743	(1)	-	43	(0)	1	1,889	10	1	195	5
2	Corporate Agents-Banks	2	12,540	161	-	-	-	3	15,383	352	-	4,742	8
3	Corporate Agents -Others	-	-	-	-	-	-	-	-	-	-	-	-
4	Brokers	4	31,830	184	31.00	53,974	296	63	146,211	2,903	102	310,275	1,581
5	Micro Agents	-	-	-	-	-	-	-	-	-	-	-	-
6	Direct Business	-	7,243	1,178	5.00	26,484	936	4	45,592	2,923	11	65,750	2,915
7	IMF	-	-	-	-	-	-	-	-	-	-	-	-
8	Others (Please Specify)	-	-	-	-	-	-	-	-	-	-	-	-
	Total(A)	6	52,354	1,523	36	80,501	1,232	71	209,075	6,188	114	380,962	4,508
1	Referral Arrangements (B)	-	-	-	-	-	-	-	-	-	-	-	-
	Grand Total (A+B)	6	52,354	1,523	36	80,501	1,232	71	209,075	6,188	114	380,962	4,508

FORM L-38 - Business Acquisition through different channels (Individuals)

(Rs. In lacs)

S.No.	Channels	Business Acquisition through different channels (Individuals)							
		Q3 '21-22		Q3 '20-21		AS AT DECEMBER 31, 2021		AS AT DECEMBER 31, 2020	
		No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium
1	Individual agents	841	995	595	531	2,419	2,897	3,672	2,744
2	Corporate Agents-Banks	2,756	1,639	1,801	908	7,093	3,653	5,291	2,196
3	Corporate Agents -Others	197	190	188	184	584	526	770	691
4	Brokers	38	67	46	67	100	145	127	320
5	Micro Agents	-	-	-	-	-	-	-	-
6	Direct Business	-	-	-	-	-	-	-	-
	- Online (Through Company Website)	32	17	41	50	86	73	558	346
	- Others	1,238	1,594	574	530	3,412	4,122	2,411	2,340
7	IMF	68	363	17	57	152	597	93	277
8	Common Service Centres	-	-	-	-	-	-	-	-
9	Web Aggregators	-	-	-	0	-	-	-	1
10	Point of Sales	-	-	-	-	-	-	-	-
11	Others (Please Specify)	-	-	-	-	-	-	-	-
	Total (A)	5,170	4,866	3,262	2,327	13,846	12,013	12,922	8,916
1	Referral Arrangements (B)	-	4	-	0	-	8	-	3
	Grand Total (A+B)	5,170	4,870	3,262	2,327	13,846	12,021	12,922	8,919

FORM L-39 - Data on Settlement of Claims for the quarter ended December 31, 2021

Date: December 31, 2021

Individual

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	63	1,021	314	158	1	-	1,557	5,428
2	Survival Benefit	2,184	1,726	3	1	-	-	3,914	1,897
3	Annuities / Pension	531	992	119	111	133	125	2,011	75
4	Surrender	-	2,689	0	0	0	0	2,689	10,751
5	Other benefits	4	2,424	4	2	-	3	2,437	3,488
	Death Claims	-	341	3	0	0	0	344	3,766

Group

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	48	-	-	1	-	49	33
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Surrender	-	12	-	-	-	-	12	106
5	Other benefits	-	706	-	-	-	-	706	1,410
	Death Claims	-	248	-	-	-	-	248	1,111

FORM L-39-Data on Settlement of Claims for the year ended December 31, 2021

Date: December 31, 2021

Individual

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	144	2,836	1,132	700	7	5	4,824	14,319
2	Survival Benefit	6,038	5,321	136	1	1	-	11,497	5,764
3	Annuities / Pension	1,701	2,694	336	297	272	288	5,588	223
4	Surrender	-	7844	0	0	0	0	7,844	36,872
5	Other benefits	10	7,613	32	25	2	5	7,687	9,185
	Death Claims	-	1357	20	0	0	0	1,377	22,940

Group

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	110	2	3	1	-	116	66
2	Survival Benefit	-	1	-	-	-	-	1	5
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Surrender	-	28	2	-	-	-	30	1,096
5	Other benefits	-	2,142	-	-	-	-	2,142	3,698
	Death Claims	-	1,143	10	1	-	-	1,154	5,990

FORM L-40 - Quarterly Claims data for Life for the quarter ended December 31, 2021

Date: December 31, 2021

Death Claims

No. of claims only

Sl. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period	106	40
2	Claims Intimated / Booked during the period	272	218
(a)	Less than 3 years from the date of acceptance of risk	37	207
(b)	Greater than 3 years from the date of acceptance of risk	235	11
3	Claims Paid during the period	344	248
4	Claims Repudiated during the period	6	-
5	Claims Rejected	-	-
6	Unclaimed	-	-
7	Claims O/S at End of the period	28	10
	Outstanding Claims:-		
	Less than 3 months	27	10
	3 months and less than 6 months	1	-
	6 months and less than 1 year	-	-
	1 year and above	-	-

Individual

No. of claims only

Sl. No.	Claims Experience	Maturity	Survival Benefit ¹	Annuities/ Pension	Surrender*	Other Benefits
1	Claims O/S at the beginning of the period	950	13	5,067	97	97
2	Claims Booked during the period	1,674	3,916	2,116	2,654	2,452
3	Claims Paid during the period	1,557	3,914	2,011	2,689	2,437
4	Unclaimed	285	1	-	-	1
5	Claims O/S at End of the period	782	14	5,172	57	109
	Outstanding Claims (Individual)					
	Less than 3 months	531	12	473	57	30
	3 months and less than 6 months	251	1	536	-	7
	6 months and less than 1 year	-	-	990	-	9
	1 year and above	-	1	3,173	-	63

** 5 cases in the surrender category were reversed from the opening balance as customer opted to continue

FORM L-40-Quarterly Claims data for Life for the year ended December 31, 2021

Date: December 31, 2021

Death Claims

No. of claims only

Sl. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period	-	-
2	Claims Intimated / Booked during the period	1,430	1,166
(a)	Less than 3 years from the date of acceptance of risk	159	1,140
(b)	Greater than 3 years from the date of acceptance of risk	1,271	26
3	Claims Paid during the period	1,377	1,154
4	Claims Repudiated during the period	25	1
5	Claims Rejected	-	1
6	Unclaimed	-	-
7	Claims O/S at End of the period	28	10
	Outstanding Claims:-		
	Less than 3 months	27	10
	3 months and less than 6 months	1	-
	6 months and less than 1 year	-	-
	1 year and above	-	-

1,166

1,154

-

Individual

No. of claims only

Sl. No.	Claims Experience	Maturity	Survival Benefit ¹	Annuities/ Pension	Surrender*	Other Benefits
1	Claims O/S at the beginning of the period	3,223	31	4,243	13	85
2	Claims Booked during the period	4,893	11,492	6,517	7,899	7,726
3	Claims Paid during the period	4,824	11,497	5,588	7,844	7,687
4	Unclaimed	2,510	12	-	-	10
5	Claims O/S at End of the period	782	14	5,172	57	109
	Outstanding Claims (Individual)					
	Less than 3 months	531	12	473	57	30
	3 months and less than 6 months	251	1	536	-	7
	6 months and less than 1 year	-	-	990	-	9
	1 year and above	-	1	3,173	-	63

* 11 cases in the surrender category were reversed from the opening balance as customer opted to continue

GRIEVANCE DISPOSAL								
Sl No.	Particulars	Opening Balance	Additions during the quarter (net of duplicate complaints)	Complaints Resolved/ Settled during the quarter			Complaints Pending at the end of the quarter	Total Complaints registered upto the quarter during the financial year
1	Complaints made by customers			Fully Accepted	Partial Accepted	Rejected		
a)	Death Claims	1	5	4	0	2	0	36
b)	Policy Servicing	1	38	16	8	13	2	225
c)	Proposal Processing	0	6	1	4	0	1	28
d)	Survival Claims	3	30	9	12	12	0	152
e)	ULIP Related	0	9	8	1	0	0	30
f)	Unfair Business Practices	16	165	30	21	121	9	560
g)	Others	2	6	4	2	2	0	58
	Total Number	23	259	72	48	150	12	1089

2	Total No. of policies during previous year:	12,926
3	Total No. of claims during previous year:	1,050
4	Total No. of policies during current year:	13,846
5	Total No. of claims during current year:	1,430
6	Total No. of Policy Complaints (current year) per 10,000 policies (current year):	26
7	Total No. of Claim Complaints (current year) per 10,000 claims registered (current year):	252

8	Duration wise Pending Status	Complaints made by customers	Complaints made by intermediaries	Total
a)	Upto 15 days	12	0	12
b)	15-30 days	0	0	0
c)	30-90 days	0	0	0
d)	90 days & Beyond	0	0	0
	Total Number of Complaints	12	0	12

Range (Minimum to Maximum) of parameters used for valuation																	
Type	Category of business	Interest Rate		Mortality Rate		Morbidity Rate		Fixed Expenses ¹		Variable Expenses ²		Inflation Rate		Withdrawal rates ⁴		Future Bonus Rates (Assumption)	
		As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020
Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	5.30% to 6.10%	5.30% to 6.10%	115.0%	75.9%	NA	NA	1051.44	1001.56	1.01%	1.01%	5%	5%	1.5% to 29.7%	1.4% to 27.2%	2.31% to 4.75%	2.31% to 4.75%
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	5.30% to 6.10%	5.30% to 6.10%	115.0%	75.9%	NA	NA	1051.44	1001.56	1.01%	1.01%	5%	5%	1.5% to 29.4%	1.4% to 27.2%	2.45% to 3.50%	2.45% to 3.50%
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Unlinked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Unlinked -Others																
	Life	5.10% to 5.95%	5.10% to 6.10%	51.0% to 115.0%	56.1% to 75.9%	NA	NA	1104.23	1051.45	0.00% to 1.07%	0.00% to 1.07%	5%	5%	4.2% to 71.3%	3.9% to 73.9%	6%	6%
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	5.10% to 5.95%	5.10% to 6.10%	51.0% to 115.0%	75.9%	NA	NA	1104.23	1051.45	1.07%	1.07%	5%	5%	3.7% to 68.0%	3.4% to 70.6%	6%	6%
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Non-Par	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	5.10% to 5.95%	5.10% to 6.10%	25.3% to 258.8%	25.3% to 196.8%	NA	NA	53.52 To 1051.44	50.97 To 1001.56	0.00% to 1.73%	0.00% to 1.73%	5%	5%	0.0% to 32.5%	0.0% to 30.0%		
	General Annuity	5.10% to 5.95%	5.10% to 6.10%	71.4%	55.3%	NA	NA	1051.44	1001.56	0.00%	0.00%	5%	5%	0.0%	0.0%		
	Pension	5.10% to 5.95%	5.10% to 6.10%	51.0% to 115.0%	56.1% to 75.9%	NA	NA	1051.44	1001.56	0.00% to 1.01%	0.00% to 1.01%	5%	5%	3.3% to 24.6%	3.0% to 22.7%		
	Health	5.10% to 5.95%	5.10% to 6.10%	63.8% to 115.0%	63.8% to 75.9%	NA	NA	788.72	751.16	0.76% to 1.73%	0.76% to 1.73%	5%	5%	2.6% to 19.5%	4.2% to 18.0%		
	Unlinked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Unlinked -Others																
	Life	5.10% to 5.95%	5.10% to 6.10%	51.0% to 115.0%	56.1% to 75.9%	NA	NA	662.54 To 1104.23	1001.56 To 1051.45	0.00% to 1.73%	0.00% to 1.73%	5%	5%	1.6% to 35.4%	1.5% to 35.3%		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	5.10% to 5.95%	5.10% to 6.10%	51.0% to 115.0%	56.1% to 75.9%	NA	NA	1104.23	1051.45	0.00% to 1.07%	0.00% to 1.07%	5%	5%	3.7% to 32.8%	3.4% to 30.2%		
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

¹ Individual and Group Business are to be reported separately

² Fixed per policy expenses

³ Premium related expenses

⁴ Restricted to Lapse and Surrender

In addition, insurers may also voluntarily disclose Product and Type wise Actual Bonus Declared separately.

In addition to the above, the following shall be mentioned.

1. Brief details on valuation data covering its accuracy/completeness and reasonableness and how the data flows to the valuation system
2. Brief mention of any significant change in the valuation basis and /or methodology

Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016

Brief Details on Valuation Data

a. **How the policy data needed for valuation is accessed**

The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

b. **How the valuation bases are supplied to the system**

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

Change in Valuation method or bases:

- (1) **Economic Assumptions**
No changes made in economic assumptions since last valuation.
- (2) **Expense Assumptions**
No changes made in expense assumptions since last valuation.
- (3) **Mortality Assumptions**
No changes made in mortality assumptions since last valuation.
- (4) **Withdrawal Assumptions**
No changes made in withdrawal assumptions since last valuation.

Form I-42- Valuation Basis (Life Insurance) - Group

Range (Minimum to Maximum) of parameters used for valuation																	
Type	Category of business	Interest Rate		Mortality Rate		Morbidity Rate		Fixed Expenses ¹		Variable Expenses ²		Inflation Rate		Withdrawal rates ⁴		Future Bonus Rates (Assumption)	
		As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020	As of 31-Dec for the year 2021	As of 31-Dec for the year 2020
For	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Unlinked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Non-For	Unlinked -Others																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Non-Linked -Others																
	Life	5.10% To 5.95%	5.10% To 6.10%	58.4% To 226.0%	58.4% To 226.0%	NA	NA	0 To 561.96	0 To 535.20	0.00% To 1.01%	0.00% To 1.01%	5%	5%	1.3% To 9.8%	1.3% To 9.8%		
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Non-For	Unlinked -VIP																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Unlinked -Others																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Unlinked -Others																
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

¹ Individual and Group Business are to be reported separately

² Fixed per policy expenses

³ Premium related expenses

⁴ Restricted to 1 year and Surrender

In addition, insurers may also voluntarily disclose Product and Type wise Actual Bonus Declared separately.

In addition to the above, the following shall be mentioned.

1 Brief details on valuation data covering its accuracy,completeness and reasonableness and how the data flows to the valuation system

2 Brief mention of any significant change in the valuation basis and /or methodology

Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016

Brief Details on Valuation Data

a. How the policy data needed for valuation is accessed

The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

b. How the valuation bases are supplied to the system

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

Change in Valuation method or bases:

(1) Economic Assumptions

No changes made in economic assumptions since last valuation.

(2) Expense Assumptions

No changes made in expense assumptions since last valuation.

(3) Mortality Assumptions

No changes made in mortality assumptions since last valuation.

(4) Withdrawal Assumptions

No changes made in withdrawal assumptions since last valuation.

*The above mentioned assumptions and details correspond to long term group products. For One Year Renewable Group Term Assurance business, reserves are maintained on UPR basis in excel models.

Form L-43 - Voting Activity Disclosure under Stewardship Code for the Quarter ending December 31, 2021

Meeting Date	Investee Company Name	Type of Meeting	Description of the Proposal	Vote (For/Against/Abstain/Not Voted)	Reason supporting the vote decision	Investee Companies' Management Recommendation	Proposal by Management or Shareholder
19-Oct-2021	Reliance Industries Ltd. Rights (Partly Paid shares)	PBL	Appointment of His Excellency Yasir Othman H. Al Rumayyan as an Independent Director of the Company	For	No concern with the proposed recommendation	For	Management
19-Oct-2021	Reliance Industries Limited	PBL	Appointment of His Excellency Yasir Othman H. Al Rumayyan as an Independent Director of the Company	For	No concern with the proposed recommendation	For	Management
24-Oct-2021	Eicher Motors Limited	PBL	To Consider and Approve Re-Appointment of Mr. Siddhartha Lal as Managing Director	For	No concern with the proposed recommendation	For	Management
24-Oct-2021	Eicher Motors Limited	PBL	To Approve Payment of Remuneration to Mr. Siddhartha Lal as Managing Director of the Company	For	No concern with the proposed recommendation	For	Management
10-Nov-2021	Housing Development Finance Corporation Limited	PBL	Appointment of Mr. Rajesh Narain Gupta as an Independent Director of the Corporation	For	No concern with the proposed recommendation	For	Management
10-Nov-2021	Housing Development Finance Corporation Limited	PBL	Appointment of Mr. P. R. Ramesh as a Director (Non-Executive Non-Independent) of the Corporation	For	No concern with the proposed recommendation	For	Management
10-Nov-2021	Housing Development Finance Corporation Limited	PBL	Appointment of Messrs S.R. Batliboi & Co. LLP as one of the Joint Statutory Auditors and to fix their remuneration	For	No concern with the proposed recommendation	For	Management
10-Nov-2021	Housing Development Finance Corporation Limited	PBL	Appointment of Messrs G. M. Kapadia & Co., as one of the Joint Statutory Auditors and to fix their remuneration	For	No concern with the proposed recommendation	For	Management
16-Nov-2021	Grasim Industries Limited	PBL	Appointment of Mr. Harikrishna Agarwal (DIN: 09288720) as a Director of the Company	For	No concern with the proposed recommendation	For	Management

Form L-43 - Voting Activity Disclosure under Stewardship Code for the Quarter ending December 31, 2021

Meeting Date	Investee Company Name	Type of Meeting	Description of the Proposal	Vote (For/Against/Abstain/Not Voted)	Reason supporting the vote decision	Investee Companies' Management Recommendation	Proposal by Management or Shareholder
16-Nov-2021	Grasim Industries Limited	PBL	Appointment of Mr. Harikrishna Agarwal (DIN: 09288720) as the Managing Director of the Company	For	No concern with the proposed recommendation	For	Management
17-Nov-2021	Bajaj Finance Limited	PBL	Appointment of Pramit Jhaveri as an independent director for a term of five consecutive years w.e.f. 1 August 2021	For	No concern with the proposed recommendation	For	Management
17-Nov-2021	Bajaj Finance Limited	PBL	Appointment of M/s. Deloitte Haskins & Sells, Chartered Accountants, (Firm Registration No. 302009E) as a Joint Statutory Auditor and to fix their remuneration	For	No concern with the proposed recommendation	For	Management
17-Nov-2021	Bajaj Finance Limited	PBL	Appointment of M/s. G. M. Kapadia & Co., Chartered Accountants, (Firm Registration No.104767W) as a Joint Statutory Auditor and to fix their remuneration	For	No concern with the proposed recommendation	For	Management
17-Nov-2021	Bajaj Finance Limited	PBL	Alteration of the Object Clause of the Memorandum of Association of the Company	For	No concern with the proposed recommendation	For	Management
17-Nov-2021	Bajaj Finserv Limited	PBL	Appointment of M/s. Khimji Kunverji & Co. LLP, Chartered Accountants, (Firm Registration Number: 105146W/ W100621) as Statutory Auditors to fill casual vacancy caused by resignation of S R B C & Co. LLP, Chartered Accountants	For	No concern with the proposed recommendation	For	Management
28-Nov-2021	HCL Technologies Limited	PBL	Approval of 'HCL Technologies Limited - Restricted Stock Unit Plan 2021' and Grant of Restricted Stock Units to Eligible Employees of HCL Technologies Limited (the "Company") thereunder	For	No concern with the proposed recommendation	For	Management

Form L-43 - Voting Activity Disclosure under Stewardship Code for the Quarter ending December 31, 2021

Meeting Date	Investee Company Name	Type of Meeting	Description of the Proposal	Vote (For/Against/Abstain/Not Voted)	Reason supporting the vote decision	Investee Companies' Management Recommendation	Proposal by Management or Shareholder
28-Nov-2021	HCL Technologies Limited	PBL	Grant of Restricted Stock Units to the Eligible Employees of Subsidiary(ies) and/or Associate Company(ies) of HCL Technologies Limited (the "Company") under 'HCL Technologies Limited - Restricted Stock Unit Plan 2021'	For	No concern with the proposed recommendation	For	Management
28-Nov-2021	HCL Technologies Limited	PBL	Authorization for secondary acquisition of equity shares of HCL Technologies Limited (the "Company") by HCL Technologies Stock Options Trust for implementation of 'HCL Technologies Limited - Restricted Stock Unit Plan 2021' and providing financial assistance in this regard	For	No concern with the proposed recommendation	For	Management
15-Dec-2021	ITC Limited	PBL	Appointment of Mr. Mukesh Gupta(DIN: 06638754) as a Director of the Company, liable to retire by rotation, for a period of three years with effect from 2 December, 2021	For	No concern with the proposed recommendation	For	Management
15-Dec-2021	ITC Limited	PBL	Appointment of Mr. Sunil Panray(DIN: 09251023) as a Director of the Company, liable to retire by rotation, for a period of three years with effect from 2 December, 2021	For	No concern with the proposed recommendation	For	Management
15-Dec-2021	ITC Limited	PBL	Appointment of Mr. Navneet Doda(DIN: 09033035) as a Director of the Company, liable to retire by rotation, for a period of three years with effect from 2 December, 2021.	For	No concern with the proposed recommendation	For	Management
15-Dec-2021	ITC Limited	PBL	Appointment of Mr. Hemant Bhargava (DIN: 01922717) as a Director and also an Independent Director of the Company for a period of five years with effect from 2 December, 2021.	For	No concern with the proposed recommendation	For	Management

Form L-43 - Voting Activity Disclosure under Stewardship Code for the Quarter ending December 31, 2021

Meeting Date	Investee Company Name	Type of Meeting	Description of the Proposal	Vote (For/Against/Abstain/Not Voted)	Reason supporting the vote decision	Investee Companies' Management Recommendation	Proposal by Management or Shareholder
29-Dec-2021	Hero MotoCorp Limited	PBL	Appointment of Ms. Camille Miki Tang (DIN:09404649) as an Independent Director of the Company.	For	No concern with the proposed recommendation	For	Management
29-Dec-2021	Hero MotoCorp Limited	PBL	Appointment of Mr. Rajnish Kumar (DIN:05328267) as an Independent Director of the Company.	For	No concern with the proposed recommendation	For	Management
29-Dec-2021	Hero MotoCorp Limited	PBL	Appointment of Ms. Vasudha Dinodia (DIN:00327151) as a Non-Executive Director of the Company liable to retire by rotation	For	No concern with the proposed recommendation	For	Management
28-Dec-2021	Tata Consumer Products Ltd	PBL	Approval Of Tata Consumer Products Limited - Share-Based Long-Term Incentive Scheme 2021 ("Tcpl Siti Scheme 2021" Or "The Scheme") For Grant Of Performance Share Units To The Eligible Employees Of The Company Under The Scheme.	For	No concern with the proposed recommendation	For	Management
28-Dec-2021	Tata Consumer Products Ltd	PBL	Extension Of The Tata Consumer Products Limited - Share-Based Long-Term Incentive Scheme 2021 ("Tcpl Siti Scheme 2021" Or "The Scheme") To Eligible Employees Of Certain Subsidiary Companies Of The Company.	For	No concern with the proposed recommendation	For	Management
28-Dec-2021	Tata Consumer Products Ltd	PBL	Appointment Of Dr. K. P. Krishnan (Din: 01099097) As A Director And As An Independent Director.	For	No concern with the proposed recommendation	For	Management
30-Dec-2021	Grasim Industries Limited	PBL	Appointment of Mr. Raj Kumar (DIN-06627311) as a Non-Executive Director of the Company	For	No concern with the proposed recommendation	For	Management

FORM L-45 OFFICES AND OTHER INFORMATION

Sl. No.	Information		Number
1	No. of offices at the beginning of the year		59
2	No. of branches approved during the year		-
3	No. of branches opened during the year	Out of approvals of previous year	-
4		Out of approvals of this year	-
5	No. of branches closed during the year		-
6	No of branches at the end of the year		59
7	No. of branches approved but not opened		-
8	No. of rural branches		-
9	No. of urban branches		59
10	No. of Directors:-		
	(a) Independent Director		3
	(b) Executive Director		1
	(c) Non-executive Director		10
	(d) Women Director		1
	(e) Whole time director		1
11	No. of Employees		
	(a) On-roll:		1,529
	(b) Off-roll:		71
	(c) Total		1,600
12	No. of Insurance Agents and Intermediaries		
	(a) Individual Agents		5,534
	(b) Corporate Agents-Banks		4
	(c) Corporate Agents-Others		4
	(d) Insurance Brokers		106
	(e) Web Aggregators		1
	(f) Insurance Marketing Firm		16
	(g) Micro Agents		-
	(h) Point of Sales persons (DIRECT)		-
	(i) Other as allowed by IRDAI (To be specified)		-

Employees and Insurance Agents and Intermediaries -Movement

Particulars	Employees	Insurance Agents and Intermediaries
Number at the beginning of the quarter	1,721	5,912
Recruitments during the quarter	184	472
Attrition during the quarter	305	719
Number at the end of the quarter	1,600	5,665