



IRDA Public Disclosures

QUARTER ENDED SEPTEMBER 30, 2020

Aviva Life Insurance Company India Limited

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UNAUDITED REVENUE ACCOUNT FOR THE PERIOD ENDED SEPTEMBER 30, 2020
Policyholders' Account (Technical Account)

| Particulars | Schedule | LINKED | | | NON-LINKED | | | | Q2 '20-21 | YTD SEPTEMBER 30, 2020 |
|--|------------|------------------|----------------|----------------|------------------|----------------|----------------|-----------------|------------------|------------------------|
| | | LIFE | PENSION | GROUP | LIFE | PENSION | GROUP - LIFE | GROUP - PENSION | | |
| | | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) |
| Premiums earned – net | L-4 | | | | | | | | | |
| (a) Premium | | 1,180,417 | 65,876 | 111,327 | 3,050,866 | 127,590 | 287,971 | - | 2,862,768 | 4,824,047 |
| (b) Reinsurance ceded | | (17,418) | (1) | - | (254,695) | (1) | (67,294) | - | (176,482) | (339,409) |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - |
| Sub Total | | 1,162,999 | 65,875 | 111,327 | 2,796,171 | 127,589 | 220,677 | - | 2,686,286 | 4,484,638 |
| Income from Investments | | | | | | | | | | |
| (a) Interest, Dividends and Rent – Gross | | 477,475 | 50,760 | 94,053 | 2,106,741 | 88,335 | 29,469 | - | 1,482,936 | 2,846,833 |
| (b) Profit on sale/redemption of investments | | 867,237 | 111,335 | 60,075 | 1,986 | - | 17,728 | - | 701,002 | 1,058,361 |
| (c) [Loss] on sale/ redemption of investments | | (1,407,559) | (135,141) | (23,010) | (360,018) | - | (19) | - | (352,189) | (1,925,747) |
| (d) Transfer/Gain on revaluation/change in fair value | | 4,274,336 | 467,791 | 65,826 | - | - | - | - | 736,224 | 4,807,953 |
| (e) Appropriation/Expropriation Adjustment account | | - | - | - | - | - | - | - | - | - |
| Sub Total | | 4,211,489 | 494,745 | 196,944 | 1,748,709 | 88,335 | 47,178 | - | 2,567,973 | 6,787,400 |
| Other Income | | | | | | | | | | |
| (a) Fee, Charges and Rent Income | | 261 | 9 | - | 18,709 | 64 | - | - | 12,884 | 19,043 |
| (b) Transfer from Shareholders Account on account of extra mortality/other | | 5,683 | - | - | 1,389 | - | - | - | 2,975 | 7,072 |
| (c) Contribution from the Shareholders' A/c - towards excess of expense of management - towards deficit funding and others | | - | - | - | - | - | - | - | - | - |
| | | 72,859 | 5,206 | - | 328,415 | 58,927 | 16,225 | 14,991 | 129,917 | 496,623 |
| TOTAL (A) | | 5,453,291 | 565,835 | 308,271 | 4,893,393 | 274,915 | 284,080 | 14,991 | 5,400,035 | 11,794,776 |
| Commission* | L-5 | 12,851 | 102 | 355 | 78,278 | 1,322 | 7,430 | - | 59,973 | 100,338 |
| Service Tax/Goods & Service Tax charges on Linked Charges | | 60,719 | 3,295 | 2,099 | - | - | - | - | 31,969 | 66,113 |
| Operating Expenses related to Insurance Business | L-6 | 360,522 | 15,059 | 6,116 | 858,118 | 111,933 | 42,347 | - | 725,632 | 1,394,095 |
| Provision for Doubtful debts | | 1,399 | 58 | 24 | 3,330 | 436 | 164 | - | 3,352 | 5,411 |
| Bad debts written off | | - | - | - | - | - | - | - | - | - |
| Provision for Tax | | - | - | - | - | - | - | - | - | - |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - |
| (a) For diminution in the value of investments (Net) | | - | - | - | 9,224 | - | - | - | 9,932 | 9,224 |
| (b) Others | | - | - | - | - | - | - | - | - | - |
| TOTAL (B) | | 435,491 | 18,514 | 8,594 | 948,950 | 113,691 | 49,941 | - | 830,858 | 1,575,181 |
| Benefits Paid (Net) | L-7 | 1,543,138 | 160,593 | 183,935 | 1,086,863 | 59,411 | 170,891 | 883,600 | 2,953,568 | 4,088,431 |
| Interim Bonuses Paid | | 973 | 852 | - | 114 | 5 | - | - | 1,153 | 1,944 |
| Change in valuation of liability in respect of life policies | | | | | | | | | | |
| (a) Gross | | 2,992,370 | 378,591 | 109,080 | 2,848,530 | 102,096 | 127,021 | (868,609) | 1,276,208 | 5,689,079 |
| (b) Amount ceded in Reinsurance | | 755 | - | - | 2,381 | (9) | (63,771) | - | (35,809) | (60,644) |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - |
| (d) Reserve for discontinued policies | | 420,986 | - | - | - | - | - | - | 362,915 | 420,986 |
| TOTAL (C) | | 4,958,222 | 540,036 | 293,015 | 3,937,888 | 161,503 | 234,141 | 14,991 | 4,558,035 | 10,139,796 |
| TOTAL (B+C) | | 5,393,713 | 558,550 | 301,609 | 4,886,838 | 275,194 | 284,082 | 14,991 | 5,388,893 | 11,714,977 |
| SURPLUS/(DEFICIT) (D)=(A)-(B)-(C) | | 59,578 | 7,285 | 6,662 | 6,555 | (279) | (2) | - | 11,142 | 79,799 |
| APPROPRIATIONS | | | | | | | | | | |
| Transfer to Shareholders' Account | | - | - | - | - | - | - | - | - | - |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - |
| Transfer to/(from) Funds for Future Appropriations | | 59,578 | 7,284 | - | 6,088 | (280) | - | - | 24,999 | 72,670 |
| Transfer to Balance sheet | | - | 1 | 6,662 | 467 | 1 | (2) | - | (13,857) | 7,129 |
| TOTAL (D) | | 59,578 | 7,285 | 6,662 | 6,555 | (279) | (2) | - | 11,142 | 79,799 |
| The break up of Total surplus is as under: | | | | | | | | | | |
| (a) Interim Bonuses Paid: | | 973 | 852 | - | 114 | 5 | - | - | 1,153 | 1,944 |
| (b) Allocation of Bonus to policyholders: | | - | - | - | - | - | - | - | - | - |
| (c) Surplus shown in the Revenue Account: | | 59,578 | 7,285 | 6,662 | 6,555 | (279) | (2) | - | 11,142 | 79,799 |
| (d) Total Surplus: ((a)+(b)+(c)): | | 60,551 | 8,137 | 6,662 | 6,669 | (274) | (2) | - | 12,295 | 81,743 |

*Including Rewards and/or remuneration to agents, brokers or other intermediaries

UNAUDITED REVENUE ACCOUNT FOR THE PERIOD ENDED SEPTEMBER 30, 2019
Policyholders' Account (Technical Account)

| Particulars | Schedule | LINKED | | | NON-LINKED | | | | Q2 '19-20 (Rs. 000's) | YTD SEPTEMBER 30, 2019 (Rs. 000's) |
|--|----------|---------------------|------------------------|----------------------|---------------------|------------------------|-----------------------------|--------------------------------|--------------------------|---|
| | | LIFE (Rs. 000's) | PENSION (Rs. 000's) | GROUP (Rs. 000's) | LIFE (Rs. 000's) | PENSION (Rs. 000's) | GROUP - LIFE (Rs. 000's) | GROUP - PENSION (Rs. 000's) | | |
| Premiums earned – net | | | | | | | | | | |
| (a) Premium | L-4 | 1,191,257 | 79,292 | 71,617 | 3,080,115 | 73,841 | 122,988 | 309,300 | 2,723,157 | 4,928,410 |
| (b) Reinsurance ceded | | (17,362) | (4) | - | (247,973) | (1) | (26,862) | - | (142,061) | (292,202) |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - |
| Sub Total | | 1,173,895 | 79,288 | 71,617 | 2,832,142 | 73,840 | 96,126 | 309,300 | 2,581,096 | 4,636,208 |
| Income from Investments | | | | | | | | | | |
| (a) Interest, Dividends and Rent – Gross | | 654,365 | 66,841 | 119,577 | 1,887,169 | 85,166 | 32,976 | - | 1,432,238 | 2,846,094 |
| (b) Profit on sale/redemption of investments | | 1,202,328 | 208,802 | 109,450 | 2,808 | 27,015 | 700 | - | 621,506 | 1,551,103 |
| (c) (Loss) on sale/ redemption of investments | | (723,416) | (71,855) | (44,134) | (39,186) | - | (921) | - | (636,110) | (879,512) |
| (d) Transfer/Gain on revaluation/change in fair value | | (1,314,082) | (244,221) | (132,511) | - | - | - | - | (1,133,456) | (1,690,814) |
| (e) Appropriation/Expropriation Adjustment account | | - | - | - | - | - | - | - | - | - |
| Sub Total | | - | - | - | - | - | - | - | 284,176 | 1,826,869 |
| Other Income | | | | | | | | | | |
| (a) Fee, Charges and Rent Income | | 270 | - | - | 18,277 | 85 | - | - | 11,064 | 18,632 |
| (b) Transfer from Shareholders Account on account of extra mortality/other | | 1,867 | - | - | 5,417 | - | - | - | 4,220 | 7,284 |
| (c) Contribution from the Shareholders' A/c | | 42,973 | - | - | 408 | 37,367 | 34 | 32,503 | 8,349 | 113,285 |
| TOTAL (A) | | 1,219,005 | 79,288 | 71,617 | 2,856,244 | 111,292 | 96,160 | 341,803 | 2,888,905 | 6,602,278 |
| Commission* | L-5 | 13,132 | 184 | 150 | 77,221 | 785 | 2,437 | - | 55,299 | 93,909 |
| Service Tax on Premium | | 68,317 | 4,054 | 2,641 | - | - | - | - | 35,975 | 75,013 |
| Operating Expenses related to Insurance Business | L-6 | 412,049 | 17,068 | 6,268 | 932,780 | 66,993 | 22,621 | 517 | 737,892 | 1,458,296 |
| Provision for Doubtful debts | | (45,257) | (1,870) | (687) | (102,456) | (7,369) | (2,478) | (57) | (172,730) | (160,171) |
| Bad debts written off | | - | - | - | - | - | - | - | - | - |
| Provision for Tax | | - | - | - | - | - | - | - | - | - |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - |
| (a) For diminution in the value of investments (Net) | | 50,114 | - | - | 97,865 | - | - | - | 59,341 | 147,979 |
| (b) Others | | - | - | - | - | - | - | - | - | - |
| TOTAL (B) | | 498,355 | 19,436 | 8,372 | 1,005,410 | 60,409 | 22,580 | 460 | 715,777 | 1,615,026 |
| Benefits Paid (Net) | L-7 | 2,847,930 | 358,596 | 302,950 | 745,351 | 59,562 | 296,715 | 200,000 | 2,381,044 | 4,811,104 |
| Interim Bonuses Paid | | 5,935 | 837 | - | 42 | 122 | - | - | 1,585 | 6,936 |
| Change in valuation of liability in respect of life policies | | - | - | - | - | - | - | - | - | - |
| (a) Gross | | (2,374,379) | (350,712) | (192,764) | 2,836,669 | 59,242 | (208,398) | 141,343 | (153,351) | (88,999) |
| (b) Amount ceded in Reinsurance | | (302) | - | - | 22,197 | (5) | (15,912) | - | 11,612 | 5,978 |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - |
| (d) Reserve for discontinued policies | | 12,931 | - | - | - | - | - | - | (169,259) | 12,931 |
| TOTAL (C) | | 492,115 | 8,721 | 110,186 | 3,604,259 | 118,921 | 72,405 | 341,343 | 2,071,631 | 4,747,950 |
| TOTAL (B+C) | | 990,470 | 28,157 | 118,558 | 4,609,669 | 179,330 | 94,985 | 341,803 | 2,787,408 | 6,362,976 |
| SURPLUS/DEFICIT (D)=(A)-(B)-(C) | | 47,729 | 10,698 | 5,441 | 97,363 | 44,143 | 33,930 | (1) | 101,497 | 239,302 |
| APPROPRIATIONS | | | | | | | | | | |
| Transfer to Shareholders' Account | | - | - | - | - | - | - | - | - | - |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - |
| Transfer to/(from) Funds for Future Appropriations | | 47,727 | 5,504 | - | 5,485 | (354) | - | - | 29,871 | 58,362 |
| Transfer to Balance sheet | | - | 5,194 | 5,442 | 91,882 | 44,495 | 33,931 | - | 71,633 | 180,944 |
| TOTAL (D) | | 47,727 | 10,698 | 5,442 | 97,367 | 44,141 | 33,931 | - | 101,504 | 239,306 |
| The break up of Total surplus is as under: | | | | | | | | | | |
| (a) Interim Bonuses Paid: | | 5,935 | 837 | - | 41 | 122 | - | - | 1,584 | 6,935 |
| (b) Allocation of Bonus to policyholders: | | - | - | - | - | - | - | - | - | - |
| (c) Surplus shown in the Revenue Account: | | 47,727 | 10,698 | 5,442 | 97,367 | 44,141 | 33,931 | - | 101,508 | 239,306 |
| (d) Total Surplus: ((a)+(b)+(c)): | | 53,662 | 11,535 | 5,442 | 97,408 | 44,263 | 33,931 | - | 103,092 | 246,241 |

*Including Rewards and/or remuneration to agents, brokers or other intermediaries

UNAUDITED PROFIT AND LOSS ACCOUNT FOR THE PERIOD ENDED SEPTEMBER 30, 2020
Shareholders' Account (Non-technical Account)

| Particulars | Q2 '20-21 (Rs. 000's) | YTD SEPTEMBER 30, 2020 (Rs. 000's) | Q2 '19-20 (Rs. 000's) | YTD SEPTEMBER 30, 2019 (Rs. 000's) |
|--|--------------------------|--|--------------------------|--|
| Amount transferred from Policyholders Account (Technical Account) | - | - | - | - |
| Income From Investments | | | | |
| (a) Interest, Dividends and Rent – Gross | 112,935 | 227,676 | 124,547 | 251,140 |
| (b) Profit on sale/redemption of investments | 2,877 | 3,081 | 1,347 | 1,412 |
| (c) (Loss) on sale/ redemption of investments | - | (49,491) | (449) | (772) |
| Other Income | - | - | - | - |
| TOTAL (A) | 115,812 | 181,266 | 125,445 | 251,780 |
| Expense other than those directly related to the insurance business | 2,073 | 9,679 | 18,216 | 25,222 |
| Managerial Remuneration* | 1,387 | 18,973 | 6,634 | 19,787 |
| Bad debts written off | - | - | - | - |
| Provisions (Other than taxation) | | | | |
| (a) For diminution in the value of investments (net) | 1,342 | 1,113 | 4,638 | 19,813 |
| (b) Provision for doubtful debts | - | - | - | - |
| (c) Others | - | - | - | - |
| Contribution to the Policyholders Account (Technical Account) | 129,916 | 496,623 | 8,351 | 113,285 |
| TOTAL (B) | 134,718 | 526,388 | 37,839 | 178,107 |
| Profit/ (Loss) before tax | (18,906) | (345,122) | 87,606 | 73,673 |
| Provision for Taxation | - | - | - | - |
| Profit / (Loss) after tax | (18,906) | (345,122) | 87,606 | 73,673 |
| APPROPRIATIONS | | | | |
| (a) Balance at the beginning of the year | (13,466,673) | (13,466,673) | (12,973,568) | (12,973,568) |
| (b) Interim dividends paid during the year | - | - | - | - |
| (c) Proposed final dividend | - | - | - | - |
| (d) Dividend distribution on tax | - | - | - | - |
| (e) Transfer to reserves/ other accounts | - | - | - | - |
| Profit/ (Loss) carried forward to the Balance Sheet | (13,485,579) | (13,811,795) | (12,885,962) | (12,899,895) |
| Earnings per equity share | | | | |
| Basic and diluted earnings per equity share (Face value of Rs. 10 per share) | | (0.17) | | 0.04 |

*in excess of the allowable limits

UNAUDITED BALANCE SHEET AS AT SEPTEMBER 30, 2020

| Particulars | Schedule | AS AT SEPTEMBER 30, 2020 (Rs. 000's) | AS AT SEPTEMBER 30, 2019 (Rs. 000's) |
|---|----------|--|--|
| SOURCES OF FUNDS | | | |
| SHAREHOLDERS' FUNDS: | | | |
| SHARE CAPITAL | L-8,L-9 | 20,049,000 | 20,049,000 |
| RESERVES AND SURPLUS | L-10 | - | - |
| CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT | | (12) | (2,501) |
| Sub-Total | | 20,048,988 | 20,046,499 |
| BORROWINGS | | | |
| POLICYHOLDERS' FUNDS: | | | |
| CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT | L-11 | - | - |
| | | 5,414 | (14,689) |
| SURPLUS ON POLICYHOLDER'S ACCOUNT - Pending transfer to Shareholder's account | | 7,129 | 180,944 |
| POLICY LIABILITIES | | | |
| Linked - Individual - Life - Non Participating | | 270,586 | 299,695 |
| Linked - Individual - Pension - Non Participating | | 10,036 | 16,916 |
| Linked - Group - Life - Non Participating | | 3 | 3 |
| Non Linked - Individual - Life - Participating | | 215,524 | 164,421 |
| Non Linked - Individual - Pension - Participating | | 11,995 | 11,883 |
| Non Linked - Individual - Life - Non Participating | | 56,920,615 | 48,654,810 |
| Non Linked - Individual - Pension - Non Participating | | 1,709,059 | 1,615,595 |
| Non Linked - Group - Life - Non Participating | | 458,663 | 401,345 |
| Non Linked - Group - Pension - Non Participating | | 22,144 | 546,402 |
| Non Linked - Annuity - Non Participating | | 525,556 | 428,783 |
| Non Linked - Variable group | | 143,604 | 162,186 |
| Non Linked Health Non Participating | | 120,700 | 105,185 |
| INSURANCE RESERVES | | | |
| PROVISION FOR LINKED LIABILITIES | | | |
| Linked - Individual - Life - Participating | | 504,863 | 460,297 |
| Linked - Individual - Pension - Participating | | 30,882 | 31,966 |
| Linked - Individual - Life - Non Participating | | 23,042,980 | 26,593,517 |
| Linked - Individual - Pension - Non Participating | | 2,876,376 | 3,321,051 |
| Linked - Group - Life - Non Participating | | 2,905,991 | 3,514,537 |
| Discontinued Policies Fund - Non payment of premium | | 1,700,069 | 1,437,216 |
| Sub-Total | | 91,482,189 | 87,932,063 |
| FUNDS FOR FUTURE APPROPRIATIONS | | | |
| FFA - Others | | 824,837 | 770,191 |
| TOTAL | | 112,356,014 | 108,748,753 |
| APPLICATION OF FUNDS | | | |
| INVESTMENTS | | | |
| - Shareholders' | L-12 | 6,080,355 | 6,755,839 |
| - Policyholders' | L-13 | 59,774,971 | 52,093,527 |
| - Assets held to cover linked liabilities | L-14 | 31,851,210 | 36,102,221 |
| LOANS | | | |
| | L-15 | - | - |
| FIXED ASSETS | | | |
| | L-16 | 127,616 | 118,878 |
| CURRENT ASSETS | | | |
| Cash & Bank Balance | L-17 | 256,039 | 338,054 |
| Advances And Other Assets | L-18 | 4,480,517 | 4,399,189 |
| Sub-Total (A) | | 4,736,556 | 4,737,243 |
| CURRENT LIABILITIES | | | |
| PROVISIONS | | | |
| | L-19 | 3,877,024 | 3,836,781 |
| | L-20 | 149,465 | 122,069 |
| Sub-Total (B) | | 4,026,489 | 3,958,850 |
| NET CURRENT ASSETS (C) = (A - B) | | 710,067 | 778,393 |
| MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted) | L-21 | - | - |
| DEBIT BALANCE IN PROFIT AND LOSS ACCOUNT (Shareholders' Account) | | 13,811,795 | 12,899,895 |
| TOTAL | | 112,356,014 | 108,748,753 |

| Particulars | As AT September 30, 2020 (Rs. 000's) | As AT September 30, 2019 (Rs. 000's) |
|--|--|--|
| Uncalled liability in Partly paid-up investments | 111,495 | Nil |
| Underwriting commitments outstanding (in respect of shares and securities) | Nil | Nil |
| Claims, other than those under policies, not acknowledged as debts | 16,281 | 17,273 |
| Guarantees given by or on behalf of the Company | Nil | Nil |
| Reinsurance obligations to the extent not provided for in accounts | Nil | Nil |
| Others (in relation to Claims against policies) | 207,323 | 233,099 |
| Statutory demands/liabilities in dispute, not provided for | Refer Note below | |

| Nature | Authority where matter is lying | Nature | As AT September 30, 2020 (Rs. 000's) | As AT September 30, 2019 (Rs. 000's) |
|---|---------------------------------|----------|---|---|
| Service Tax on ULIP Charges for the period of July 10 to Apr 11 | CESTAT | Tax | 216,525 | 216,525 |
| | | Penalty | 216,525 | 216,525 |
| | | Interest | Till date of actual deposit of tax, at applicable rates | Till date of actual deposit of tax, at applicable rates |
| Service Tax on Conveyance Charges and Foreign & Domestic Tours for Agents | CESTAT | Tax | 45,023 | 45,023 |
| | | Penalty | 44,729 | 44,729 |
| Service Tax on Surrender Charges | CESTAT | Tax | 390,154 | 390,154 |
| | | Penalty | 390,159 | 390,159 |
| Service Tax on Forfeiture of Endowment policies | Commissioner | Tax | 13,256 | NIL |
| | | Penalty | NIL | NIL |

Based on legal opinion, management believes that at present, no provision is required in the books of account in respect of the above matters.

FORM L-4-PREMIUM SCHEDULE

| Particulars | Q2 '20-21 | YTD SEPTEMBER 30, 2020 | Q2 '19-20 | YTD SEPTEMBER 30, 2019 |
|----------------------|------------------|---------------------------|------------------|---------------------------|
| | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) |
| First year premiums | 463,479 | 901,401 | 515,280 | 899,018 |
| Renewal premiums | 2,347,926 | 3,837,296 | 2,189,565 | 3,975,457 |
| Single premiums | 51,363 | 85,350 | 18,312 | 53,935 |
| TOTAL PREMIUM | 2,862,768 | 4,824,047 | 2,723,157 | 4,928,410 |

Premium Income from business written :

| | | | | |
|----------------------|------------------|------------------|------------------|------------------|
| In India | 2,862,768 | 4,824,047 | 2,723,157 | 4,928,410 |
| Outside India | - | - | - | - |
| TOTAL PREMIUM | 2,862,768 | 4,824,047 | 2,723,157 | 4,928,410 |

FORM L-5-COMMISSION SCHEDULE

| Particulars | Q2 '20-21 | YTD | Q2 '19-20 | YTD |
|--|---------------|-----------------------------------|---------------|-----------------------------------|
| | (Rs. 000's) | SEPTEMBER 30, 2020 (Rs. 000's) | (Rs. 000's) | SEPTEMBER 30, 2019 (Rs. 000's) |
| Commission Paid | | | | |
| Direct - First year premiums | 40,030 | 73,874 | 34,897 | 57,052 |
| - Renewal premiums | 15,548 | 20,205 | 18,808 | 32,625 |
| - Single premiums | (63) | 17 | 29 | 17 |
| Total | 55,515 | 94,096 | 53,734 | 89,694 |
| Add : Commission on Re-insurance Accepted | - | - | - | - |
| Less : Commission on Re-insurance Ceded | - | - | - | - |
| Net Commission (A) | 55,515 | 94,096 | 53,734 | 89,694 |
| Rewards and/or remuneration to agents, brokers or other intermediaries (B) | 4,458 | 6,242 | 1,566 | 4,215 |
| Net Commission (A+B) | 59,973 | 100,338 | 55,300 | 93,909 |
| Break-up of the expenses (Gross) incurred to procure business: | | | | |
| Agents | 25,220 | 47,057 | 27,369 | 50,719 |
| Brokers | 4,454 | 7,629 | 543 | 2,566 |
| Corporate Agency | 25,551 | 36,696 | 18,955 | 31,082 |
| Referral | 18 | 26 | (139) | (139) |
| Others (IMF) | 4,730 | 8,930 | 8,572 | 9,681 |
| TOTAL (B) | 59,973 | 100,338 | 55,300 | 93,909 |

FORM L-6-OPERATING EXPENSES SCHEDULE

| Particulars | Q2 '20-21 | YTD | Q2 '19-20 | YTD |
|---|----------------|-----------------------------------|----------------|-----------------------------------|
| | (Rs. 000's) | SEPTEMBER 30, 2020 (Rs. 000's) | (Rs. 000's) | SEPTEMBER 30, 2019 (Rs. 000's) |
| Employees' remuneration and welfare benefits | 443,284 | 854,790 | 414,079 | 828,839 |
| Travel, conveyance and vehicle running expenses | 1,360 | (624) | 9,956 | 16,827 |
| Training expenses | 423 | 394 | 3,823 | 6,210 |
| Rents, rates and taxes | 94,641 | 172,843 | 89,351 | 170,952 |
| Repairs & Office Maintenance | 27,577 | 56,574 | 30,478 | 61,769 |
| Printing and stationery | 2,792 | 5,258 | (2,123) | 6,693 |
| Communication expenses | 12,299 | 22,305 | 4,611 | 17,860 |
| Legal and professional charges | 25,307 | 45,929 | 23,837 | 58,528 |
| Medical fees | 743 | 1,204 | 1,500 | 2,906 |
| Auditors' fees, expenses etc | | | | |
| a) as auditor | 1,411 | 2,661 | 1,267 | 2,868 |
| b) as adviser or in any other capacity, in respect of | | | | |
| (i) Taxation matters | - | - | - | - |
| (ii) Insurance matters | - | - | - | - |
| (iii) Management services; and | - | - | - | - |
| c) in any other capacity | 125 | 250 | 232 | 232 |
| Advertisement and publicity | 18,163 | 50,795 | 67,398 | 95,147 |
| Interest and bank charges | 1,293 | 8,026 | 4,068 | 9,721 |
| Information technology and related expenses | 50,086 | 91,941 | 47,952 | 92,880 |
| Others | | | | |
| a) Electricity | 9,123 | 14,539 | 8,175 | 18,246 |
| b) Recruitment | 8,499 | 14,504 | 6,667 | 15,099 |
| c) Miscellaneous expenses | 3,715 | 6,067 | 4,238 | 7,602 |
| Depreciation | 21,118 | 39,933 | 20,049 | 38,016 |
| Goods and Service Tax/Service tax on premium | 3,672 | 6,706 | 4,020 | 7,902 |
| TOTAL | 725,631 | 1,394,095 | 739,578 | 1,458,297 |
| Less : Excess of Expenses of Management transfer to Shareholder's account | - | - | - | - |
| NET TOTAL | 725,631 | 1,394,095 | 739,578 | 1,458,297 |

FORM L-7-BENEFITS PAID SCHEDULE

| Particulars | Q2 '20-21 | YTD SEPTEMBER 30, 2020 | Q2 '19-20 | YTD SEPTEMBER 30, 2019 |
|------------------------------------|------------------|---------------------------|------------------|---------------------------|
| | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) | (Rs. 000's) |
| Insurance Claims | | | | |
| (a) Claims by Death, | 349,254 | 519,380 | 223,136 | 428,637 |
| (b) Claims by Maturity, | 530,465 | 941,220 | 374,075 | 680,334 |
| (c) Annuities/Pension payment | 1,056,967 | 1,134,289 | 319,808 | 632,399 |
| (d) Other benefits | | | | |
| - Riders | 406 | 324 | 662 | 2,782 |
| - Surrender | 1,050,163 | 1,529,489 | 1,455,133 | 3,075,130 |
| - Health | 4,500 | 6,000 | 3,050 | 7,550 |
| - Periodical Benefit | 115,688 | 180,201 | 79,502 | 134,238 |
| - Interest to policy holders | 14,947 | 38,029 | 33,962 | 66,680 |
| (Amount ceded in reinsurance): | | | | |
| (a) Claims by Death, | (168,822) | (260,501) | (108,285) | (216,647) |
| (b) Claims by Maturity, | - | - | - | - |
| (c) Annuities/Pension payment | - | - | - | - |
| (d) Other benefits | - | - | - | - |
| - Riders | - | - | - | - |
| - Surrender | - | - | - | - |
| - Health | - | - | - | - |
| - Periodical Benefit | - | - | - | - |
| Amount accepted in reinsurance : | | | | |
| (a) Claims by Death, | - | - | - | - |
| (b) Claims by Maturity, | - | - | - | - |
| (c) Annuities/Pension payment | - | - | - | - |
| (d) Other benefits | - | - | - | - |
| - Riders | - | - | - | - |
| - Surrender | - | - | - | - |
| - Health | - | - | - | - |
| - Periodical Benefit | - | - | - | - |
| TOTAL | 2,953,568 | 4,088,431 | 2,381,043 | 4,811,103 |
| Benefits paid to claimants: | | | | |
| In India | 2,953,568 | 4,088,431 | 2,381,043 | 4,811,103 |
| Outside India | - | - | - | - |
| Total Benefits paid (Net) | 4,087,405 | 4,088,431 | 2,381,043 | 4,811,103 |

FORM L-8-SHARE CAPITAL SCHEDULE

| Particulars | AS AT | AS AT |
|--|-----------------------------------|-----------------------------------|
| | SEPTEMBER 30, 2020 (Rs. 000's) | SEPTEMBER 30, 2019 (Rs. 000's) |
| Authorized Capital 2,500,000,000 (Previous Year 2,500,000,000) Equity shares of Rs 10 each | 25,000,000 | 25,000,000 |
| Issued, Subscribed and Called up Capital 2,004,900,000 (Previous Year 2,004,900,000) Equity shares of Rs 10 each, fully paid up | 20,049,000 | 20,049,000 |
| Less : Calls unpaid | - | - |
| Add : Shares forfeited (Amount originally paid up) | - | - |
| Less: Par value of Equity Shares bought back | - | - |
| Less: Preliminary expenses | - | - |
| Expenses including commission or brokerage or underwriting or subscription of shares. | - | - |
| TOTAL | 20,049,000 | 20,049,000 |



FORM L-9-PATTERN OF SHAREHOLDING SCHEDULE

| Shareholder | AS AT SEPTEMBER 30, 2020 | | AS AT SEPTEMBER 30, 2019 | |
|--|-----------------------------|--------------|-----------------------------|--------------|
| | Number of Shares | % of Holding | Number of Shares | % of Holding |
| Promoters | | | | |
| - Indian - Dabur Invest Corp.(Partnership Firm) | 1,022,499,000 | 51% | 1,022,499,000 | 51% |
| - Foreign - Aviva International Holdings Limited, UK | 982,401,000 | 49% | 982,401,000 | 49% |
| Others | - | 0% | - | 0% |
| TOTAL | 2,004,900,000 | 100% | 2,004,900,000 | 100% |

FORM L-10-RESERVES AND SURPLUS SCHEDULE

| Particulars | AS AT | AS AT |
|--|-----------------------------------|-----------------------------------|
| | SEPTEMBER 30, 2020 (Rs. 000's) | SEPTEMBER 30, 2019 (Rs. 000's) |
| Capital Reserve | - | - |
| Capital Redemption Reserve | - | - |
| Share Premium | - | - |
| Revaluation Reserve | - | - |
| General Reserves | - | - |
| Less: Debit balance in Profit and Loss Account, if any | - | - |
| Less: Amount utilized for Buy-back | - | - |
| Catastrophe Reserve | - | - |
| Other Reserves | - | - |
| Balance of profit in Profit and Loss Account | - | - |
| TOTAL | - | - |

FORM L-11-BORROWINGS SCHEDULE

| Particulars | AS AT | AS AT |
|------------------------|--------------------|--------------------|
| | SEPTEMBER 30, 2020 | SEPTEMBER 30, 2019 |
| | (Rs. 000's) | (Rs. 000's) |
| Debentures/ Bonds | - | - |
| Banks | - | - |
| Financial Institutions | - | - |
| Others | - | - |
| TOTAL | - | - |

FORM L-12-INVESTMENT - SHAREHOLDERS

| Particulars | AS AT | AS AT |
|---|-----------------------------------|-----------------------------------|
| | SEPTEMBER 30, 2020 (Rs. 000's) | SEPTEMBER 30, 2019 (Rs. 000's) |
| LONG TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills | 2,537,221 | 1,983,872 |
| (Market value (Rs '000) Current Year 2,718,953 Previous Year 2,087,018) | | |
| Other Approved Securities | | |
| (Market value (Rs '000) Current Year 1,217,266 Previous Year 647,272) | 1,187,483 | 635,434 |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | 32 | - |
| (Historic value (Rs '000) Current Year 93 Previous Year NIL) | | |
| (bb) Preference | - | - |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds | 150,742 | 243,986 |
| (Market value (Rs '000) Current Year 157,338 Previous Year 244,757) | | |
| (e) Other Securities (Term Deposits) | - | - |
| (Market value (Rs '000) Current Year NIL Previous Year NIL) | | |
| (f) Subsidiaries | - | - |
| (g) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure , Social Sector and Housing : | 791,855 | 826,687 |
| Non Convertible Debentures | | |
| (Market value (Rs '000) Current Year 838,465 Previous Year 844,923) | | |
| Equity Shares | 31,363 | 34,679 |
| (Historic value (Rs '000) Current Year 41,373 Previous Year 34,679) | | |
| Other Investments | | |
| Debentures/ Bonds | - | 5,980 |
| (Market value (Rs '000) Current Year NIL Previous Year NIL) | | |
| Equity Shares | - | - |
| (Historic value (Rs '000) Current Year NIL Previous Year NIL) | | |
| SHORT TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills | 324,624 | 1,305,863 |
| (Market value (Rs '000) Current Year 327,815 Previous Year 1,307,972) | | |
| Other Approved Securities | 150,007 | 136,726 |
| (Market value (Rs '000) Current Year 150,535 Previous Year 138,233) | | |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (bb) Preference | - | - |
| (b) Mutual Funds | - | - |
| (Historic value (Rs '000) Current Year NIL Previous Year NIL) | | |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds | 244,829 | - |
| (Market value (Rs '000) Current Year 246,326 Previous Year NIL) | | |
| (e) Other Securities (Commercial Papers , Certificate of Deposits and Term Deposits) | 177,035 | 807,930 |
| (Market value (Rs '000) Current Year 177,035 Previous Year 807,930) | | |
| (f) Other Securities (Reverse Repo) | 147,596 | 218,647 |
| (Market value (Rs '000) Current Year 147,596 Previous Year 218,647) | | |
| (g) Subsidiaries | - | - |
| (h) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure , Social Sector and Housing : | 334,578 | 556,035 |
| Non Convertible Debentures | | |
| (Market value (Rs '000) Current Year 341,074 Previous Year 560,029) | | |
| Other Investments | | |
| Mutual Funds | - | - |
| Debentures/ Bonds | 2,990 | - |
| (Market value (Rs '000) Current Year 2,990 Previous Year NIL) | | |
| TOTAL | 6,080,355 | 6,755,839 |
| INVESTMENTS | | |
| In India | 6,080,355 | 6,755,839 |
| Outside India | - | - |
| TOTAL | 6,080,355 | 6,755,839 |

Form L-13-INVESTMENTS-POLICYHOLDERS

| Particulars | AS AT SEPTEMBER 30, 2020 (Rs. 000's) | AS AT SEPTEMBER 30, 2019 (Rs. 000's) |
|--|--|--|
| | | |
| LONG TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills (Market value (Rs '000) Current Year 48,001,443 Previous Year 43,711,044) | 42,991,504 | 40,747,665 |
| Other Approved Securities (Market value (Rs '000) Current Year 4,754,259 Previous Year 208,084) | 4,744,607 | 200,565 |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | 13,349 | 8,073 |
| (aa) Equity (Historic value (Rs '000) Current Year 8,667 Previous Year 8,072) | | |
| (bb) Preference | - | - |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds | 454,914 | 405,653 |
| (Market value (Rs '000) Current Year 479,625 Previous Year 398,315) | | |
| (e) Other Securities (Term Deposits) | 79,200 | 201,700 |
| (Market value (Rs '000) Current Year 79,200 Previous Year 201,700) | | |
| (f) Subsidiaries | - | - |
| (g) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure , Social Sector and Housing : | 10,112,158 | 7,571,684 |
| Non Convertible Debentures (Market value (Rs '000) Current Year 10,968,996 Previous Year 7,888,834) | | |
| Equity Shares | 232,958 | 257,716 |
| (Historic value (Rs '000) Current Year 313,137 Previous Year 257,715) | | |
| Other Investments | | |
| Non Convertible debentures | 47,500 | 133,000 |
| (Market value (Rs '000) Current Year 47,500 Previous Year 47,500) | | |
| Equity Shares | 612 | 535 |
| (Historic value (Rs '000) Current Year 785 Previous Year 535) | | |
| SHORT TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds including treasury bills (Market value (Rs '000) Current Year 425,996 Previous Year 1,411,008) | 423,228 | 1,407,131 |
| Other Approved Securities (Market value (Rs '000) Current Year 26,661 Previous Year 117,547) | 25,804 | 116,579 |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (bb) Preference | - | - |
| (Market value Current Year NIL Previous Year NIL) | | |
| (b) Mutual Funds | - | - |
| (Market value Current Year NIL Previous Year NIL) | | |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds | 4,997 | - |
| (Market value (Rs '000) Current Year 5,027 Previous Year NIL) | | |
| (e) Other Securities (Commercial Papers, Certificate of Deposits and Term Deposits) | 122,500 | 101,848 |
| (Market value (Rs '000) Current Year 122,500 Previous Year 101,848) | | |
| (f) Other Securities (Reverse Repo) | 259,776 | 411,418 |
| (Market value (Rs '000) Current Year 259,776 Previous Year 411,418) | | |
| (g) Subsidiaries | - | - |
| (h) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure , Social Sector and Housing : | | |
| Non Convertible Debentures | 261,864 | 529,960 |
| (Market value (Rs '000) Current Year 268,798 Previous Year 532,006) | | |
| Commercial Paper | - | - |
| (Market value Current Year NIL Previous Year NIL) | | |
| Other Investments | | |
| Non Convertible Debentures | - | - |
| (Market value (Rs '000) Current Year NIL Previous Year NIL) | | |
| TOTAL | 59,774,971 | 52,093,527 |
| INVESTMENTS | | |
| In India | 59,774,971 | 52,093,527 |
| Outside India | - | - |
| TOTAL | 59,774,971 | 52,093,527 |

Form L-14-INVESTMENTS - ASSETS HELD TO COVER LINKED LIABILITIES

| Particulars | AS AT | AS AT |
|---|--------------------|--------------------|
| | SEPTEMBER 30, 2020 | SEPTEMBER 30, 2019 |
| | (Rs. 000's) | (Rs. 000's) |
| LONG TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds Including Treasury Bills | 4,635,401 | 8,549,221 |
| (Historic value('000)Current Year 4,585,723 Previous Year 8,512,531) | | |
| Other Approved Securities | 1,843,134 | 20,984 |
| (Historic value('000)Current Year 1,826,636 Previous Year 19,812) | | |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | 13,519,846 | 13,384,346 |
| (Historic value('000)Current Year 12,002,898 Previous Year 11,522,026) | | |
| (bb) Preference | - | - |
| (Historic value('000)Current Year NIL Previous Year NIL) | | |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/Bonds | 1,055,418 | 808,925 |
| (Historic value('000)Current Year 939,153 Previous Year 761,213) | | |
| (e) Other Securities (Certificate of Deposits,Term Deposits and Commercial papers) | - | - |
| (Historic value('000)Current Year NIL Previous Year NIL) | | |
| (f) Subsidiaries | - | - |
| (g) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure, Social Sector and Housing : | | |
| (a) Non Convertible Debentures | 3,337,490 | 1,815,086 |
| (Historic value('000)Current Year 3,159,382 Previous Year 1,769,093) | | |
| (b) Equity | 1,424,269 | 2,780,348 |
| (Historic value('000)Current Year 1,535,867 Previous Year 2,423,714) | | |
| Other Investments | | |
| (a) Equity Shares* | 583,145 | 653,743 |
| (Historic value('000)Current Year 679,939 Previous Year 746,627) | | |
| (b) Debentures/Bonds | 312,500 | 369,862 |
| (Historic value('000)Current Year 855,663 Previous Year 855,663) | | |
| (c) Mutual Funds | 1,402,849 | 2,170,570 |
| (Historic value('000)Current Year 1,402,849 Previous Year 1,561,844) | | |
| SHORT TERM INVESTMENTS | | |
| Government Securities and Government guaranteed bonds Including Treasury Bills | | |
| Government Securities and Government guaranteed bonds | 1,587,800 | 2,495,939 |
| (Historic value('000)Current Year 1,577,619 Previous Year 2,460,293) | | |
| Other Approved Securities | - | 9,153 |
| (Historic value('000)Current Year NIL Previous Year 8,824) | | |
| Other Approved Investments | | |
| (a) Shares | | |
| (aa) Equity | - | - |
| (bb) Preference | - | - |
| (Historic value('000)Current Year NIL Previous Year NIL) | | |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures/ Bonds | 206,139 | - |
| (Historic value('000)Current Year 198,742 Previous Year NIL) | | |
| (e) Other Securities (Certificate of Deposits,Term Deposits and Commercial papers) | 145,325 | 215,694 |
| (Historic value('000)Current Year 138,950 Previous Year 210,922) | | |
| (f) Other Securities (Reverse Repo) | 1,096,642 | 2,569,078 |
| (Historic value('000)Current Year 1,096,642 Previous Year 2,569,078) | | |
| (g) Subsidiaries | - | - |
| (h) Investment Properties-Real Estate | - | - |
| Investments in Infrastructure , Social Sector and Housing : | 138,095 | 22,832 |
| Non Convertible Debentures | | |
| (Historic value('000)Current Year 137,880 Previous Year 22,679) | | |
| Other Investments | | |
| Debentures/Bonds | - | - |
| (Historic value('000)Current Year NIL Previous Year NIL) | | |
| Balances in Bank | 13,387 | 5,849 |
| Other Current Assets (net) | 549,770 | 230,591 |
| TOTAL | 31,851,210 | 36,102,221 |
| INVESTMENTS | | |
| In India | 31,851,210 | 36,102,221 |
| Outside India | - | - |
| TOTAL | 31,851,210 | 36,102,221 |

FORM L-15-LOANS SCHEDULE

| Particulars | AS AT | AS AT |
|--|-----------------------------------|-----------------------------------|
| | SEPTEMBER 30, 2020 (Rs. 000's) | SEPTEMBER 30, 2019 (Rs. 000's) |
| Security-Wise Classification | | |
| Secured | | |
| (a) On mortgage of property | | |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| (b) On Shares, Bonds, Govt. Securities etc | - | - |
| (c) Loans against policies | - | - |
| (d) Others (to be specified) | - | - |
| Unsecured | - | - |
| TOTAL | | |
| BORROWER-WISE CLASSIFICATION | | |
| (a) Central and State Governments | - | - |
| (b) Banks and Financial Institutions | - | - |
| (c) Subsidiaries | - | - |
| (d) Companies | - | - |
| (e) Loans against policies | - | - |
| (f) Others | - | - |
| TOTAL | | |
| PERFORMANCE-WISE CLASSIFICATION | - | - |
| (a) Loans classified as standard | - | - |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| (b) Non-standard loans less provisions | - | - |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| TOTAL | | |
| MATURITY-WISE CLASSIFICATION | - | - |
| (a) Short Term | - | - |
| (b) Long Term | - | - |
| Total | - | - |

FORM L-16-FIXED ASSETS SCHEDULE

(Rs. 000's)

| Particulars | Cost/ Gross Block | | | | Depreciation / Amortisation | | | | Net Block | |
|----------------------------------|--|-----------------------------------|----------------------------|-----------------------------|--|-------------------|-----------------------|-----------------------------|-----------------------------|-------------------------|
| | Opening Balance as on April 1, 2020 | Additions during the period | Deductions/ Adjustments | AS AT SEPTEMBER 30, 2020 | Opening Balance as on April 1, 2020 | For the period | Sales/ Adjustments | AS AT SEPTEMBER 30, 2020 | AS AT SEPTEMBER 30, 2020 | AS AT MARCH 31, 2020 |
| Intangibles | | | | | | | | | | |
| - Software | 566,900 | - | 4,278 | 562,622 | 510,015 | 14,524 | - | 524,539 | 38,083 | 56,885 |
| Tangibles | | | | | | | | | | |
| Leasehold Improvements | 288,652 | 1,750 | - | 290,402 | 269,812 | 3,805 | - | 273,617 | 16,785 | 18,840 |
| Buildings | - | - | - | - | - | - | - | - | - | - |
| Furniture & Fittings | 130,993 | 419 | - | 131,412 | 129,041 | 316 | - | 129,357 | 2,055 | 1,952 |
| Information Technology Equipment | 478,564 | 33,431 | 122 | 511,873 | 438,607 | 19,722 | 74 | 458,255 | 53,618 | 39,957 |
| Vehicles | 1,264 | - | - | 1,264 | 1,264 | - | - | 1,264 | - | - |
| Office Equipment | 68,947 | 1,250 | 220 | 69,977 | 67,308 | 1,566 | 138 | 68,736 | 1,241 | 1,639 |
| Sub Total | 1,535,320 | 36,850 | 4,620 | 1,567,550 | 1,416,047 | 39,933 | 212 | 1,455,768 | 111,782 | 119,273 |
| Capital Work In Progress | - | - | - | - | - | - | - | - | 15,834 | 4,842 |
| TOTAL | 1,535,320 | 36,850 | 4,620 | 1,567,550 | 1,416,047 | 39,933 | 212 | 1,455,768 | 127,616 | 124,115 |
| PREVIOUS YEAR | 1,462,984 | 42,011 | 5,588 | 1,499,407 | 1,359,050 | 38,016 | 5,530 | 1,391,536 | 118,878 | |

FORM L-17-CASH AND BANK BALANCE SCHEDULE

| Particulars | AS AT | AS AT |
|---|-----------------------------------|-----------------------------------|
| | SEPTEMBER 30, 2020 (Rs. 000's) | SEPTEMBER 30, 2019 (Rs. 000's) |
| Cash (including cheques, drafts and stamps) | 22,832 | 24,703 |
| Bank Balances | | |
| (a) Deposit Accounts* | | |
| (aa) Short-term (due within 12 months of the date of balance sheet) | 139,900 | 183,900 |
| (bb) Others | 2,500 | 2,500 |
| (b) Current Accounts | 90,807 | 126,951 |
| (c) Others | - | - |
| Money at Call and Short Notice | | |
| (a) With Banks | - | - |
| (b) With other Institutions | - | - |
| Others | - | - |
| TOTAL | 256,039 | 338,054 |
| <i>Balances with non-scheduled banks included above</i> | - | - |
| CASH AND BANK BALANCES | | |
| In India | 256,039 | 338,054 |
| Outside India | - | - |
| TOTAL | 256,039 | 338,054 |

* Current Year amount includes brought forward Fixed Deposit amounting to Rs. 52,500 ('000) (Previous Year Rs. 82,500 ('000)) which were due for maturity after 3 months at the time of placement and hence were considered as investing activity

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE

ADVANCES AND OTHER ASSETS

| Particulars | AS AT SEPTEMBER 30, 2020 (Rs. 000's) | | AS AT SEPTEMBER 30, 2019 (Rs. 000's) | |
|--|--|------------------|--|------------------|
| | | | | |
| ADVANCES | | | | |
| Reserve deposits with ceding companies | | - | | - |
| Application money for investments | | - | | - |
| Prepayments | | 41,167 | | 45,230 |
| Advances to Directors/Officers | | - | | - |
| Advance tax paid and taxes deducted at source | 8,825 | | 29,718 | |
| Less: Provision for doubtful debts | (81) | 8,744 | (81) | 29,637 |
| | | | | |
| Others (includes vendor, travel advances & salary recoverable) | 63,687 | | 58,068 | |
| Less : Provision for doubtful debts | (29,262) | 34,425 | (18,811) | 39,257 |
| | | | | |
| TOTAL (A) | | 84,336 | | 114,124 |
| OTHER ASSETS | | | | |
| Income accrued on investments | | 1,732,832 | | 1,523,475 |
| Outstanding Premiums | | 213,212 | | 230,738 |
| Agents' Balances | 80,755 | | 149,271 | |
| Less : Provision for doubtful debts | (73,644) | 7,111 | (62,140) | 87,131 |
| Foreign Agencies' Balances | | - | | - |
| Due from other entities carrying on insurance business (including reinsurers) | | 1,886 | | - |
| Others | | | | |
| - Investment pertaining to Unclaimed Policyholders Fund | | 1,683,339 | | 1,710,369 |
| - Interest on Investment pertaining to Unclaimed Policyholders Fund (Net of FMC) | | 312,149 | | 263,259 |
| - Refundable Security Deposits | 375,687 | | 390,213 | |
| Less: Provision for doubtful security deposit | (13,691) | 361,996 | (21,071) | 369,142 |
| -Receivables against unsettled investment contracts | | 29,571 | | 59,141 |
| -Goods and Service Tax/Service Tax Unutilised Credit | | 12,973 | | 30,937 |
| - Other Reinsurance assets | | 41,112 | | 10,873 |
| | | | | |
| TOTAL (B) | | 4,396,181 | | 4,285,065 |
| | | | | |
| TOTAL (A+B) | | 4,480,517 | | 4,399,189 |

FORM L-19-CURRENT LIABILITIES SCHEDULE

| Particulars | AS AT | AS AT |
|---|-----------------------------------|-----------------------------------|
| | SEPTEMBER 30, 2020 (Rs. 000's) | SEPTEMBER 30, 2019 (Rs. 000's) |
| Agents' Balances | 77,130 | 82,536 |
| Balances due to other insurance companies | 143,072 | 56,713 |
| Premiums received in advance | 85,534 | 86,572 |
| Unallocated premium | 56,188 | 45,804 |
| Sundry creditors | | |
| Micro, Small & Medium Enterprises | 14 | 23 |
| Others | 103,043 | 166,778 |
| Unclaimed Amount - Policyholders | 1,683,339 | 1,710,369 |
| Interest on Investment pertaining to Unclaimed Policyholders Fund | 312,149 | 263,259 |
| Claims Outstanding | 440,426 | 282,694 |
| Annuities Due | 9,490 | 6,747 |
| Others: | | |
| - Accrual for expenses | 600,591 | 627,101 |
| - Goods and Service Tax /Service Tax payable | 14,315 | 11,895 |
| - Payables for unsettled investment contracts | - | 147,721 |
| - Payable to Policyholders | 234,705 | 235,973 |
| - Statutory Dues Payable | 33,181 | 50,544 |
| - Employee and other dues Payable | 83,847 | 62,053 |
| TOTAL | 3,877,024 | 3,836,782 |

FORM L-20-PROVISIONS SCHEDULE

| Particulars | AS AT | AS AT |
|---|-----------------------------------|-----------------------------------|
| | SEPTEMBER 30, 2020 (Rs. 000's) | SEPTEMBER 30, 2019 (Rs. 000's) |
| For taxation (less payments and taxes deducted at source) | - | - |
| For proposed dividends | - | - |
| For dividend distribution tax | - | - |
| Others: | | |
| Provision for Gratuity | 29,796 | 12,109 |
| Provision for Leave Encashment | 28,533 | 21,322 |
| Provision for Other Long Term Benefits | 46,643 | 53,682 |
| Provision for Other Employee Benefits | 44,493 | 34,956 |
| TOTAL | 149,465 | 122,069 |

FORM L-21-MISC EXPENDITURE SCHEDULE

| Particulars | AS AT | AS AT |
|---|--------------------|--------------------|
| | SEPTEMBER 30, 2020 | SEPTEMBER 30, 2019 |
| | (Rs. 000's) | (Rs. 000's) |
| Discount Allowed in issue of shares/ debentures | - | - |
| Others | - | - |
| TOTAL | - | - |

FORM L-22-ANALYTICAL RATIOS

| S.No. | Particulars | Q2 '20-21 | YTD SEPTEMBER 30, 2020 | Q2 '19-20 | YTD SEPTEMBER 30, 2019 |
|-------|---|-----------|---------------------------|-----------|---------------------------|
| 1 | New business premium income growth rate - segment wise | | | | |
| | Linked Individual - Life Participating | NA | NA | NA | NA |
| | Linked Individual - Pension Participating | NA | NA | NA | NA |
| | Linked Individual - Life Non Participating | (3)% | 14% | 29% | -8% |
| | Linked Individual - Pension Non Participating | (2)% | 7% | -1046% | 123% |
| | Linked Group - Non Participating | 111% | 58% | -23% | -47% |
| | Non Linked Individual - Life Participating | (90)% | (86)% | -57% | -60% |
| | Non Linked Individual - Pension Participating | NA | NA | NA | NA |
| | Non Linked Individual - Life Non Participating | 12% | 25% | -23% | -28% |
| | Non Linked Individual - Pension Non Participating | 4539% | 1645% | -80% | -79% |
| | Non Linked Group - Life Non Participating | 193% | 302% | 7% | -54% |
| | Non Linked Group - Pension Non Participating | NA | NA | NA | NA |
| | Non Linked Annuity Non Participating | 232% | 64% | 19% | 102% |
| | Non Linked - Variable - Group | (85)% | (90)% | 225% | -88% |
| | Non Linked Health Non Participating | (91)% | (88)% | -94% | -90% |
| 2 | Net Retention Ratio | 94% | 93% | 95% | 94% |
| 3 | Expense of Management to Gross Direct Premium Ratio | 28% | 31% | 30% | 32% |
| 4 | Commission Ratio (Gross commission paid to Gross Premium) | 2% | 2% | 2% | 2% |
| 5 | Ratio of policy holder's liabilities to shareholder's funds | -91.81 | 15.00 | -1.74 | 12.00 |
| 6 | Growth rate of shareholders' fund | -119% | -13% | -226% | 11% |
| 7 | Ratio of surplus to policyholders' liability | -7% | 0% | -57% | 0% |
| 8 | Change in net worth in ('000) | 93,177 | (909,413) | 167,229 | 701,260 |
| 9 | Profit after tax/Total Income | -0.4% | -3% | 3% | 1% |
| 10 | (Total real estate + loans)/(Cash & invested assets) | NA | NA | NA | NA |
| 11 | Total investments/(Capital + Surplus) | 980.04 | 4.87 | -76.21 | 4.74 |
| 12 | Total affiliated investments/(Capital+ Surplus) | NA | NA | NA | NA |
| 13A | Investment Yield (Gross) with total gains | | | | |
| | Policyholders' Funds: | | | | |
| | Non-Linked: | | | | |
| | R1. PAR | 1.8% | 3.6% | 1.6% | 3.5% |
| | R2. Non-PAR | 1.9% | 3.2% | 1.7% | 3.6% |
| | R3.Sub-TOTAL | 1.9% | 3.2% | 1.7% | 3.6% |
| | Linked: | | | | |
| | R4. PAR | 2.2% | 7.3% | 2.1% | 4.7% |
| | R5. Non-PAR | 4.2% | 17.2% | -2.4% | -1.5% |
| | R6. Sub-TOTAL | 4.2% | 16.8% | -2.3% | -1.3% |
| | R7.Grand Total | 2.7% | 7.5% | 0.1% | 1.5% |
| | Shareholders' Funds | 1.8% | 2.7% | 1.8% | 3.5% |
| 13B | Investment Yield (Net) (Only realized gains) | | | | |
| | Policyholders' Funds: | | | | |
| | Non-Linked: | | | | |
| | R1. PAR | 1.8% | 3.6% | 1.6% | 3.5% |
| | R2. Non-PAR | 1.9% | 3.2% | 1.9% | 4.1% |
| | R3.Sub-TOTAL | 1.9% | 3.2% | 1.9% | 4.1% |
| | Linked: | | | | |
| | R4. PAR | 1.7% | 3.5% | 1.8% | 3.6% |
| | R5. Non-PAR | 1.8% | -0.8% | 0.7% | 3.2% |
| | R6. Sub-TOTAL | 1.8% | -0.6% | 0.8% | 3.2% |
| | R7.Grand Total | 1.9% | 2.0% | 1.4% | 3.7% |
| | Shareholders' Funds | 1.8% | 2.8% | 1.8% | 4.0% |
| 14 | Conservation Ratio | | | | |
| | Linked Individual - Life Participating | 97% | 93% | 99% | 92% |
| | Linked Individual - Pension Participating | 61% | 50% | 103% | 109% |
| | Linked Individual - Life Non Participating | 91% | 86% | 79% | 80% |
| | Linked Individual - Pension Non Participating | 87% | 83% | 77% | 82% |
| | Linked Group - Non Participating | 0% | 0% | 0% | 0% |
| | Non Linked Individual - Life Participating | 101% | 91% | 69% | 54% |
| | Non Linked Individual - Pension Participating | 87% | 85% | 52% | 65% |
| | Non Linked Individual - Life Non Participating | 96% | 86% | 88% | 90% |
| | Non Linked Individual - Pension Non Participating | 202% | 105% | 70% | 74% |
| | Non Linked Group - Life Non Participating | 57% | 60% | 46% | 44% |
| | Non Linked - Variable - Group | 0% | NA | 0% | NA |
| | Non Linked Health Non Participating | 95% | 92% | 76% | 81% |
| 15 | Persistence Ratio (by numbers) | | | | |
| | For 13th month | 62% | 65% | 62% | 65% |
| | For 25th month | 54% | 56% | 52% | 54% |
| | For 37th month | 45% | 47% | 51% | 48% |
| | For 49th Month | 48% | 45% | 41% | 39% |
| | for 61st month | 39% | 38% | 46% | 45% |
| | Persistence Ratio (by premium) | | | | |
| | For 13th month | 69% | 70% | 69% | 71% |
| | For 25th month | 58% | 60% | 56% | 61% |
| | For 37th month | 49% | 51% | 53% | 56% |
| | For 49th Month | 48% | 52% | 43% | 45% |
| | for 61st month | 42% | 44% | 46% | 45% |
| 16 | NPA Ratio | | | | |
| | Gross NPA Ratio | 0.4% | 0.4% | NIL | NIL |
| | Net NPA Ratio | 0.1% | 0.1% | NIL | NIL |
| | Equity Holding Pattern for Life Insurers | | | | |
| | (a) No. of shares (in Lakhs) | | 20,049 | | 20,049 |
| | (b) Percentage of shareholding (Indian / Foreign) | | 51% / 49% | | 51% / 49% |
| | (c) %of Government holding (in case of public sector insurance companies) | | Nil | | Nil |
| | (a) Basic and diluted EPS before extraordinary items (net of tax expense) | | (0.17) | | 0.04 |
| | (b) Basic and diluted EPS after extraordinary items (net of tax expense) | | (0.17) | | 0.04 |
| | (iv) Book value per share (Rs) | | 3.11 | | 3.66 |

FORM L-23-RECEIPT AND PAYMENTS SCHEDULE

| Particulars | AS AT SEPTEMBER 30, 2020 (Rs. 000's) | AS AT SEPTEMBER 30, 2019 (Rs. 000's) |
|--|--|--|
| | | |
| I Cash flows from operating activities | | |
| Premium received from policyholders, including advance receipts | 5,273,663 | 5,457,382 |
| Other receipts | 19,043 | 18,632 |
| Payments to the re-insurers, net of commissions and claims/ Benefits | (267,530) | (202,273) |
| Payments of claims/benefits | (4,030,923) | (5,202,152) |
| Payments of commission and brokerage | (102,222) | (110,487) |
| Payments of other operating expenses | (1,579,340) | (1,734,905) |
| Deposits, advances and staff loans | 19,130 | 33,389 |
| Taxes paid | (10,843) | (53,936) |
| Cash flows before extraordinary items | (679,022) | (1,794,350) |
| Cash flow from extraordinary operations | - | - |
| Net cash from operating Activities | (679,022) | (1,794,350) |
| II Cash flows from investing activities | | |
| Purchase of fixed assets | (47,843) | (39,191) |
| Proceeds from sale of fixed assets | 40 | 457 |
| Investments in Fixed Deposits | - | (80,000) |
| Proceeds from maturity of Fixed Deposits | 30,000 | - |
| Purchase of Investment | (29,918,340) | (54,052,083) |
| Proceeds from Sale/redemption of Investment | 27,321,016 | 52,157,370 |
| Interest, dividend and rent received | 2,887,350 | 3,060,174 |
| Investment in Fixed Deposits | - | - |
| Net cash from investing activities | 272,223 | 1,046,727 |
| III Cash flows from financing activities | | |
| Proceeds from issuance of share capital | - | - |
| Proceeds from borrowing | - | - |
| Repayments of borrowing | - | - |
| Interest/dividends paid | - | - |
| Net cash from financing activities | - | - |
| Net increase/(decrease) in cash and cash equivalent (I+II+III) | (406,799) | (747,623) |
| Cash and cash equivalent at beginning of the year | 623,725 | 1,009,025 |
| Cash and cash equivalent at the end of the year | 216,926 | 261,402 |
| Break up as follows : | | |
| Cash and Bank Balances (Refer Note-1) | 203,539 | 255,554 |
| Bank balances (Policyholder's - Schedule L-14) | 13,387 | 5,848 |
| Temporary book overdrafts | - | - |
| Total | 216,926 | 261,402 |
| Note 1 | | |
| Cash (including cheques,drafts and stamps) | 22,832 | 24,703 |
| Bank balances on current accounts | 90,807 | 126,951 |
| Fixed Deposits with maturity less than 3 months | 89,900 | 103,900 |
| Cash and Bank Balances | 203,539 | 255,554 |
| Note 2 | | |
| Cash and Bank Balances (Refer to Note 1 above) | 203,539 | 255,554 |
| Fixed Deposits with maturity more than 3 months (Considered as Investing Activity) | 52,500 | 82,500 |
| Cash and Bank Balances | 256,039 | 338,054 |

FORM L-24-VALUATION OF NET LIABILITIES**(Rs in Lakhs)**

| Sl.No. | Particulars | AS AT | AS AT |
|--------|-------------------|--------------------|--------------------|
| | | SEPTEMBER 30, 2020 | SEPTEMBER 30, 2019 |
| 1 | Linked | | |
| a | Life | 281,295 | 320,021 |
| b | General Annuity | - | - |
| c | Pension | 32,123 | 36,731 |
| d | Health | - | - |
| 2 | Non-Linked | | |
| a | Life | 577,384 | 499,292 |
| b | General Annuity | 5,256 | 4,288 |
| c | Pension | 17,432 | 16,275 |
| d | Health | 1,207 | 1,052 |

FORM L-25-(I) : Geographical Distribution Channel - Individuals for the Quarter ended September 30 , 2020

Date: September 30 , 2020

(Rs in Lakhs)

| Geographical Distribution of Total Business | | | | | | | | | | | | | |
|---|---------------------------|--------------------|-------------|---------|-------------|--------------------|-------------|---------|-------------|--------------------|-------------|---------|-------------|
| SL. No. | State/Union Territory | RURAL (Individual) | | | | URBAN (Individual) | | | | TOTAL (Individual) | | | |
| | | No of Policies | No of lives | Premium | Sum Assured | No of Policies | No of lives | Premium | Sum Assured | No of Policies | No of lives | Premium | Sum Assured |
| 1 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Andhra Pradesh | 6 | 6 | 3.08 | 41.18 | 167 | 160 | 136.34 | 2,600.60 | 173 | 166 | 139 | 2,642 |
| 3 | Arunachal Pradesh | 2 | 2 | 2.75 | 7.00 | 11 | 10 | 24.52 | 464.34 | 13 | 12 | 27 | 471 |
| 4 | Assam | 47 | 46 | 18.07 | 245.62 | 275 | 267 | 101.78 | 2,084.51 | 322 | 313 | 120 | 2,330 |
| 5 | Bihar | 264 | 264 | 55.57 | 967.19 | 193 | 189 | 67.46 | 1,448.75 | 457 | 453 | 123 | 2,416 |
| 6 | Chandigarh | - | - | - | - | 35 | 34 | 30.59 | 507.42 | 35 | 34 | 31 | 507 |
| 7 | Chattisgarh | 3 | 3 | 1.19 | 56.50 | 24 | 23 | 5.81 | 262.48 | 27 | 26 | 7 | 319 |
| 8 | Dadra & NagarHaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 9 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Delhi | - | - | - | - | 286 | 269 | 392.47 | 5,107.25 | 286 | 269 | 392 | 5,107 |
| 11 | Goa | - | - | 0.11 | - | 17 | 14 | 11.28 | 120.61 | 17 | 14 | 11 | 121 |
| 12 | Gujarat | 20 | 18 | 11.31 | 191.82 | 251 | 226 | 204.45 | 3,408.11 | 271 | 244 | 216 | 3,600 |
| 13 | Haryana | 14 | 13 | 9.85 | 194.83 | 187 | 173 | 283.47 | 3,312.71 | 201 | 186 | 293 | 3,508 |
| 14 | Himachal Pradesh | 2 | 2 | (0.10) | 49.91 | 14 | 14 | 7.54 | 194.99 | 16 | 16 | 7 | 245 |
| 15 | Jammu & Kashmir | 2 | 2 | 0.20 | 8.65 | 3 | 3 | 1.71 | 16.62 | 5 | 5 | 2 | 25 |
| 16 | Jharkhand | 21 | 21 | 1.63 | 32.13 | 37 | 34 | 21.49 | 408.36 | 58 | 55 | 23 | 440 |
| 17 | Karnataka | 7 | 7 | 1.35 | 30.17 | 202 | 199 | 237.32 | 2,763.84 | 209 | 206 | 239 | 2,794 |
| 18 | Kerala | 1 | 1 | 0.04 | 11.00 | 16 | 15 | 18.55 | 283.58 | 17 | 16 | 19 | 295 |
| 19 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Madhya Pradesh | 38 | 37 | 5.84 | 128.38 | 247 | 236 | 128.09 | 2,498.34 | 285 | 273 | 134 | 2,627 |
| 21 | Maharashtra | 435 | 431 | 124.95 | 2,456.38 | 1,095 | 1,086 | 805.77 | 12,433.01 | 1,530 | 1,517 | 931 | 14,889 |
| 22 | Manipur | - | - | 0.09 | - | - | - | 1.04 | - | - | - | 1 | - |
| 23 | Meghalaya | 1 | 1 | 0.09 | 4.50 | 1 | 1 | 0.16 | 7.42 | 2 | 2 | 0 | 12 |
| 24 | Mizoram | - | - | - | - | 2 | 2 | 1.51 | 21.52 | 2 | 2 | 2 | 22 |
| 25 | Nagaland | - | - | 0.10 | - | 1 | 1 | 0.50 | 10.02 | 1 | 1 | 1 | 10 |
| 26 | Orissa | 19 | 19 | 6.24 | 102.58 | 100 | 96 | 59.57 | 1,081.07 | 119 | 115 | 66 | 1,184 |
| 27 | Puducherry | - | - | - | - | 4 | 4 | 3.94 | 69.80 | 4 | 4 | 4 | 70 |
| 28 | Punjab | 7 | 7 | 4.75 | 47.78 | 116 | 113 | 64.15 | 1,716.08 | 123 | 120 | 69 | 1,764 |
| 29 | Rajasthan | 11 | 11 | 4.89 | 89.19 | 55 | 54 | 36.83 | 461.77 | 66 | 65 | 42 | 551 |
| 30 | Sikkim | - | - | 0.06 | - | 2 | 2 | 0.94 | 19.20 | 2 | 2 | 1 | 19 |
| 31 | Tamil Nadu | 2 | 2 | 0.63 | 12.13 | 176 | 169 | 228.24 | 2,979.41 | 178 | 171 | 229 | 2,992 |
| 32 | Tripura | 10 | 10 | 2.23 | 39.29 | 80 | 76 | 31.34 | 572.92 | 90 | 86 | 34 | 612 |
| 33 | Uttar Pradesh | 28 | 28 | 7.60 | 114.90 | 239 | 232 | 151.32 | 3,099.46 | 267 | 260 | 159 | 3,214 |
| 34 | Uttarakhand | 1 | 1 | 5.13 | 3.05 | 23 | 22 | 16.76 | 338.18 | 24 | 23 | 22 | 341 |
| 35 | West Bengal | 11 | 11 | 4.12 | 50.37 | 308 | 288 | 219.85 | 3,088.45 | 319 | 299 | 224 | 3,139 |
| Grand Total | | 952 | 943 | 271.8 | 4,884.5 | 4,167 | 4,012 | 3,294.8 | 51,380.8 | 5,119 | 4,955 | 3,567 | 56,265 |

FORM L-25-(I) : Geographical Distribution Channel - Individuals for the period ended September 30 , 2020

Date: September 30 , 2020

(Rs in Lakhs)

| Geographical Distribution of Total Business | | | | | | | | | | | | | |
|---|---------------------------|--------------------|-------------|---------|-------------|--------------------|-------------|----------|-------------|--------------------|-------------|---------|-------------|
| SL. No. | State/Union Territory | RURAL (Individual) | | | | URBAN (Individual) | | | | TOTAL (Individual) | | | |
| | | No of Policies | No of lives | Premium | Sum Assured | No of Policies | No of lives | Premium | Sum Assured | No of Policies | No of lives | Premium | Sum Assured |
| 1 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Andhra Pradesh | 6 | 6 | 3.96 | 41.18 | 303 | 295 | 238.43 | 4,499.02 | 309 | 301 | 242 | 4,540 |
| 3 | Arunachal Pradesh | 2 | 2 | 5.17 | 7.00 | 25 | 24 | 44.76 | 863.74 | 27 | 26 | 50 | 871 |
| 4 | Assam | 51 | 50 | 30.71 | 259.36 | 607 | 595 | 215.10 | 4,530.39 | 658 | 645 | 246 | 4,790 |
| 5 | Bihar | 367 | 367 | 79.29 | 1,357.77 | 382 | 375 | 142.28 | 3,002.88 | 749 | 742 | 222 | 4,361 |
| 6 | Chandigarh | - | - | - | - | 56 | 55 | 40.30 | 688.52 | 56 | 55 | 40 | 689 |
| 7 | Chattisgarh | 3 | 3 | 1.19 | 56.50 | 41 | 40 | 12.72 | 375.10 | 44 | 43 | 14 | 432 |
| 8 | Dadra & NagarHaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 9 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Delhi | 1 | - | 0.20 | 2.70 | 716 | 668 | 843.52 | 12,177.74 | 717 | 668 | 844 | 12,180 |
| 11 | Goa | - | - | 0.23 | - | 26 | 22 | 29.09 | 177.67 | 26 | 22 | 29 | 178 |
| 12 | Gujarat | 27 | 25 | 24.47 | 329.19 | 396 | 368 | 280.03 | 5,066.18 | 423 | 393 | 305 | 5,395 |
| 13 | Haryana | 19 | 18 | 12.14 | 237.10 | 356 | 338 | 429.46 | 6,133.30 | 375 | 356 | 442 | 6,370 |
| 14 | Himachal Pradesh | 6 | 6 | 3.10 | 89.15 | 27 | 27 | 12.02 | 279.10 | 33 | 33 | 15 | 368 |
| 15 | Jammu & Kashmir | 2 | 2 | 0.59 | 8.65 | 17 | 16 | 6.42 | 97.01 | 19 | 18 | 7 | 106 |
| 16 | Jharkhand | 23 | 23 | 2.02 | 32.63 | 74 | 71 | 41.96 | 743.93 | 97 | 94 | 44 | 777 |
| 17 | Karnataka | 8 | 8 | 1.47 | 33.07 | 425 | 423 | 452.34 | 5,705.24 | 433 | 431 | 454 | 5,738 |
| 18 | Kerala | 1 | 1 | 0.17 | 11.00 | 36 | 35 | 36.79 | 674.64 | 37 | 36 | 37 | 686 |
| 19 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Madhya Pradesh | 47 | 46 | 8.52 | 216.73 | 479 | 465 | 346.99 | 6,080.94 | 526 | 511 | 356 | 6,298 |
| 21 | Maharashtra | 627 | 623 | 178.70 | 3,815.08 | 2,053 | 2,025 | 1,508.15 | 24,849.47 | 2,680 | 2,648 | 1,687 | 28,665 |
| 22 | Manipur | - | - | 0.47 | - | 1 | 1 | 1.91 | 19.00 | 1 | 1 | 2 | 19 |
| 23 | Meghalaya | 1 | 1 | 0.09 | 4.50 | 3 | 3 | 2.16 | 31.09 | 4 | 4 | 2 | 36 |
| 24 | Mizoram | - | - | - | - | 2 | 2 | 1.51 | 21.52 | 2 | 2 | 2 | 22 |
| 25 | Nagaland | - | - | 0.35 | - | 1 | 1 | 0.66 | 10.02 | 1 | 1 | 1 | 10 |
| 26 | Orissa | 25 | 25 | 8.04 | 133.73 | 211 | 207 | 124.37 | 2,463.32 | 236 | 232 | 132 | 2,597 |
| 27 | Puducherry | - | - | - | - | 9 | 9 | 6.28 | 84.76 | 9 | 9 | 6 | 85 |
| 28 | Punjab | 11 | 11 | 6.54 | 65.54 | 256 | 251 | 134.47 | 2,907.00 | 267 | 262 | 141 | 2,973 |
| 29 | Rajasthan | 14 | 14 | 7.22 | 120.70 | 106 | 104 | 62.73 | 970.51 | 120 | 118 | 70 | 1,091 |
| 30 | Sikkim | - | - | 0.20 | - | 3 | 3 | 1.18 | 25.14 | 3 | 3 | 1 | 25 |
| 31 | Tamil Nadu | 2 | 2 | 1.04 | 12.13 | 335 | 327 | 325.30 | 4,658.67 | 337 | 329 | 326 | 4,671 |
| 32 | Tripura | 10 | 10 | 3.61 | 39.29 | 185 | 181 | 62.18 | 1,413.46 | 195 | 191 | 66 | 1,453 |
| 33 | Uttar Pradesh | 34 | 34 | 11.18 | 168.53 | 556 | 541 | 339.44 | 6,620.15 | 590 | 575 | 351 | 6,789 |
| 34 | Uttarakhand | 4 | 4 | 7.44 | 29.23 | 59 | 58 | 32.34 | 670.45 | 63 | 62 | 40 | 700 |
| 35 | West Bengal | 11 | 11 | 6.11 | 50.37 | 612 | 579 | 412.63 | 6,192.01 | 623 | 590 | 419 | 6,242 |
| Grand Total | | 1,302 | 1,292 | 404.23 | 7,121.11 | 8,358 | 8,109 | 6,187.54 | 102,031.98 | 9,660 | 9,401 | 6,592 | 109,153 |

FORM L-25- (II) : Geographical Distribution Channel - Group for the Quarter ended September 30 , 2020

Date: September 30 , 2020

(Rs in Lakhs)

| Geographical Distribution of Total Business- GROUP | | | | | | | | | | | | | |
|--|-------------------------|-----------------|--------------|---------|-------------|-----------------|--------------|---------|-------------|-----------------------|--------------|---------|-------------|
| Sl. No. | State / Union Territory | Rural(Group) | | | | Urban(Group) | | | | Total Business(Group) | | | |
| | | No. of Policies | No. of Lives | Premium | Sum Assured | No. of Policies | No. of Lives | Premium | Sum Assured | No. of Policies | No. of Lives | Premium | Sum Assured |
| 1 | Andhra Pradesh | - | - | - | - | 5 | 13,894 | 71 | 52,940 | 5 | 13,894 | 71 | 52,940 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | 49 | (0) | (180) | - | 49 | (0) | (180) |
| 4 | Bihar | - | - | - | - | - | 31 | 0 | 15 | - | 31 | 0 | 15 |
| 5 | Chhattisgarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | 1 | 1,854 | 14 | 17,550 | 1 | 1,854 | 14 | 17,550 |
| 7 | Gujarat | - | - | - | - | - | 47 | 315 | (66) | - | 47 | 315 | (66) |
| 8 | Haryana | - | - | - | - | 2 | 7,242 | 82 | (28) | 2 | 7,242 | 82 | (28) |
| 9 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 12 | Karnataka | - | - | - | - | 5 | 8,815 | 181 | 82,035 | 5 | 8,815 | 181 | 82,035 |
| 13 | Kerala | - | - | - | - | - | - | - | - | - | - | - | - |
| 14 | Madhya Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 15 | Maharashtra | - | - | - | - | 6 | 19,757 | 442 | 89,686 | 6 | 19,757 | 442 | 89,686 |
| 16 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Orissa | - | - | - | - | - | 5 | 1 | 56 | - | 5 | 1 | 56 |
| 21 | Punjab | - | - | - | - | 1 | 131 | 1 | 2,178 | 1 | 131 | 1 | 2,178 |
| 22 | Rajasthan | - | - | - | - | 1 | 749 | 10 | 5,243 | 1 | 749 | 10 | 5,243 |
| 23 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - |
| 24 | Tamil Nadu | - | - | - | - | - | 30 | 5 | 439 | - | 30 | 5 | 439 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttar Pradesh | - | - | - | - | 1 | 8,857 | 135 | 77,920 | 1 | 8,857 | 135 | 77,920 |
| 27 | Uttarakhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 28 | West Bengal | - | - | - | - | 6 | 30,601 | 185 | 66,824 | 6 | 30,601 | 185 | 66,824 |
| 29 | Andaman & Nicobar Islai | - | - | - | - | - | - | - | - | - | - | - | - |
| 30 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 31 | Dadra & Nagarhaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 32 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - |
| 33 | Delhi | - | - | - | - | 3 | 7,656 | 139 | 59,358 | 3 | 7,656 | 139 | 59,358 |
| 34 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 35 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - |
| Total | | - | - | - | - | 31 | 99,718 | 1,582 | 453,970 | 31 | 99,718 | 1,582 | 453,970 |

FORM L-25-(ii) : Geographical Distribution Channel - Group for the period ended September 30 , 2020

Date: September 30 , 2020

(Rs in Lakhs)

| Geographical Distribution of Total Business- GROUP | | | | | | | | | | | | | |
|--|-------------------------|--------|--------------|---------|-------------|-----------|----------------|--------------|------------------|----------------|----------------|--------------|------------------|
| Sl. No. | State / Union Territory | Rural | | | | Urban | | | | Total Business | | | |
| | | No. of | No. of Lives | Premium | Sum Assured | No. of | No. of Lives | Premium | Sum Assured | No. of | No. of Lives | Premium | Sum Assured |
| 1 | Andhra Pradesh | - | - | - | - | 7 | 16,798 | 129 | 52,741 | 7 | 16,798 | 129 | 52,741 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | 74 | 0 | (140) | - | 74 | 0 | (140) |
| 4 | Bihar | - | - | - | - | - | 31 | 0 | (22) | - | 31 | 0 | (22) |
| 5 | Chattisgarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | 1 | 1,854 | 14 | 17,550 | 1 | 1,854 | 14 | 17,550 |
| 7 | Gujarat | - | - | - | - | - | 161 | 317 | (442) | - | 161 | 317 | (442) |
| 8 | Haryana | - | - | - | - | 11 | 22,971 | 132 | 58,177 | 11 | 22,971 | 132 | 58,177 |
| 9 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 12 | Karnataka | - | - | - | - | 11 | 34,790 | 368 | 269,375 | 11 | 34,790 | 368 | 269,375 |
| 13 | Kerala | - | - | - | - | - | - | - | - | - | - | - | - |
| 14 | Madhya Pradesh | - | - | - | - | - | - | - | (297) | - | - | - | (297) |
| 15 | Maharashtra | - | - | - | - | 15 | 103,794 | 922 | 349,666 | 15 | 103,794 | 922 | 349,666 |
| 16 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Orissa | - | - | - | - | - | 5 | 1 | 56 | - | 5 | 1 | 56 |
| 21 | Punjab | - | - | - | - | 1 | 131 | 1 | 2,178 | 1 | 131 | 1 | 2,178 |
| 22 | Rajasthan | - | - | - | - | 1 | 749 | 10 | 5,243 | 1 | 749 | 10 | 5,243 |
| 23 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - |
| 24 | Tamil Nadu | - | - | - | - | 3 | 565 | 12 | (29,881) | 3 | 565 | 12 | (29,881) |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttar Pradesh | - | - | - | - | 8 | 13,949 | 175 | 85,277 | 8 | 13,949 | 175 | 85,277 |
| 27 | Uttarakhand | - | - | - | - | 2 | 871 | 24 | 20,879 | 2 | 871 | 24 | 20,879 |
| 28 | West Bengal | - | - | - | - | 8 | 67,256 | 211 | 77,408 | 8 | 67,256 | 211 | 77,408 |
| 29 | Andaman & Nicobar Islai | - | - | - | - | - | - | - | - | - | - | - | - |
| 30 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 31 | Dadra & Nagarhaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 32 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - |
| 33 | Delhi | - | - | - | - | 10 | 36,462 | 959 | 263,311 | 10 | 36,462 | 959 | 263,311 |
| 34 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 35 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - |
| Total | | - | - | - | - | 78 | 300,461 | 3,276 | 1,171,080 | 78 | 300,461 | 3,276 | 1,171,080 |

FORM L-26-INVESTMENT ASSETS (LIFE INSURERS) - 3A

FORM - 3A
(Read with Regulation 10)
Name of the Insurer:Aviva Life Insurance Company India Limited
Registration Number: 122
Statement as on: 30th September 2020
Statement of Investment Assets (Life Insurers)
(Business within India)
Periodicity of Submission: Quarterly

PART - A

Rs. Crore

Section I

| No | PARTICULARS | SCH | Amount |
|----|---|-----|-----------|
| 1 | Investments (Shareholders) | 8 | 608 |
| | Investments (Policyholders) | 8A | 5,977.50 |
| | Investments (Linked Liabilities) | 8B | 3,185.12 |
| 2 | Loans | 9 | 0.00 |
| 3 | Fixed Assets | 10 | 12.76 |
| 4 | Current Assets | | |
| | a. Cash & Bank Balance | 11 | 23.68 |
| | b. Advances & Other Assets | 12 | 447.31 |
| 5 | Current Liabilities | | |
| | a. Current Liabilities | 13 | 386.74 |
| | b. Provisions | 14 | 14.95 |
| | c. Misc. Exp not Written Off | 15 | 0.00 |
| | d. Debit Balance of P&L A/c | | 1,382.50 |
| | Application of Funds as per Balance Sheet (A) | | 11,235.22 |
| | Less: Other Assets | SCH | Amount |
| 1 | Loans (if any) | 9 | 0.00 |
| 2 | Fixed Assets (if any) | 10 | 12.76 |
| 3 | Cash & Bank Balance (if any) | 11 | 23.68 |
| 4 | Advances & Other Assets (if any) | 12 | 447.31 |
| 5 | Current Liabilities | 13 | 386.74 |
| 6 | Provisions | 14 | 14.95 |
| 7 | Misc. Exp not Written Off | 15 | 0.00 |
| 8 | Investments held outside India | | 0.00 |
| 9 | Debit Balance of P&L A/c | | 1,382.50 |
| | TOTAL (B) | | 1,464.57 |
| | Investment Assets (A-B) | | 9,770.65 |

| | |
|---|---------|
| Reconciliation of Investment Assets | |
| Total Investment Assets (as per Balance Sheet) | 9770.65 |
| Balance Sheet Value of: | |
| A. Life Fund | 6322.77 |
| B. Pention & General Annuity and Group Business | 262.76 |
| C. Unit Linked Funds | 3185.12 |
| | 9770.65 |

Section II

NON - LINKED BUSINESS

| A. LIFE FUND | | | % as per Reg | SH | | PH | | | Book Value (SH+PH) | | Actual % | FVC | Total Fund | Market Value |
|-----------------|--|--|-------------------|---------|--------|-----------------|-------|---------|--------------------|--------------------|----------|-------------|------------|--------------|
| | | | | Balance | FRSM* | UL-Non Unit Res | PAR | NON PAR | (f) = | (g) = [(f) - (a)]% | Amount@ | (i)=(a+f+h) | (j) | |
| | | | | (a) | (b) | (c) | (d) | (e) | | | | | | |
| 1 | Central Govt. Sec | | Not Less than 25% | 0.00 | 286.18 | 86.07 | 15.54 | 4043.97 | 4431.76 | 69.8% | 0.00 | 4431.76 | 4932.86 | |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above) | | Not Less than 50% | 0.00 | 419.93 | 101.99 | 17.32 | 4486.79 | 5026.04 | 79.2% | 0.00 | 5026.04 | 5531.10 | |
| 3 | Investment subject to Exposure Norms | | | | | | | | | | | | | |
| | a. | Infrastructure/ Social/ Housing Sector | | | | | | | | | | | | |
| | | 1. Approved Investments | Not Less than 15% | 0.00 | 116.78 | 28.17 | 6.80 | 990.52 | 1142.27 | 18.0% | -9.02 | 1133.25 | 1219.70 | |
| | | 2. Other Investments | | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.0% | 0.00 | 0.00 | 0.00 | |
| | b. | i) Approved Investments | Not exceeding 35% | 0.00 | 72.03 | 11.29 | 1.04 | 73.55 | 157.91 | 2.5% | 0.46 | 158.37 | 161.65 | |
| | | ii) Other Investments | | 0.00 | 1.20 | 0.00 | 0.08 | 19.04 | 20.32 | 0.3% | -15.21 | 5.11 | 5.11 | |
| TOTAL LIFE FUND | | | 100% | 0.00 | 609.94 | 141.45 | 25.24 | 5569.91 | 6346.54 | | -23.77 | 6322.77 | 6917.56 | |

| B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS | | | % as per Reg | PH | | Book Value | Actual % | FVC Amount | Total Fund | Market Value |
|---|--|--|-------------------|------|---------|------------|----------|------------|------------|--------------|
| | | | | PAR | NON PAR | | | | | |
| | | | | (a) | (b) | (c)= (a+b) | (d) | (e) | (f)=(c+e) | (g) |
| 1 | Central Govt. Sec | | Not Less than 20% | 0.00 | 195.90 | 195.90 | 66.6% | 0.00 | 195.90 | 214.56 |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above) | | Not Less than 40% | 0.00 | 212.41 | 212.41 | 77.9% | 0.00 | 212.41 | 231.19 |
| 3 | Balance in Approved investment | | Not Less than 40% | 0.00 | 50.35 | 50.35 | 22.1% | 0.00 | 50.35 | 55.60 |
| TOTAL PENSION, GENERAL ANNUITY FUND | | | 100% | 0.00 | 262.76 | 262.76 | 100.0% | | 262.76 | 286.79 |

LINKED BUSINESS

| C. LINKED FUNDS | | | % as per Reg | PH | | Total Fund | Actual % |
|-----------------------------|----------------------|--|-------------------|--------|---------|------------|----------|
| | | | | PAR | NON PAR | | |
| | | | | (a) | (b) | (c)= (a+b) | (d) |
| 1 | Approved Investments | | Not Less than 75% | 131.84 | 2823.43 | 2955.27 | 92.8% |
| 2 | Other Investments | | Not More than 25% | 0.74 | 229.11 | 229.85 | 7.2% |
| TOTAL LINKED INSURANCE FUND | | | 100% | 132.58 | 3052.54 | 3185.12 | 100.0% |

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 17 Oct 2020

Note:

- (+) FRSM refers to 'Funds representing Solvency Margin'
 - Funds beyond Solvency Margin shall have a separate Custody Account.
 - Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
- @ FVC includes impairment provisions taken on bonds and equities

Chief Financial Officer

FORM L-27-UNIT LINKED INSURANCE BUSINESS - 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th September 2020

| PARTICULARS | Group Superannuation & Gratuity | | Group Superannuation & Gratuity | | Group Superannuation & Gratuity Debt | | Group Superannuation & Gratuity | | Group Superannuation & Gratuity | |
|--|---------------------------------|--|---------------------------------|--|--------------------------------------|--|---------------------------------|--|---------------------------------|--|
| | ULGF00210/03/2006GROUPBALAN122 | | ULGF00531/03/2006GROUPCASHF122 | | ULGF00310/03/2006GROUPDEBTF122 | | ULGF00410/03/2006GROUPGROWT122 | | ULGF00113/07/2005GROUPSECUR122 | |
| Opening Balance (Market Value) | 12.54 | | 3.38 | | 215.27 | | 16.05 | | 44.25 | |
| Add: Inflow during the Quarter | 2.14 | | 1.80 | | 4.74 | | 0.44 | | 0.43 | |
| Increase / (Decrease) Value of Inv (Net) | 0.35 | | 0.03 | | 1.13 | | 0.70 | | 0.87 | |
| Less: Outflow during the Quarter | 3.69 | | 2.44 | | 3.10 | | 0.36 | | 4.21 | |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 11.33 | | 2.77 | | 218.04 | | 16.82 | | 41.34 | |

| INVESTMENT OF UNIT FUND | ULGF00210/03/2006GROUPBALAN122 | | ULGF00531/03/2006GROUPCASHF122 | | ULGF00310/03/2006GROUPDEBTF122 | | ULGF00410/03/2006GROUPGROWT122 | | ULGF00113/07/2005GROUPSECUR122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 1.74 | 15.3% | 2.48 | 89.5% | 91.73 | 42.1% | 3.30 | 19.6% | 9.50 | 23.0% |
| State Government Securities | 0.64 | 5.6% | 0.00 | 0.0% | 36.84 | 16.9% | 1.13 | 6.7% | 7.30 | 17.7% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 1.28 | 11.3% | 0.00 | 0.0% | 12.28 | 5.6% | 0.86 | 5.1% | 3.99 | 9.7% |
| Infrastructure Bonds | 3.09 | 27.3% | 0.00 | 0.0% | 58.03 | 26.6% | 1.64 | 9.8% | 10.10 | 24.4% |
| Equity | 3.57 | 31.5% | 0.00 | 0.0% | 0.00 | 0.0% | 7.68 | 45.7% | 7.14 | 17.3% |
| Money Market Investments | 0.46 | 4.0% | 0.29 | 10.4% | 4.63 | 2.1% | 0.70 | 4.2% | 0.40 | 1.0% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 10.77 | 95.0% | 2.77 | 100.0% | 203.50 | 93.3% | 15.31 | 91.0% | 38.44 | 93.0% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 0.18 | 1.6% | 0.00 | 0.0% | 4.52 | 2.1% | 0.15 | 0.9% | 0.83 | 2.0% |
| Dividend Receivable | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Bank Balance | 0.00 | 0.0% | 0.00 | 0.1% | -0.14 | -0.1% | 0.15 | 0.9% | 0.00 | 0.0% |
| Receivable for Sale of Investments | 0.11 | 1.0% | 0.00 | 0.0% | 25.11 | 11.5% | 0.71 | 4.2% | 5.06 | 12.2% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | -0.18 | -1.6% | 0.00 | 0.0% | -17.97 | -8.2% | -0.60 | -3.6% | -4.28 | -10.4% |
| Fund Mgmt Charges Payable | 0.00 | 0.0% | 0.00 | 0.0% | -0.03 | 0.0% | 0.00 | 0.0% | -0.01 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 0.11 | 0.9% | 0.00 | 0.0% | 11.49 | 5.3% | 0.41 | 2.4% | 1.60 | 3.9% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.18 | 1.5% | 0.00 | 0.0% | 3.05 | 1.4% | 0.08 | 0.4% | 0.80 | 1.9% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.20 | 1.7% | 0.00 | 0.0% | 0.00 | 0.0% | 0.23 | 1.4% | 0.34 | 0.8% |
| Mutual funds- Exchange traded funds | 0.09 | 0.8% | 0.00 | 0.0% | 0.00 | 0.0% | 0.79 | 4.7% | 0.15 | 0.4% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 0.46 | 4.0% | 0.00 | 0.0% | 3.05 | 1.4% | 1.10 | 6.5% | 1.29 | 3.1% |
| Total (A + B + C) | 11.33 | 100.0% | 2.77 | 100.0% | 218.04 | 100.0% | 16.82 | 100.0% | 41.34 | 100.0% |
| Fund Carried Forward (as per LB 2) | 11.33 | | 2.77 | | 218.04 | | 16.82 | | 41.34 | |

FORM L-27-UNIT LINKED INSURANCE BUSINESS - 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th September 2020

| PARTICULARS | Grp Superann-Short Term Debt fund | | Pension Unit Linked Balanced Fund | | Pension Unit Linked Balanced - II Fund | | Pension Unit linked Growth fund | | Pension Unit Linked Growth - II Fund | |
|--|-----------------------------------|--|-----------------------------------|--|--|--|---------------------------------|--|--------------------------------------|--|
| | ULGF00613/02/2009GROUPSDEBT122 | | ULIF00311/02/2003PNSBALANCE122 | | ULIF02325/01/2010PNBALAN-II122 | | ULIF00703/03/2005PNSNGROWTH122 | | ULIF02425/01/2010PNGROWTH-II122 | |
| Opening Balance (Market Value) | 0.29 | | 39.07 | | 7.05 | | 53.27 | | 10.07 | |
| Add: Inflow during the Quarter | 0.04 | | 0.75 | | 0.40 | | 0.86 | | 0.61 | |
| Increase / (Decrease) Value of Inv (Net) | 0.00 | | 1.20 | | 0.22 | | 2.41 | | 0.46 | |
| Less: Outflow during the Quarter | 0.04 | | 0.94 | | 0.62 | | 1.31 | | 0.67 | |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 0.29 | | 40.08 | | 7.05 | | 55.23 | | 10.48 | |

| INVESTMENT OF UNIT FUND | ULGF00613/02/2009GROUPSDEBT122 | | ULIF00311/02/2003PNSBALANCE122 | | ULIF02325/01/2010PNBALAN-II122 | | ULIF00703/03/2005PNSNGROWTH122 | | ULIF02425/01/2010PNGROWTH-II122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|---------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 0.28 | 96.3% | 10.86 | 27.1% | 1.73 | 24.5% | 11.26 | 20.4% | 2.19 | 20.9% |
| State Government Securities | 0.00 | 0.0% | 3.30 | 8.2% | 0.87 | 12.4% | 3.38 | 6.1% | 0.55 | 5.2% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 1.35 | 3.4% | 0.10 | 1.5% | 1.42 | 2.6% | 0.11 | 1.1% |
| Infrastructure Bonds | 0.00 | 0.0% | 7.97 | 19.9% | 1.44 | 20.4% | 6.56 | 11.9% | 1.43 | 13.6% |
| Equity | 0.00 | 0.0% | 12.60 | 31.4% | 2.33 | 33.1% | 25.85 | 46.8% | 5.09 | 48.6% |
| Money Market Investments | 0.01 | 3.3% | 2.01 | 5.0% | 0.17 | 2.5% | 1.69 | 3.1% | 0.03 | 0.2% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 0.29 | 99.6% | 38.08 | 95.0% | 6.65 | 94.4% | 50.16 | 90.8% | 9.40 | 89.7% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 0.00 | 0.0% | 0.68 | 1.7% | 0.10 | 1.4% | 0.47 | 0.9% | 0.10 | 0.9% |
| Dividend Receivable | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Bank Balance | 0.00 | 0.4% | 0.08 | 0.2% | 0.00 | 0.0% | 0.02 | 0.0% | 0.00 | 0.0% |
| Receivable for Sale of Investments | 0.00 | 0.0% | 1.49 | 3.7% | 0.44 | 6.2% | 2.78 | 5.0% | 0.57 | 5.5% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | 0.00 | 0.0% | -1.52 | -3.8% | -0.33 | -4.7% | -2.04 | -3.7% | -0.40 | -3.8% |
| Fund Mgmt Charges Payable | 0.00 | 0.0% | -0.01 | 0.0% | 0.00 | 0.0% | -0.02 | 0.0% | 0.00 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 0.00 | 0.4% | 0.72 | 1.8% | 0.20 | 2.9% | 1.21 | 2.2% | 0.28 | 2.6% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.40 | 1.0% | 0.03 | 0.4% | 0.25 | 0.5% | 0.10 | 1.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.00 | 0.0% | 0.61 | 1.5% | 0.12 | 1.6% | 0.79 | 1.4% | 0.16 | 1.5% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 0.26 | 0.7% | 0.05 | 0.7% | 2.81 | 5.1% | 0.55 | 5.2% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 0.00 | 0.0% | 1.28 | 3.2% | 0.19 | 2.7% | 3.86 | 7.0% | 0.80 | 7.7% |
| Total (A + B + C) | 0.29 | 100.0% | 40.08 | 100.0% | 7.05 | 100.0% | 55.23 | 100.0% | 10.48 | 100.0% |
| Fund Carried Forward (as per LB 2) | 0.29 | | 40.08 | | 7.05 | | 55.23 | | 10.48 | |

FORM L-27-UNIT LINKED INSURANCE BUSINESS - 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th September 2020

| PARTICULARS | Pension Unit Linked Infrastructure fund | | Pension Unit Linked Index Fund | | Pension Unit Linked Index - II fund | | Pension Unit Linked PSU fund | | Pension Unit linked Secure fund | |
|--|---|--|--------------------------------|--|-------------------------------------|--|--------------------------------|--|---------------------------------|--|
| | ULIF02525/01/2010PNSNINFRAF122 | | ULIF01122/01/2008PNSNINDEXF122 | | ULIF02625/01/2010PNIINDEX-II122 | | ULIF02725/01/2010PNSNPSUFND122 | | ULIF00803/03/2005PNSNSECURE122 | |
| Opening Balance (Market Value) | 3.13 | | 89.29 | | 21.07 | | 7.87 | | 32.27 | |
| Add: Inflow during the Quarter | 0.20 | | 1.59 | | 1.71 | | 0.32 | | 0.09 | |
| Increase / (Decrease) Value of Inv (Net) | 0.32 | | 6.54 | | 1.54 | | -0.62 | | 0.52 | |
| Less: Outflow during the Quarter | 0.53 | | 4.31 | | 3.05 | | 0.77 | | 0.42 | |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 3.13 | | 93.11 | | 21.26 | | 6.89 | | 32.46 | |

| INVESTMENT OF UNIT FUND | ULIF02525/01/2010PNSNINFRAF122 | | ULIF01122/01/2008PNSNINDEXF122 | | ULIF02625/01/2010PNIINDEX-II122 | | ULIF02725/01/2010PNSNPSUFND122 | | ULIF00803/03/2005PNSNSECURE122 | |
|---|--------------------------------|----------|--------------------------------|----------|---------------------------------|----------|--------------------------------|----------|--------------------------------|----------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 12.00 | 37.0% |
| State Government Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 5.65 | 17.4% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 1.40 | 4.3% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 6.18 | 19.0% |
| Equity | 2.84 | 90.7% | 79.07 | 84.9% | 17.89 | 84.1% | 5.50 | 79.9% | 4.38 | 13.5% |
| Money Market Investments | 0.08 | 2.6% | 1.47 | 1.6% | 0.41 | 1.9% | 0.20 | 2.9% | 0.64 | 2.0% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 2.92 | 93.3% | 80.55 | 86.5% | 18.30 | 86.1% | 5.71 | 82.8% | 30.25 | 93.2% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.58 | 1.8% |
| Dividend Receivable | 0.00 | 0.1% | 0.04 | 0.0% | 0.01 | 0.0% | 0.04 | 0.6% | 0.00 | 0.0% |
| Bank Balance | 0.00 | 0.1% | 0.02 | 0.0% | 0.02 | 0.1% | 0.01 | 0.2% | 0.00 | 0.0% |
| Receivable for Sale of Investments | 0.00 | 0.0% | 0.39 | 0.4% | 0.09 | 0.4% | 0.00 | 0.0% | 3.30 | 10.2% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | 0.00 | 0.0% | -0.18 | -0.2% | -0.04 | -0.2% | 0.00 | 0.0% | -2.47 | -7.6% |
| Fund Mgmt Charges Payable | 0.00 | 0.0% | -0.01 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | -0.01 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 0.01 | 0.2% | 0.26 | 0.3% | 0.07 | 0.3% | 0.05 | 0.7% | 1.41 | 4.3% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.50 | 1.5% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.20 | 6.5% | 1.86 | 2.0% | 0.43 | 2.0% | 1.04 | 15.0% | 0.21 | 0.7% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 10.45 | 11.2% | 2.47 | 11.6% | 0.10 | 1.4% | 0.09 | 0.3% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 0.20 | 6.5% | 12.31 | 13.2% | 2.89 | 13.6% | 1.14 | 16.5% | 0.80 | 2.5% |
| Total (A + B + C) | 3.13 | 100.0% | 93.11 | 100.0% | 21.26 | 100.0% | 6.89 | 100.0% | 32.46 | 100.0% |
| Fund Carried Forward (as per LB 2) | 3.13 | | 93.11 | | 21.26 | | 6.89 | | 32.46 | |

FORM L-27-UNIT LINKED INSURANCE BUSINESS - 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th September 2020

| PARTICULARS | Pension Unit Linked Protector Fund | | Pension Unit Linked Protector -II fund | | Pension Utilised with Profit fund | | Unit Linked Balanced fund | | Unit Linked Balanced- II fund | |
|--|------------------------------------|--|--|--|-----------------------------------|--|--------------------------------|--|--------------------------------|--|
| | ULIF01408/02/2008PNSPROTECT122 | | ULIF02825/01/2010PNPROTE-II122 | | ULIF00411/02/2003PNSWPROFIT122 | | ULIF00106/06/2002LIFBALANCE122 | | ULIF01508/01/2010LIBALAN-II122 | |
| Opening Balance (Market Value) | 14.96 | | 3.05 | | 13.02 | | 280.07 | | 130.99 | |
| Add: Inflow during the Quarter | 1.25 | | 0.67 | | 0.24 | | 8.30 | | 15.33 | |
| Increase / (Decrease) Value of Inv (Net) | 0.24 | | 0.05 | | 0.29 | | 8.16 | | 4.21 | |
| Less: Outflow during the Quarter | 0.78 | | 1.48 | | 0.35 | | 14.65 | | 19.58 | |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 15.67 | | 2.28 | | 13.20 | | 281.98 | | 130.95 | |

| INVESTMENT OF UNIT FUND | ULIF01408/02/2008PNSPROTECT122 | | ULIF02825/01/2010PNPROTE-II122 | | ULIF00411/02/2003PNSWPROFIT122 | | ULIF00106/06/2002LIFBALANCE122 | | ULIF01508/01/2010LIBALAN-II122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 6.23 | 39.8% | 0.52 | 22.6% | 6.97 | 52.9% | 58.97 | 20.9% | 23.90 | 18.3% |
| State Government Securities | 2.29 | 14.6% | 0.62 | 27.3% | 0.00 | 0.0% | 25.75 | 9.1% | 11.41 | 8.7% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.23 | 1.4% | 0.00 | 0.0% | 0.00 | 0.0% | 27.56 | 9.8% | 11.29 | 8.6% |
| Infrastructure Bonds | 3.01 | 19.2% | 0.57 | 25.0% | 2.93 | 22.2% | 49.42 | 17.5% | 20.21 | 15.4% |
| Equity | 2.11 | 13.5% | 0.32 | 13.9% | 1.44 | 10.9% | 89.71 | 31.8% | 46.23 | 35.3% |
| Money Market Investments | 0.67 | 4.3% | 0.00 | 0.0% | 1.54 | 11.7% | 9.37 | 3.3% | 9.27 | 7.1% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 14.54 | 92.8% | 2.03 | 88.8% | 12.88 | 97.6% | 260.79 | 92.5% | 122.32 | 93.4% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 0.24 | 1.5% | 0.04 | 2.0% | 0.33 | 2.5% | 4.24 | 1.5% | 1.57 | 1.2% |
| Dividend Receivable | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.01 | 0.0% | 0.01 | 0.0% |
| Bank Balance | 0.00 | 0.0% | 0.00 | 0.0% | -0.09 | -0.6% | 0.07 | 0.0% | 1.38 | 1.1% |
| Receivable for Sale of Investments | 1.44 | 9.2% | 0.26 | 11.5% | 0.00 | 0.0% | 17.63 | 6.3% | 8.22 | 6.3% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | -1.18 | -7.5% | -0.20 | -8.8% | 0.00 | 0.0% | -13.53 | -4.8% | -6.68 | -5.1% |
| Fund Mgmt Charges Payable | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | -0.07 | 0.0% | -0.03 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 0.50 | 3.2% | 0.11 | 4.7% | 0.24 | 1.8% | 8.34 | 3.0% | 4.47 | 3.4% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.48 | 3.0% | 0.13 | 5.5% | 0.00 | 0.0% | 6.60 | 2.3% | 0.93 | 0.7% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.10 | 0.6% | 0.02 | 0.7% | 0.08 | 0.6% | 4.37 | 1.6% | 2.26 | 1.7% |
| Mutual funds- Exchange traded funds | 0.04 | 0.3% | 0.01 | 0.3% | 0.00 | 0.0% | 1.88 | 0.7% | 0.97 | 0.7% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 0.62 | 3.9% | 0.15 | 6.5% | 0.08 | 0.6% | 12.85 | 4.6% | 4.16 | 3.2% |
| Total (A + B + C) | 15.67 | 100.0% | 2.28 | 100.0% | 13.20 | 100.0% | 281.98 | 100.0% | 130.95 | 100.0% |
| Fund Carried Forward (as per LB 2) | 15.67 | | 2.28 | | 13.20 | | 281.98 | | 130.95 | |

FORM L-27-UNIT LINKED INSURANCE BUSINESS - 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th September 2020

| PARTICULARS | Unit Linked Debt Fund | | Unit Linked Debt -II Fund | | Unit Linked Enhancer fund | | Unit Linked Enhancer - II fund | | Unit Linked Growth Fund | |
|---|---------------------------------|--|---------------------------------|--|--------------------------------|--|--------------------------------|--|--------------------------------|--|
| | ULIF01306/02/2008LIFEDEBT-FU122 | | ULIF01608/01/2010LIFEDEBT-II122 | | ULIF01230/01/2008LIENHANCER122 | | ULIF01708/01/2010LIFENHN-II122 | | ULIF00527/01/2004LIFEGROWTH122 | |
| Opening Balance (Market Value) | 3.99 | | 130.40 | | 41.53 | | 356.63 | | 731.69 | |
| Add: Inflow during the Quarter | 3.22 | | 15.36 | | 0.73 | | 46.05 | | 16.16 | |
| Increase / (Decrease) Value of Inv (Net) | 0.01 | | 0.44 | | 3.15 | | 26.86 | | 42.63 | |
| Less: Outflow during the Quarter | 0.16 | | 27.70 | | 2.54 | | 52.42 | | 34.33 | |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 7.06 | | 118.50 | | 42.87 | | 377.12 | | 756.15 | |

| INVESTMENT OF UNIT FUND | ULIF01306/02/2008LIFEDEBT-FU122 | | ULIF01608/01/2010LIFEDEBT-II122 | | ULIF01230/01/2008LIENHANCER122 | | ULIF01708/01/2010LIFENHN-II122 | | ULIF00527/01/2004LIFEGROWTH122 | |
|---|---------------------------------|---------------|---------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 3.81 | 54.0% | 40.37 | 34.1% | 0.00 | 0.0% | 0.00 | 0.0% | 59.01 | 7.8% |
| State Government Securities | 0.96 | 13.7% | 19.98 | 16.9% | 0.00 | 0.0% | 0.00 | 0.0% | 23.32 | 3.1% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 0.00 | 0.0% | 9.64 | 8.1% | 0.00 | 0.0% | 0.00 | 0.0% | 29.72 | 3.9% |
| Infrastructure Bonds | 1.00 | 14.1% | 36.99 | 31.2% | 0.00 | 0.0% | 0.00 | 0.0% | 46.47 | 6.1% |
| Equity | 0.00 | 0.0% | 0.00 | 0.0% | 36.57 | 85.3% | 316.38 | 83.9% | 497.79 | 65.8% |
| Money Market Investments | 0.68 | 9.7% | 1.62 | 1.4% | 1.00 | 2.3% | 15.01 | 4.0% | 25.86 | 3.4% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 6.45 | 91.5% | 108.60 | 91.6% | 37.57 | 87.6% | 331.39 | 87.9% | 682.18 | 90.2% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 0.09 | 1.3% | 2.65 | 2.2% | 0.00 | 0.0% | 0.00 | 0.0% | 3.69 | 0.5% |
| Dividend Receivable | 0.00 | 0.0% | 0.00 | 0.0% | 0.01 | 0.0% | 0.06 | 0.0% | 0.06 | 0.0% |
| Bank Balance | 0.00 | 0.0% | -0.05 | 0.0% | 0.01 | 0.0% | 1.19 | 0.3% | -0.03 | 0.0% |
| Receivable for Sale of Investments | 0.75 | 10.6% | 14.69 | 12.4% | 0.20 | 0.5% | 1.63 | 0.4% | 15.26 | 2.0% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | -0.48 | -6.8% | -9.61 | -8.1% | -0.09 | -0.2% | -0.98 | -0.3% | -15.77 | -2.1% |
| Fund Mgmt Charges Payable | 0.00 | 0.0% | -0.03 | 0.0% | -0.01 | 0.0% | -0.10 | 0.0% | -0.22 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 0.35 | 5.0% | 7.65 | 6.5% | 0.12 | 0.3% | 1.80 | 0.5% | 2.99 | 0.4% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.25 | 3.5% | 2.25 | 1.9% | 0.00 | 0.0% | 0.00 | 0.0% | 2.13 | 0.3% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 0.00 | 0.0% | 0.00 | 0.0% | 1.26 | 2.9% | 11.45 | 3.0% | 15.32 | 2.0% |
| Mutual funds- Exchange traded funds | 0.00 | 0.0% | 0.00 | 0.0% | 3.91 | 9.1% | 32.49 | 8.6% | 53.53 | 7.1% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 0.25 | 3.5% | 2.25 | 1.9% | 5.18 | 12.1% | 43.93 | 11.6% | 70.97 | 9.4% |
| Total (A + B + C) | 7.06 | 100.0% | 118.50 | 100.0% | 42.87 | 100.0% | 377.12 | 100.0% | 756.15 | 100.0% |
| Fund Carried Forward (as per LB 2) | 7.06 | | 118.50 | | 42.87 | | 377.12 | | 756.15 | |

FORM L-27-UNIT LINKED INSURANCE BUSINESS - 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th September 2020

| PARTICULARS | Unit Linked Growth - II fund | | Unit Linked Infrastructure fund | | Unit Linked Index fund | | Unit Linked Index - II fund | | Unit Linked Protector Fund | |
|---|--------------------------------|--|---------------------------------|--|--------------------------------|--|--------------------------------|--|--------------------------------|--|
| | ULIF01808/01/2010LIGROWT-II122 | | ULIF01908/01/2010LIFEINFRAF122 | | ULIF01002/01/2008LIFEINDEXF122 | | ULIF02008/01/2010LIFINDX-II122 | | ULIF00911/07/2006LIFPROTECT122 | |
| Opening Balance (Market Value) | 134.27 | | 20.88 | | 43.23 | | 61.78 | | 27.48 | |
| Add: Inflow during the Quarter | 7.21 | | 1.14 | | 0.82 | | 2.49 | | 1.33 | |
| Increase / (Decrease) Value of Inv (Net) | 7.77 | | 2.17 | | 3.16 | | 4.57 | | 0.43 | |
| Less: Outflow during the Quarter | 14.89 | | 3.97 | | 1.81 | | 8.87 | | 1.90 | |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 134.36 | | 20.23 | | 45.39 | | 60.17 | | 27.34 | |

| INVESTMENT OF UNIT FUND | ULIF01808/01/2010LIGROWT-II122 | | ULIF01908/01/2010LIFEINFRAF122 | | ULIF01002/01/2008LIFEINDEXF122 | | ULIF02008/01/2010LIFINDX-II122 | | ULIF00911/07/2006LIFPROTECT122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 11.60 | 8.6% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 7.04 | 25.7% |
| State Government Securities | 4.83 | 3.6% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 5.13 | 18.8% |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Corporate Bonds | 4.07 | 3.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 2.06 | 7.5% |
| Infrastructure Bonds | 8.53 | 6.3% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 5.96 | 21.8% |
| Equity | 89.43 | 66.6% | 18.58 | 91.9% | 38.54 | 84.9% | 51.15 | 85.0% | 3.75 | 13.7% |
| Money Market Investments | 1.68 | 1.2% | 0.37 | 1.8% | 0.78 | 1.7% | 0.44 | 0.7% | 0.18 | 0.6% |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (A) | 120.13 | 89.4% | 18.95 | 93.7% | 39.32 | 86.6% | 51.59 | 85.7% | 24.12 | 88.2% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 0.63 | 0.5% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.58 | 2.1% |
| Dividend Receivable | 0.01 | 0.0% | 0.03 | 0.1% | 0.02 | 0.0% | 0.02 | 0.0% | 0.00 | 0.0% |
| Bank Balance | 0.16 | 0.1% | -0.10 | -0.5% | -0.04 | -0.1% | 0.01 | 0.0% | 0.01 | 0.0% |
| Receivable for Sale of Investments | 3.88 | 2.9% | 0.00 | 0.0% | 0.19 | 0.4% | 0.28 | 0.5% | 3.19 | 11.7% |
| Other Current Assets (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | -3.13 | -2.3% | 0.00 | 0.0% | -0.09 | -0.2% | -0.11 | -0.2% | -2.70 | -9.9% |
| Fund Mgmt Charges Payable | -0.03 | 0.0% | -0.01 | 0.0% | -0.01 | 0.0% | -0.02 | 0.0% | -0.01 | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (B) | 1.52 | 1.1% | -0.08 | -0.4% | 0.08 | 0.2% | 0.19 | 0.3% | 1.07 | 3.9% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.35 | 0.3% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 1.90 | 7.0% |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Equity | 2.76 | 2.1% | 1.35 | 6.7% | 0.90 | 2.0% | 1.21 | 2.0% | 0.17 | 0.6% |
| Mutual funds- Exchange traded funds | 9.60 | 7.1% | 0.00 | 0.0% | 5.10 | 11.2% | 7.19 | 11.9% | 0.08 | 0.3% |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Sub Total (C) | 12.72 | 9.5% | 1.35 | 6.7% | 6.00 | 13.2% | 8.40 | 14.0% | 2.14 | 7.8% |
| Total (A + B + C) | 134.36 | 100.0% | 20.23 | 100.0% | 45.39 | 100.0% | 60.17 | 100.0% | 27.34 | 100.0% |
| Fund Carried Forward (as per LB 2) | 134.36 | | 20.23 | | 45.39 | | 60.17 | | 27.34 | |

FORM L-27-UNIT LINKED INSURANCE BUSINESS - 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th September 2020

| PARTICULARS | Unit Linked Protector - II fund | Unit Linked PSU fund | Unit Linked Secure fund | Unitised with Profit fund | Unit Linked Liquid Fund |
|--|---------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|
| | ULIF02108/01/2010LIPROTE-II122 | ULIF02208/01/2010LIFEPSUFND122 | ULIF00627/01/2004LIFESECURE122 | ULIF00225/06/2002LIFWPROFIT122 | ULIF02903/05/2010LIFELIQUID122 |
| Opening Balance (Market Value) | 59.81 | 52.99 | 135.07 | 116.84 | 0.00 |
| Add: Inflow during the Quarter | 8.48 | 2.21 | 5.79 | 1.05 | 0.00 |
| Increase / (Decrease) Value of Inv (Net) | 0.86 | -3.32 | 2.09 | 2.52 | 0.00 |
| Less: Outflow during the Quarter | 14.67 | 6.81 | 11.45 | 1.03 | 0.00 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 54.48 | 45.07 | 131.51 | 119.38 | 0.00 |

| INVESTMENT OF UNIT FUND | ULIF02108/01/2010LIPROTE-II122 | | ULIF02208/01/2010LIFEPSUFND122 | | ULIF00627/01/2004LIFESECURE122 | | ULIF00225/06/2002LIFWPROFIT122 | | ULIF02903/05/2010LIFELIQUID122 | |
|---|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|---------------|--------------------------------|----------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 16.43 | 30.2% | 0.00 | 0.0% | 30.88 | 23.5% | 58.61 | 49.1% | 0.00 | - |
| State Government Securities | 9.74 | 17.9% | 0.00 | 0.0% | 20.62 | 15.7% | 0.00 | 0.0% | 0.00 | - |
| Other Approved Securities | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | - |
| Corporate Bonds | 5.09 | 9.3% | 0.00 | 0.0% | 13.70 | 10.4% | 0.00 | 0.0% | 0.00 | - |
| Infrastructure Bonds | 11.40 | 20.9% | 0.00 | 0.0% | 32.19 | 24.5% | 32.44 | 27.2% | 0.00 | - |
| Equity | 7.85 | 14.4% | 36.89 | 81.8% | 18.05 | 13.7% | 12.89 | 10.8% | 0.00 | - |
| Money Market Investments | 0.46 | 0.8% | 0.10 | 0.2% | 0.49 | 0.4% | 11.99 | 10.0% | 0.00 | - |
| Mutual funds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | - |
| Deposit with Banks | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | - |
| Sub Total (A) | 50.96 | 93.5% | 36.99 | 82.1% | 115.92 | 88.1% | 115.93 | 97.1% | 0.00 | - |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 1.07 | 2.0% | 0.00 | 0.0% | 3.06 | 2.3% | 3.04 | 2.5% | 0.00 | - |
| Dividend Receivable | 0.00 | 0.0% | 0.28 | 0.6% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | - |
| Bank Balance | -0.59 | -1.1% | 0.02 | 0.0% | -0.12 | -0.1% | -0.25 | -0.2% | 0.00 | - |
| Receivable for Sale of Investments | 6.43 | 11.8% | 0.00 | 0.0% | 11.18 | 8.5% | 0.00 | 0.0% | 0.00 | - |
| Other Current Assets (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | - |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | -4.75 | -8.7% | 0.00 | 0.0% | -9.78 | -7.4% | 0.00 | 0.0% | 0.00 | - |
| Fund Mgmt Charges Payable | -0.01 | 0.0% | -0.01 | 0.0% | -0.03 | 0.0% | 0.00 | 0.0% | 0.00 | - |
| Other Current Liabilities (for Investments) | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | - |
| Sub Total (B) | 2.16 | 4.0% | 0.28 | 0.6% | 4.32 | 3.3% | 2.79 | 2.3% | 0.00 | - |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.83 | 1.5% | 0.00 | 0.0% | 10.05 | 7.6% | 0.00 | 0.0% | 0.00 | - |
| Infrastructure Bonds | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | - |
| Equity | 0.38 | 0.7% | 7.15 | 15.9% | 0.85 | 0.6% | 0.66 | 0.6% | 0.00 | - |
| Mutual funds- Exchange traded funds | 0.16 | 0.3% | 0.65 | 1.5% | 0.37 | 0.3% | 0.00 | 0.0% | 0.00 | - |
| Others | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | - |
| Sub Total (C) | 1.37 | 2.5% | 7.80 | 17.3% | 11.27 | 8.6% | 0.66 | 0.6% | 0.00 | - |
| Total (A + B + C) | 54.48 | 100.0% | 45.07 | 100.0% | 131.51 | 100.0% | 119.38 | 100.0% | 0.00 | - |
| Fund Carried Forward (as per LB 2) | 54.48 | | 45.07 | | 131.51 | | 119.38 | | 0.00 | |

FORM L-27-UNIT LINKED INSURANCE BUSINESS - 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Name of the Insurer: Aviva Life Insurance Company India Limited

Registration Number: 122

Periodicity of Submission: Quarterly

Statement as on: 30th September 2020

PART - B

Rs. Crore

| PARTICULARS | Unit Linked Wealth Builder fund | | Group Superannuation & Gratuity | | Unit Linked Dynamic P/E Fund | | Discontinued Policy Fund | | Total of All Funds | |
|--|---------------------------------|--|---------------------------------|--|--------------------------------|--|--------------------------------|--|--------------------|--|
| | ULIF03020/07/2010LIFEWEALTH122 | | ULGF00728/03/2011GROUPINCOM122 | | ULIF03201/08/2011LIFDYNAMIC122 | | ULIF03127/01/2011LIDISCLPCY122 | | | |
| Opening Balance (Market Value) | 0.67 | | 0.00 | | 69.00 | | 133.72 | | 3116.93 | |
| Add: Inflow during the Quarter | 1.18 | | 0.00 | | 2.26 | | 56.82 | | 214.42 | |
| Increase / (Decrease) Value of Inv (Net) | 0.00 | | 0.00 | | 4.70 | | 1.52 | | 128.28 | |
| Less: Outflow during the Quarter | 1.84 | | 0.00 | | 4.83 | | 22.06 | | 274.51 | |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 0.00 | | 0.00 | | 71.12 | | 170.01 | | 3185.12 | |

| INVESTMENT OF UNIT FUND | ULIF03020/07/2010LIFEWEALTH122 | | ULGF00728/03/2011GROUPINCOM122 | | ULIF03201/08/2011LIFDYNAMIC122 | | ULIF03127/01/2011LIDISCLPCY122 | | Total of All Funds | |
|---|--------------------------------|----------|--------------------------------|----------|--------------------------------|----------|--------------------------------|----------|--------------------|----------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | |
| Central Govt Securities | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 150.91 | 88.8% | 622.32 | 19.5% |
| State Government Securities | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 184.31 | 5.8% |
| Other Approved Securities | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | - | 0.0% |
| Corporate Bonds | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 126.16 | 4.0% |
| Infrastructure Bonds | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 347.56 | 10.9% |
| Equity | 0.00 | - | 0.00 | 0.0% | 52.79 | 74.2% | 0.00 | 0.0% | 1,494.41 | 46.9% |
| Money Market Investments | 0.00 | - | 0.00 | 0.0% | 9.57 | 13.5% | 19.92 | 11.7% | 124.20 | 3.9% |
| Mutual funds | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | - | 0.0% |
| Deposit with Banks | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | - | 0.0% |
| Sub Total (A) | 0.00 | - | 0.00 | 0.0% | 62.36 | 87.7% | 170.83 | 100.5% | 2,898.96 | 91.0% |
| Current Assets: | | | | | | | | | | |
| Accrued Interest | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 28.84 | 0.9% |
| Dividend Receivable | 0.00 | - | 0.00 | 0.0% | 0.01 | 0.0% | 0.00 | 0.0% | 0.62 | 0.0% |
| Bank Balance | 0.00 | - | 0.00 | 100.0% | 0.36 | 0.5% | -0.81 | -0.5% | 1.34 | 0.0% |
| Receivable for Sale of Investments | 0.00 | - | 0.00 | 0.0% | 0.28 | 0.4% | 0.00 | 0.0% | 125.56 | 3.9% |
| Other Current Assets (for Investments) | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% |
| Less: Current Liabilities | | | | | | | | | | |
| Payable for Investments | 0.00 | - | 0.00 | 0.0% | -0.21 | -0.3% | 0.00 | 0.0% | (99.30) | -3.1% |
| Fund Mgmt Charges Payable | 0.00 | - | 0.00 | 0.0% | -0.02 | 0.0% | -0.02 | 0.0% | (0.72) | 0.0% |
| Other Current Liabilities (for Investments) | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | (0.01) | 0.0% |
| Sub Total (B) | 0.00 | - | 0.00 | 100.0% | 0.43 | 0.6% | -0.82 | -0.5% | 56.32 | 1.8% |
| Other Investments (<=25%) | | | | | | | | | | |
| Corporate Bonds | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | 31.25 | 1.0% |
| Infrastructure Bonds | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | - | 0.0% |
| Equity | 0.00 | - | 0.00 | 0.0% | 1.84 | 2.6% | 0.00 | 0.0% | 58.31 | 1.8% |
| Mutual funds- Exchange traded funds | 0.00 | - | 0.00 | 0.0% | 6.49 | 9.1% | 0.00 | 0.0% | 140.28 | 4.4% |
| Others | 0.00 | - | 0.00 | 0.0% | 0.00 | 0.0% | 0.00 | 0.0% | - | 0.0% |
| Sub Total (C) | 0.00 | - | 0.00 | 0.0% | 8.33 | 11.7% | 0.00 | 0.0% | 229.85 | 7.2% |
| Total (A + B + C) | 0.00 | - | 0.00 | 100.0% | 71.12 | 100.0% | 170.01 | 100.0% | 3,185.12 | 100.0% |
| Fund Carried Forward (as per LB 2) | 0.00 | | 0.00 | | 71.12 | | 170.01 | | 3,185.12 | |

FORM L-28-UNIT LINKED NAV - 3A

(Read with Regulation 10)
 Name of the Insurer: Aviva Life Insurance Company India Limited
 Registration Number: 122
 Link to FORM 3A (Part B)
 Statement for the period: 30th September 2020
 Periodicity of Submission: Quarterly
 Statement of NAV of Segregated Funds

PART - C

Based on Audited Financials

Rs. in Crore

| No | Fund Name | SFIN | Date of Launch | Par/Non Par | Assets Under Management on the above date | NAV as per la 2 | NAV as on the above date* | Previous Qtr NAV | 2nd Previous Qtr NAV | 3rd Previous Qtr NAV | 4th Previous Qtr NAV | Return/Yield | 3 Year Rolling CAGR | Highest NAV since inception |
|-------|---|-----------------------------------|----------------|-------------|---|-----------------|---------------------------|------------------|----------------------|----------------------|----------------------|--------------|---------------------|-----------------------------|
| 1 | Unit Linked Balanced fund | ULIF00106/06/2002ULFBALANCE122 | 6/6/2002 | Non Par | 281.98 | 72.3512 | 72.3512 | 70.3044 | 63.8479 | 71.3489 | 69.4607 | 4.2% | 4.0% | 73.3480 |
| 2 | Pension Unit Linked Balanced Fund | ULIF00311/02/2003PNSBALANCE122 | 2/11/2003 | Non Par | 40.08 | 56.1861 | 56.1861 | 54.5085 | 49.6242 | 55.2177 | 53.7868 | 4.5% | 3.8% | 56.9307 |
| 3 | Unit Linked Growth Fund | ULIF00527/01/2004LIFEGROWTH122 | 1/27/2004 | Non Par | 756.15 | 59.4475 | 59.4475 | 56.1788 | 48.3568 | 63.8127 | 61.4587 | -3.3% | 2.3% | 64.6504 |
| 4 | Unit Linked Secure fund | ULIF00627/01/2004LIFESECURE122 | 1/27/2004 | Non Par | 131.51 | 31.6904 | 31.6904 | 31.2106 | 29.2071 | 30.5661 | 29.9199 | 5.9% | 4.7% | 32.0198 |
| 5 | Pension Unit linked Growth fund | ULIF00703/03/2005PNSNGROWTH122 | 3/3/2005 | Non Par | 55.23 | 36.3045 | 36.3045 | 34.7356 | 30.8747 | 37.1480 | 36.0029 | 0.8% | 3.4% | 37.5404 |
| 6 | Pension Unit linked Secure fund | ULIF00803/03/2005PNSNSecure122 | 3/3/2005 | Non Par | 32.46 | 29.6478 | 29.6478 | 29.1753 | 27.2873 | 27.9950 | 27.4851 | 7.9% | 4.5% | 29.9461 |
| 7 | Group Superannuation & Gratuity Secure Fund | ULGF00113/07/2005GROUPESECURE122 | 7/13/2005 | Non Par | 41.34 | 31.3037 | 31.3037 | 30.7116 | 28.6643 | 29.6836 | 29.0877 | 7.6% | 4.6% | 31.6556 |
| 8 | Group Superannuation & Gratuity Debt Fund | ULGF00310/03/2006GROUPEBTF122 | 3/10/2006 | Non Par | 218.04 | 30.1508 | 30.1508 | 28.7184 | 27.7833 | 27.3844 | 27.3844 | 10.1% | 5.5% | 30.4284 |
| 9 | Group Superannuation & Gratuity Balanced Fund | ULGF00310/03/2006GROUPEBALAN122 | 3/10/2006 | Non Par | 11.33 | 28.5534 | 28.5534 | 27.7812 | 25.2212 | 28.4520 | 27.6232 | 3.4% | 4.5% | 28.9873 |
| 10 | Group Superannuation & Gratuity Growth Fund | ULGF00410/03/2006GROUPEGROWTH122 | 3/10/2006 | Non Par | 16.82 | 33.3278 | 33.3278 | 31.9249 | 28.3804 | 33.9135 | 32.8681 | 1.4% | 4.2% | 34.2929 |
| 11 | Group Superannuation & Gratuity Cash Fund | ULGF00531/03/2006GROUPECASH122 | 3/31/2006 | Non Par | 2.77 | 27.4047 | 27.4047 | 27.1084 | 26.8319 | 26.5454 | 26.2495 | 4.4% | 5.0% | 27.4047 |
| 12 | Unit Linked Protector Fund | ULUF00911/07/2006LIFPROTECT122 | 7/11/2006 | Non Par | 27.34 | 26.7349 | 26.7349 | 26.3282 | 24.6907 | 25.8395 | 25.2972 | 5.7% | 3.5% | 27.0070 |
| 13 | Unit Linked Index fund | ULUF01002/01/2008LIFEINDEX122 | 1/2/2008 | Non Par | 45.39 | 18.2179 | 18.2179 | 16.9811 | 14.2648 | 20.3361 | 19.4066 | -6.1% | 3.2% | 20.6838 |
| 14 | Pension Unit Linked Index Fund | ULUF01122/01/2008PNSINDEX122 | 1/22/2008 | Non Par | 93.11 | 22.4412 | 22.4412 | 20.9111 | 17.5817 | 25.0765 | 23.9365 | -6.2% | 3.2% | 25.4986 |
| 15 | Unit Linked Enhancer fund | ULUF01230/01/2008LIFEENHANCER122 | 1/30/2008 | Non Par | 42.87 | 24.3795 | 24.3795 | 22.6658 | 19.0350 | 27.5371 | 26.3768 | -7.6% | 1.3% | 28.0181 |
| 16 | Unit Linked Debt Fund | ULUF01306/02/2008LIFEDEBT122 | 2/6/2008 | Non Par | 7.06 | 26.0063 | 26.0063 | 25.8393 | 24.7992 | 24.4443 | 24.0904 | 8.0% | 3.1% | 26.2412 |
| 17 | Pension Unit Linked Protector Fund | ULUF01408/02/2008PNSPROTECT122 | 2/8/2008 | Non Par | 15.67 | 23.8593 | 23.8593 | 23.4856 | 21.9978 | 22.7128 | 22.2725 | 7.1% | 3.5% | 24.0839 |
| 18 | Grp Superann-Short Term Debt fund | ULGF00613/02/2009GROUPEDEBT122 | 2/13/2009 | Non Par | 0.29 | 21.9914 | 21.9914 | 21.8032 | 21.5923 | 21.3646 | 21.1175 | 4.1% | 5.1% | 21.9914 |
| 19 | Unit Linked PSU fund | ULIF02208/01/2010LIFEPSUFUND122 | 1/8/2010 | Non Par | 45.07 | 8.9560 | 8.9560 | 9.6306 | 8.4076 | 12.8059 | 12.2642 | -27.0% | -14.9% | 16.4367 |
| 20 | Unit Linked Index - II fund | ULIF02008/01/2010LIFEINDEX-II122 | 1/8/2010 | Non Par | 60.17 | 19.7065 | 19.7065 | 18.3623 | 15.4270 | 22.1092 | 21.1388 | -6.8% | 2.6% | 22.4737 |
| 21 | Unit Linked Growth - II fund | ULIF01808/01/2010LIFEGROWTH-II122 | 1/8/2010 | Non Par | 134.36 | 21.1078 | 21.1078 | 19.9337 | 17.1500 | 22.5563 | 21.7497 | -3.0% | 2.7% | 22.8504 |
| 22 | Unit Linked Balanced- II fund | ULIF01508/01/2010LIBALAN-II122 | 1/8/2010 | Non Par | 130.95 | 21.6462 | 21.6462 | 20.9569 | 18.9529 | 21.4367 | 20.8486 | 3.8% | 4.1% | 21.9744 |
| 23 | Unit Linked Enhancer - II fund | ULIF01708/01/2010LIFEENH-II122 | 1/8/2010 | Non Par | 377.12 | 23.7536 | 23.7536 | 22.0354 | 18.5174 | 26.4936 | 25.3658 | -6.4% | 2.0% | 26.9570 |
| 24 | Unit Linked Debt -II fund | ULIF01608/01/2010LIFEDEBT-II122 | 1/8/2010 | Non Par | 118.50 | 21.5957 | 21.5957 | 21.5414 | 20.6421 | 20.0189 | 19.7516 | 9.3% | 3.7% | 21.8430 |
| 25 | Unit Linked Infrastructure fund | ULIF01908/01/2010LIFEINFRA122 | 1/8/2010 | Non Par | 20.23 | 11.0446 | 11.0446 | 9.9862 | 7.9204 | 11.1087 | 11.1550 | -1.0% | 4.0% | 14.5449 |
| 26 | Unit Linked Protector - II fund | ULIF02108/01/2010LIFEPROTE-II122 | 1/8/2010 | Non Par | 54.48 | 21.0213 | 21.0213 | 20.7265 | 19.4144 | 19.8933 | 19.5236 | 7.7% | 3.9% | 21.2527 |
| 27 | Pension Unit Linked Index - II fund | ULIF02625/01/2010PNSINDEX-II122 | 1/25/2010 | Non Par | 21.26 | 21.6920 | 21.6920 | 20.2180 | 17.0233 | 24.4301 | 23.3371 | -7.0% | 2.7% | 24.8378 |
| 28 | Pension Unit Linked PSU fund | ULIF02725/01/2010PNSPSUFUND122 | 1/25/2010 | Non Par | 6.89 | 9.1755 | 9.1755 | 9.8734 | 8.6468 | 13.0061 | 12.4627 | -26.4% | -14.7% | 16.7318 |
| 29 | Pension Unit Linked Balanced - II fund | ULIF02325/01/2010PNSBALAN-II122 | 1/25/2010 | Non Par | 7.05 | 21.0302 | 21.0302 | 20.4085 | 18.5125 | 20.6817 | 20.1513 | 4.4% | 4.2% | 21.3320 |
| 30 | Pension Unit Linked Growth - II fund | ULIF02425/01/2010PNSGROWTH-II122 | 1/25/2010 | Non Par | 10.48 | 21.9662 | 21.9662 | 21.0134 | 18.6811 | 22.5970 | 21.9173 | 0.2% | 2.5% | 22.9812 |
| 31 | Pension Unit Linked Infrastructure fund | ULIF02525/01/2010PNSINFRA122 | 1/25/2010 | Non Par | 3.13 | 11.2209 | 11.2209 | 10.1833 | 8.0858 | 11.4697 | 11.5570 | -2.9% | -4.8% | 15.1488 |
| 32 | Pension Unit Linked Protector -II fund | ULIF02825/01/2010PNSPROTE-II122 | 1/25/2010 | Non Par | 2.28 | 19.4777 | 19.4777 | 19.2262 | 18.0417 | 18.5449 | 18.2042 | 7.0% | 1.0% | 20.1022 |
| 33 | Unit Linked Liquid fund @ | ULIF02903/05/2010LIFEQUID122 | 5/3/2010 | Non Par | NA | NA | NA | 12.4150 | 12.4150 | 12.4150 | 12.4150 | NA | NA | 12.4150 |
| 34 | Unit Linked Wealth Builder fund @ | ULIF03020/07/2010LIFEWEALTH122 | 7/20/2010 | Non Par | NA | NA | NA | 18.8430 | 18.7242 | 18.5645 | 18.3785 | NA | NA | 18.8686 |
| 35 | Discontinued Policy Fund | ULIF03127/01/2011LIFDISPOLCY122 | 1/27/2011 | Non Par | 170.01 | 19.1155 | 19.1155 | 18.9392 | 18.7229 | 18.4944 | 18.2408 | 4.8% | 5.7% | 19.1155 |
| 36 | Group Superannuation & Gratuity Income fund | ULGF00728/03/2011GROUPEINCOM122 | 3/25/2011 | Non Par | 0.00 | 17.0280 | 17.0280 | 17.0280 | 17.0280 | 17.0280 | 17.0280 | 0.0% | 0.0% | 17.0397 |
| 37 | Unit Linked Dynamic P/E Fund | ULIF03201/08/2011LIFEDYNAMIC122 | 8/1/2011 | Non Par | 71.12 | 20.8566 | 20.8566 | 19.5172 | 16.6287 | 22.3223 | 21.5348 | -3.1% | 3.4% | 22.6101 |
| 38 | Unitised with Profit fund | ULIF00225/06/2002LIFWPROFIT122 | 6/25/2002 | Par | 119.38 | 34.9530 | 34.9530 | 33.6366 | 32.3833 | 31.1767 | 30.0025 | 16.5% | 14.9% | 34.9530 |
| 39 | Pension Unitised with Profit fund | ULIF00411/02/2003PNSWPROFIT122 | 2/11/2003 | Par | 13.20 | 36.3387 | 36.3387 | 34.6747 | 33.1037 | 31.6038 | 30.1566 | 20.5% | 15.9% | 36.3387 |
| Total | | | | | 3,185.12 | | | | | | | | | |

CERTIFICATION

Certified that the performance of all segregated funds have been placed and reviewed by the Board. All information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

DATE : 17-10-2020

Note:

1. * NAV should reflect the published NAV on the reporting date

2. NAV should be upto 4 decimals

@ 2 funds viz., Unit Linked Wealth Builder Fund and Unit Linked Liquid fund are closed ended funds and are left with no policyholders.

Chief Financial Officer

FORM L-29- Detail regarding debt securities

Unit Linked

Rs. In Crores

| Detail Regarding debt securities | | | | | | | | |
|--|---------------------------|------------------------------|---------------------------|------------------------------|---------------------------|------------------------------|---------------------------|------------------------------|
| | MARKET VALUE | | | | Book Value | | | |
| | As at 30th September 2020 | as % of total for this class | As at 30th September 2019 | as % of total for this class | As at 30th September 2020 | as % of total for this class | As at 30th September 2019 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 1,270 | 88.4% | 1,521 | 90.1% | 1,241 | 85.4% | 1,510 | 87.7% |
| AA or better | 135 | 9.4% | 130 | 7.7% | 127 | 8.7% | 127 | 7.4% |
| Rated below AA but above A | 0 | 0.0% | 21 | 1.2% | 0 | 0.0% | 20 | 1.2% |
| Rated below A but above B | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Any other | 31 | 2.2% | 16 | 1.0% | 86 | 5.9% | 66 | 3.8% |
| | 1,436 | 100.00% | 1,687 | 100.00% | 1,453 | 100.00% | 1,723 | 100.00% |
| BREAKDOWN BY RESIDUALMATURITY | | | | | | | | |
| Up to 1 year | 317 | 22.1% | 531 | 31.5% | 317 | 21.8% | 531 | 30.8% |
| more than 1 year and upto 3years | 105 | 7.3% | 96 | 5.7% | 126 | 8.7% | 94 | 5.4% |
| More than 3years and up to 7years | 300 | 20.9% | 634 | 37.6% | 316 | 21.7% | 676 | 39.2% |
| More than 7 years and up to 10 years | 358 | 25.0% | 180 | 10.7% | 349 | 24.0% | 177 | 10.3% |
| More than 10 years and up to 15 years | 88 | 6.1% | 37 | 2.2% | 87 | 6.0% | 36 | 2.1% |
| More than 15 years and up to 20 years | 3 | 0.2% | 0 | 0.0% | 3 | 0.2% | 0 | 0.0% |
| Above 20 years | 264 | 18.4% | 209 | 12.4% | 256 | 17.6% | 209 | 12.1% |
| | 1,436 | 100.00% | 1,687 | 100.00% | 1,453 | 100.00% | 1,723 | 100.00% |
| Breakdown by type of the issuer | | | | | | | | |
| Central Government | 622 | 43.3% | 1,105 | 65.5% | 617 | 42.5% | 1,101 | 63.9% |
| State Government | 184 | 12.8% | 3 | 0.2% | 183 | 12.6% | 3 | 0.2% |
| Corporate Securities | 629 | 43.8% | 580 | 34.4% | 653 | 45.0% | 619 | 35.9% |
| | 1,436 | 100.00% | 1,687 | 100.00% | 1,453 | 100.00% | 1,723 | 100.00% |

Non Unit Linked

Rs. In Crores

| Detail Regarding debt securities | | | | | | | | |
|--|---------------------------|------------------------------|---------------------------|------------------------------|---------------------------|------------------------------|---------------------------|------------------------------|
| | MARKET VALUE | | | | Book Value | | | |
| | As at 30th September 2020 | as % of total for this class | As at 30th September 2019 | as % of total for this class | As at 30th September 2020 | as % of total for this class | As at 30th September 2019 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 6,532 | 99.7% | 5,820 | 99.7% | 6,532 | 99.7% | 5,820 | 99.7% |
| AA or better | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Rated below AA but above A | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Rated below A but above B | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Any other | 20 | 0.3% | 20 | 0.3% | 20 | 0.3% | 20 | 0.3% |
| | 6,553 | 100.00% | 5,840 | 100.00% | 6,553 | 100.00% | 5,840 | 100.00% |
| BREAKDOWN BY RESIDUALMATURITY | | | | | | | | |
| Up to 1 year | 217 | 3.3% | 558 | 9.6% | 217 | 3.3% | 558 | 9.6% |
| more than 1 year and upto 3years | 314 | 4.8% | 184 | 3.2% | 314 | 4.8% | 184 | 3.2% |
| More than 3years and up to 7years | 420 | 6.4% | 272 | 4.7% | 420 | 6.4% | 272 | 4.7% |
| More than 7 years and up to 10 years | 909 | 13.9% | 321 | 5.5% | 909 | 13.9% | 321 | 5.5% |
| More than 10 years and up to 15 years | 2,391 | 36.5% | 1,777 | 30.4% | 2,391 | 36.5% | 1,777 | 30.4% |
| More than 15 years and up to 20 years | 1,318 | 20.1% | 926 | 15.9% | 1,318 | 20.1% | 926 | 15.9% |
| Above 20 years | 984 | 15.0% | 1,802 | 30.9% | 984 | 15.0% | 1,802 | 30.9% |
| | 6,553 | 100.00% | 5,840 | 100.00% | 6,553 | 100.00% | 5,840 | 100.00% |
| Breakdown by type of the issuer | | | | | | | | |
| Central Government | 4,636 | 70.7% | 4,553 | 78.0% | 4,636 | 70.7% | 4,553 | 78.0% |
| State Government | 603 | 9.2% | 101 | 1.7% | 603 | 9.2% | 101 | 1.7% |
| Corporate Securities | 1,314 | 20.1% | 1,187 | 20.3% | 1,314 | 20.1% | 1,187 | 20.3% |
| | 6,553 | 100.00% | 5,840 | 100.00% | 6,553 | 100.00% | 5,840 | 100.00% |

1. In case a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.

2. The detail of ULIP and Non-ULIP will be given separately.

3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

Fixed Deposit are considered as Equity and Equity related instrument as per IRDA Investment return guidelines.

Non-ULIP includes Shareholder fund.

Reverse Repo with underlying as Gsec has been classified as Corporate Securities and AAA rated instrument.

Bonds of Food Corporation of India are classified under "Central Government"

AAA rated includes all Sovereign rated instrument

FORM L-30 : Related Party Transactions

(Rs in Lakhs)

| Name of the Related Party | Nature of Relationship with the Company | Description of Transactions / Categories | Q2 '20-21 | YTD SEPTEMBER 30, 2020 | Q2 '19-20 | YTD SEPTEMBER 30, 2019 |
|--|--|---|-----------|------------------------|-----------|------------------------|
| Aviva Group Holdings Limited | Significant Influence | Recovery of reimbursement of Travel related expenses & secondment charges of expats | - | - | - | - |
| | | Net Balance Receivable/ (Payable) | - | - | - | - |
| Aviva PLC | Significant Influence | Reimbursement on account of travel related expenses | - | - | - | - |
| | | Reimbursement of Healthcare, consultancy & Relocation charges | - | - | - | - |
| | | Net Balance Receivable/ (Payable) | - | - | - | - |
| Aviva Life Services UK Limited | Significant Influence | Reimbursement of Travel related expenses (Payable) | - | - | - | - |
| | | Reimbursement of Travel related expenses receivable | - | - | - | - |
| | | Net Balance Receivable/ (Payable) | - | - | - | - |
| Aviva Asia PTE Ltd. | Significant Influence | Reimbursement of Travel related expenses | - | - | - | - |
| | | Net Balance Receivable/ (Payable) | - | - | - | - |
| Sesame Group India Pvt Ltd | Significant Influence | Sublease related transaction | 37.07 | 70.10 | 29.60 | 63.00 |
| | | Net Balance Receivable/ (Payable) | - | - | - | - |
| Experian Credit Information Company of India Private Limited | Entity in which relative of director is a director | Professional charges for database access | 0.12 | 0.12 | - | - |
| | | Net Balance Receivable/ (Payable) | - | - | - | - |
| Nilesh Karia | Key Managerial Personnel | Remuneration | (58.07) | (113.98) | - | - |
| Anuj Arora | Key Managerial Personnel | Remuneration | (6.25) | (14.52) | - | - |
| Trevor Bull | Key Managerial Personnel | Remuneration | (88.87) | (264.73) | (103.85) | (272.88) |
| AVIVA Central Services UK limited | Significant Influence | Recharge for the cost of implementation of Cyber Ark in Aviva India | - | - | - | 3.54 |
| | | Payable towards secondment charges of expats | (5.37) | (5.37) | - | 14.23 |
| | | Recovery of travel related expenses & secondment charges of expats | 6.72 | 6.72 | - | 43.16 |
| | | Balance Receivable/(Payable) | 1.35 | 1.35 | - | 60.92 |

FORM L-31 LNL - 6 : Board of Directors & Key Person

| BOD and Key Person Information | | | July- September 2020 | |
|--------------------------------|-------------------------|---|---------------------------------|-----------------|
| Sl. No. | Name of person | Role/designation | Details of change in the period | |
| | | | Date of Appointment | Date of Ceasing |
| 1 | Dr Anand Chand Burman | Director | NA | NA |
| 2 | Bobby Parikh | Director | NA | NA |
| 3 | E V Sumithasri | Director | NA | NA |
| 4 | Chetan Singh | Director | NA | NA |
| 5 | Lee Patrick Callaghan | Director | NA | NA |
| 6 | Mohit Burman | Director | NA | NA |
| 7 | Pradip Burman | Director | NA | NA |
| 8 | Pritam Das Narang | Director | NA | NA |
| 9 | Nishit Piyush Majmudar | Director | NA | NA |
| 10 | Trevor Bull | Chief Executive Officer & Managing Director | NA | NA |
| 11 | *Suresh Mahalingam | Director | 22.07.2020 | NA |
| 11 | Vivek Saxena | General Counsel | NA | 15.06.2020 |
| 12 | Anjali Malhotra Nanda | Chief Customer, Marketing and Digital Officer | NA | NA |
| 13 | ***Ajai Kumar Tripathi | Appointed Actuary and officiating Chief Investment Officer(CIO) | NA | NA |
| 14 | Nilesh Ratilal Karia | Chief Financial Officer | NA | NA |
| 15 | Vijayalakshmi Natarajan | Chief Compliance Officer & Chief Risk Officer | NA | NA |
| 16 | Ali Onder Lulu | Chief Distribution Officer | NA | NA |
| 17 | Prashant Kalaver | Chief of Internal Audit | NA | NA |
| 18 | **Prashant Sharma | Chief Investment officer | NA | 18.09.2020 |
| 19 | Amit Malik | Chief People, Operations and Customer Services Officer | NA | NA |
| 20 | Anuj Arora | Company Secretary | NA | NA |

Key Persons as defined in IRDAI Corporate Governance Guidelines, 2016

*Mr Suresh Mahalingam appointed as Independent Director w.e.f 22.07.2020

**Mr Prashant Sharma resigned as Chief Investment Officer w.e.f 18.09.2020

*** Mr Ajai Kumar Tripathi appointed as officiating CIO w.e.f 19.09.2020

FORM L-32-SOLVENCY MARGIN - KT 3

AVAILABLE SOLVENCY MARGIN AND SOLVENCY RATIO

Rs. in lakhs

| Item | Description | Adjusted Value | |
|------|--|-----------------------------|-----------------------------|
| | | AS AT SEPTEMBER 30, 2020 | AS AT SEPTEMBER 30, 2019 |
| 01 | Available Assets in Policyholders' Fund: | 960,598 | 921,949 |
| | Deduct: | - | - |
| 02 | Mathematical Reserves | 914,696 | 877,658 |
| 03 | Other Liabilities | 40,037 | 39,317 |
| 04 | Excess in Policyholders' funds | 5,865 | 4,974 |
| | | - | - |
| 05 | Available Assets in Shareholders Fund: | 62,595 | 73,547 |
| | Deduct: | - | - |
| 06 | Other Liabilities of shareholders' fund | 228 | 271 |
| 07 | Excess in Shareholders' funds | 62,367 | 73,275 |
| | | - | - |
| 08 | Total ASM (04)+(07) | 68,232 | 78,250 |
| | | - | - |
| 09 | Total RSM | 28,191 | 25,362 |
| | | - | - |
| 10 | Solvency Ratio (ASM/RSM) | 2.42 | 3.09 |

Certification:

I, Ajai Kumar Tripathi, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

Sd/-
Ajai Kumar Tripathi
Appointed Actuary

Place: Gurugram
Date: _____

Notes

- Item No. 01 is the amount of the Total Admissible Assets for Solvency as mentioned in Form IRDAI-Assets- AA under Policyholders A/c
- Item No. 02 is the amount of Mathematical Reserves as mentioned in Form H;
- Item Nos. 03 and 06 are the amounts of other liabilities as mentioned in the Balance Sheet;
- Item No. 05 is the amount of Total Admissible Assets for Solvency as mentioned in Form IRDAI-Assets- AA under Shareholders A/c

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-33-NPAs-7

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement As on : 30th September 2020

Details of Non Performing Assets-Quarterly

Name of the Fund -Pension General Annuity Fund

Periodicity of Submission : Quarterly

| S.NO | Particulars | Bonds/Debentures | | Loans | | Other Debt Instruments | | All Other Assets | | Total | |
|------|---|------------------|------------------------------|------------------|------------------------------|------------------------|------------------------------|------------------|------------------------------|------------------|------------------------------|
| | | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) |
| 1 | Investment Assets (As per Form 5) | 43.73 | 67.58 | - | - | 6.63 | 23.47 | 212.41 | 252.88 | 262.76 | 343.93 |
| 2 | Gross NPA | - | - | - | - | - | - | - | - | - | - |
| 3 | % of Gross NPA on Investment Assets (2/1) | - | - | - | - | - | - | - | - | - | - |
| 4 | Provision Made on NPA | - | - | - | - | - | - | - | - | - | - |
| 5 | Provision as a % on NPA (4/2) | - | - | - | - | - | - | - | - | - | - |
| 6 | Provision on Standard Assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 43.73 | 67.58 | - | - | 6.63 | 23.47 | 212.41 | 252.88 | 262.76 | 343.93 |
| 8 | Net NPA (2-4) | - | - | - | - | - | - | - | - | - | - |
| 9 | % of Net NPA to Net Investment Assets (8/7) | - | - | - | - | - | - | - | - | - | - |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

Chief Financial Officer

AVIVA LIFE INSURANCE COMPANY INDIA LIMITED

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-33-NPAs-7

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement As on : 30th September 2020

Details of Non Performing Assets-Quarterly

Periodicity of Submission : Quarterly

Name of the Fund - LIFE FUND

| S.NO | Particulars | Bonds/Debentures | | Loans | | Other Debt Instruments | | All Other Assets | | Total | |
|------|---|------------------|------------------------------|------------------|------------------------------|------------------------|------------------------------|------------------|------------------------------|------------------|------------------------------|
| | | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) |
| 1 | Investment Assets (As per Form 5) | 1,220.19 | 1,051.36 | - | - | 51.81 | 219.47 | 5,074.54 | 4,775.25 | 6,346.54 | 6,046.08 |
| 2 | Gross NPA | 13.03 | 13.03 | - | - | - | - | - | - | 13.03 | 13.03 |
| 3 | % of Gross NPA on Investment Assets (2/1) | 1.07% | 1.24% | - | - | - | - | - | - | 0.21% | 0.22% |
| 4 | Provision Made on NPA | 9.77 | 9.77 | - | - | - | - | - | - | 9.77 | 9.77 |
| 5 | Provision as a % on NPA (4/2) | 75.00% | 75.00% | - | - | - | - | - | - | 75.00% | 75.00% |
| 6 | Provision on Standard Assets | 14.29 | 14.29 | - | - | - | - | 9.11 | 8.08 | 23.41 | 22.38 |
| 7 | Net Investment Assets (1-4) | 1,210.41 | 1,041.59 | - | - | 51.81 | 219.47 | 5,074.54 | 4,775.25 | 6,336.76 | 6,036.31 |
| 8 | Net NPA (2-4) | 3.26 | 3.26 | - | - | - | - | - | - | 3.26 | 3.26 |
| 9 | % of Net NPA to Net Investment Assets (8/7) | 0.27% | 0.31% | - | - | - | - | - | - | 0.05% | 0.05% |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

Bonds/Debentures include the Bonds issued by Food Corporation of India having a Category Code of CGSL.

Gross NPA includes redeemed securities of DHFL amounting to INR 11.82 Cr that is part of Net Current Assets and hence not part of the Investment assets elsewhere in the IRDAI returns.

Provision on Standard Assets includes provision made on Reliance Capital Bonds and IRB Investment Trust

Chief Financial Officer

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-33-NPAs-7

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement As on : 30th September 2020

Details of Non Performing Assets-Quarterly

Periodicity of Submission : Quarterly

Name of the Fund -ULIP Fund

| S.NO | Particulars | Bonds/Debentures | | Loans | | Other Debt Instruments | | All Other Assets | | Total | |
|------|---|------------------|------------------------------|------------------|------------------------------|------------------------|------------------------------|------------------|------------------------------|------------------|------------------------------|
| | | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) | YTD (AS on Date) | Prev. FY (As on 31 Mar 2020) |
| 1 | Investment Assets (As per Form 5) | 504.96 | 495.39 | - | - | 124.20 | 77.89 | 2,555.96 | 2,215.98 | 3,185.12 | 2,789.26 |
| 2 | Gross NPA | 25.56 | 25.56 | - | - | - | - | - | - | 25.56 | 25.56 |
| 3 | % of Gross NPA on Investment Assets (2/1) | 5.06% | 0.05 | - | - | - | - | - | - | 0.80% | 0.92% |
| 4 | Provision Made on NPA | 19.31 | 19.31 | - | - | - | - | - | - | 19.31 | 19.31 |
| 5 | Provision as a % on NPA (4/2) | 75.54% | 75.54% | - | - | - | - | - | - | 75.54% | 0.76 |
| 6 | Provision on Standard Assets | 35.01 | 35.01 | - | - | - | - | - | - | 35.01 | 35.01 |
| 7 | Net Investment Assets (1-4)** | 504.96 | 495.39 | - | - | 124.20 | 77.89 | 2,555.96 | 2,215.98 | 3,185.12 | 2,789.26 |
| 8 | Net NPA (2-4) | 6.25 | 6.25 | - | - | - | - | - | - | 6.25 | 6.25 |
| 9 | % of Net NPA to Net Investment Assets (8/7) | 1.24% | 1.26% | - | - | - | - | - | - | 0.20% | 0.22% |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time

Note:

- 1 The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- 2 Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- 3 Gross NPA is investments classified as NPA, before any provisions
- 4 Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- 5 Net Investment assets is net of 'provisions'
- 6 Net NPA is gross NPAs less provisions
- 7 Write off as approved by the board

** The provisions for NPA and Standard Assets disclosed above (Rs 54.32 Cr.) are already netted off in the carrying value of ULIP assets of Rs. 3185.12 Cr.
Provision on Standard Assets includes provision made on Reliance Capital and Yes Bank

Chief Financial Officer

-

FORM L-34-YIELD ON INVESTMENTS - I

FORM - 1
(Read with Regulation 10)
Name of the Insurer:Aviva Life Insurance Company India Limited
Registration Number: 122
Statement as on: 30th September 2020
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -LIFE FUND

| Rs Crore | | | | | | | | | | | | | | |
|----------|---|---------------|-------------------------------|----------------------------|------------------------------|----------------------------|-------------------------------|----------------------------|------------------------------|----------------------------|---|----------------------------|------------------------------|----------------------------|
| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
| | | | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| A | GOVERNMENT SECURITIES | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 4,389.16 | 84.15 | 1.9% | 1.9% | 4,352.38 | 167.21 | 3.8% | 3.8% | 4,043.58 | 157.79 | 3.9% | 3.9% |
| | Treasury Bills | CTRB | 16.96 | 0.23 | 1.3% | 1.3% | 20.40 | 0.51 | 2.5% | 2.5% | 107.07 | 3.49 | 3.3% | 3.3% |
| B | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES | | | | | | | | | | - | - | 0.0% | 0.0% |
| | State Government Bonds | SGGB | 476.67 | 8.15 | 1.7% | 1.7% | 374.98 | 12.90 | 3.4% | 3.4% | 70.94 | 2.89 | 4.1% | 4.1% |
| | Central Government Guaranteed Loans/Bonds | CGSL | 8.08 | 0.17 | 2.2% | 2.2% | 8.08 | 0.35 | 4.3% | 4.3% | 8.09 | 0.35 | 4.3% | 4.3% |
| | Other Approved Securities (excluding Infrastructure Investments) | SGOA | 19.82 | 0.35 | 1.8% | 1.8% | 19.82 | 0.71 | 3.6% | 3.6% | 16.10 | 0.58 | 3.6% | 3.6% |
| C | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 185.20 | 3.79 | 2.0% | 2.0% | 189.09 | 7.71 | 4.1% | 4.1% | 179.17 | 4.70 | 2.6% | 2.6% |
| | Bonds / Debentures issued by HUDCO | HTHD | 72.48 | 1.16 | 1.6% | 1.6% | 47.26 | 1.49 | 3.2% | 3.2% | - | - | - | - |
| D | INFRASTRUCTURE INVESTMENTS | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 0.01 | (0.00) | -4.1% | -4.1% | 0.42 | (0.80) | -190.2% | -190.2% | 0.01 | 0.00 | 7.2% | 7.2% |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 0.04 | 0.00 | 0.7% | 0.7% | 3.59 | (1.59) | -44.2% | -44.2% | 0.03 | 0.00 | 1.7% | 1.7% |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 723.62 | 14.63 | 2.0% | 2.0% | 701.29 | 28.33 | 4.0% | 4.0% | 587.41 | 24.15 | 4.1% | 4.1% |
| | Infrastructure- Infrastructure Development Fund | IDDF | - | - | - | - | 3.26 | (0.30) | -9.2% | -9.2% | 23.24 | 0.05 | 0.2% | 0.2% |
| | Infrastructure - Other Corporate Securities - Debentures / Bonds | ICTD | 15.35 | 0.38 | 0.57 | 0.57 | 13.16 | 0.57 | 0.57 | 0.57 | - | - | - | - |
| | Long Term Bank Bonds Approved Investment - Infrastructure | ILBI | 90.43 | 1.73 | 1.9% | 1.9% | 90.44 | 3.43 | 3.8% | 3.8% | 90.51 | 3.44 | 3.8% | 3.8% |
| E | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS | | | | | | | | | | | | | |
| | PSU - Equity shares - Quoted | EAEQ | 0.01 | (0.00) | -7.4% | -7.4% | 0.55 | (1.53) | -276.2% | -276.2% | 0.01 | 0.00 | 0.8% | 0.8% |
| | Corporate Securities - Equity shares (Ordinary)- Quoted | EACE | 0.87 | (0.01) | -1.0% | -1.0% | 37.48 | (27.76) | -74.1% | -74.1% | 0.44 | 0.01 | 1.3% | 1.3% |
| | Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance | ECDB | 20.18 | 1.08 | 5.4% | 5.4% | 20.55 | 2.17 | 10.5% | 10.5% | 20.92 | 2.01 | 9.6% | 9.6% |
| | Deposits - CDs with Scheduled Banks | EDCD | 25.04 | 0.36 | 1.5% | 1.5% | 38.68 | 1.14 | 2.9% | 2.9% | 89.19 | 3.45 | 3.9% | 3.9% |
| | Units of Infrastructure Investment Trust | EIIT | 35.40 | (0.26) | -0.7% | -0.7% | 35.46 | (1.14) | -3.2% | -3.2% | 35.96 | (2.60) | -7.2% | -7.2% |
| | Deposits - Repo / Reverse Repo | ECMR | 111.56 | 0.84 | 0.8% | 0.8% | 126.55 | 1.81 | 1.4% | 1.4% | 73.84 | 2.10 | 2.8% | 2.8% |
| | Corporate Securities - Debentures | ECOS | 85.05 | 1.60 | 1.9% | 1.9% | 85.05 | 3.19 | 3.8% | 3.8% | 56.99 | 2.43 | 4.3% | 4.3% |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | |
| | Reclassified Approved Investments - Debt | ORAD | 20.24 | 0.00 | 0.0% | 0.0% | 20.24 | 0.00 | 0.0% | 0.0% | 29.62 | (12.17) | -41.1% | -41.1% |
| | Equity Shares (incl Co-op Societies) | OESH | 0.08 | 0.00 | 0.1% | 0.1% | 8.56 | (6.91) | -80.8% | -80.8% | 0.05 | 0.00 | 0.1% | 0.1% |
| | TOTAL | | 6,296.24 | 118.36 | 1.9% | 1.9% | 6,197.30 | 191.49 | 3.1% | 3.1% | 5,433.18 | 192.64 | 3.5% | 3.5% |

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 10/17/2020

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account
- 6 YTD Income on investment excludes the Income on Investment of UnclaimedPolicyholders Fund

Investment yield in the case of few equity realted category codes are high negative percentages due to small average investments obtained when the actual investments held only on few days of the period is divided by 183 days for "Year to Date."

Chief Financial Officer

FORM - 1
(Read with Regulation 10)
Name of the Insurer:Aviva Life Insurance Company India Limited
Registration Number: 122
Statement as on: 30th September 2020
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -LIFE FUND

| Rs Crore | | | | | | | | | | | | | | |
|--|------------------------|---------------|-------------------------------|----------------------------|------------------------------|----------------------------|-------------------------------|----------------------------|------------------------------|----------------------------|---|----------------------------|------------------------------|----------------------------|
| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
| | | | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| AVIVA LIFE INSURANCE COMPANY INDIA LIMITED | | | | | | | | | | | | | | |
| Aviva Life Insurance Company India Limited (Registration Code: 0122) | | | | | | | | | | | | | | |
| #REF! | | | | | | | | | | | | | | |

FORM L-34-YIELD ON INVESTMENTS -1

FORM - 1
(Read with Regulation 10)
Name of the Insurer:Aviva Life Insurance Company India Limited
Registration Number: 122
Statement as on: 30th September 2020
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -Pension General Annuity Fund

| Rs Crore | | | | | | | | | | | | | | |
|----------|---|---------------|-------------------------------|----------------------------|------------------------------|----------------------------|-------------------------------|----------------------------|------------------------------|----------------------------|---|----------------------------|------------------------------|----------------------------|
| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
| | | | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| A | GOVERNMENT SECURITIES | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 203.15 | 4.30 | 2.1% | 2.1% | 208.41 | 8.26 | 4.0% | 4.0% | 191.23 | 10.11 | 5.3% | 5.3% |
| | Deposit under Section 7 of Insurance Act, 1938 | CDSS | - | - | | | - | - | | | - | - | - | - |
| | Treasury Bills | CTRB | 3.70 | 0.07 | 2.0% | 2.0% | 6.95 | 0.21 | 3.1% | 3.1% | 24.84 | 0.85 | 3.4% | 3.4% |
| B | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | 22.33 | 0.87 | 3.9% | 3.9% | 25.50 | 1.28 | 5.0% | 5.0% | 3.58 | 0.13 | 3.5% | 3.5% |
| | Central Government Guaranteed Loans / Bonds | CGSL | - | - | - | - | - | - | - | - | - | - | - | - |
| C | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 1.69 | 0.19 | 11.2% | 11.2% | 7.54 | 0.44 | 5.9% | 5.9% | 13.67 | 0.56 | 4.1% | 4.1% |
| D | INFRASTRUCTURE INVESTMENTS | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | - | - | - | - | - | - | - | - | - | - | - | - |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | - | - | - | - | - | - | - | - | - | - | - | - |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 43.74 | 1.08 | 2.5% | 2.5% | 47.13 | 2.16 | 4.6% | 4.6% | 49.01 | 2.14 | 4.4% | 4.4% |
| | Infrastructure - Other Corporate Securities - Debentures / Bonds | ICTD | 0.68 | 0.38 | 55.5% | 55.5% | 2.74 | 0.46 | 16.9% | 16.9% | - | - | - | - |
| E | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS | | | | | | | | | | | | | |
| | PSU - Equity shares - Quoted | EAEQ | - | - | - | - | - | - | - | - | - | - | - | - |
| | | EPNQ | - | - | - | - | - | - | - | - | - | - | - | - |
| | Corporate Securities - Equity shares (Ordinary)- Quoted | EACE | - | - | - | - | - | - | - | - | - | - | - | - |
| | Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance | ECDB | - | - | 0.0% | 0.0% | - | - | 0.0% | 0.0% | - | - | - | - |
| | Deposits - CDs with Scheduled Banks | EDCD | 1.96 | 0.08 | 4.0% | 4.0% | 4.99 | 0.20 | 4.0% | 4.0% | 7.11 | 0.27 | 3.8% | 3.8% |
| | Deposits - Repo / Reverse Repo | ECMR | 8.90 | 0.07 | 0.8% | 0.8% | 12.88 | 0.18 | 1.4% | 1.4% | 8.04 | 0.23 | 2.8% | 2.8% |
| | Corporate Securities - Debentures | ECOS | 0.50 | 0.01 | 1.8% | 1.8% | 0.50 | 0.02 | 3.7% | 3.7% | 0.17 | 0.01 | 3.6% | 3.6% |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | |
| | Reclassified Approved Investments - Debt | ORAD | - | - | - | - | - | - | - | - | 1.32 | (0.03) | -2.5% | -2.5% |
| | Equity Shares (incl Co-op Societies) | OESH | - | - | - | - | - | - | - | - | 0.00 | 0.00 | - | - |
| | TOTAL | | 286.66 | 7.05 | 2.5% | 2.5% | 316.62 | 13.22 | 4.2% | 4.2% | 298.97 | 14.25 | 4.8% | 4.8% |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 10/17/2020

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

Chief Financial Officer

FORM - 1
(Read with Regulation 10)
Name of the Insurer:Aviva Life Insurance Company India Limited
Registration Number: 122
Statement as on: 30th September 2020
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -LIFE FUND

| Rs Crore | | | | | | | | | | | | | | |
|----------|------------------------|---------------|-------------------------------|----------------------------|------------------------------|----------------------------|-------------------------------|----------------------------|------------------------------|----------------------------|---|----------------------------|------------------------------|----------------------------|
| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
| | | | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
(Read with Regulation 10)
Name of the Insurer:Aviva Life Insurance Company India Limited
Registration Number: 122
Statement as on: 30th September 2020
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -ULIP Fund

| Rs Crore | | | | | | | | | | | | | | |
|----------|--|---------------|-------------------------------|----------------------------|------------------------------|----------------------------|-------------------------------|----------------------------|------------------------------|----------------------------|---|----------------------------|------------------------------|----------------------------|
| No. | Category of Investment | Category Code | Current Quarter | | | | Year to Date (current year) | | | | Year to Date (previous year) ³ | | | |
| | | | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) ¹ | Income on Investment (Rs.) | Gross Yield (%) ¹ | Net Yield (%) ² |
| A | GOVERNMENT SECURITIES | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 651.93 | 1.88 | 0.3% | 0.3% | 614.72 | 28.43 | 4.6% | 4.6% | 894.14 | 51.05 | 5.7% | 5.7% |
| | Deposit under Section 7 of Insurance Act, 1938 | CDSS | - | - | - | - | - | - | - | - | - | - | 0.0% | 0.0% |
| | Treasury Bills | CTRB | 139.89 | 1.47 | 1.1% | 1.1% | 125.05 | 2.82 | 2.3% | 2.3% | 123.71 | 4.01 | 3.2% | 3.2% |
| B | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | 47.03 | 0.30 | 0.6% | 0.6% | 37.52 | 2.81 | 7.5% | 7.5% | 85.64 | 7.11 | 8.3% | 8.3% |
| | Central Government Guaranteed Loans / Bonds | CGSL | - | 0.00 | - | - | - | 0.00 | 0.00 | 0.00 | - | - | - | - |
| C | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | |
| | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 158.50 | 2.40 | 1.5% | 1.5% | 157.03 | 9.06 | 5.8% | 5.8% | 113.51 | 5.97 | 5.3% | 5.3% |
| D | INFRASTRUCTURE INVESTMENTS | | | | | | | | | | | | | |
| | TAXABLE BONDS | | | | | | | | | | | | | |
| | Infrastructure - PSU - Equity shares - Quoted | ITPE | 27.34 | (2.78) | -10.2% | -10.2% | 31.70 | 4.50 | 14.2% | 14.2% | 88.13 | (12.34) | -14.0% | -14.0% |
| | Infrastructure - Corporate Securities - Equity shares-Quoted | ITCE | 128.12 | (23.00) | -18.0% | -18.0% | 122.60 | (1.19) | -1.0% | -1.0% | 180.60 | 2.71 | 1.5% | 1.5% |
| | Infrastructure - PSU - Debentures / Bonds | IPTD | 135.89 | 2.87 | 2.1% | 2.1% | 131.14 | 8.10 | 6.2% | 6.2% | 30.84 | 1.55 | 5.0% | 5.0% |
| | Infrastructure- Infrastructure Development Fund | IDDF | - | - | - | - | - | - | - | - | 8.56 | (0.90) | -10.5% | -10.5% |
| | Infrastructure - Other Corporate Securities - Debentures / Bonds | ICTD | 55.83 | 0.65 | 1.2% | 1.2% | 53.95 | 2.55 | 4.7% | 4.7% | 42.48 | 2.93 | 6.9% | 6.9% |
| | OTHER INVESTMENTS | | | | | | | | | | | | | |
| | Infrastructure - Equity (including unlisted) | IOEQ | - | - | - | - | - | - | - | - | 4.12 | (1.14) | -27.5% | -27.5% |
| E | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS | | | | | | | | | | | | | |
| | PSU - Equity shares - Quoted | EAEQ | 59.73 | (5.08) | -8.5% | -8.5% | 62.91 | 1.70 | 2.7% | 2.7% | 120.25 | (3.58) | -3.0% | -3.0% |
| | Corporate Securities - Equity shares (Ordinary)- Quoted | EACE | 1,212.35 | 154.85 | 12.8% | 12.8% | 1,165.96 | 392.24 | 33.6% | 33.6% | 1,262.79 | (13.51) | -1.1% | -1.1% |
| | Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI | ECDB | 0.00 | (0.00) | -50.9% | -50.9% | 0.15 | 0.01 | 8.1% | 8.1% | 0.30 | 0.03 | 8.6% | 8.6% |
| | Deposits - CDs with Scheduled Banks | EDCD | 16.69 | 0.24 | 1.4% | 1.4% | 22.06 | 0.68 | 3.1% | 3.1% | 26.99 | 1.10 | 4.1% | 4.1% |
| | Deposits - Repo / Reverse Repo | ECMR | 192.33 | 1.45 | 0.8% | 0.8% | 166.00 | 2.41 | 1.5% | 1.5% | 231.34 | 6.51 | 2.8% | 2.8% |
| | Corporate Securities - Debentures | ECOS | 128.42 | (1.19) | -0.9% | -0.9% | 129.25 | 7.45 | 5.8% | 5.8% | 98.35 | 3.46 | 3.5% | 3.5% |
| | Net Current Assets (Only in respect of ULIP Business) | ENCA | 56.32 | - | - | - | 56.32 | - | - | - | 23.65 | - | - | - |
| | Mutual Funds - Gilt / G Sec / Liquid Schemes | EGMF | - | - | - | - | - | - | - | - | - | - | - | - |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | |
| | Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes | OMGS | - | - | - | - | - | - | - | - | - | - | - | - |
| | Equity Shares (incl Co-op Societies) | OESH | 55.07 | 4.68 | 8.5% | 8.5% | 61.96 | 8.56 | 13.8% | 13.8% | 104.81 | (18.07) | -17.2% | -17.2% |
| | Reclassified Approved Investments - Debt | ORAD | 31.25 | 0.50 | 1.6% | 1.6% | 31.25 | 0.99 | 3.2% | 3.2% | 66.58 | (44.75) | -67.2% | -67.2% |
| | Equity Exchange Traded Fund | OETF | 145.90 | 0.35 | 0.2% | 0.2% | 140.99 | 15.10 | 10.7% | 10.7% | 219.27 | (15.19) | -6.9% | -6.9% |
| | TOTAL | | 3,242.58 | 139.59 | 4.3% | 4.3% | 3,110.57 | 486.21 | 15.6% | 15.6% | 3,726.09 | (23.07) | -0.6% | -0.6% |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 10/17/2020

Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122
Statement as on: 30th September 2020
Statement of Downgraded Investment
Periodicity of Submission: Quarterly

Name of Fund - LIFE FUND

PART - A

| Rs Crore | | | | | | | | | |
|----------|---|------|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
| A. | <u>During the Quarter</u> ¹ | | | | | | | | |
| | NIL | | | | | | | | |
| B. | <u>As on Date</u> ² | | | | | | | | |
| | 9.00% Reliance Capital NCD 09.09.2026 (ISIN : INE013A079D5) | ORAD | 19.04 | 9/28/2016 | CARE | AAA | D | 9/23/2019 | |
| | 9.10% DHFL 16-Aug-2021 (ISIN : INE202B07HS6) @ | ORAD | 1.20 | 6/4/2019 | CARE | AAA | D | 6/6/2019 | |

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 17 Oct 2020

Chief Financial Officer

Note:

1 Provide details of Down Graded Investments during the Quarter.

2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.

3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

@ This investment was transferred to ShareHolders Funds (Life Fund) from Pension Fund on 04/06/2019 in order to comply with the provisions of Investment Regulations

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122
Statement as on: 30th September 2020
Statement of Downgraded Investment
Periodicity of Submission: Quarterly

Name of Fund - Pension General Annuity Fund

PART - A

| Rs Crore | | | | | | | | | |
|----------|--|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
| A. | <u>During the Quarter</u> ¹ | | | | | | | | |
| | | | | | | | | | |
| | | | | | NIL | | | | |
| | | | | | | | | | |
| B. | <u>As on Date</u> ² | | | | | | | | |
| | | | | | | | | | |
| | | | | | NIL | | | | |
| | | | | | | | | | |

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 17 Oct 2020

Chief Financial Officer

Note:

1 Provide details of Down Graded Investments during the Quarter.
2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122
Statement as on: 30th September 2020
Statement of Downgraded Investment
Periodicity of Submission: Quarterly

Name of Fund - ULIP Fund

PART - A

| Rs Crore | | | | | | | | | |
|----------|--|------|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
| A. | During the Quarter ¹ | | | | | | | | |
| | NIL | | | | | | | | |
| | | | | | | | | | |
| B. | As on Date ² | | | | | | | | |
| | 8.43% Indiabulls HF 22-Feb-2028 (ISIN : INE148I07IQ8) | HTDN | 63.83 | 3/28/2018 | ICRA | AAA | AA | 2/10/2020 | |
| | 9.00% Indiabulls Hsg Fin Ltd- 26-09-2026 (ISIN : INE148I07GL3) | HTDN | 5.44 | 3/30/2017 | ICRA | AAA | AA | 2/17/2020 | |
| | 9.00% Reliance Capital NCD 09.09.2026 (ISIN : INE013A079D5) | ORAD | 10.00 | 9/9/2016 | CARE | AAA | D | 9/23/2019 | |
| | 9.05% Dewan Housing Finance Ltd 09.09.2023 (ISIN : INE202B07IN5) | ORAD | 6.25 | 11/7/2017 | CARE | AAA | D | 6/6/2019 | |
| | 9.90% Yes Bank 28-03-2022 (ISIN: INE528G08212) | ORAD | 15.00 | 10/30/2012 | CARE | AAA | B | 3/26/2020 | |
| | | | | | | | | | |

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 22-07-2020

Chief Financial Officer

- Note:
- 1 Provide details of Down Graded Investments during the Quarter.
 - 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
 - 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
 - 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-36-Premium and number of lives covered by policy type

| Premium and Number of Lives Covered by Policy Type | | | | | | | | | | | | | | | | | (Rs in Lakhs) |
|--|--|-----------|-----------------|--------------|----------------------------------|-----------|-----------------|--------------|----------------------------------|------------------------|-----------------|--------------|----------------------------------|------------------------|-----------------|--------------|----------------------------------|
| S.No. | Particulars | Q2 '20-21 | | | | Q2 '19-20 | | | | YTD SEPTEMBER 30, 2020 | | | | YTD SEPTEMBER 30, 2019 | | | |
| | | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 1 | First year Premium | | | | | | | | | | | | | | | | |
| | i Individual Single Premium- (ISP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | 1 | 83 | 83 | 21 | 1 | 2 | 2 | 16 | 1 | 95 | 95 | 24 | 3 | 4 | 2 | 18 |
| | From 10,000-25,000 | 7 | 4 | 4 | 13 | 12 | 7 | 6 | 165 | 16 | 9 | 9 | 28 | 17 | 10 | 8 | 173 |
| | From 25001-50,000 | 13 | 3 | 3 | 16 | 13 | 3 | 2 | 20 | 46 | 10 | 10 | 42 | 17 | 4 | 3 | 27 |
| | From 50,001- 75,000 | 13 | 2 | 1 | 26 | - | - | - | - | 19 | 3 | 2 | 26 | - | - | - | - |
| | From 75,000-100,000 | 10 | 1 | 1 | - | 10 | 1 | 1 | 20 | 10 | 1 | 1 | - | 18 | 2 | 2 | 30 |
| | From 1,00,001 -1.25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1.25,000 | 100 | 1 | - | 200 | - | - | - | - | 115 | 2 | 1 | 230 | 25 | 1 | 1 | 31 |
| | ii Individual Single Premium (ISPA)- Annuity | | | | | | | | | | | | | | | | |
| | From 0-50000 | 106 | 31 | 23 | - | 63 | 21 | 17 | - | 169 | 53 | 42 | - | 151 | 52 | 47 | - |
| | From 50,001-100,000 | 71 | 9 | 8 | - | 37 | 5 | 4 | - | 103 | 14 | 12 | - | 92 | 14 | 13 | - |
| | From 1,00,001-150,000 | 40 | 3 | 2 | - | - | - | - | - | 64 | 5 | 4 | - | 35 | 3 | 3 | - |
| | From 150,001- 2,00,000 | 17 | 1 | (1) | - | - | - | - | - | 49 | 3 | 1 | - | 16 | 1 | 1 | - |
| | From 2,00,001-250,000 | 50 | 2 | 1 | - | - | - | - | - | 50 | 2 | 1 | - | 46 | 2 | 2 | - |
| | From 2,50,001 -3,00,000 | - | - | (1) | - | - | - | - | - | 26 | 1 | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | 47 | 1 | - | - | - | - | - | - | 98 | 2 | 1 | - | - | - | - | - |
| | iii Group Single Premium (GSP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | (0) | - | 4 | (984) | 0 | - | 3 | (1,422) | (0) | - | 4 | (1,423) | 0 | - | 8 | (2,830) |
| | From 10,000-25,000 | 0 | - | 1 | 20 | 0 | - | 1 | 18 | 0 | - | 1 | 20 | 0 | - | 1 | 18 |
| | From 25001-50,000 | 0 | - | 4 | 36 | 2 | - | 10 | 123 | 0 | - | 4 | 36 | 2 | - | 24 | 148 |
| | From 50,001- 75,000 | 1 | - | 2 | 29 | - | - | - | - | 1 | - | 2 | 29 | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | 1 | - | 5 | 58 |
| | From 1,00,001 -1.25,000 | - | - | - | - | - | - | - | - | - | - | - | - | 2 | - | 40 | 69 |
| | Above Rs. 1.25,000 | 34 | - | 4,615 | 3,047 | 38 | - | 514 | 1,421 | 76 | - | 7,471 | 5,748 | 102 | - | 1,409 | 3,922 |
| | iv Group Single Premium- Annuity- GSPA | | | | | | | | | | | | | | | | |
| | From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001- 2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,50,001 -3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | v Individual non Single Premium- INSP | | | | | | | | | | | | | | | | |
| | From 0-10000 | 41 | 687 | 687 | 484 | 32 | 652 | 646 | 8,015 | 82 | 1,095 | 1,095 | 1,015 | 8 | 1,056 | 1,047 | 15,228 |
| | From 10,000-25,000 | 251 | 1,303 | 1,293 | 9,187 | 198 | 1,081 | 1,075 | 19,110 | 460 | 2,518 | 2,505 | 18,365 | 371 | 2,055 | 2,049 | 36,386 |
| | From 25001-50,000 | 630 | 1,539 | 1,526 | 15,132 | 589 | 1,640 | 1,637 | 15,942 | 1,175 | 3,099 | 3,077 | 29,055 | 1,050 | 2,917 | 2,911 | 29,412 |
| | From 50,001- 75,000 | 267 | 435 | 427 | 4,989 | 224 | 401 | 398 | 6,082 | 508 | 876 | 865 | 9,955 | 402 | 735 | 730 | 10,084 |
| | From 75,000-100,000 | 538 | 547 | 546 | 8,084 | 467 | 482 | 481 | 9,606 | 945 | 966 | 964 | 14,812 | 808 | 829 | 828 | 14,973 |
| | From 1,00,001 -1.25,000 | 110 | 83 | 83 | 1,505 | 76 | 77 | 76 | 1,578 | 198 | 150 | 150 | 2,722 | 153 | 144 | 143 | 3,053 |
| | Above Rs. 1.25,000 | 1,254 | 384 | 383 | 16,607 | 1,147 | 368 | 366 | 18,287 | 2,458 | 756 | 754 | 32,879 | 1,932 | 605 | 603 | 30,778 |
| | vi Individual non Single Premium- Annuity- INSPA | | | | | | | | | | | | | | | | |
| | From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001- 2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,50,001 -3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | vii Group Non Single Premium (GNSP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | (30) | 1 | 8,433 | (137,005) | (48) | - | 15,886 | (231,700) | (49) | 1 | 16,085 | (255,125) | (56) | - | 24,756 | (361,205) |
| | From 10,000-25,000 | 6 | - | 5,715 | 6,823 | 6 | - | 845 | 7,316 | 10 | 1 | 7,154 | 10,631 | 11 | - | 1,287 | 11,504 |
| | From 25001-50,000 | 4 | 2 | 1,796 | 3,732 | 5 | - | 444 | 1,828 | 13 | 7 | 10,357 | 15,272 | 10 | - | 875 | 4,167 |
| | From 50,001- 75,000 | 5 | - | 563 | 3,915 | 5 | - | 381 | 2,599 | 11 | 4 | 2,440 | 10,493 | 9 | - | 588 | 7,384 |
| | From 75,000-100,000 | 2 | - | 1,823 | 1,771 | 3 | - | 9 | 1,879 | 6 | 1 | 2,264 | 6,318 | 6 | - | 28 | 3,484 |
| | From 1,00,001 -1.25,000 | 3 | 1 | 523 | 5,230 | 3 | - | - | - | 8 | 3 | 904 | 10,278 | 14 | - | 24 | 3,511 |
| | Above Rs. 1.25,000 | 1,557 | 27 | 76,239 | 567,356 | 2,452 | 9 | 96,013 | 228,715 | 3,200 | 61 | 253,775 | 1,368,802 | 4,284 | 18 | 187,542 | 443,531 |
| | viii Group Non Single Premium- Annuity- GNSPA | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001 -1.25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1.25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

Aviva Life Insurance Company India Limited (Registration Code: 0122)

FORM L-36-Premium and number of lives covered by policy type

| (Rs in Lakhs) | | | | | | | | | | | | | | | | | |
|---------------|------------------------|-----------|-----------------|--------------|----------------------------------|-----------|-----------------|--------------|----------------------------------|------------------------|-----------------|--------------|----------------------------------|------------------------|-----------------|--------------|----------------------------------|
| S.No. | Particulars | Q2 '20-21 | | | | Q2 '19-20 | | | | YTD SEPTEMBER 30, 2020 | | | | YTD SEPTEMBER 30, 2019 | | | |
| | | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 2 | Renewal Premium | | | | | | | | | | | | | | | | |
| | i Individual | | | | | | | | | | | | | | | | |
| | From 0-10000 | 1,387 | 24,064 | 23,699 | 850,546 | 1,306 | 24,494 | 24,112 | 857,855 | 2,396 | 47,652 | 47,114 | 1,627,835 | 2,436 | 50,167 | 49,574 | 1,649,134 |
| | From 10,000-25,000 | 3,589 | 21,353 | 20,935 | 554,169 | 3,500 | 21,935 | 21,511 | 546,477 | 6,036 | 47,705 | 47,067 | 1,129,914 | 6,461 | 51,527 | 50,839 | 1,120,202 |
| | From 25001-50,000 | 5,094 | 11,876 | 11,602 | 191,567 | 4,598 | 11,410 | 11,147 | 185,687 | 7,936 | 27,028 | 26,585 | 413,271 | 8,125 | 27,626 | 27,171 | 408,859 |
| | From 50,001-75,000 | 1,460 | 2,410 | 2,315 | 53,628 | 1,277 | 2,285 | 2,187 | 52,241 | 2,309 | 6,454 | 6,284 | 121,548 | 2,323 | 6,385 | 6,206 | 121,545 |
| | From 75,000-100,000 | 3,607 | 3,319 | 3,295 | 72,585 | 3,102 | 3,131 | 3,102 | 66,698 | 5,446 | 6,497 | 6,460 | 139,690 | 5,424 | 6,531 | 6,485 | 138,682 |
| | From 1,00,001-1.25,000 | 782 | 769 | 747 | 21,976 | 704 | 719 | 693 | 21,639 | 1,165 | 1,868 | 1,827 | 48,841 | 1,294 | 1,889 | 1,842 | 51,662 |
| | Above Rs. 1.25,000 | 7,280 | 2,355 | 2,317 | 132,750 | 7,184 | 2,130 | 2,086 | 121,837 | 12,367 | 4,982 | 4,920 | 321,479 | 13,037 | 4,960 | 4,886 | 292,294 |
| | ii Individual- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-1.25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1.25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | iii Group | | | | | | | | | | | | | | | | |
| | From 0-10000 | 0 | 13 | 51,607 | 342 | 0 | 20 | 24,550 | 252 | 0 | 17 | 55,047 | 454 | (19) | 48 | 63,187 | 21,846 |
| | From 10,000-25,000 | 2 | 5 | 8,604 | 3,162 | 3 | 10 | 8,565 | 1,998 | 4 | 12 | 8,939 | 3,947 | 4 | 15 | 8,635 | 2,420 |
| | From 25001-50,000 | 3 | 6 | 26,826 | 9,276 | 4 | 8 | 1,358 | 4,426 | 9 | 18 | 27,756 | 13,024 | 10 | 19 | 2,658 | 8,525 |
| | From 50,001-75,000 | 3 | 5 | 35,525 | 10,110 | 3 | 4 | 162 | 2,563 | 5 | 7 | 36,902 | 11,155 | 6 | 9 | 452 | 4,783 |
| | From 75,000-100,000 | 3 | 3 | 398 | 2,350 | 4 | 5 | 681 | 4,136 | 9 | 8 | 795 | 5,752 | 9 | 7 | 851 | 6,041 |
| | From 1,00,001-1.25,000 | 6 | 3 | 336 | 3,528 | 4 | 2 | 288 | 2,705 | 12 | 8 | 1,878 | 8,252 | 9 | 5 | 967 | 5,059 |
| | Above Rs. 1.25,000 | 260 | 15 | 17,927 | 157,238 | 205 | 21 | 27,873 | 158,456 | 679 | 37 | 102,200 | 643,620 | 635 | 42 | 84,316 | 483,709 |
| | iv Group- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-1.25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1.25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

Note:

1. Premium stands for premium amount.
2. No. of lives means no. of lives insured under the policies.
3. Premium collected for Annuity will be disclosed separately as stated above.

FORM L-37-BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)

(Rs in Lakhs)

| Sl. No. | Business Acquisition through different channels (Group) | Q2 '20-21 | | | Q2 '19-20 | | | YTD SEPTEMBER 30, 2020 | | | YTD SEPTEMBER 30, 2019 | | |
|--------------------------|---|------------------------------------|----------------------|--------------|------------------------------------|----------------------|--------------|------------------------------------|----------------------|--------------|------------------------------------|----------------------|--------------|
| | | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium |
| 1 | Individual agents | - | 41 | 0.44 | - | 75.00 | 1.02 | 1.00 | 152.00 | 5.26 | - | 150.00 | 1.04 |
| 2 | Corporate Agents-Banks | - | 4,742 | 7.68 | - | - | - | - | 4,742.00 | 7.68 | - | - | - |
| 3 | Corporate Agents -Others | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Brokers | 29 | 89,039 | 726.87 | 7.00 | 93,714.00 | 166.97 | 71.00 | 256,301.00 | 1,284.16 | 15.00 | 184,212.00 | 395.62 |
| 5 | Micro Agents | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Direct Business | 2 | 5,896 | 846.86 | 2.00 | 20,317.00 | 2,299.14 | 6.00 | 39,266.00 | 1,978.64 | 3.00 | 32,225.00 | 3,988.19 |
| Total(A) | | 31 | 99,718 | 1,582 | 9 | 114,106 | 2,467 | 78 | 300,461 | 3,276 | 18 | 216,587 | 4,385 |
| 1 | Referral (B) | - | - | - | - | - | - | - | - | - | - | - | - |
| Grand Total (A+B) | | 31 | 99,718 | 1,582 | 9 | 114,106 | 2,467 | 78 | 300,461 | 3,276 | 18 | 216,587 | 4,385 |

FORM L-38-Business Acquisition through different channels (Individuals)

(Rs in Lakhs)

| S.No. | Channels | Business Acquisition through different channels (Individuals) | | | | | | | |
|-------|--------------------------|---|--------------|-----------------|--------------|------------------------|--------------|------------------------|--------------|
| | | Q2 '20-21 | | Q2 '19-20 | | YTD SEPTEMBER 30, 2020 | | YTD SEPTEMBER 30, 2019 | |
| | | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium |
| 1 | Individual agents | 1,381 | 894 | 1,748 | 1,069 | 3,077 | 2,214 | 3,067 | 1,922 |
| 2 | Corporate Agents-Banks | 2,216 | 774 | 1,070 | 379 | 3,490 | 1,288 | 1,597 | 543 |
| 3 | Corporate Agents -Others | 279 | 404 | 302 | 490 | 658 | 726 | 632 | 864 |
| 4 | Brokers | 27 | 188 | 123 | 105 | 81 | 254 | 223 | 196 |
| 5 | Micro Agents | - | - | - | - | - | - | - | - |
| 6 | Direct Business | 1,216 | 1,306 | 1,497 | 825 | 2,354 | 2,106 | 2,915 | 1,622 |
| | Total (A) | 5,119 | 3,566 | 4,740 | 2,868 | 9,660 | 6,589 | 8,434 | 5,148 |
| 1 | Referral (B) | - | 1 | - | 1 | - | 3 | - | (3) |
| | Grand Total (A+B) | 5,119 | 3,567 | 4,740 | 2,869 | 9,660 | 6,592 | 8,434 | 5,145 |

FORM L-39-Data on Settlement of Claims for the quarter ended September 30 , 2020

Date: September 30 , 2020

Individual

| Ageing of Claims* | | | | | | | | | |
|-------------------|-------------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|---------------------------------------|
| Sl. No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (Rs.'000) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | 42 | 1,629 | 755 | 688 | 12 | - | 3,126 | 545,184 |
| 2 | Survival Benefit | 1,309 | 1,972 | 11 | 1 | - | 1 | 3,294 | 115,235 |
| 3 | for Annuities / Pension | 645 | 796 | 123 | 97 | 59 | 70 | 1,790 | 6,246 |
| 4 | For Surrender | - | 2593 | 0 | 0 | 0 | 0 | 2593 | 794,496 |
| 5 | Other benefits | - | - | - | - | - | - | - | - |
| | CI/PTD/ADP | - | 1 | - | - | - | - | 1 | 256 |
| | HCB | - | - | - | - | - | - | - | - |
| | ADB | - | - | - | - | - | - | - | - |
| | IB | - | 34 | - | - | - | - | 34 | 262 |
| 6 | Partial Withdrawals | - | 441 | 0 | 0 | 0 | 0 | 441 | 75,957 |
| 7 | Auto Foreclosure | - | 291 | 0 | 0 | 0 | 0 | 291 | 55,227 |
| 8 | EL Surrender | - | 1322 | 0 | 0 | 0 | 0 | 1322 | 115,417 |
| 9 | Death Claims | - | 277 | 1 | - | - | - | 278 | 284,627 |

The figures for individual and group insurance business need to be shown separately

*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

Data is on settlement basis

Group

| Ageing of Claims* | | | | | | | | | |
|-------------------|-------------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|---------------------------------------|
| Sl. No. | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid (Rs.'000) |
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | - | 388 | - | - | - | - | 388 | 276 |
| 2 | Survival Benefit | - | - | - | - | - | - | - | - |
| 3 | for Annuities / Pension | - | - | - | - | - | - | - | - |
| 4 | For Surrender | - | 15 | - | - | - | - | 15 | 22,069 |
| 5 | Other benefits | - | - | - | - | - | - | - | - |
| 6 | Foreclosure | - | 134 | - | - | - | - | 134 | 720 |
| 7 | Gratuity | - | 686 | 2 | - | - | - | 688 | 1,049,677 |
| 8 | Leave encashment | - | 7 | - | - | - | - | 7 | 1,243 |
| 9 | ADB | - | - | - | - | - | - | - | - |
| 10 | Death Claims | - | 328 | 10 | - | - | - | 338 | 65,569 |

FOR L-40-Quarterly Claims data for Life for the quarter ended September 30 , 2020

Date: September 30, 2020

Individual

No. of claims only

| Sl. No. | Claims Experience | For Death* | for Maturity | Survival Benefit | For Annuities/Pension | For Surrender | For Partial withdrawals ** | For Auto Fore Closure | For EL Surrender | CI/ADD/PTD | Income Benefit | HCB | ADB |
|---------|--|------------|--------------|------------------|-----------------------|---------------|----------------------------|-----------------------|------------------|------------|----------------|-----|-----|
| 1 | Claims O/S at the beginning of the period | 20 | 6,022 | 39 | 3,334 | 57 | 10 | - | - | - | 65 | - | - |
| 2 | Claims reported during the period* | 289 | 6,111 | 3,316 | 2,028 | 2,618 | 460 | 291 | 1,322 | 1 | 45 | - | - |
| 3 | Claims Settled during the period | 278 | 3,126 | 3,294 | 1,790 | 2,593 | 441 | 291 | 1,322 | 1 | 34 | - | - |
| 4 | Claims Repudiated during the period | 5 | - | - | - | - | - | - | - | - | - | - | - |
| | Less than 2years from the date of acceptance of risk | 5 | - | - | - | - | - | - | - | - | - | - | - |
| | a | | | | | | | | | | | | |
| | b | | | | | | | | | | | | |
| | Grater than 2 year from the date of acceptance of risk | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Claims Written Back | - | 1,047 | 14 | - | - | - | - | - | - | - | - | - |
| 6 | Claims O/S at End of the period | 26 | 7,960 | 47 | 3,572 | 82 | 26 | - | - | - | 76 | - | - |
| | Less than :3months | 26 | 4,367 | 35 | 479 | 82 | 26 | - | - | - | 11 | - | - |
| | 3 months to 6 months | - | 3,592 | 3 | 480 | - | - | - | - | - | 5 | - | - |
| | 6months to 1 year | - | 1 | 9 | 669 | - | - | - | - | - | 13 | - | - |
| | 1year and above | - | - | - | 1,944 | - | - | - | - | - | 47 | - | - |

*in case of death- the claims for which all the documentations have been completed needs to be shown here.

** *** 3 cases in the Partial Withdrawal category were reversed from opening balance

GROUP

No. of claims only

| Sl. No. | Claims Experience | For Death | for Maturity | Survival Benefit | For Annuities/Pension | For Surrender | Other Benefits | ADB | Gratuity | Leave Encashment | For Auto Fore Closure |
|---------|--|-----------|--------------|------------------|-----------------------|---------------|----------------|-----|----------|------------------|-----------------------|
| 1 | Claims O/S at the beginning of the period | 9 | 10 | - | - | - | - | - | 7 | - | - |
| 2 | Claims reported during the period* | 357 | 396 | - | - | 15 | - | - | 687 | 7 | 134 |
| 3 | Claims Settled during the period | 338 | 388 | - | - | 15 | - | - | 688 | 7 | 134 |
| 4 | Claims Repudiated during the period | - | - | - | - | - | - | - | - | - | - |
| | Less than 2years from the date of acceptance of risk | - | - | - | - | - | - | - | - | - | - |
| | a | | | | | | | | | | |
| | b | | | | | | | | | | |
| | Grater than 2 year from the date of acceptance of risk | - | - | - | - | - | - | - | - | - | - |
| 5 | Claims Written Back | - | - | - | - | - | - | - | - | - | - |
| 6 | Claims O/S at End of the period | 28 | 18 | - | - | - | - | - | 6 | - | - |
| | Less than :3months | 26 | - | - | - | - | - | - | 6 | - | - |
| | 3 months to 6 months | 2 | - | - | - | - | - | - | - | - | - |
| | 6months to 1 year | - | - | - | - | - | - | - | - | - | - |
| | 1year and above | - | - | - | - | - | - | - | - | - | - |

| GRIEVANCE DISPOSAL | | | | | | | | |
|---------------------|------------------------------|-------------------|------------|------------------------------|------------------|------------|--------------------|---|
| Sl No. | Particulars | Opening Balance * | Additions | Complaints Resolved/ settled | | | Complaints Pending | Total Complaints registered upto the quarter during the |
| 1 | Complaints made by customers | | | Fully Accepted | Partial Accepted | Rejected | | |
| a) | Death Claims | - | 4 | - | 0 | 1 | - | 5 |
| b) | Policy Servicing | 11 | 90 | 74 | 5 | 14 | 8 | 168 |
| c) | Proposal Processing | 2 | 18 | 11 | 1 | 6 | 2 | 26 |
| d) | Survival Claims | 5 | 37 | 27 | 0 | 10 | 5 | 68 |
| e) | ULIP Related | - | 4 | - | 0 | 1 | 1 | 11 |
| f) | Unfair Business Practices | 13 | 223 | 90 | 16 | 113 | 17 | 328 |
| g) | Others | - | - | - | - | - | - | 52 |
| Total Number | | 37 | 409 | 234 | 22 | 156 | 34 | 658 |

| | | |
|---|---|------|
| 2 | Total No. of policies during previous year: | 8434 |
| 3 | Total No. of claims during previous year: | 220 |
| 4 | Total No. of policies during current year: | 9660 |
| 5 | Total No. of claims during current year: | 289 |
| 6 | Total No. of Policy Complaints (current year) per 10,000 policies (current year): | 3.42 |
| 7 | Total No. of Claim Complaints (current year) per 10,000 claims registered (current year): | 0.12 |

| 8 | Duration wise Pending Status | Complaints made by customers | Complaints made by intermediaries | Total |
|-----------------------------------|------------------------------|------------------------------|-----------------------------------|-----------|
| a) | Upto 7 days | 28 | 0 | 28 |
| b) | 7-15 days | 6 | 0 | 6 |
| c) | 15-30 days | 0 | 0 | 0 |
| d) | 30-90 days | 0 | 0 | 0 |
| e) | 90 days & Beyond | 0 | 0 | 0 |
| Total Number of Complaints | | 34 | 0 | 34 |

* Opening balance should tally with the closing balance of the previous financial year.

L-42- Valuation Basis (Life Insurance)

A chapter on Valuation basis covering the following minimum criteria should also be displayed on the web-site of the Insurers.

a. How the policy data needed for valuation is accessed

The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

b. How the valuation bases are supplied to the system

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

1) Interest : Maximum and minimum interest rate taken for each segment

| Individual Business | | Minimum | | Maximum | |
|---------------------|--|--|--|--|--|
| S. No. | Product Category | Unit Res | Non-Unit Res | Unit Res | Non-Unit Res |
| 1. | Life- Participating policies | NA | 6.1% for first 5 years and 5.3% thereafter | NA | 6.1% for first 5 years and 5.3% thereafter |
| 2. | Life- Non-participating Policies | NA | 6.1% for first 5 years and 5.1% thereafter | NA | 6.1% for first 5 years and 5.1% thereafter |
| 3. | Annuities- Participating policies | NA | NA | NA | NA |
| 4. | Annuities – Non-participating policies | NA | 6.1% for first 5 years and 5.1% thereafter | NA | 6.1% for first 5 years and 5.1% thereafter |
| 5. | Annuities- Individual Pension Plan | NA | 6.1% for first 5 years and 5.1% thereafter | NA | 6.1% for first 5 years and 5.1% thereafter |
| 6. | Unit Linked | 6.2% for first 5 years and 5.2% thereafter | 6.1% for first 5 years and 5.1% thereafter | 6.2% for first 5 years and 5.2% thereafter | 6.1% for first 5 years and 5.1% thereafter |
| 7. | Health Insurance | NA | 6.1% for first 5 years and 5.1% thereafter | NA | 6.1% for first 5 years and 5.1% thereafter |
| 8. | India Bond | NA | 7.9% for first 5 years and 6.9% thereafter | NA | 7.9% for first 5 years and 6.9% thereafter |

- ii. Group Business For One Year Renewable Group Term Assurance business, reserve is maintained on UPR basis
For single premium group term plan and group endowment type product the interest rate assumption is as for non par life product.

2) Mortality Rates : the mortality rates used for each segment

i. Individual Business (% of IALM 2012-14 Ultimate)

| S. No. | Product Category | Minimum | Maximum |
|--------|---|---------|---------|
| 1. | Life- Participating policies | 75.9% | 75.9% |
| 2. | Life- Non-participating Policies | 25.3% | 196.8% |
| 3. | Annuities- Participating policies | NA | NA |
| 4. | Annuities – Non-participating policies* | 55.3% | 55.3% |
| 5. | Individual Pension Plan | 56.1% | 75.9% |
| 6. | Unit Linked | 56.1% | 75.9% |
| 7. | Health Insurance | 63.8% | 75.9% |

*These rates are a proportion of Mortality for Annuitants - LIC (a) (1996-98) Ultimate Rates

- ii. Group Business For One Year Renewable Group Term Assurance business, reserve is maintained on UPR basis
For single/regular premium group term plan and group regular endowment type product the mortality rate assumption varies from 58.4% to 226.0%.

3) Expense:

i. Individual Business -Fixed expenses

| S. No. | Product Category | Minimum | Maximum |
|--------|--|---------|---------|
| 1. | Life- Participating policies | 1,002 | 1,002 |
| 2. | Life- Non-participating Policies | 51 | 1,002 |
| 3. | Annuities- Participating policies | NA | NA |
| 4. | Annuities – Non-participating policies | 1,002 | 1,002 |
| 5. | Individual Pension Plan | 1,002 | 1,002 |
| 6. | Unit Linked | 1,052 | 1,052 |
| 7. | Health Insurance | 751 | 751 |

- ii. Group Business For One Year Renewable Group Term Assurance business, reserve is maintained on UPR basis
For single/regular premium group term plan and group regular endowment type product the fixed expense assumption varies from 0 to 535

4)

Bonus:**i. Individual Business**

| S. No. | Product Category | Life | Pension |
|--------|--|---------------|---------------|
| 1. | Life- Participating policies | * given below | * given below |
| 2. | Life- Non-participating Policies | NA | NA |
| 3. | Annuities- Participating policies | NA | NA |
| 4. | Annuities – Non-participating policies | NA | NA |
| 5. | Annuities- Individual Pension Plan | NA | NA |
| 6. | Unit Linked | 6.00% | 6.00% |
| 7. | Health Insurance | NA | NA |

Non-Linked Participating Policies - Life

| Year\Product Name | Aviva Money Back | Aviva Dhan Nirman - Policy Term 18 | Aviva Dhan Nirman - Policy Term 21 | Aviva Dhan Nirman - Policy Term 25 | Aviva Dhan Nirman - Policy Term 30 | Aviva Dhan Vriddhi Plus Premium Payment Term 5 | Aviva Dhan Vriddhi Plus Premium Payment Term 7 | Aviva Dhan Vriddhi Plus Premium Payment Term 11 |
|-------------------|------------------|------------------------------------|------------------------------------|------------------------------------|------------------------------------|--|--|---|
| 1-3 | 4.50% | 4.25% | 4.50% | 4.50% | 4.75% | 3.30% | 3.70% | 4.15% |
| 4-5 | 3.83% | 3.61% | 3.83% | 3.83% | 4.04% | 2.81% | 3.15% | 3.53% |
| 6 onwards | 3.15% | 2.98% | 3.15% | 3.15% | 3.33% | 2.31% | 2.59% | 2.91% |

Non-Linked Participating Policies - Pension

| Year\Product Name | Aviva Secure Pension |
|-------------------|----------------------|
| 1-3 | 3.50% |
| 4-5 | 2.98% |
| 6 onwards | 2.45% |

ii. Group Business

No participating business has been sold by the Company under Group policies

5)

Policyholders Reasonable Expectations:

PRE considerations are:

Ø The policyholders can reasonably expect that the benefits, which they have purchased, be paid and that the company is financially strong enough to meet these promises.

Further, in respect of with profit policies, where unit value is guaranteed (never to decrease), an additional provision based on formula - [Unit Reserve (for UWP/PUWP) X max{ (p1x)[(0.015)*DMT of assets] + p2 x 30%}, 3%] where p1 and p2 are the proportion of fixed interest assets and equities respectively backing the reserves as on the valuation date, is also kept besides the surplus available in policyholder's fund and for unit linked policies, where capital guarantees is provided to the extent of regular premium amount invested in the Secure fund. an additional provision equal to 0.25% of Unit reserve has been made in order to increase the level of margin in reserve against guarantees.

For highest NAV guaranteed product the reserve has been kept based on formula- Additional Charge For Guarantee X Fund Value as on Valuation Date X Elapsed Period (No. of years)

Ø Under With Profits plan policyholders expect the bonus rates to be in line with the returns available on other investments in the market. Aviva considers this aspect when regular bonus rate is decided and the future rates of bonuse(s) has been allowed in reserve.

Ø In line with company's bonus policy, the regular bonus rates have been increased or decreased in the past and have been accepted by the policyholders.

6)

Taxation and Shareholder Transfers:

Ø Tax rate is assumed to be 14.56%

Ø Shareholder's Share in surplus of UWP fund is assumed to be 1/9th of the surplus distributed to the With Profits policyholders and 100% for Non Par policies

7)

Basis of provisions for Incurred but not reported (IBNR)**Linked Business**

Keeping in view the flexibility provided in the policy for the reporting of a claim, following provisions have been made towards unreported claims- IBNR Reserves for Individual business are estimated using Bornhuetter-Ferguson (BF) Method, using the following relation

For Individual (Linked & Non-Linked) business

$$\text{IBNR Reserves} = \text{Ultimate Loss Amount} \times \text{Outstanding Claim Proportion} \times A \times (1 + B) \times (1 + \text{MAD})$$

where A = Sum at Risk after reinsurance/Total Sum Assured; B = Claims reported after 12 months of death / Claims reported within 12 months of death.

MAD = Margin for Adverse Deviation

For Group business OYRGTA Business

$$\text{IBNR Reserves} = \text{Gross Premium}/12 \times \text{Delay Months} \times \text{Reinsurance retained proportion}$$

For SP Group Term Business

$$\text{IBNR Reserves} = q_x \times \text{Sum Assured} \times \text{Delay Months}/12 \times \text{Reinsurance retained proportion}$$

8)

Change in Valuation method or bases:**(I) Economic Assumptions**

No changes made in economic assumptions since last valuation.

(2) Expense Assumptions

Per policy maintenance expenses used are based on projected expenses for the year when Insurer acquires a stable level of business.
The valuation assumptions for expenses are as below:

| Policy Maintenance Expenses (starting from 2 nd policy month): | | | | | |
|---|--|------------|--------------|---------|--------------------|
| S.No | Product Category | Per Policy | % of Premium | % of SA | % of Reserves |
| 1 | All Term Assurance Type Products (excluding i-Life, i-Shield, i-Life Total and i-Life Secure i-Term Smart) | 751 | 0.76% | - | 0.0575% |
| 2 | All Traditional Endowment Products (excluding Dhan Suraksha) | 1002 | 1.01% | - | 0.0575% |
| 3 | Aviva Dhan Suraksha - Traditional Endowment Product | 100 | 1.04% | - | 0.0575% |
| 4 | All UL Endowment (fully underwritten) | 1052 | 1.07% | - | 0.2300% |
| 5 | All UL Endowment (Simplified Underwritten) | 1052 | 1.07% | - | 0.2300% |
| 6 | All UL Pension Products | 1052 | 1.07% | - | 0.2300% |
| 7 | Single Premium UL Products | 1052 | 0.00% | - | 0.2300% |
| 8 | i-Life, i-Shield, i-Life Secure, i-Life Total, i-Term Smart | 751 | 1.73% | - | 0.0575% |
| 9 | Rural Endowment | 126 | 0.00% | - | 0.0575% |
| 10 | Rural Term Assurance | 51 to 147 | 0.00% | - | 0.0500% to 0.0575% |
| 11 | Heath Secure | 751 | 1.73% | - | 0.0575% |
| 12 | Heart Care | 751 | 0.76% | - | 0.0575% |
| 13 | iGrowth | 1052 | 1.73% | - | 0.2300% |

No changes made in expense assumptions since last valuation.

(3) Mortality Assumptions

No changes made in mortality assumptions since last valuation.