



IRDA Public Disclosures

FOR THE QUARTER ENDED SEPTEMBER 30, 2022

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Aviva Life Insurance Company India Limited
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FORM L-1-A-RA
Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED REVENUE ACCOUNT FOR THE PERIOD ENDED SEPTEMBER 30, 2022
Policyholders' Account (Technical Account)

Particulars	Schedule	LINKED		NON-LINKED							Q2'22-23 (Rs. In lacs)	AS AT SEPTEMBER 30, 2022 (Rs. In lacs)	
		LIFE	PENSION	PARTICIPATING		NON PARTICIPATING							
				(Rs. In lacs)	(Rs. In lacs)	LIFE	PENSION	LIFE	PENSION	ANNUITY			HEALTH
Premiums earned – net													
(a) Premium	L-4	13,551	486	178	2	35,789	537	395	140	36	29,034	51,114	
(b) Reinsurance ceded		(130)	-	-	-	(3,115)	-	-	(55)	-	(1,612)	(3,300)	
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-	-	-	
Sub Total		13,421	486	178	2	32,674	537	395	85	36	27,422	47,814	
Income from Investments													
(a) Interest, Dividends and Rent – Gross		6,109	519	118	-	27,882	-	1,028	-	9	18,148	35,665	
(b) Profit on sale/redemption of investments		14,079	1,397	7	-	181	-	58	-	-	6,514	15,722	
(c) (Loss) on sale/ redemption of investments		(3,248)	(286)	(1)	-	(22)	-	-	-	-	(1,436)	(3,557)	
(d) Transfer/Gain on revaluation/change in fair value		(14,367)	(1,473)	-	-	-	-	-	-	-	16,736	(15,840)	
(e) Appropriation/Expropriation Adjustment account		-	-	-	-	-	-	-	-	-	-	-	
Sub Total		2,573	157	124	-	28,041	-	1,086	-	9	39,962	31,990	
Other Income													
(a) Fee, Charges and Rent Income		1	-	1	-	148	1	-	-	-	72	151	
(b) Contribution from Shareholders Account		-	-	-	-	-	-	-	-	-	-	-	
- towards extra mortality/other charges		50	-	-	-	10	-	-	-	-	30	60	
- towards excess of expense of management		-	-	-	-	-	-	-	-	-	-	-	
- towards deficit funding and others		462	-	-	-	1,070	375	-	669	8	1,403	2,584	
TOTAL (A)		16,507	643	303	2	61,943	913	1,481	754	53	68,889	82,599	
Commission*	L-5	79	1	1	-	1,163	4	1	-	-	832	1,249	
Operating Expenses related to Insurance Business	L-6	3,440	31	2	-	8,843	210	564	2	7	6,291	13,099	
Provision for Doubtful debts		20	-	-	-	52	1	3	-	-	(10)	76	
Bad debts written off		-	-	-	-	-	-	-	-	-	-	-	
Provision for Tax		-	-	-	-	-	-	-	-	-	-	-	
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-	-	-	
(a) For diminution in the value of investments (Net)		-	-	-	-	54	-	-	-	-	54	54	
(b) Others		-	-	-	-	-	-	-	-	-	-	-	
Goods & Service Tax charges on Linked Charges		632	33	-	-	-	-	-	-	-	330	665	
TOTAL (B)		4,171	65	3	-	10,112	215	568	2	7	7,497	15,143	
Benefits Paid (Net)	L-7	25,698	2,849	75	3	18,624	1,002	210	63	79	27,074	48,603	
Interim Bonuses Paid		64	4	1	-	-	-	-	-	-	47	69	
Change in valuation of liability in respect of life policies													
(a) Gross		(87)	(5)	134	-	26,317	(316)	353	(4)	(34)	(42,474)	26,358	
(b) Amount ceded in Reinsurance		149	-	2	-	3,833	2	-	693	-	62,122	4,679	
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-	-	-	
(d) Fund Reserve for Linked Policies		(15,539)	(2,403)	-	-	-	-	-	-	-	12,956	(17,942)	
(e) Fund for Discontinued Policies		2,078	-	-	-	-	-	-	-	-	(133)	2,078	
TOTAL (C)		12,363	445	212	3	48,774	688	563	752	45	59,592	63,845	
TOTAL (B+C)		16,534	510	215	3	58,886	903	1,131	754	52	67,087	78,988	
SURPLUS/(DEFICIT) (D)=(A)-(B)-(C)		(27)	133	88	(1)	3,057	10	350	-	1	1,800	3,611	
APPROPRIATIONS													
Transfer to Shareholders' Account		-	-	-	-	-	-	-	-	-	-	-	
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-	-	-	
Transfer to/(from) Funds for Future Appropriations		(57)	(24)	88	(1)	-	-	-	-	-	340	6	
Transfer to Balance sheet		30	157	-	-	3,057	10	350	-	1	1,460	3,605	
TOTAL (D)		(27)	133	88	(1)	3,057	10	350	-	1	1,800	3,611	
The break up of Total surplus is as under:													
(a) Interim Bonuses Paid:		64	4	1	-	-	-	-	-	-	47	69	
(b) Allocation of Bonus to policyholders:		-	-	-	-	-	-	-	-	-	-	-	
(c) Surplus shown in the Revenue Account:		(27)	133	88	(1)	3,057	10	350	-	1	1,800	3,611	
(d) Total Surplus: ((a)+(b)+(c)):		37	137	89	(1)	3,057	10	350	-	1	1,847	3,680	

*Including Rewards and/or remuneration to agents, brokers or other intermediaries

FORM L-1-A-RA
Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED REVENUE ACCOUNT FOR THE PERIOD ENDED SEPTEMBER 30, 2021
Policyholders' Account (Technical Account)

Particulars	Schedule	LINKED		NON-LINKED							Q2'21-22 (Rs. In lacs)	AS AT SEPTEMBER 30, 2021 (Rs. In lacs)	
		LIFE	PENSION	PARTICIPATING		NON PARTICIPATING							
				(Rs. In lacs)	(Rs. In lacs)	LIFE	PENSION	LIFE	PENSION	ANNUITY			HEALTH
Premiums earned – net													
(a) Premium	L-4	12,666	546	199	2	38,861	546	314	150	19		31,631	53,303
(b) Reinsurance ceded		(154)	-	-	-	(3,912)	-	-	(51)	-		(2,101)	(4,117)
(c) Reinsurance accepted		-	-	-	-	-	-	-	-	-		-	-
Sub Total		12,512	546	199	2	34,949	546	314	99	19		29,530	49,186
Income from Investments													
(a) Interest, Dividends and Rent – Gross		6,570	609	103	-	24,578	-	956	-	25		16,950	32,841
(b) Profit on sale/redemption of investments		17,446	2,078	-	-	2,176	-	-	-	4		11,791	21,704
(c) (Loss) on sale/ redemption of investments		(1,083)	(118)	-	-	(470)	-	-	-	-		(1,083)	(1,671)
(d) Transfer/Gain on revaluation/change in fair value		26,355	2,753	-	-	-	-	-	-	-		19,023	29,108
(e) Appropriation/Expropriation Adjustment account		-	-	-	-	-	-	-	-	-		-	-
Sub Total		49,288	5,322	103	-	26,284	-	956	-	29		46,680	81,982
Other Income													
(a) Fee, Charges and Rent Income		1	-	1	-	155	-	-	-	-		80	157
(b) Contribution from Shareholders Account		-	-	-	-	-	-	-	-	-		-	-
- towards extra mortality/other charges		48	-	-	-	17	-	-	-	-		27	65
- towards excess of expense of management		-	-	-	-	-	-	-	-	-		-	-
- towards deficit funding and others		868	-	-	-	756	567	-	59	32		922	2,282
TOTAL (A)		62,717	5,868	303	2	62,161	1,113	1,270	158	80		77,239	1,33,672
Commission*	L-5	134	1	3	-	1,173	10	2	1	-		844	1,324
Operating Expenses related to Insurance Business	L-6	3,695	153	13	-	9,118	253	322	24	7		7,010	13,585
Provision for Doubtful debts		14	1	-	-	35	1	1	-	-		3	52
Bad debts written off		-	-	-	-	-	-	-	-	-		-	-
Provision for Tax		-	-	-	-	-	-	-	-	-		-	-
Provisions (other than taxation)		-	-	-	-	-	-	-	-	-		-	-
(a) For diminution in the value of investments (Net)		1,363	108	-	-	(566)	-	-	-	-		955	905
(b) Others		-	-	-	-	-	-	-	-	-		-	-
Service Tax/Goods & Service Tax charges on Linked Charges		658	38	-	-	-	-	-	-	-		354	696
TOTAL (B)		5,864	301	16	-	9,760	264	325	25	7		9,166	16,562
Benefits Paid (Net)	L-7	28,526	5,244	159	21	20,829	509	204	72	914		35,634	56,478
Interim Bonuses Paid		70	6	4	2	-	-	-	-	-		51	82
Change in valuation of liability in respect of life policies													
(a) Gross		533	(18)	125	(17)	33,990	343	294	92	(842)		23,817	34,500
(b) Amount ceded in Reinsurance		(51)	-	(1)	-	(3,717)	(1)	-	(33)	-		(3,123)	(3,803)
(c) Amount accepted in Reinsurance		-	-	-	-	-	-	-	-	-		-	-
(d) Fund Reserve for Linked Policies		25,764	216	-	-	-	-	-	-	-		12,990	25,980
(e) Fund for Discontinued Policies		1,681	-	-	-	-	-	-	-	-		(2,229)	1,681
TOTAL (C)		56,523	5,448	287	6	51,102	851	498	131	72		67,140	1,14,918
TOTAL (B+C)		62,387	5,749	303	6	60,862	1,115	823	156	79		76,306	1,31,480
SURPLUS/DEFICIT (D)=(A)-(B)-(C)		330	119	-	(4)	1,299	(2)	447	2	1		933	2,192
APPROPRIATIONS													
Transfer to Shareholders' Account		-	-	-	-	-	-	-	-	-		-	-
Transfer to Other Reserves		-	-	-	-	-	-	-	-	-		-	-
Transfer to/(from) Funds for Future Appropriations		330	50	-	(4)	-	-	-	-	-		418	376
Transfer to Balance sheet		-	69	-	-	1,299	(2)	447	2	1		515	1,816
TOTAL (D)		330	119	-	(4)	1,299	(2)	447	2	1		933	2,192
The break up of Total surplus is as under:													
(a) Interim Bonuses Paid:		70	6	4	2	-	-	-	-	-		51	82
(b) Allocation of Bonus to policyholders:		-	-	-	-	-	-	-	-	-		-	-
(c) Surplus shown in the Revenue Account:		330	119	-	(4)	1,299	(2)	447	2	1		933	2,192
(d) Total Surplus: ((a)+(b)+(c)):		400	125	4	(2)	1,299	(2)	447	2	1		984	2,274

FORM L-2-A-PL

Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED PROFIT AND LOSS ACCOUNT FOR THE YEAR ENDED SEPTEMBER 30, 2022

Shareholders' Account (Non-technical Account)

Particulars	Q2'22-23	AS AT	Q2'21-22	AS AT
	(Rs. In lacs)	SEPTEMBER 30, 2022 (Rs. In lacs)	(Rs. In lacs)	SEPTEMBER 30, 2021 (Rs. In lacs)
Amount transferred from Policyholders Account (Technical Account)	-	-	-	-
Income From Investments				
(a) Interest, Dividends and Rent – Gross	879	1,740	1,045	2,072
(b) Profit on sale/redemption of investments	59	63	95	278
(c) (Loss) on sale/ redemption of investments	(11)	(11)	(56)	(56)
Other Income	-	-	-	-
TOTAL (A)	927	1,792	1,084	2,294
Expense other than those directly related to the insurance business	(16)	84	72	105
Managerial Remuneration*	371	459	17	166
Bad debts written off	-	-	-	-
Provisions (Other than taxation)				
(a) For diminution in the value of investments (net)	-	-	(96)	(103)
(b) Provision for doubtful debts	-	-	-	-
(c) Others	-	-	-	-
Contribution to the Policyholders Account (Technical Account)				
- towards extra mortality/other charges	30	60	27	65
- towards excess of expense of management	-	-	-	-
- towards deficit funding and others	1,403	2,584	922	2,282
TOTAL (B)	1,787	3,187	942	2,514
Profit/(Loss) before tax	(860)	(1,395)	142	(220)
Provision for Taxation	-	-	-	-
Profit/(Loss) after tax	(860)	(1,395)	142	(220)
APPROPRIATIONS				
(a) Balance at the beginning of the period	(1,47,534)	(1,47,534)	(1,38,941)	(1,38,941)
(b) Interim dividends paid during the period	-	-	-	-
(c) Proposed final dividend	-	-	-	-
(d) Dividend distribution on tax	-	-	-	-
(e) Transfer to reserves/ other accounts	-	-	-	-
Profit/ (Loss) carried forward to the Balance Sheet	(1,48,394)	(1,48,929)	(1,38,799)	(1,39,161)
Earnings per equity share				
Basic and diluted earnings per equity share (Face value of Rs. 10 per share)		(0.07)		(0.01)

FORM L-3-A-BS

Name of the Insurer: Aviva Life Insurance Company India Limited
Registration No. 122 with the IRDA, dated 14 May 2002

UNAUDITED BALANCE SHEET AS AT SEPTEMBER 30, 2022

Particulars	Schedule	AS AT	AS AT
		SEPTEMBER 30, 2022 (Rs. In lacs)	SEPTEMBER 30, 2021 (Rs. In lacs)
SOURCES OF FUNDS			
SHAREHOLDERS' FUNDS:			
SHARE CAPITAL	L-8,L-9	2,00,490	2,00,490
RESERVES AND SURPLUS	L-10	-	-
CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT		-	-
Sub-Total		2,00,490	2,00,490
Borrowings			
POLICYHOLDERS' FUNDS:	L-11	-	-
CREDIT/(DEBIT) FAIR VALUE CHANGE ACCOUNT		93	118
SURPLUS ON POLICYHOLDER'S ACCOUNT - Pending transfer to Shareholder's account		3,605	1,816
POLICY LIABILITIES			
Linked - Individual - Life - Non Participating		3,282	3,408
Linked - Individual - Pension - Non Participating		39	47
Linked - Group - Life - Non Participating		-	-
Non Linked - Individual - Life - Participating		3,064	2,601
Non Linked - Individual - Pension - Participating		88	87
Non Linked - Individual - Life - Non Participating		7,32,301	6,49,007
Non Linked - Individual - Pension - Non Participating		18,595	18,439
Non Linked - Group - Life - Non Participating		6,561	6,347
Non Linked - Group - Pension - Non Participating		5	306
Non Linked - Annuity - Non Participating		6,874	5,730
Non Linked - Variable group		364	689
Non Linked Health Non Participating		2,479	1,713
INSURANCE RESERVES			
PROVISION FOR LINKED LIABILITIES			
Linked - Individual - Life - Participating		4,555	5,330
Linked - Individual - Pension - Participating		314	314
Linked - Individual - Life - Non Participating		2,65,566	2,92,394
Linked - Individual - Pension - Non Participating		28,877	32,938
Linked - Group - Life - Non Participating		31,465	31,235
Discontinued Policies Fund - Non payment of premium		17,577	18,644
Sub-Total		11,25,704	10,71,143
FUNDS FOR FUTURE APPROPRIATIONS			
FFA - Others		9,014	8,981
TOTAL		13,35,208	12,80,634
APPLICATION OF FUNDS			
INVESTMENTS			
- Shareholders'	L-12	52,276	59,027
- Policyholders'	L-13	7,68,895	6,78,383
- Assets held to cover linked liabilities	L-14	3,56,973	3,89,509
LOANS			
	L-15	-	-
FIXED ASSETS			
	L-16	1,589	1,472
CURRENT ASSETS			
Cash & Bank Balance	L-17	2,176	2,718
Advances And Other Assets	L-18	40,430	51,333
Sub-Total (A)		42,606	54,051
CURRENT LIABILITIES			
PROVISIONS	L-19	34,978	39,427
	L-20	1,082	1,542
Sub-Total (B)		36,060	40,969
NET CURRENT ASSETS (C) = (A - B)		6,546	13,082
MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted)			
	L-21	-	-
DEBIT BALANCE IN PROFIT AND LOSS ACCOUNT (Shareholders' Account)			
		1,48,929	1,39,161
TOTAL		13,35,208	12,80,634

Particulars	As AT September 30, 2022 (Rs. In lacs)	As AT September 30, 2021 (Rs. In lacs)
Uncalled liability in Partly paid-up investments	Nil	2,141
Underwriting commitments outstanding (in respect of shares and securities)	Nil	Nil
Claims, other than those under policies, not acknowledged as debts	76	136
Guarantees given by or on behalf of the Company	Nil	Nil
Reinsurance obligations to the extent not provided for in accounts	Nil	Nil
Others (In relation to Claims against policies)	2,070	2,100
Statutory demands/liabilities in dispute, not provided for	Refer table below	

Nature	Nature	As AT September 30, 2022 (Rs. In lacs)	As AT September 30, 2021 (Rs. In lacs)
Service Tax Cases at Various Forums	Tax	6,649	6,649
	Penalty	6,647	6,514

Based on legal opinion, management believes that at present, no provision is required in the books of account in respect of the above matters.

FORM L-4-PREMIUM SCHEDULE

Particulars	Q2'22-23	AS AT	Q2'21-22	AS AT
	(Rs. In lacs)	SEPTEMBER 30, 2022 (Rs. In lacs)	(Rs. In lacs)	SEPTEMBER 30, 2021 (Rs. In lacs)
First year premiums	6,053	11,126	7,394	11,114
Renewal premiums	22,657	39,132	23,757	41,487
Single premiums	324	856	480	702
TOTAL PREMIUM	29,034	51,114	31,631	53,303
Premium Income from business written :				
In India	29,034	51,114	31,631	53,303
Outside India	-	-	-	-
TOTAL PREMIUM	29,034	51,114	31,631	53,303

FORM L-5-COMMISSION SCHEDULE

Particulars	Q2'22-23	AS AT	Q2'21-22	AS AT
	(Rs. In lacs)	SEPTEMBER 30, 2022	(Rs. In lacs)	SEPTEMBER 30, 2021
Commission Paid				
Direct - First year premiums	652	970	612	895
- Renewal premiums	165	252	209	367
- Single premiums	-	-	10	15
Total	817	1,222	831	1,277
Add : Commission on Re-insurance Accepted	-	-	-	-
Less : Commission on Re-insurance Ceded	-	-	-	-
NET COMMISSION	817	1,222	831	1,277
Rewards and/or remuneration to agents, brokers or other intermediaries	15	27	13	47
NET COMMISSION INCLUDING REWARDS	832	1,249	844	1,324
Break-up of commission by distribution network:				
Agents	142	224	200	422
Brokers	93	125	195	225
Corporate Agency	554	825	407	611
Referral	-	-	2	3
Others (Insurance Marketing Firm)	43	75	40	63
TOTAL (B)	832	1,249	844	1,324
Commission and Rewards on Business written :				
In India	832	1,249	844	1,324
Outside India	-	-	-	-
TOTAL COMMISSION AND REWARDS	832	1,249	844	1,324

FORM L-6-OPERATING EXPENSES SCHEDULE

Particulars	Q2'22-23	AS AT	Q2'21-22	AS AT
	(Rs. In lacs)	SEPTEMBER 30, 2022 (Rs. In lacs)	(Rs. In lacs)	SEPTEMBER 30, 2021 (Rs. In lacs)
Employees' remuneration and welfare benefits	3,573	7,291	3,929	7,747
Travel, conveyance and vehicle running expenses	83	130	32	22
Training expenses	3	1	4	5
Rents, rates and taxes	382	1,053	705	1,390
Repairs & Office Maintenance	184	569	324	632
Printing and stationery	36	80	31	71
Communication expenses	96	216	120	226
Legal and professional charges	(123)	185	98	371
Medical fees	11	23	27	38
Auditors' fees, expenses etc				
a) as auditor	17	33	13	26
b) as adviser or in any other capacity, in respect of				
(i) Taxation matters	-	-	-	-
(ii) Insurance matters	-	-	-	-
(iii) Management services; and	-	-	-	-
c) in any other capacity	2	3	2	3
Advertisement and publicity	487	1,077	416	547
Business Development and Sales Promotion Expenses	180	232	(11)	142
Stamp duty on policies	135	135	203	267
Interest and bank charges	42	84	39	83
Depreciation	302	575	185	402
Information technology and related expenses	473	988	692	1,251
Goods and Service Tax on premium	26	54	30	56
Others				
a) Electricity	70	144	70	129
b) Recruitment	185	187	51	115
c) Miscellaneous expenses	36	38	50	61
TOTAL	6,198	13,099	7,010	13,585
In India	6,198	13,099	7,010	13,585
Outside India	-	-	-	-

FORM L-7-BENEFITS PAID SCHEDULE

Particulars	Q2'22-23	AS AT	Q2'21-22	AS AT
	(Rs. In lacs)	SEPTEMBER 30, 2022	(Rs. In lacs)	SEPTEMBER 30, 2021
Insurance Claims				
(a) Claims by Death,	2,790	5,887	10,333	24,378
(b) Claims by Maturity,	7,064	12,272	5,509	8,478
(c) Annuities/Pension payment	1,645	3,268	995	2,445
(d) Periodical Benefit	1,917	3,547	2,342	3,826
(e) Health	50	85	93	130
(f) Surrender	14,681	25,962	22,491	32,932
(g) Other benefits				
- Riders	5	6	44	45
- Interest to policy holders	180	392	186	337
(Amount ceded in reinsurance):				
(a) Claims by Death,	(1,252)	(2,794)	(6,327)	(16,035)
(b) Claims by Maturity,	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-
(d) Periodical Benefit	-	-	-	-
(e) Health	-	-	-	-
(f) Surrender	-	-	-	-
(g) Other benefits	-	-	-	-
- Riders	(6)	(22)	(32)	(58)
- Interest to policy holders	-	-	-	-
(Amount accepted in reinsurance):				
(a) Claims by Death,	-	-	-	-
(b) Claims by Maturity,	-	-	-	-
(c) Annuities/Pension payment	-	-	-	-
(d) Periodical Benefit	-	-	-	-
(e) Health	-	-	-	-
(f) Surrender	-	-	-	-
(g) Other benefits	-	-	-	-
- Riders	-	-	-	-
- Interest to policy holders	-	-	-	-
TOTAL	27,074	48,603	35,634	56,478
Benefits paid (Net)				
In India	27,074	48,603	35,634	56,478
Outside India	-	-	-	-
Total Benefits paid (Net)	27,074	48,603	35,634	56,478

FORM L-8-SHARE CAPITAL SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022	SEPTEMBER 30, 2021
	(Rs. In lacs)	(Rs. In lacs)
Authorized Capital 2,500,000,000 (Previous Year 2,500,000,000) Equity shares of Rs 10 each	2,50,000	2,50,000
Issued, Subscribed and Called up Capital 2,004,900,000 (Previous Year 2,004,900,000) Equity shares of Rs 10 each, fully paid up	2,00,490	2,00,490
Less : Calls unpaid	-	-
Add : Shares forfeited (Amount originally paid up)	-	-
Less: Par value of Equity Shares bought back	-	-
Less: Preliminary expenses	-	-
Expenses including commission or brokerage or underwriting or subscription of shares.	-	-
TOTAL	2,00,490	2,00,490

FORM L-9-PATTERN OF SHAREHOLDING SCHEDULE

Shareholder	AS AT SEPTEMBER 30, 2022		AS AT SEPTEMBER 30, 2021	
	Number of Shares	% of Holding	Number of Shares	% of Holding
Promoters				
- Indian - Dabur Invest Corp.(Partnership Firm)	52,12,74,000	26%	1,02,24,99,000	51%
- Foreign - Aviva International Holdings Limited, UK	1,48,36,26,000	74%	98,24,01,000	49%
- Others	-	0%	-	0%
TOTAL	2,00,49,00,000	100%	2,00,49,00,000	100%

FORM L-9A- PART A: PATTERN OF SHAREHOLDING SCHEDULE as at SEPTEMBER 30, 2022

S.No.	Category	No. of Investors	Number of Shares	% of Holding	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period		
						Number of shares	As a percentage of Total Shares held	Number of shares	As a percentage of Total Shares held	
A	Promoters & Promoters Group									
A.1	Indian Promoters									
i)	Individuals/HUF (Names of major shareholders):*									
	(i) Mr Y C Burman	-	52,12,73,660	26%	52,127	-	-	-	-	
	(ii) Ms Asha Burman	-	100		0	-	-	-	-	
	(iii) Ms Indira Burman	-	100		0	-	-	-	-	
	(iv) Mr Pradip Burman	-	100		0	-	-	-	-	
	(v) Mr Ashok Kumar Jain	-	10		0	-	-	-	-	
	(vi) Ms Gagan Ahluwalia	-	10		0	-	-	-	-	
	(vii) Mr Abhay Kumar Aggarwal	-	10		0	-	-	-	-	
	(viii) Mr Arun Gupta	-	10		0	-	-	-	-	
	*Indian Shareholding is held by Dabur Invest Corp ("DIC"), a Partnership firm. Persons mentioned at A.1) (i) to A.1 i) (iv) above are partners of the firm who have been nominated by DIC to hold the shares on its behalf. Persons mentioned in A.1 i) (v) to A.1 i) (viii) are affiliates of Dabur Invest Corp and hold shares for the beneficial interest of Dabur Invest Corp.									
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-	
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-	
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-	
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-	
A.2	Foreign Promoters									
i)	Individuals (Name of major shareholders):	-	-	-	-	-	-	-	-	
ii)	Bodies Corporate:	-	1,48,36,26,000	74%	1,48,363	-	-	-	-	
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-	
B.	Non Promoters									
B.1	Public Shareholders									
1.1)	Institutions									
i)	Mutual Funds	-	-	-	-	-	-	-	-	
ii)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-	
iii)	Financial Institutions/Banks	-	-	-	-	-	-	-	-	
iv)	Insurance Companies	-	-	-	-	-	-	-	-	
v)	FII belonging to Foreign promoter	-	-	-	-	-	-	-	-	
vi)	FII belonging to Foreign Promoter of Indian Promoter	-	-	-	-	-	-	-	-	
vii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-	
viii)	Alternative Investment Fund	-	-	-	-	-	-	-	-	
ix)	Any other (Please specify)	-	-	-	-	-	-	-	-	
1.2)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-	
1.3)	Non-Institutions									
i)	Individual share capital upto Rs. 2 Lacs	-	-	-	-	-	-	-	-	
ii)	Individual share capital in excess of Rs. 2 Lacs	-	-	-	-	-	-	-	-	
iii)	NBFCs registered with RBI	-	-	-	-	-	-	-	-	
iv)	Others:									
	- Trusts	-	-	-	-	-	-	-	-	
	- Non Resident Indian	-	-	-	-	-	-	-	-	
	- Clearing Members	-	-	-	-	-	-	-	-	
	- Non Resident Indian Non Repatriable	-	-	-	-	-	-	-	-	
	- Bodies Corporate	-	-	-	-	-	-	-	-	
	- IEPF	-	-	-	-	-	-	-	-	
v)	Any other (Please Specify)	-	-	-	-	-	-	-	-	
B.2	Non Public Shareholders									
2.1)	Custodian/DR Holder	-	-	-	-	-	-	-	-	
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-	
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-	
	TOTAL	-	2,00,49,00,000	100%	2,00,490	-	-	-	-	

FORM L-9A- PART B: PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE

S.No.	Shareholder	No. of Investors	Number of Shares	% of Holding	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares	As a percentage of Total Shares held	Number of shares	As a percentage of Total Shares held
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):*								
	(i) Ms Shivani Burman	-	NA	19%	NA	-	-	-	-
	(ii) Mr V C Burman	-	NA	24%	NA	-	-	-	-
	(iii) Ms Asha Burman	-	NA	19%	NA	-	-	-	-
	(iv) Mr Pradip Burman	-	NA	19%	NA	-	-	-	-
	(v) Ms Indira Burman	-	NA	19%	NA	-	-	-	-
	* Indian Promoter, Dabur Invest Corp, being a partnership firm holds no shares. However, the partners in the partnership firm share the profits and losses of the firm in a fixed proportion as indicated above.								
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	-	-	-	-	-	-	-	-
ii)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-
iii)	Financial Institutions/Banks	-	-	-	-	-	-	-	-
iv)	Insurance Companies	-	-	-	-	-	-	-	-
v)	FI belonging to Foreign promoter #	-	-	-	-	-	-	-	-
vi)	FI belonging to Foreign Promoter of Indian Promoter #	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii)	Alternative Investment Fund	-	-	-	-	-	-	-	-
ix)	Any other (Please specify)	-	-	-	-	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	-	-	-	-	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	-	-	-	-	-	-	-	-
iii)	NBFCs registered with RBI	-	-	-	-	-	-	-	-
iv)	Others:								
	- Trusts	-	-	-	-	-	-	-	-
	- Non Resident Indian	-	-	-	-	-	-	-	-
	- Clearing Members	-	-	-	-	-	-	-	-
	- Non Resident Indian Non Repatriable	-	-	-	-	-	-	-	-
	- Bodies Corporate	-	-	-	-	-	-	-	-
	- IEPF	-	-	-	-	-	-	-	-
v)	Any other (Please Specify)	-	-	-	-	-	-	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder	-	-	-	-	-	-	-	-
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
	TOTAL	-	-	100%	-	-	-	-	-

FORM L-10-RESERVES AND SURPLUS SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022	SEPTEMBER 30, 2021
	(Rs. In lacs)	(Rs. In lacs)
Capital Reserve	-	-
Capital Redemption Reserve	-	-
Share Premium	-	-
Revaluation Reserve	-	-
General Reserves	-	-
Less: Debit balance in Profit and Loss Account, if any	-	-
Less: Amount utilized for Buy-back	-	-
Catastrophe Reserve	-	-
Other Reserves	-	-
Balance of profit in Profit and Loss Account	-	-
TOTAL	-	-

FORM L-11-BORROWINGS SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022	SEPTEMBER 30, 2021
	(Rs. In lacs)	(Rs. In lacs)
Debentures/ Bonds	-	-
Banks	-	-
Financial Institutions	-	-
Others	-	-
TOTAL	-	-

FORM L-12-INVESTMENT - SHAREHOLDERS

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022 (Rs. In lacs)	SEPTEMBER 30, 2021 (Rs. In lacs)
LONG TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills	16,638	21,063
(Market value (Rs. In Lacs) Current Year 16,405 Previous Year 22,026)		
Other Approved Securities	25,717	25,677
(Market value (Rs. In Lacs) Current Year 25,029 Previous Year 26,167)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year 1)		
(bb) Preference	-	-
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Term Deposits)	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :		
Non Convertible Debentures	7,062	9,667
(Market value (Rs. In Lacs) Current Year 7,011 Previous Year 10,046)		
Equity Shares	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
Other Investments		
Debentures/ Bonds	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
Equity Shares	-	-
(Historical value (Rs. In Lacs) Current Year 1 Previous Year NIL)		
SHORT TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills	135	739
(Market value (Rs. In Lacs) Current Year 134 Previous Year 742)		
Other Approved Securities	-	450
(Market value (Rs. In Lacs) Current Year NIL Previous Year 451)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(b) Mutual Funds	-	-
(Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(e) Other Securities (Commercial Papers , Certificate of Deposits and Term Deposits)	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
(f) Other Securities (Reverse Repo)/(Treps)	223	663
(Market value (Rs. In Lacs) Current Year 223 Previous Year 663)		
(g) Subsidiaries	-	-
(h) Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :		
Non Convertible Debentures	2,501	768
(Market value (Rs. In Lacs) Current Year 2,513 Previous Year 771)		
Other Investments		
Mutual Funds	-	-
Debentures/ Bonds	-	-
(Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)		
TOTAL	52,276	59,027
INVESTMENTS		
In India	52,276	59,027
Outside India	-	-
TOTAL	52,276	59,027

Form L-13-INVESTMENTS-POLICYHOLDERS

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022 (Rs. In lacs)	SEPTEMBER 30, 2021 (Rs. In lacs)
LONG TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills (Market value (Rs. In Lacs) Current Year 493,487 Previous Year 474,235)	5,00,463	4,45,381
Other Approved Securities (Market value (Rs. In Lacs) Current Year 121,786 Previous Year 114,653)	1,27,388	1,14,640
Other Approved Investments		
(a) Shares		
(aa) Equity (Historical value (Rs. In Lacs) Current Year 89 Previous Year 69)	176	178
(bb) Preference	-	-
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds (Market value (Rs. In Lacs) Current Year NIL Previous Year 578)	-	538
(e) Other Securities (Term Deposits) (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)	-	-
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :		
Non Convertible Debentures (Market value (Rs. In Lacs) Current Year 119,444 Previous Year 114,542)	1,19,045	1,07,021
Equity Shares (Historical value (Rs. In Lacs) Current Year 6 Previous Year 5)	10	9
Other Investments		
Non Convertible debentures (Market value (Rs. In Lacs) Current Year 421 Previous Year 475)	421	475
Equity Shares (Historical value (Rs. In Lacs) Current Year 13 Previous Year 9)	10	12
SHORT TERM INVESTMENTS		
Government Securities and Government guaranteed bonds including treasury bills (Market value (Rs. In Lacs) Current Year 14,532 Previous Year 3,180)	14,539	3,176
Other Approved Securities (Market value (Rs. In Lacs) Current Year 403 Previous Year 281)	404	280
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(bb) Preference (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)	-	-
(b) Mutual Funds (Historical value (Rs. In Lacs) Current Year NIL Previous Year NIL)	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)	-	-
(e) Other Securities (Commercial Papers, Certificate of Deposits and Term Deposits) (Market value (Rs. In Lacs) Current Year 498 Previous Year 1,280)	498	1,280
(f) Other Securities (Reverse Repo)/(Treps) (Market value (Rs. In Lacs) Current Year 5,891 Previous Year 5,073)	5,891	5,073
(g) Subsidiaries	-	-
Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :		
Non Convertible Debentures (Market value (Rs. In Lacs) Current Year 50 Previous Year 322)	50	320
Commercial Paper (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)	-	-
Other Investments		
Non Convertible Debentures (Market value (Rs. In Lacs) Current Year NIL Previous Year NIL)	-	-
TOTAL	7,68,895	6,78,383
INVESTMENTS		
In India	7,68,895	6,78,383
Outside India	-	-
TOTAL	7,68,895	6,78,383

Form L-14-INVESTMENTS - ASSETS HELD TO COVER LINKED LIABILITIES

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022 (Rs. In lacs)	SEPTEMBER 30, 2021 (Rs. In lacs)
LONG TERM INVESTMENTS		
Government Securities and Government guaranteed bonds Including Treasury Bills	41,564	95,566
(Historical value(Rs. In Lacs)Current Year 42,066 Previous Year 95,143)		
Other Approved Securities	16,158	3,821
(Historical value(Rs. In Lacs)Current Year 16,562 Previous Year 3,811)		
Other Approved Investments		
(a) Shares		
(aa) Equity	1,56,849	1,70,314
(Historical value(Rs. In Lacs)Current Year 114,459 Previous Year 106,756)		
(bb) Preference	-	-
(Historical value(Rs. In Lacs)Current Year NIL Previous Year NIL)		
(b) Mutual Funds	1,397	-
(Historical value(Rs. In Lacs)Current Year 1,755 Previous Year NIL)		
(c) Derivative Instruments	-	-
(d) Debentures/Bonds	-	-
(Historical value(Rs. In Lacs)Current Year NIL Previous Year NIL)		
(e) Other Securities (Certificate of Deposits,Term Deposits and Commercial papers)	-	-
(Historical value(Rs. In Lacs)Current Year NIL Previous Year NIL)		
(f) Subsidiaries	-	-
(g) Investment Properties-Real Estate	-	-
Investments in Infrastructure, Social Sector and Housing :		
(a) Non Convertible Debentures	27,067	13,798
(Historical value(Rs. In Lacs)Current Year 27,206 Previous Year 12,852)		
(b) Equity	16,615	15,556
(Historical value(Rs. In Lacs)Current Year 12,339 Previous Year 3,955)		
Other Investments		
(a) Equity Shares	13,111	20,433
(Historical value(Rs. In Lacs)Current Year 9,468 Previous Year 3,955)		
(b) Debentures/Bonds	886	1,000
(Historical value(Rs. In Lacs)Current Year 4,000 Previous Year 4,000)		
(c) Mutual Funds	20,366	24,498
(Historical value(Rs. In Lacs)Current Year 12,360 Previous Year 24,498)		
SHORT TERM INVESTMENTS		
Government Securities and Government guaranteed bonds Including Treasury Bills		
Government Securities and Government guaranteed bonds	41,780	16,818
(Historical value(Rs. In Lacs)Current Year 41,504 Previous Year 16,689)		
Other Approved Securities	-	-
(Historical value(Rs. In Lacs)Current Year NIL Previous Year NIL)		
Other Approved Investments		
(a) Shares		
(aa) Equity	-	-
(bb) Preference	-	-
(Historical value(Rs. In Lacs)Current Year NIL Previous Year NIL)		
(b) Mutual Funds	-	-
(c) Derivative Instruments	-	-
(d) Debentures/ Bonds	-	-
(Historical value(Rs. In Lacs)Current Year NIL Previous Year NIL)		
(e) Other Securities (Certificate of Deposits,Term Deposits and Commercial papers)	3,490	1,465
(Historical value(Rs. In Lacs)Current Year 3,363 Previous Year 1,447)		
(f) Other Securities (Reverse Repo)/(Treps)	11,367	22,255
(Historical value(Rs. In Lacs)Current Year 11,364 Previous Year 22,255)		
(g) Subsidiaries	-	-
(h) Investment Properties-Real Estate	-	-
Investments in Infrastructure , Social Sector and Housing :		
Non Convertible Debentures	503	190
(Historical value(Rs. In Lacs)Current Year 502 Previous Year 189)		
Other Investments		
(a) Mutual Funds	-	-
(Historical value(Rs. In Lacs)Current Year NIL Previous Year NIL)		
(a) Debentures/Bonds	-	1,424
(Historical value(Rs. In Lacs)Current Year NIL Previous Year 1,401)		
Balances in Bank	(385)	82
Other Current Assets (net)	6,205	2,289
TOTAL	3,56,973	3,89,509
INVESTMENTS		
In India	3,56,973	3,89,509
Outside India	-	-
TOTAL	3,56,973	3,89,509

FORM L-15-LOANS SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022	SEPTEMBER 30, 2021
	(Rs. In lacs)	(Rs. In lacs)
Security-Wise Classification		
Secured		
(a) On mortgage of property	-	-
(aa) In India	-	-
(bb) Outside India	-	-
(b) On Shares, Bonds, Govt. Securities etc	-	-
(c) Loans against policies	-	-
(d) Others (to be specified)	-	-
Unsecured	-	-
TOTAL		
BORROWER-WISE CLASSIFICATION		
(a) Central and State Governments	-	-
(b) Banks and Financial Institutions	-	-
(c) Subsidiaries	-	-
(d) Companies	-	-
(e) Loans against policies	-	-
(f) Others	-	-
TOTAL		
PERFORMANCE-WISE CLASSIFICATION		
(a) Loans classified as standard	-	-
(aa) In India	-	-
(bb) Outside India	-	-
(b) Non-standard loans less provisions	-	-
(aa) In India	-	-
(bb) Outside India	-	-
TOTAL		
MATURITY-WISE CLASSIFICATION		
(a) Short Term	-	-
(b) Long Term	-	-
Total	-	-

FORM L-16-FIXED ASSETS SCHEDULE

(Rs. In lacs)

Particulars	Cost/ Gross Block				Depreciation / Amortisation				Net Block	
	Opening Balance as on April 1, 2022	Additions during the period	Deductions/ Adjustments	AS AT SEPTEMBER 30, 2022	Opening Balance as on April 1, 2022	For the period	Sales/ Adjustments	AS AT SEPTEMBER 30, 2022	AS AT SEPTEMBER 30, 2022	AS AT MARCH 31, 2022
Intangibles										
Software	6,029	45	-	6,074	5,728	106	-	5,834	240	301
Tangibles										
Leasehold Improvements	2,652	657	-	3,309	2,553	113	-	2,666	643	99
Buildings	-	-	-	-	-	-	-	-	-	-
Furniture & Fittings	1,191	122	1	1,312	1,177	108	1	1,284	28	14
Information Technology Equipment	4,629	153	353	4,429	4,043	213	352	3,904	525	586
Vehicles	78	-	-	78	18	13	-	31	47	60
Office Equipment	484	110	35	559	468	22	34	456	103	16
Sub Total	15,063	1,087	389	15,761	13,987	575	387	14,175	1,586	1,076
Capital Work In Progress	-	-	-	-	-	-	-	-	3	12
TOTAL	15,063	1,087	389	15,761	13,987	575	387	14,175	1,589	1,088
PREVIOUS YEAR	16,376	425	1,305	15,496	14,987	404	1,287	14,104	1,472	

FORM L-17-CASH AND BANK BALANCE SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022	SEPTEMBER 30, 2021
	(Rs. In lacs)	(Rs. In lacs)
Cash (including cheques, drafts and stamps)	139	164
Bank Balances		
(a) Deposit Accounts*		
(aa) Short-term (due within 12 months of the date of balance sheet)	808	1,275
(bb) Others	425	525
(b) Current Accounts	804	754
(c) Others	-	-
Money at Call and Short Notice		
(a) With Banks	-	-
(b) With other Institutions	-	-
Others	-	-
TOTAL	2,176	2,718
<i>Balances with non-scheduled banks included above</i>	-	-
CASH AND BANK BALANCES		
In India	2,176	2,718
Outside India	-	-
TOTAL	2,176	2,718

* Current Year amount includes brought forward Fixed Deposit amounting to Rs. 425 (In lacs) (Previous Year Rs. 525 (In lacs)) which were due for maturity after 3 months at the time of placement and hence were considered as investing activity

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE

ADVANCES AND OTHER ASSETS

Particulars	AS AT		AS AT	
	SEPTEMBER 30, 2022		SEPTEMBER 30, 2021	
	(Rs. In lacs)		(Rs. In lacs)	
ADVANCES				
Reserve deposits with ceding companies		-		-
Application money for investments		-		-
Prepayments		571		456
Advances to Directors/Officers		-		-
Advance tax paid and taxes deducted at source	23		66	
Less: Provision for doubtful debts	(1)	22	(1)	65
Others (includes vendor, travel advances & salary recoverable)	1,610		545	
Less : Provision for doubtful debts	(290)	1,320	(294)	251
TOTAL (A)		1,913		772
OTHER ASSETS				
Income accrued on investments		15,377		15,412
Outstanding Premiums		2,446		2,284
Agents' Balances	976		807	
Less : Provision for doubtful debts	(825)	151	(726)	81
Foreign Agencies' Balances		-		-
Due from other entities carrying on insurance business (including reinsurers)		275		6,522
Others				
- Investment pertaining to Unclaimed Policyholders Fund		14,086		15,760
- Interest on Investment pertaining to Unclaimed Policyholders Fund (Net of FMC)		3,169		3,125
- Refundable Security Deposits	2,122		3,718	
Less: Provision for doubtful security deposit	(149)	1,973	(176)	3,542
- Receivables against unsettled investment contracts		-		-
- Goods and Service Tax/Service Tax Unutilised Credit		917		400
- Other Reinsurance assets		123		3,435
TOTAL (B)		38,517		50,561
TOTAL (A+B)		40,430		51,333

FORM L-19-CURRENT LIABILITIES SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022	SEPTEMBER 30, 2021
	(Rs. In lacs)	(Rs. In lacs)
Agents' Balances	592	456
Balances due to other insurance companies	513	-
Deposits held on re-insurance ceded	-	-
Premiums received in advance	874	1,268
Unallocated premium	887	1,424
Sundry creditors		
Micro, Small & Medium Enterprises	-	-
Others	248	324
Unclaimed Amount - Policyholders	14,086	15,760
Interest on Investment pertaining to Unclaimed Policyholders Fund	3,169	3,125
Claims Outstanding	5,712	6,971
Annuities Due	160	142
Others:		
- Accrual for expenses	7,217	8,083
- Goods and Service Tax /Service Tax payable	577	313
- Payables for unsettled investment contracts	-	553
- Statutory Dues Payable	321	387
- Employee and other dues Payable	622	621
TOTAL	34,978	39,427

FORM L-20-PROVISIONS SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022	SEPTEMBER 30, 2021
	(Rs. In lacs)	(Rs. In lacs)
For taxation (less payments and taxes deducted at source)	-	-
Others:		
Provision for Gratuity	139	456
Provision for Leave Encashment	220	253
Provision for Other Long Term Benefits	461	447
Provision for Other Employee Benefits	262	386
TOTAL	1,082	1,542

FORM L-21-MISC EXPENDITURE SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022	SEPTEMBER 30, 2021
	(Rs. In lacs)	(Rs. In lacs)
Discount Allowed in issue of shares/ debentures	-	-
Others	-	-
TOTAL	-	-

FORM I-22-ANALYTICAL RATIOS

S.No.	Particulars	Q2'22-23	AS AT SEPTEMBER 30, 2022	Q2'21-22	AS AT SEPTEMBER 30, 2021
1	New business premium income growth rate - segment wise				
	Linked Individual - Life Participating	NA	NA	NA	NA
	Linked Individual - Pension Participating	NA	NA	NA	NA
	Linked Individual - Life Non Participating	9%	8%	65%	20%
	Linked Individual - Pension Non Participating	(18)%	(17)%	5%	(13)%
	Linked Group - Non Participating	37%	87%	(11)%	3%
	Non Linked Individual - Life Participating	NA	NA	(100)%	(104)%
	Non Linked Individual - Pension Participating	NA	NA	NA	NA
	Non Linked Individual - Life Non Participating	13%	9%	34%	14%
	Non Linked Individual - Pension Non Participating	(25)%	(35)%	(55)%	(29)%
	Non Linked Group - Life Non Participating	(86)%	(41)%	238%	62%
	Non Linked Group - Pension Non Participating	NA	NA	NA	NA
	Non Linked Annuity Non Participating	(4)%	26%	(31)%	(44)%
	Non Linked - Variable - Group	110%	89%	399%	375%
	Non Linked Health Non Participating	NA	NA	(100)%	(100)%
2	Percentage of Single Premium (Individual Business) to Total New Business Premium (Individual Business)	6%	9%	8%	7%
3	Percentage of Linked New Business Premium (Individual Business) to Total New Business Premium (Individual Business)	28%	27%	28%	27%
4	Net Retention Ratio	94%	94%	93%	92%
5	Expense of Management to Gross Direct Premium Ratio	25%	28%	25%	28%
6	Commission Ratio (Gross commission paid to Gross Premium)	3%	2%	3%	3%
7	Business Development and Sales Promotion Expenses to New Business Premium	3%	2%	0%	1%
8	Ratio of policy holder's liabilities to shareholder's funds	-38.53	22.00	463.53	18.00
9	Growth rate of shareholders' fund	(1395)%	(16)%	(139)%	(2)%
10	Ratio of surplus to policyholders' liability	1%	0%	0%	0%
11	Change in net worth (Rs. in Lacs)	(7979)	(7979)	702	702
12	Growth in Network	(13)%	(13)%	1%	1%
13	Profit after tax/Total Income	(1)%	(2)%	0%	0%
14	(Total real estate + loans)/(Cash & invested assets)	NA	NA	NA	NA
15	Total investments/(Capital + Surplus)	20.31	5.88	-296.01	5.62
16	Total affiliated investments/(Capital+ Surplus)	NA	NA	NA	NA
17A	Investment Yield (Gross) with total gains				
	Policyholders' Funds:				
	Non-Linked:				
	R1. PAR	1.8%	3.8%	1.8%	3.6%
	R2. Non-PAR	1.9%	3.9%	2.1%	4.2%
	R3.Sub-TOTAL	1.9%	3.9%	2.1%	4.2%
	Linked:				
	R4. PAR	2%	(0)%	3.2%	5.3%
	R5. Non-PAR	7%	(0)%	8.3%	14.5%
	R6. Sub-TOTAL	7%	(0)%	8.1%	14.1%
	R7.Grand Total	3%	3%	4.2%	7.7%
	Shareholders' Funds	2%	3%	1.9%	3.9%
17B	Investment Yield (Net) (Only realized gains)				
	Policyholders' Funds:				
	Non-Linked:				
	R1. PAR	1.8%	3.8%	1.8%	3.6%
	R2. Non-PAR	1.9%	3.9%	2.0%	4.1%
	R3.Sub-TOTAL	1.9%	3.9%	2.0%	4.1%
	Linked:				
	R4. PAR	1.7%	3.3%	2.7%	4.6%
	R5. Non-PAR	2.0%	4.3%	3.4%	6.4%
	R6. Sub-TOTAL	2.0%	4.2%	3.4%	6.3%
	R7.Grand Total	2.0%	4.0%	2.5%	4.9%
	Shareholders' Funds	1.8%	3.5%	1.8%	3.7%
18	Conservation Ratio				
	Linked Individual - Life Participating	61%	62%	82%	88%
	Linked Individual - Pension Participating	62%	72%	103%	87%
	Linked Individual - Life Non Participating	69%	81%	87%	81%
	Linked Individual - Pension Non Participating	84%	89%	78%	83%
	Linked Group - Non Participating	0%	0%	0%	0%
	Non Linked Individual - Life Participating	89%	90%	99%	99%
	Non Linked Individual - Pension Participating	89%	73%	82%	82%
	Non Linked Individual - Life Non Participating	88%	85%	95%	95%
	Non Linked Individual - Pension Non Participating	60%	73%	47%	47%
	Non Linked Group - Life Non Participating	10%	8%	74%	74%
	Non Linked - Variable - Group	NA	NA	NA	NA
	Non Linked Health Non Participating	93%	93%	95%	95%
19	Persistency Ratio (by numbers)				
	For 13th month	61%	70%	58%	64%
	For 25th month	48%	55%	54%	64%
	For 37th month	46%	59%	52%	61%
	For 49th Month	49%	59%	43%	59%
	for 61st month	41%	41%	45%	42%
	Persistency Ratio (by premium)				
	For 13th month	72%	77%	69%	72%
	For 25th month	58%	60%	61%	60%
	For 37th month	50%	49%	52%	53%
	For 49th Month	49%	50%	49%	49%
	for 61st month	46%	44%	46%	45%
20	NPA Ratio				
	Gross NPA Ratio				
	Shareholders' Funds	0.37%	0.37%	0.30%	0.30%
	Policyholders' Funds	0.72%	0.72%	0.80%	0.80%
	Net NPA Ratio				
	Shareholders' Funds	0.00%	0.00%	0.00%	0.00%
	Policyholders' Funds	0.12%	0.12%	0.10%	0.10%
22	Solvency Ratio - As per Form KT-3	1.85	1.85	2.23	2.23
23	Debt Equity Ratio	NA	NA	NA	NA
24	Debt Service Coverage Ratio	NA	NA	NA	NA
25	Interest Service Coverage Ratio	NA	NA	NA	NA
26	Average ticket size in Rs. - Individual premium (Non-Single)	69,513	69,741	74,170	77,264
	Equity Holding Pattern for Life Insurers				
	(a) No. of shares (in Lakhs)		20,049		20,049
	(b) Percentage of shareholding (Indian / Foreign)		24% / 76%		51% / 49%
	(c) % of Government holding (in case of public sector insurance companies)		Nil		Nil
	(a) Basic and diluted EPS before extraordinary items (net of tax expense)		(0.07)		(0.01)
	(b) Basic and diluted EPS after extraordinary items (net of tax expense)		(0.07)		(0.01)
	(iv) Book value per share (Rs)		2.75		3.15

FORM L-23-RECEIPT AND PAYMENTS SCHEDULE

Particulars	AS AT	AS AT
	SEPTEMBER 30, 2022 (Rs. In lacs)	SEPTEMBER 30, 2021 (Rs. In lacs)
I Cash flows from operating activities		
Premium received from policyholders, including advance receipts	53,778	56,298
Other Receipts	151	157
Payments to the re-insurers, net of commissions and claims	587	(13,016)
Payments of claims	(53,568)	(58,953)
Payments of commission and brokerage	(1,509)	(1,438)
Payments of other operating expenses	(13,069)	(15,096)
Deposits, advances and staff loans	1,556	361
Income taxes paid/ Refunded (Net)	3	(11)
Goods and Service tax paid	(88)	(227)
Other payments	-	-
Cash flows before extraordinary items	(12,157)	(31,925)
Cash flow from extraordinary operations	-	-
Net cash used in operating activities (I)	(12,157)	(31,925)
II Cash flows from investing activities		
Purchase of Fixed Assets	(1,077)	(482)
Proceeds from Sale of Fixed Assets	22	-
Purchase of Investment	(3,00,048)	(3,57,804)
Investments in Fixed Deposits	(400)	(800)
Proceeds from maturity of Fixed Deposits	400	800
Loans disbursed	-	-
Loans against policies	-	-
Proceeds from Sale/redemption of Investment	3,21,115	3,87,299
Repayments received	-	-
Rents/Interests/ Dividends received	37,084	34,430
Investments in money market instruments and in liquid mutual funds (Net)	(49,372)	(36,269)
Expenses related to investments	-	-
Net cash flow from investing activities (II)	7,724	27,174
III Cash flows from financing activities		
Proceeds from issuance of share capital	-	-
Proceeds from borrowing	-	-
Repayments of borrowing	-	-
Interest/dividends paid	-	-
Net cash generated from financing activities (III)	-	-
IV Effect of foreign exchange rates on cash and cash equivalents, net	-	-
Net increase/(decrease) in cash and cash equivalent (I+II+III+IV)	(4,434)	(4,752)
Cash and cash equivalent at beginning of the year	5,800	7,026
Cash and cash equivalent at the end of the year	1,366	2,274
Break up as follows :		
Components of Cash and Cash Equivalents		
Cash and Bank Balances (Refer to Note 1 below)	1,751	2,193
Bank balances - Linked Assets	(385)	82
Temporary book overdrafts	-	-
Total	1,366	2,274
Note 1		
Cash (including cheques, drafts and stamps)	139	164
Bank balances on current accounts	804	754
Fixed Deposits with maturity less than 3 months	808	1,275
Cash and Bank Balances	1,751	2,193
Note 2		
Cash and Bank Balances (Refer to Note 1 above)	1,751	2,193
Fixed Deposits with maturity more than 3 months (Considered as Investing Activity)	425	525
Cash and Bank Balances	2,176	2,718

FORM L-24-VALUATION OF NET LIABILITIES

(Rs. In lacs)

Type	Category of business	AS AT SEPTEMBER 30, 2022	AS AT SEPTEMBER 30, 2021
Par	Non-Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life	3,064	2,601
	General Annuity	-	-
	Pension	88	87
	Health	-	-
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked-Others		
Life	4,555	5,330	
General Annuity	-	-	
Pension	314	314	
Health	-	-	
Total Par		8,021	8,332
Non-Par	Non-Linked -VIP		
	Life	364	689
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life	7,38,862	6,55,352
	General Annuity	6,874	5,730
	Pension	18,600	18,746
	Health	2,479	1,713
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked-Others		
Life	3,14,867	3,42,413	
General Annuity	-	-	
Pension	31,939	36,254	
Health	-	-	
Total Non Par		11,13,985	10,60,897
Total Business	Non-Linked -VIP		
	Life	364	689
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Non-Linked -Others		
	Life	7,41,926	6,57,953
	General Annuity	6,874	5,730
	Pension	18,688	18,832
	Health	2,479	1,713
	Linked -VIP		
	Life	-	-
	General Annuity	-	-
	Pension	-	-
	Health	-	-
	Linked-Others		
Life	3,19,422	3,47,743	
General Annuity	-	-	
Pension	32,253	36,568	
Health	-	-	
Total		11,22,006	10,69,229

(Amount in lacs)

Geographical Distribution of Total Business - INDIVIDUAL												
SL. No.	State/Union Territory	New Business - Rural (Individual)			New Business - Urban (Individual)			Total New Business (Individual)			Renewal Premium	Total Premium (New Business and Renewal)
		No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured		
STATES												
1	Andhra Pradesh	1	1	23	63	52	824	64	53	847	382	435
2	Arunachal Pradesh	2	2	58	7	14	182	9	16	240	154	170
3	Assam	(2)	(1)	(33)	77	50	670	75	49	637	508	557
4	Bihar	159	54	772	334	117	1,559	493	171	2,331	555	726
5	Chhattisgarh	4	1	10	37	24	261	41	25	271	164	189
6	Goa	-	-	-	3	1	16	3	1	16	54	55
7	Gujarat	14	11	167	180	161	2,219	194	172	2,386	894	1,066
8	Haryana	587	88	1,270	769	377	4,391	1,356	465	5,660	1,259	1,724
9	Himachal Pradesh	2	1	11	7	6	65	9	7	76	52	59
10	Jharkhand	16	5	83	73	54	473	89	59	555	203	262
11	Karnataka	2	2	38	227	299	4,010	229	301	4,048	2,056	2,357
12	Kerala	1	-	1	100	132	799	101	132	801	433	565
13	Madhya Pradesh	69	33	510	213	119	1,773	282	152	2,283	476	628
14	Maharashtra	406	202	3,157	1,567	1,333	18,769	1,973	1,535	21,926	4,341	5,876
15	Manipur	-	-	-	2	1	9	2	1	9	22	23
16	Meghalaya	-	-	-	1	-	3	1	-	3	18	18
17	Mizoram	-	-	-	4	16	23	4	16	23	4	20
18	Nagaland	2	-	6	17	4	47	19	4	53	16	20
19	Odisha	22	10	190	142	122	1,544	164	132	1,734	688	820
20	Punjab	1	1	10	54	32	465	55	33	475	447	480
21	Rajasthan	9	2	29	131	77	1,243	140	79	1,272	425	504
22	Sikkim	-	-	-	-	-	-	-	-	-	10	10
23	Tamil Nadu	1	1	10	304	281	3,115	305	282	3,125	1,775	2,057
24	Telangana	-	-	-	147	199	2,652	147	199	2,652	979	1,178
25	Tripura	34	10	176	85	40	561	119	50	737	243	293
26	Uttarakhand	-	-	-	16	23	276	16	23	276	85	108
27	Uttar Pradesh	10	4	57	214	183	2,453	224	187	2,511	1,636	1,823
28	West Bengal	30	14	174	372	310	3,334	402	324	3,508	1,282	1,606
	TOTAL	1,370	441	6,720	5,146	4,027	51,734	6,516	4,468	58,454	19,161	23,629
UNION TERRITORIES												
1	Andaman and Nicobar Islands	-	-	-	3	5	11	3	5	11	1	6
2	Chandigarh	-	-	-	17	14	176	17	14	176	116	130
3	Dadra and Nagar Haveli and D	-	-	-	3	3	24	3	3	24	7	10
4	Govt. of NCT of Delhi	-	-	(3)	299	496	5,880	299	496	5,877	2,901	3,397
5	Jammu & Kashmir	-	-	-	7	4	43	7	4	43	53	57
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	10	2	36	10	2	36	18	20
	TOTAL	-	-	(3)	339	524	6,170	339	524	6,167	3,096	3,620
	Grand Total	1,370	441	6,717	5,485	4,551	57,904	6,855	4,992	64,620	22,257	27,249
	IN INDIA							6,855	4,992	64,620	22,257	27,249
	OUTSIDE INDIA							-	-	-	-	-

(Amount in lacs)

Geographical Distribution of Total Business - INDIVIDUAL												
SL. No.	State/Union Territory	New Business - Rural (Individual)			New Business - Urban (Individual)			Total New Business (Individual)			Renewal Premium	Total Premium (New Business and Renewal)
		No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured	No of Policies	Premium	Sum Assured		
STATES												
1	Andhra Pradesh	1	1	23	89	83	1,348	90	84	1,370	635	719
2	Arunachal Pradesh	2	2	58	18	44	911	20	46	969	243	289
3	Assam	8	3	25	174	132	1,379	182	135	1,404	1,025	1,160
4	Bihar	415	68	1,051	542	187	2,528	957	255	3,579	852	1,107
5	Chhattisgarh	8	2	35	64	44	441	72	46	476	249	295
6	Goa	1	1	14	13	9	123	14	10	137	108	118
7	Gujarat	31	23	351	286	242	3,365	317	265	3,716	1,545	1,810
8	Haryana	589	88	1,292	868	498	5,782	1,457	586	7,074	2,492	3,078
9	Himachal Pradesh	2	1	11	9	8	107	11	9	118	89	98
10	Jharkhand	24	6	93	118	86	764	142	92	858	334	426
11	Karnataka	2	2	38	335	407	5,434	337	409	5,472	3,575	3,984
12	Kerala	2	1	7	139	165	1,382	141	166	1,389	711	877
13	Madhya Pradesh	108	48	700	354	178	2,582	462	226	3,282	755	981
14	Maharashtra	576	288	4,356	2,506	2,016	28,838	3,082	2,304	33,195	7,109	9,413
15	Manipur	-	-	-	7	8	28	7	8	28	28	36
16	Meghalaya	1	1	11	4	1	17	5	2	28	30	32
17	Mizoram	-	-	-	4	16	23	4	16	23	5	21
18	Nagaland	3	1	7	26	6	80	29	7	87	29	36
19	Odisha	46	19	308	262	189	2,494	308	208	2,802	1,423	1,631
20	Punjab	2	1	14	95	69	780	97	70	794	766	836
21	Rajasthan	13	5	67	199	116	1,663	212	121	1,730	791	912
22	Sikkim	-	-	-	2	1	15	2	1	15	11	12
23	Tamil Nadu	1	1	10	473	457	4,722	474	458	4,733	2,948	3,406
24	Telangana	-	-	-	222	303	4,035	222	303	4,035	1,711	2,014
25	Tripura	34	10	176	85	40	561	119	50	737	229	279
26	Uttarakhand	-	-	-	29	46	351	29	46	351	152	198
27	Uttar Pradesh	14	5	73	372	315	4,034	386	320	4,107	2,885	3,205
28	West Bengal	39	18	217	605	490	5,373	644	508	5,590	2,186	2,694
	TOTAL	1,922	595	8,938	7,900	6,156	79,162	9,822	6,751	88,100	32,916	39,667
UNION TERRITORIES												
1	Andaman and Nicobar Islands	1	1	11	6	8	44	7	9	55	1	10
2	Chandigarh	-	-	-	29	27	318	29	27	318	211	238
3	Dadra and Nagar Haveli and D	-	-	-	5	3	42	5	3	42	9	12
4	Govt. of NCT of Delhi	1	-	1	506	920	9,445	507	920	9,446	5,445	6,365
5	Jammu & Kashmir	-	-	-	11	6	65	11	6	65	84	90
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-
8	Puducherry	-	-	-	12	3	54	12	3	54	33	36
	TOTAL	2	1.00	12.45	569	967	9,967.78	571	968	9,980	5,783	6,751
	Grand Total	1,924	596	8,951	8,469	7,123	89,129	10,393	7,719	98,080	38,699	46,418
	IN INDIA							10,393	7,719	98,080	38,699	46,418
	OUTSIDE INDIA							-	-	-	-	-

(Amount in lacs)

Geographical Distribution of Total Business- GROUP															
Sl. No.	State / Union Territory	New Business - Rural (Group)				New Business - Urban (Group)				Total New Business (Group)*				Renewal Premium	Total Premium (New Business and Renewal)
		No. of Schemes	No. of Lives (Actual)	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured		
STATES															
1	Andhra Pradesh	-	-	-	-	-	1	-	7	-	1	-	7	-	-
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Chhattisgarh	-	-	-	-	1	-	-	-	1	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	-	-	-	-	1	259	152	693	1	259	152	693	-	152
8	Haryana	-	-	-	-	3	2,484	15	24,040	3	2,484	15	24,040	116	131
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	2	3,765	55	24,939	2	3,765	55	24,939	133	188
12	Kerala	-	-	-	-	-	-	-	-	-	-	-	-	-	-
13	Madhya Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
14	Maharashtra	-	-	-	-	2	1,948	799	18,355	2	1,948	799	18,355	30	829
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Odisha	-	-	-	-	-	-	-	-	-	-	-	-	-	-
20	Punjab	-	-	-	-	-	-	-	-	-	-	-	-	-	-
21	Rajasthan	-	-	-	-	-	-	-	-	-	-	-	-	-	-
22	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	-	-	-	-	-	-	-	-	-	-	-	-	-	-
24	Telangana	-	-	-	-	-	32	15	2,617	-	32	15	2,617	-	15
25	Tripura	-	-	-	-	13	29,554	136	22,404	13	29,554	136	22,404	-	136
26	Uttarakhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttar Pradesh	-	-	-	-	4	19,652	153	1,05,769	4	19,652	153	1,05,769	-	153
28	West Bengal	-	-	-	-	4	3,166	31	14,418	4	3,166	31	14,418	114	145
	TOTAL	-	-	-	-	30	60,861	1,356	2,13,242	30	60,861	1,356	2,13,242	393	1,749
UNION TERRITORIES															
1	Andaman and Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	-	-	-	-	2	4,412	29	32,278	2	4,412	29	32,278	7	36
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puduchery	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL	-	-	-	-	2	4,412	29	32,278	2	4,412	29	32,278	7	36
	Grand Total	-	-	-	-	32	65,273	1,385	2,45,520	32	65,273	1,385	2,45,520	400	1,785
	IN INDIA	-	-	-	-	-	-	-	-	32	65,273	1,385	2,45,520	400	1,785
	OUTSIDE INDIA	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*No. of schemes, No. of lives and Sum Assured are on Gross basis. Premium is on Net basis.

(Amount in lacs)

Geographical Distribution of Total Business- GROUP															
Sl. No.	State / Union Territory	New Business - Rural (Group)				New Business - Urban (Group)				Total New Business (Group)*				Renewal Premium	Total Premium (New Business and Renewal)
		No. of Schemes	No. of Lives	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured	No. of Schemes	No. of Lives	Premium	Sum Assured		
	STATES														
1	Andhra Pradesh	-	-	-	-	-	8	-	256	-	8	-	256	-	-
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	8	-	-	8	-	-	-	8
5	Chhattisgarh	-	-	-	-	1	2,168	136	33,330	1	2,168	136	33,330	-	136
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	-	-	-	-	1	295	244	1,459	1	295	244	1,459	1	245
8	Haryana	-	-	-	-	5	13,682	375	1,51,485	5	13,682	375	1,51,485	140	515
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Karnataka	-	-	-	-	5	6,935	102	56,856	5	6,935	102	56,856	133	235
12	Kerala	-	-	-	-	-	-	-	-	-	-	-	-	-	-
13	Madhya Pradesh	-	-	-	-	-	10	-	200	-	10	-	200	-	-
14	Maharashtra	-	-	-	-	6	18,083	1,865	60,872	6	18,083	1,865	60,872	32	1,897
15	Manipur	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Odisha	-	-	-	-	-	11	1	96	-	11	1	96	-	1
20	Punjab	-	-	-	-	-	-	-	-	-	-	-	-	-	-
21	Rajasthan	-	-	-	-	-	-	-	-	-	-	-	-	-	-
22	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	Tamil Nadu	-	-	-	-	-	323	182	6,849	-	323	182	6,849	-	182
24	Telangana	-	-	-	-	14	47,605	190	45,405	14	47,605	190	45,405	-	190
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttarakhand	-	-	-	-	-	137	-	3,179	-	137	-	3,179	-	-
27	Uttar Pradesh	-	-	-	-	8	25,937	198	1,34,165	8	25,937	198	1,34,165	-	198
28	West Bengal	-	-	-	-	7	6,535	73	36,236	7	6,535	73	36,236	114	187
	TOTAL	-	-	-	-	47	1,21,729	3,374	5,30,388	47	1,21,729	3,374	5,30,388	420	3,794
	UNION TERRITORIES														
1	Andaman and Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Dadra and Nagar Haveli and	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Govt. of NCT of Delhi	-	-	-	-	5	36,610	889	2,58,335	5	36,610	889	2,58,335	13	902
5	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Ladakh	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Puduchery	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL	-	-	-	-	5	36,610	889	2,58,335	5	36,610	889	2,58,335	13	902
	Grand Total	-	-	-	-	52	1,58,339	4,263	7,88,723	52	1,58,339	4,263	7,88,723	433	4,696
	IN INDIA	-	-	-	-	52	1,58,339	4,263	7,88,723	52	1,58,339	4,263	7,88,723	433	4,696
	OUTSIDE INDIA	-	-	-	-	-	-	-	-	-	-	-	-	-	-

*No. of schemes, No. of lives and Sum Assured are on Gross basis. Premium is on Net basis.

FORM L-26-INVESTMENT ASSETS (LIFE INSURERS) - 3A

FORM - 3A
 (Read with Regulation 10)
 Statement as on: September 30, 2022
 Statement of Investment Assets (Life Insurers)
 (Business within India)
 Periodicity of Submission: Quarterly

Section I		(Rs. In lacs)	
No	PARTICULARS	SCH	AMOUNT
1	Investments (Shareholders)	8	52,276.77
	Investments (Policyholders)	8A	7,68,895.36
	Investments (Linked Liabilities)	8B	3,56,972.94
2	Loans	9	0.00
3	Fixed Assets	10	1,589.44
4	Current Assets		
	a. Cash & Bank Balance	11	1,650.07
	b. Advances & Other Assets	12	40,611.97
5	Current Liabilities		
	a. Current Liabilities	13	34,627.68
	b. Provisions	14	1,082.47
	c. Misc. Exp not Written Off	15	0.00
	d. Debit Balance of P&L A/c		1,48,796.53
Application of Funds as per Balance Sheet (A)			13,35,082.92
Less: Other Assets			
1	Loans (if any)	9	0.00
2	Fixed Assets (if any)	10	1,589.44
3	Cash & Bank Balance (if any)	11	1,650.07
4	Advances & Other Assets (if any)	12	40,611.97
5	Current Liabilities	13	34,627.68
6	Provisions	14	1,082.47
7	Misc. Exp not Written Off	15	0.00
8	Investments held outside India		0.00
9	Debit Balance of P&L A/c		1,48,796.53
TOTAL (B)			1,56,937.85
Investment Assets (A-B)			11,78,145.07

(Rs. In lacs)	
Reconciliation of Investment Assets	
Total Investment Assets (as per Balance Sheet)	11,78,145.07
Balance Sheet Value of:	
A. Life Fund	7,93,248.87
B. Pension & General Annuity and Group Business	27,923.26
C. Unit Linked Funds	3,56,972.94
	11,78,145.07

Section II
 NON - LINKED BUSINESS

A. LIFE FUND		% as per Reg	SH		PH		Book Value (SH+PH)	Actual %	FVC Amount [@]	Total Fund	Market Value		
			Balance	FRSM*	UL-Non Unit Res	PAR						NON PAR	
			(a)	(b)	(c)	(d)						(e)	
1	Central Govt. Sec	Not Less than 25%	0.00	16,773.56	12,526.71	2,248.20	4,78,515.09	510,063.56	64%	0.00	5,10,063.56	5,02,649.84	
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not Less than 50%	0.00	42,490.42	12,526.71	2,673.74	6,04,083.25	6,61,774.12	83%	0.00	6,61,774.12	6,48,102.00	
3	Investment subject to Exposure Norms												
	a. Infrastructure/ Social/ Housing Sector												
	1. Approved Investments	Not Less than 15%	0.00	9,562.73	251.21	584.49	1,13,918.69	1,24,317.12	16%	4.33	1,24,321.45	1,24,482.66	
	2. Other Investments		0.00	0.00	0.00	0.00	0.00	0.00	0%	0.00	0.00	0.00	
	b. i) Approved Investments	Not exceeding 35%	0.00	223.23	2,970.08	213.03	3,228.41	6,634.76	1%	87.27	6,722.02	6,722.02	
	ii) Other Investments		0.00	0.93	0.00	6.52	1,910.88	1,918.32	0%	-1,487.05	431.27	431.27	
TOTAL LIFE FUND			100%	0.00	52,277.31	15,748.00	3,477.79	7,23,141.23	7,94,644.32	100%	-1,395.45	7,93,248.87	7,79,737.96

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS		% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value	
			PAR	NON PAR						
			(a)	(b)						
1	Central Govt. Sec	Not Less than 20%	0.00	21,712.22	21,712.22	78%	0.00	21,712.22	21,907.62	
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not Less than 40%	0.00	23,510.25	23,510.25	84%	0.00	23,510.25	23,673.26	
3	Balance in Approved investment	Not Less than 40%	0.00	4,413.01	4,413.01	16%	0.00	4,413.01	4,612.15	
TOTAL PENSION, GENERAL ANNUITY FUND			100%	0.00	27,923.26	27,923.26	100%	0.00	27,923.26	28,285.41

C. LINKED FUNDS		% as per Reg	PH		Total Fund	Actual %
			PAR	NON PAR		
			(a)	(b)		
1	Approved Investments	Not Less than 75%	13,409.74	3,09,200.63	3,22,610.37	90%
2	Other Investments	Not More than 25%	77.84	34,284.72	34,362.57	10%
TOTAL LINKED INSURANCE FUND			100%	13,487.58	3,43,485.35	100.00%

CERTIFICATION:
 Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 27-Oct-22

- Note:
 1 (+) FRSM refers to 'Funds representing Solvency Margin'
 2 Funds beyond Solvency Margin shall have a separate Custody Account.
 3 Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
 4 Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 5 Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
 @ FVC includes impairment provisions taken on bonds and equities

Chief Financial Officer

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

PART - B

(Rs. In lacs)

	Group Superannuation & Gratuity Balanced Fund	Group Superannuation & Gratuity Cash Fund	Group Superannuation & Gratuity Debt Fund	Group Superannuation & Gratuity Growth Fund	Group Superannuation & Gratuity Secure Fund
PARTICULARS	ULGF00210/03/2006GROUPEBALAN122	ULGF00531/03/2006GROUPECASHF122	ULGF00310/03/2006GROUPEDEBT122	ULGF00410/03/2006GROUPEGROW122	ULGF00113/07/2005GROUPESECUR122
Opening Balance (Market Value)	1439.74	648.30	22220.64	2147.39	4597.03
Add: Inflow during the Quarter	27.90	362.73	264.08	68.37	466.15
Increase / (Decrease) Value of Inv [Net]	69.02	3.75	361.46	142.41	153.92
Less: Outflow during the Quarter	76.14	728.10	451.16	152.44	125.92
TOTAL INVESTIBLE FUNDS (MKT VALUE)	1460.53	286.68	22395.03	2205.73	5091.18

INVESTMENT OF UNIT FUND	ULGF00210/03/2006GROUPEBALAN122		ULGF00531/03/2006GROUPECASHF122		ULGF00310/03/2006GROUPEDEBT122		ULGF00410/03/2006GROUPEGROW122		ULGF00113/07/2005GROUPESECUR122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	467.02	32.0%	273.50	95.4%	11892.52	53.1%	519.51	23.6%	2308.84	45.3%
State Government Securities	87.35	6.0%	0.00	0.0%	2234.69	10.0%	155.91	7.1%	430.49	8.5%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	245.36	16.8%	0.00	0.0%	5202.29	23.2%	180.37	8.2%	929.06	18.2%
Equity	500.78	34.3%	0.00	0.0%	0.00	0.0%	1040.56	47.2%	833.43	16.4%
Money Market Investments	66.88	4.6%	13.10	4.6%	2050.01	9.2%	68.18	3.1%	326.60	6.4%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	1367.39	93.6%	286.59	100.0%	21379.52	95.5%	1964.53	89.1%	4828.42	94.8%
Current Assets:										
Accrued Interest	18.16	1.2%	0.01	0.0%	417.59	1.9%	17.42	0.8%	78.23	1.5%
Dividend Receivable	0.00	0.0%	-	0.0%	-	0.0%	0.01	0.0%	0.01	0.0%
Bank Balance	0.31	0.0%	0.12	0.0%	1.13	0.0%	0.17	0.0%	0.26	0.0%
Receivable for Sale of Investments	20.20	1.4%	-	0.0%	484.81	2.2%	21.35	1.0%	86.94	1.7%
Other Current Assets (for Investments)	0.00	0.0%	-	0.0%	-	0.0%	0.00	0.0%	0.00	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(0.00)	0.0%	-	0.0%	(0.00)	0.0%	0.00	0.0%	(0.00)	0.0%
Fund Mgmt Charges Payable	(0.19)	0.0%	(0.04)	0.0%	(2.90)	0.0%	(0.28)	0.0%	(0.65)	0.0%
Other Current Liabilities (for Investments)	(0.01)	0.0%	(0.00)	0.0%	(0.29)	0.0%	(0.01)	0.0%	(0.06)	0.0%
Sub Total (B)	38.47	2.6%	0.09	0.0%	900.34	4.0%	38.65	1.8%	164.73	3.2%
Other Investments (<=25%)										
Corporate Bonds	11.07	0.8%	0.00	0.0%	115.16	0.5%	4.43	0.2%	26.58	0.5%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	43.60	3.0%	0.00	0.0%	0.00	0.0%	77.83	3.5%	71.45	1.4%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	120.29	5.5%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	54.67	3.7%	0.00	0.0%	115.16	0.5%	202.56	9.2%	98.03	1.9%
Total (A + B + C)	1460.53	100.0%	286.68	100.0%	22395.03	100.0%	2205.73	100.0%	5091.18	100.0%
Fund Carried Forward (as per LB 2)	1460.53		286.68		22395.03		2205.73		5091.18	

Date : 27-Oct-2022

Chief Financial Officer

Note:

- The aggregate of all the above Segregated Unit-Funds should reconcile with item C of FORM 3A (Part A), for both Par & Non Par Business
- Details of Item 12 of FORM LB 2 which forms part of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B).
- Other Investments' are as permitted under Sec 27A(2)
- Market value of the securities considered for NAV calculation shall be taken for the purpose of applicability of Pattern of Investments for ULIP
- All the assets of linked funds at the segregated level including net current assets shall be considered in the denominator for limit monitoring Treasury Bills are included under Central Government Securities.

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

PART - B

(Rs. In lacs)

	Grp Superann-Short Term Debt fund	Pension Unit Linked Balanced Fund	Pension Unit Linked Balanced - II Fund	Pension Unit linked Growth fund	Pension Unit Linked Growth - II Fund
PARTICULARS	ULGF00613/02/2009GROUPSDEBT122	ULIF00311/02/2003PNSBALANCE122	ULIF02325/01/2010PNBALAN-II122	ULIF00703/03/2005PNSNGROWTH122	ULIF02425/01/2010PNGROWT-II122
Opening Balance (Market Value)	28.84	4458.52	592.04	5512.87	895.33
Add: Inflow during the Quarter	0.07	239.58	67.43	160.04	28.04
Increase / (Decrease) Value of Inv [Net]	0.23	207.19	28.70	354.11	57.59
Less: Outflow during the Quarter	3.19	171.70	17.63	1694.64	49.37
TOTAL INVESTIBLE FUNDS (MKT VALUE)	25.96	4733.59	670.55	4332.38	931.59

INVESTMENT OF UNIT FUND	ULGF00613/02/2009GROUPSDEBT122		ULIF00311/02/2003PNSBALANCE122		ULIF02325/01/2010PNBALAN-II122		ULIF00703/03/2005PNSNGROWTH122		ULIF02425/01/2010PNGROWT-II122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	24.38	93.9%	1759.96	37.2%	246.76	36.8%	879.43	20.3%	216.19	23.2%
State Government Securities	0.00	0.0%	321.55	6.8%	70.69	10.5%	316.67	7.3%	38.16	4.1%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	675.83	14.3%	73.84	11.0%	433.63	10.0%	104.00	11.2%
Equity	0.00	0.0%	1549.26	32.7%	218.18	32.5%	1995.42	46.1%	423.68	45.5%
Money Market Investments	1.50	5.8%	128.46	2.7%	23.99	3.6%	0.00	0.0%	45.19	4.9%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	25.88	99.7%	4435.06	93.7%	633.47	94.5%	3625.15	83.7%	827.21	88.8%
Current Assets:										
Accrued Interest	(0.00)	0.0%	60.58	1.3%	8.64	1.3%	35.32	0.8%	7.86	0.8%
Dividend Recievable	-	0.0%	0.01	0.0%	0.00	0.0%	0.02	0.0%	0.00	0.0%
Bank Balance	0.08	0.3%	7.48	0.2%	0.14	0.0%	(531.17)	-12.3%	0.23	0.0%
Receivable for Sale of Investments	-	0.0%	65.62	1.4%	9.56	1.4%	801.24	18.5%	8.84	0.9%
Other Current Assets (for Investments)	-	0.0%	(0.00)	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	-	0.0%	0.00	0.0%	-	0.0%	-	0.0%	-	0.0%
Fund Mgmt Charges Payable	(0.00)	0.0%	(0.95)	0.0%	(0.15)	0.0%	(1.23)	0.0%	(0.20)	0.0%
Other Current Liabilities (for Investments)	(0.00)	0.0%	(0.04)	0.0%	(0.01)	0.0%	(0.04)	0.0%	(0.01)	0.0%
Sub Total (B)	0.08	0.3%	132.69	2.8%	18.18	2.7%	304.14	7.0%	16.72	1.8%
Other Investments (<=25%)										
Corporate Bonds	0.00	0.0%	31.01	0.7%	0.00	0.0%	17.72	0.4%	6.64	0.7%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	0.00	0.0%	134.83	2.8%	18.89	2.8%	156.80	3.6%	32.02	3.4%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	228.58	5.3%	48.99	5.3%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	0.00	0.0%	165.84	3.5%	18.89	2.8%	403.09	9.3%	87.65	9.4%
Total (A + B + C)	25.96	100.0%	4733.59	100.0%	670.55	100.0%	4332.38	100.0%	931.59	100.0%
Fund Carried Forward (as per LB 2)	25.96		4733.59		670.55		4332.38		931.59	

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

PART - B

(Rs. In lacs)

	Pension Unit Linked Infrastructure fund	Pension Unit Linked Index Fund	Pension Unit Linked Index - II fund	Pension Unit Linked PSU fund	Pension Unit linked Secure fund
PARTICULARS	ULIF02525/01/2010PNSNINFRAF122	ULIF01122/01/2008PNSNINDEXF122	ULIF02625/01/2010PNINDEX-II122	ULIF02725/01/2010PNSNPSUFND122	ULIF00803/03/2005PNSNSECURE122
Opening Balance (Market Value)	262.82	11136.40	2200.18	694.13	1220.56
Add: Inflow during the Quarter	7.80	270.85	64.44	8.54	6.11
Increase / (Decrease) Value of Inv [Net]	33.01	1134.36	218.38	80.54	33.36
Less: Outflow during the Quarter	12.53	730.63	120.99	27.38	37.99
TOTAL INVESTIBLE FUNDS (MKT VALUE)	291.10	11810.98	2362.00	755.84	1222.04

INVESTMENT OF UNIT FUND	ULIF02525/01/2010PNSNINFRAF122		ULIF01122/01/2008PNSNINDEXF122		ULIF02625/01/2010PNINDEX-II122		ULIF02725/01/2010PNSNPSUFND122		ULIF00803/03/2005PNSNSECURE122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	536.81	43.9%
State Government Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	132.52	10.8%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	213.48	17.5%
Equity	272.09	93.5%	9264.15	78.4%	1820.41	77.1%	555.31	73.5%	180.47	14.8%
Money Market Investments	6.40	2.2%	388.28	3.3%	115.66	4.9%	58.48	7.7%	70.88	5.8%
Mutual funds	0.00	0.0%	155.63	1.3%	28.12	1.2%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	278.48	95.7%	9808.06	83.0%	1964.19	83.2%	613.80	81.2%	1134.16	92.8%
Current Assets:										
Accrued Interest	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	18.77	1.5%
Dividend Recievable	0.07	0.0%	(0.00)	0.0%	-	0.0%	1.56	0.2%	0.00	0.0%
Bank Balance	0.13	0.0%	(2.97)	0.0%	1.41	0.1%	0.66	0.1%	(1.75)	-0.1%
Receivable for Sale of Investments	4.67	1.6%	4.51	0.0%	(0.00)	0.0%	(0.00)	0.0%	22.12	1.8%
Other Current Assets (for Investments)	0.00	0.0%	(0.00)	0.0%	(0.00)	0.0%	-	0.0%	0.00	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(3.87)	-1.3%	0.02	0.0%	0.00	0.0%	-	0.0%	(0.00)	0.0%
Fund Mgmt Charges Payable	(0.06)	0.0%	(1.40)	0.0%	(0.37)	0.0%	(0.16)	0.0%	(0.20)	0.0%
Other Current Liabilities (for Investments)	(0.00)	0.0%	(0.02)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.01)	0.0%
Sub Total (B)	0.94	0.3%	0.14	0.0%	1.03	0.0%	2.06	0.3%	38.93	3.2%
Other Investments (<=25%)										
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	33.22	2.7%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	11.68	4.0%	504.38	4.3%	98.39	4.2%	67.77	9.0%	15.73	1.3%
Mutual funds- Exchange traded funds	0.00	0.0%	1498.41	12.7%	298.39	12.6%	72.21	9.6%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	11.68	4.0%	2002.78	17.0%	396.78	16.8%	139.98	18.5%	48.95	4.0%
Total (A + B + C)	291.10	100.0%	11810.98	100.0%	2362.00	100.0%	755.84	100.0%	1222.04	100.0%
Fund Carried Forward (as per LB 2)	291.10		11810.98		2362.00		755.84		1222.04	

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

PART - B

(Rs. In lacs)

	Pension Unit Linked Protector Fund	Pension Unit Linked Protector -II fund	Pension Utilised with Profit fund	Unit Linked Balanced fund	Unit Linked Balanced- II fund
PARTICULARS	ULIF01408/02/2008PNSPROTECT122	ULIF02825/01/2010PNPROTE-II122	ULIF00411/02/2003PNSWPROFIT122	ULIF00106/06/2002LIFBALANCE122	ULIF01508/01/2010LIBALAN-II122
Opening Balance (Market Value)	1534.30	259.70	1379.52	25419.86	14436.93
Add: Inflow during the Quarter	250.97	3.31	3.77	737.13	1011.96
Increase / (Decrease) Value of Inv [Net]	44.10	6.66	32.77	1176.45	751.50
Less: Outflow during the Quarter	314.77	17.20	15.67	1998.73	1488.60
TOTAL INVESTIBLE FUNDS (MKT VALUE)	1514.60	252.48	1400.40	25334.71	14711.80

INVESTMENT OF UNIT FUND	ULIF01408/02/2008PNSPROTECT122		ULIF02825/01/2010PNPROTE-II122		ULIF00411/02/2003PNSWPROFIT122		ULIF00106/06/2002LIFBALANCE122		ULIF01508/01/2010LIBALAN-II122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	697.37	46.0%	113.84	45.1%	610.28	43.6%	8892.46	35.1%	4821.79	32.8%
State Government Securities	98.70	6.5%	54.35	21.5%	458.75	32.8%	1711.23	6.8%	1051.32	7.1%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	332.01	21.9%	14.88	5.9%	101.60	7.3%	3874.19	15.3%	1815.89	12.3%
Equity	222.01	14.7%	35.90	14.2%	143.48	10.2%	8584.78	33.9%	5524.46	37.6%
Money Market Investments	78.58	5.2%	15.90	6.3%	54.78	3.9%	613.31	2.4%	692.29	4.7%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	1428.67	94.3%	234.87	93.0%	1368.89	97.8%	23675.97	93.5%	13905.74	94.5%
Current Assets:										
Accrued Interest	24.75	1.6%	3.26	1.3%	25.26	1.8%	321.33	1.3%	166.55	1.1%
Dividend Recievable	0.00	0.0%	0.00	0.0%	-	0.0%	0.07	0.0%	0.05	0.0%
Bank Balance	0.23	0.0%	0.15	0.1%	(1.70)	-0.1%	25.49	0.1%	(92.92)	-0.6%
Receivable for Sale of Investments	28.59	1.9%	4.51	1.8%	(0.00)	0.0%	357.49	1.4%	188.19	1.3%
Other Current Assets (for Investments)	0.00	0.0%	(0.00)	0.0%	(0.00)	0.0%	0.00	0.0%	(0.00)	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(0.00)	0.0%	(0.00)	0.0%	-	0.0%	(0.00)	0.0%	(0.00)	0.0%
Fund Mgmt Charges Payable	(0.25)	0.0%	(0.06)	0.0%	-	0.0%	(5.12)	0.0%	(3.21)	0.0%
Other Current Liabilities (for Investments)	(0.02)	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.22)	0.0%	(0.12)	0.0%
Sub Total (B)	53.31	3.5%	7.86	3.1%	23.56	1.7%	699.05	2.8%	258.54	1.8%
Other Investments (<=25%)										
Corporate Bonds	13.29	0.9%	6.64	2.6%	0.00	0.0%	221.47	0.9%	70.87	0.5%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	19.33	1.3%	3.10	1.2%	7.95	0.6%	738.23	2.9%	476.64	3.2%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	32.61	2.2%	9.75	3.9%	7.95	0.6%	959.70	3.8%	547.51	3.7%
Total (A + B + C)	1514.60	100.0%	252.48	100.0%	1400.40	100.0%	25334.71	100.0%	14711.80	100.0%
Fund Carried Forward (as per LB 2)	1514.60		252.48		1400.40		25334.71		14711.80	

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

PART - B

(Rs. In lacs)

	Unit Linked Debt Fund	Unit Linked Debt -II Fund	Unit Linked Enhancer fund	Unit Linked Enhancer - II fund	Unit Linked Growth Fund
PARTICULARS	ULIF01306/02/2008LIFEDEBTFU122	ULIF01608/01/2010LIFDEBT-II122	ULIF01230/01/2008LIENHANCER122	ULIF01708/01/2010LIFENHN-II122	ULIF00527/01/2004LIFEGROWTH122
Opening Balance (Market Value)	652.22	8210.91	5421.26	57658.22	76438.43
Add: Inflow during the Quarter	318.82	5160.04	243.48	8868.94	1816.07
Increase / (Decrease) Value of Inv [Net]	10.34	113.08	571.60	6206.79	6502.80
Less: Outflow during the Quarter	332.15	1168.76	244.28	9118.36	6988.74
TOTAL INVESTIBLE FUNDS (MKT VALUE)	649.23	12315.28	5992.06	63615.59	77768.55

INVESTMENT OF UNIT FUND	ULIF01306/02/2008LIFEDEBTFU122		ULIF01608/01/2010LIFDEBT-II122		ULIF01230/01/2008LIENHANCER122		ULIF01708/01/2010LIFENHN-II122		ULIF00527/01/2004LIFEGROWTH122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	296.07	45.6%	6783.13	55.1%	0.00	0.0%	0.00	0.0%	9612.26	12.4%
State Government Securities	130.96	20.2%	631.24	5.1%	0.00	0.0%	0.00	0.0%	2268.31	2.9%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	51.87	8.0%	2301.43	18.7%	0.00	0.0%	0.00	0.0%	4926.04	6.3%
Equity	0.00	0.0%	0.00	0.0%	4709.67	78.6%	49502.00	77.8%	50011.79	64.3%
Money Market Investments	140.86	21.7%	1419.77	11.5%	136.16	2.3%	1767.66	2.8%	493.55	0.6%
Mutual funds	0.00	0.0%	0.00	0.0%	83.73	1.4%	898.47	1.4%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	619.76	95.5%	11135.57	90.4%	4929.56	82.3%	52168.12	82.0%	67311.95	86.6%
Current Assets:										
Accrued Interest	8.70	1.3%	202.61	1.6%	0.02	0.0%	(0.00)	0.0%	384.80	0.5%
Dividend Recievable	-	0.0%	-	0.0%	0.04	0.0%	0.42	0.0%	0.37	0.0%
Bank Balance	0.22	0.0%	88.52	0.7%	1.16	0.0%	271.74	0.4%	(166.93)	-0.2%
Receivable for Sale of Investments	14.02	2.2%	802.84	6.5%	7.15	0.1%	28.72	0.0%	463.33	0.6%
Other Current Assets (for Investments)	-	0.0%	-	0.0%	(0.00)	0.0%	(0.00)	0.0%	0.00	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(0.00)	0.0%	(0.00)	0.0%	(1.05)	0.0%	(43.95)	-0.1%	(0.00)	0.0%
Fund Mgmt Charges Payable	(0.10)	0.0%	(2.69)	0.0%	(1.68)	0.0%	(13.61)	0.0%	(18.73)	0.0%
Other Current Liabilities (for Investments)	(0.01)	0.0%	(0.17)	0.0%	(0.01)	0.0%	(0.08)	0.0%	(0.25)	0.0%
Sub Total (B)	22.83	3.5%	1091.12	8.9%	5.64	0.1%	243.24	0.4%	662.59	0.9%
Other Investments (<=25%)										
Corporate Bonds	6.64	1.0%	88.59	0.7%	0.00	0.0%	0.00	0.0%	155.03	0.2%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	0.00	0.0%	0.00	0.0%	355.24	5.9%	3807.10	6.0%	3836.22	4.9%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	701.63	11.7%	7397.13	11.6%	5802.76	7.5%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	6.64	1.0%	88.59	0.7%	1056.86	17.6%	11204.23	17.6%	9794.01	12.6%
Total (A + B + C)	649.23	100.0%	12315.28	100.0%	5992.06	100.0%	63615.59	100.0%	77768.55	100.0%
Fund Carried Forward (as per LB 2)	649.23		12315.28		5992.06		63615.59		77768.55	

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

PART - B

(Rs. In lacs)

	Unit Linked Growth - II fund	Unit Linked Infrastructure fund	Unit Linked Index fund	Unit Linked Index - II fund	Unit Linked Protector Fund
PARTICULARS	ULIF01808/01/2010LIGROWT-II122	ULIF01908/01/2010LIFEINFRAF122	ULIF01002/01/2008LIFEINDEXF122	ULIF02008/01/2010LIFINDX-II122	ULIF00911/07/2006LIFPROTECT122
Opening Balance (Market Value)	14065.06	2786.20	5544.19	6647.44	2763.69
Add: Inflow during the Quarter	734.98	151.14	71.72	275.12	306.02
Increase / (Decrease) Value of Inv [Net]	1174.80	361.26	560.06	663.46	83.47
Less: Outflow during the Quarter	920.18	194.54	349.00	344.78	232.03
TOTAL INVESTIBLE FUNDS (MKT VALUE)	15054.66	3104.07	5826.96	7241.24	2921.15

INVESTMENT OF UNIT FUND	ULIF01808/01/2010LIGROWT-II122		ULIF01908/01/2010LIFEINFRAF122		ULIF01002/01/2008LIFEINDEXF122		ULIF02008/01/2010LIFINDX-II122		ULIF00911/07/2006LIFPROTECT122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	2099.96	13.9%	0.00	0.0%	0.00	0.0%	0.00	0.0%	1348.38	46.2%
State Government Securities	438.79	2.9%	0.00	0.0%	0.00	0.0%	0.00	0.0%	220.67	7.6%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	789.81	5.2%	0.00	0.0%	0.00	0.0%	0.00	0.0%	611.87	20.9%
Equity	9393.63	62.4%	2890.44	93.1%	4582.32	78.6%	5570.69	76.9%	418.82	14.3%
Money Market Investments	307.81	2.0%	79.58	2.6%	202.24	3.5%	366.69	5.1%	174.75	6.0%
Mutual funds	0.00	0.0%	0.00	0.0%	75.75	1.3%	92.19	1.3%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	13029.99	86.6%	2970.02	95.7%	4860.31	83.4%	6029.56	83.3%	2774.49	95.0%
Current Assets:										
Accrued Interest	73.13	0.5%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	48.66	1.7%
Dividend Recievable	0.07	0.0%	0.70	0.0%	-	0.0%	-	0.0%	0.00	0.0%
Bank Balance	31.53	0.2%	4.39	0.1%	(23.07)	-0.4%	0.97	0.0%	(8.74)	-0.3%
Receivable for Sale of Investments	88.51	0.6%	47.32	1.5%	3.17	0.1%	1.53	0.0%	53.22	1.8%
Other Current Assets (for Investments)	(0.00)	0.0%	0.00	0.0%	(0.00)	0.0%	(0.00)	0.0%	0.00	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(0.00)	0.0%	(41.65)	-1.3%	(0.00)	0.0%	0.00	0.0%	(0.00)	0.0%
Fund Mgmt Charges Payable	(3.24)	0.0%	(0.67)	0.0%	(0.69)	0.0%	(1.55)	0.0%	(0.47)	0.0%
Other Current Liabilities (for Investments)	(0.06)	0.0%	(0.00)	0.0%	(0.01)	0.0%	(0.01)	0.0%	(0.03)	0.0%
Sub Total (B)	189.94	1.3%	10.09	0.3%	-20.60	-0.4%	0.94	0.0%	92.63	3.2%
Other Investments (<=25%)										
Corporate Bonds	26.58	0.2%	0.00	0.0%	0.00	0.0%	0.00	0.0%	17.72	0.6%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	723.77	4.8%	123.96	4.0%	249.81	4.3%	302.28	4.2%	36.33	1.2%
Mutual funds- Exchange traded funds	1084.39	7.2%	0.00	0.0%	737.44	12.7%	908.46	12.5%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	1834.73	12.2%	123.96	4.0%	987.25	16.9%	1210.74	16.7%	54.04	1.9%
Total (A + B + C)	15054.66	100.0%	3104.07	100.0%	5826.96	100.0%	7241.24	100.0%	2921.15	100.0%
Fund Carried Forward (as per LB 2)	15054.66		3104.07		5826.96		7241.24		2921.15	

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

PART - B

(Rs. In lacs)

	Unit Linked Protector - II fund	Unit Linked PSU fund	Unit Linked Secure fund	Unitised with Profit fund	Unit Linked Liquid Fund
PARTICULARS	ULIF02108/01/2010LIPROTE-II122	ULIF02208/01/2010LIFEPSUFND122	ULIF00627/01/2004LIFESECURE122	ULIF00225/06/2002LIFWPROFIT122	ULIF02903/05/2010LIFELIQUID122
Opening Balance (Market Value)	8510.71	5997.07	11026.32	11928.23	0.00
Add: Inflow during the Quarter	1140.60	146.18	688.53	76.08	0.00
Increase / (Decrease) Value of Inv [Net]	236.34	693.65	339.76	295.70	0.00
Less: Outflow during the Quarter	4225.96	353.43	1071.35	212.83	0.00
TOTAL INVESTIBLE FUNDS (MKT VALUE)	5661.69	6483.47	10983.26	12087.19	0.00

INVESTMENT OF UNIT FUND	ULIF02108/01/2010LIPROTE-II122		ULIF02208/01/2010LIFEPSUFND122		ULIF00627/01/2004LIFESECURE122		ULIF00225/06/2002LIFWPROFIT122		ULIF02903/05/2010LIFELIQUID122	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	2370.12	41.9%	0.00	0.0%	4957.64	45.1%	5227.21	43.2%	0.00	0.0%
State Government Securities	519.18	9.2%	0.00	0.0%	648.10	5.9%	4137.84	34.2%	0.00	0.0%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	1122.43	19.8%	0.00	0.0%	2707.01	24.6%	863.58	7.1%	0.00	0.0%
Equity	749.56	13.2%	4766.22	73.5%	1565.63	14.3%	1302.43	10.8%	0.00	0.0%
Money Market Investments	593.12	10.5%	501.25	7.7%	605.71	5.5%	312.70	2.6%	0.00	0.0%
Mutual funds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	5354.41	94.6%	5267.47	81.2%	10484.09	95.5%	11843.77	98.0%	0.00	0.0%
Current Assets:										
Accrued Interest	89.23	1.6%	0.01	0.0%	190.83	1.7%	225.08	1.9%	-	0.0%
Dividend Recievable	0.01	0.0%	13.52	0.2%	0.01	0.0%	-	0.0%	-	0.0%
Bank Balance	24.98	0.4%	3.29	0.1%	(23.51)	-0.2%	(51.54)	-0.4%	-	0.0%
Receivable for Sale of Investments	98.84	1.7%	(0.00)	0.0%	198.84	1.8%	(0.00)	0.0%	-	0.0%
Other Current Assets (for Investments)	0.00	0.0%	(0.00)	0.0%	(0.00)	0.0%	(0.00)	0.0%	-	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		0.00	
Payable for Investments	(0.00)	0.0%	0.00	0.0%	(0.00)	0.0%	-	0.0%	-	0.0%
Fund Mgmt Charges Payable	(1.23)	0.0%	(1.37)	0.0%	(1.78)	0.0%	-	0.0%	-	0.0%
Other Current Liabilities (for Investments)	(0.07)	0.0%	(0.01)	0.0%	(0.13)	0.0%	(0.02)	0.0%	-	0.0%
Sub Total (B)	211.76	3.7%	15.44	0.2%	364.27	3.3%	173.52	1.4%	0.00	0.0%
Other Investments (<=25%)										
Corporate Bonds	33.22	0.6%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	62.30	1.1%	581.32	9.0%	134.90	1.2%	69.90	0.6%	0.00	0.0%
Mutual funds- Exchange traded funds	0.00	0.0%	619.24	9.6%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	95.52	1.7%	1200.56	18.5%	134.90	1.2%	69.90	0.6%	0.00	0.0%
Total (A + B + C)	5661.69	100.0%	6483.47	100.0%	10983.26	100.0%	12087.19	100.0%	0.00	0.0%
Fund Carried Forward (as per LB 2)	5661.69		6483.47		10983.26		12087.19		0.00	0.00

FORM L-27-UNIT LINKED NAV - 3A

FORM 3A

(Read with Regulation 10)

Unit Linked Insurance Business

Periodicity of Submission: Quarterly

Statement as on: September 30, 2022

PART - B

(Rs. In lacs)

	Unit Linked Wealth Builder fund	Group Superannuation & Gratuity Income Fund	Unit Linked Dynamic P/E Fund	Discontinued Policy Fund	Total of All Funds
PARTICULARS	ULIF03020/07/2010LIFEWEALTH122	ULGF00728/03/2011GROUPINCOM122	ULIF03201/08/2011LIFDYNAMIC122	ULIF03127/01/2011LIDISCLPCY122	
Opening Balance (Market Value)	0.00	0.00	7497.97	17709.33	343942.36
Add: Inflow during the Quarter	0.00	0.00	180.68	2937.87	27165.54
Increase / (Decrease) Value of Inv [Net]	0.00	0.00	696.35	192.93	23591.90
Less: Outflow during the Quarter	0.00	0.00	472.37	3263.39	37726.88
TOTAL INVESTIBLE FUNDS (MKT VALUE)	0.00	0.00	7902.63	17576.74	356972.93

INVESTMENT OF UNIT FUND	ULIF03020/07/2010LIFEWEALTH122		ULGF00728/03/2011GROUPINCOM122		ULIF03201/08/2011LIFDYNAMIC122		ULIF03127/01/2011LIDISCLPCY122		Total of All Funds	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Central Govt Securities	0.00	0.0%	0.00	0.0%	1466.37	18.6%	14922.34	84.9%	83344.13	23.3%
State Government Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	16157.50	4.5%
Other Approved Securities	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	27570.45	7.7%
Equity	0.00	0.0%	0.00	0.0%	4836.74	61.2%	0.00	0.0%	173464.34	48.6%
Money Market Investments	0.00	0.0%	0.00	0.0%	336.10	4.3%	2600.31	14.8%	14856.69	4.2%
Mutual funds	0.00	0.0%	0.00	0.0%	63.12	0.8%	0.00	0.0%	1397.00	0.4%
Deposit with Banks	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (A)	0.00	0.0%	0.00	0.0%	6702.32	84.8%	17522.65	99.7%	3,16,790.11	88.7%
Current Assets:										
Accrued Interest	-	0.0%	(0.00)	-0.1%	(0.00)	0.0%	(0.00)	0.0%	2,426.79	0.7%
Dividend Recievable	-	0.0%	-	0.0%	0.04	0.0%	-	0.0%	16.99	0.0%
Bank Balance	-	0.0%	0.00	100.1%	(1.37)	0.0%	55.56	0.3%	(385.32)	-0.1%
Receivable for Sale of Investments	-	0.0%	-	0.0%	6.31	0.1%	-	0.0%	3,922.44	1.1%
Other Current Assets (for Investments)	-	0.0%	-	0.0%	(0.00)	0.0%	-	0.0%	0.00	0.0%
Less: Current Liabilities	0.00		0.00		0.00		0.00		-	0.0%
Payable for Investments	-	0.0%	-	0.0%	0.00	0.0%	-	0.0%	(90.51)	0.0%
Fund Mgmt Charges Payable	-	0.0%	-	0.0%	(1.70)	0.0%	(1.43)	0.0%	(68.35)	0.0%
Other Current Liabilities (for Investments)	-	0.0%	-	0.0%	(0.02)	0.0%	(0.04)	0.0%	(1.78)	0.0%
Sub Total (B)	0.00	0.0%	0.00	100.0%	3.26	0.0%	54.09	0.3%	5,820.26	1.6%
Other Investments (<=25%)										
Corporate Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	885.88	0.2%
Infrastructure Bonds	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Equity	0.00	0.0%	0.00	0.0%	349.03	4.4%	0.00	0.0%	13110.76	3.7%
Mutual funds- Exchange traded funds	0.00	0.0%	0.00	0.0%	848.02	10.7%	0.00	0.0%	20365.92	5.7%
Others	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%	0.00	0.0%
Sub Total (C)	0.00	0.0%	0.00	0.0%	1197.05	15.1%	0.00	0.0%	34,362.57	9.6%
Total (A + B + C)	0.00	0.0%	0.00	100.0%	7902.63	100.0%	17576.74	100.0%	3,56,972.93	100.0%
Fund Carried Forward (as per LB 2)	0.00		0.00		7902.63		17576.74		3,56,972.93	

FORM L-28-UNIT LINKED NAV - 3A

FORM - 3A
 (Read with Regulation 10)
 Link to FORM 3A (Part B)
 Statement as on: September 30, 2022
 Periodicity of Submission: Quarterly
 Statement of NAV of Segregated Funds

(Rs. In lacs)

No	Fund Name	SFIN	Date of Launch	Par/Non Par	Assets Under Management on the above date	NAV as per LB 2	NAV as on the above date*	Previous Qtr NAV	2nd Previous Qtr NAV	3rd Previous Qtr NAV	4th Previous Qtr NAV	Return/Yield	3 Year Rolling CAGR	Highest NAV since inception
1	Unit Linked Balanced fund	ULIF00106/06/2002LIFBALANCE122	06-Jun-02	Non Par	25,334.71	90.3361	90.3361	86.3432	90.7469	90.9353	90.5778	-0.3%	9.2%	92.7800
2	Pension Unit Linked Balanced Fund	ULIF00311/02/2003PNSBALANCE122	11-Feb-03	Non Par	4,733.59	70.0106	70.0106	66.8765	70.3008	70.4797	70.1909	-0.3%	9.2%	71.8934
3	Unit Linked Growth Fund	ULIF00527/01/2004LIFEGROWTH122	27-Jan-04	Non Par	77,768.55	87.6918	87.6918	80.8258	87.9094	88.1283	87.9047	-0.2%	12.6%	92.0149
4	Unit Linked Secure fund	ULIF00627/01/2004LIFESECURE122	27-Jan-04	Non Par	10,983.26	37.0655	37.0655	35.9573	37.0798	37.0853	36.9694	0.3%	7.4%	37.6768
5	Pension Unit linked Growth fund	ULIF00703/03/2005PNSNGROWTH122	03-Mar-05	Non Par	4,332.38	49.1084	49.1084	46.0775	49.2232	49.2922	49.1012	0.0%	10.9%	50.8318
6	Pension Unit linked Secure fund	ULIF00803/03/2005PNSNSEURE122	03-Mar-05	Non Par	1,222.04	33.4525	33.4525	32.5607	33.5511	33.5936	33.4719	-0.1%	6.8%	34.1203
7	Group Superannuation & Gratuity Secure Fund	ULGF00113/07/2005GROUPEURE122	13-Jul-05	Non Par	5,091.18	36.8257	36.8257	35.6613	36.6654	36.6830	36.5206	0.8%	8.2%	37.4783
8	Group Superannuation & Gratuity Debt Fund	ULGF00310/03/2006GROUPEURE122	10-Mar-06	Non Par	22,395.03	32.4295	32.4295	31.9083	32.2974	32.2948	32.2239	0.6%	5.8%	32.7433
9	Group Superannuation & Gratuity Balanced Fund	ULGF00210/03/2006GROUPEURE122	10-Mar-06	Non Par	1,460.53	35.7727	35.7727	34.1179	35.7816	35.8435	35.6561	0.3%	9.0%	36.7421
10	Group Superannuation & Gratuity Growth Fund	ULGF00410/03/2006GROUPEURE122	10-Mar-06	Non Par	2,205.73	45.7422	45.7422	42.9373	45.5314	45.5622	45.3197	0.9%	11.6%	47.3759
11	Group Superannuation & Gratuity Cash Fund	ULGF00531/03/2006GROUPEURE122	31-Mar-06	Non Par	286.68	29.0598	29.0598	28.8092	28.5810	28.3780	28.1903	3.1%	3.4%	29.0598
12	Unit Linked Protector Fund	ULIF00911/07/2006LIFPROTECT122	11-Jul-06	Non Par	2,921.15	31.3178	31.3178	30.4205	31.3072	31.3352	31.2078	0.4%	7.4%	31.8501
13	Unit Linked Index fund	ULIF01002/01/2008LIFEINDEX122	02-Jan-08	Non Par	5,826.96	29.6179	29.6179	26.8898	29.5364	29.4194	29.5099	0.4%	15.1%	31.1903
14	Pension Unit Linked Index Fund	ULIF01122/01/2008PNSINDEX122	22-Jan-08	Non Par	11,810.98	36.4748	36.4748	33.1217	36.4210	36.2740	36.3700	0.3%	15.1%	38.4234
15	Unit Linked Enhancer fund	ULIF01230/01/2008LIFEENHANCER122	30-Jan-08	Non Par	5,992.06	39.6628	39.6628	35.8752	39.7530	39.9361	39.6669	0.0%	14.6%	42.1149
16	Unit Linked Debt Fund	ULIF01306/02/2008LIFEDEBT122	06-Feb-08	Non Par	649.23	28.0948	28.0948	27.6349	27.9207	27.9797	27.9289	0.6%	5.3%	28.3868
17	Unit Linked Protector Fund	ULIF01408/02/2008PNSPROTECT122	08-Feb-08	Non Par	1,514.60	27.6243	27.6243	26.8402	27.6464	27.6687	27.5706	0.2%	7.4%	28.0970
18	Grp Superann-Short Term Debt fund	ULGF00613/02/2009GROUPEURE122	13-Feb-09	Non Par	25.96	23.2440	23.2440	23.0383	22.8654	22.7110	22.5674	3.0%	3.3%	23.2440
19	Unit Linked PSU fund	ULIF02208/01/2010LIFEPSUFUND122	08-Jan-10	Non Par	6,483.47	18.4486	18.4486	16.5289	18.2605	17.0060	17.9688	2.7%	14.6%	19.8491
20	Unit Linked Index - II fund	ULIF02008/01/2010LIFEINDEX-II122	08-Jan-10	Non Par	7,241.24	31.8118	31.8118	28.9159	31.8537	31.7768	31.9365	-0.4%	14.6%	33.7439
21	Unit Linked Growth - II fund	ULIF01808/01/2010LIFEGROWTH-II122	08-Jan-10	Non Par	15,054.66	31.3004	31.3004	28.9029	31.2991	31.3781	31.2526	0.2%	12.9%	32.7057
22	Unit Linked Balanced - II fund	ULIF01508/01/2010LIFBALAN-II122	08-Jan-10	Non Par	14,711.80	27.5732	27.5732	26.2240	27.4998	27.5508	27.4094	0.6%	9.8%	28.3619
23	Unit Linked Enhancer - II fund	ULIF01708/01/2010LIFEENH-II122	08-Jan-10	Non Par	63,615.59	38.8058	38.8058	35.1220	38.8210	38.9230	38.5880	0.6%	15.2%	41.0191
24	Unit Linked Debt - II fund	ULIF01608/01/2010LIFEDEBT-II122	08-Jan-10	Non Par	12,315.28	22.9771	22.9771	22.6316	22.9744	23.0274	23.0059	-0.1%	5.2%	23.1972
25	Unit Linked Infrastructure fund	ULIF01908/01/2010LIFEINFRA122	08-Jan-10	Non Par	3,104.07	21.0407	21.0407	18.6230	21.1014	21.6921	20.8204	1.1%	23.6%	22.8413
26	Unit Linked Protector - II fund	ULIF02108/01/2010LIFEPROTE-II122	08-Jan-10	Non Par	5,661.69	24.0532	24.0532	23.3565	24.0049	24.0538	24.0041	0.2%	7.2%	24.4690
27	Pension Unit Linked Index - II fund	ULIF02625/01/2010PNSINDEX-II122	25-Jan-10	Non Par	2,362.00	35.1948	35.1948	31.9654	35.1662	35.0142	35.1609	0.1%	14.7%	37.1405
28	Pension Unit Linked PSU fund	ULIF02725/01/2010PNSPSUFUND122	25-Jan-10	Non Par	755.84	18.9123	18.9123	16.9286	18.6876	17.3775	18.3999	2.8%	14.9%	20.3468
29	Pension Unit Linked Balanced - II fund	ULIF02325/01/2010PNSBALAN-II122	25-Jan-10	Non Par	670.55	26.3530	26.3530	25.2005	26.4971	26.5154	26.4245	-0.3%	9.4%	27.0416
30	Pension Unit Linked Growth - II fund	ULIF02425/01/2010PNSGROWTH-II122	25-Jan-10	Non Par	931.59	29.9613	29.9613	28.1110	29.9747	30.1037	30.0152	-0.2%	11.0%	31.0191
31	Pension Unit Linked Infrastructure fund	ULIF02525/01/2010PNSINFRA122	25-Jan-10	Non Par	291.10	21.3984	21.3984	18.9335	21.4383	21.0748	21.2022	0.9%	22.8%	23.2594
32	Pension Unit Linked Protector-II fund	ULIF02825/01/2010PNSPROTE-II122	25-Jan-10	Non Par	252.48	22.3769	22.3769	21.8007	22.3755	22.4411	22.3702	0.0%	7.1%	22.8157
33	Unit Linked Liquid fund	ULIF02903/05/2010LIFELIQUID122	03-May-10	Non Par	-	NA	NA	NA	NA	NA	NA	NA	NA	12.4150
34	Unit Linked Wealth Builder fund	ULIF03020/07/2010LIFEWEALTH122	20-Jul-10	Non Par	-	NA	NA	NA	NA	NA	NA	NA	NA	18.8686
35	Discontinued Policy Fund	ULIF03127/01/2011LIFDISPOLICY122	27-Jan-11	Non Par	17,576.74	20.3973	20.3973	20.1736	20.0217	19.8524	19.6967	3.6%	3.8%	20.3973
36	Group Superannuation & Gratuity Income fund	ULGF00728/03/2011GROUPEURE122	25-Mar-11	Non Par	0.00	17.0280	17.0280	17.0280	17.0280	17.0280	17.0280	0.0%	0.0%	17.0397
37	Unit Linked Dynamic P/E Fund	ULIF03201/08/2011LIFDYNAMIC122	01-Aug-11	Non Par	7,902.63	31.8205	31.8205	29.0737	31.5006	31.5120	31.3686	1.4%	13.9%	33.1390
38	Utilised with Profit fund	ULIF00225/06/2002LIFWPROFIT122	25-Jun-02	Par	12,087.19	45.8242	45.8242	44.2866	42.8165	41.4106	40.0211	14.5%	15.2%	45.8242
39	Pension Utilised with Profit fund	ULIF00411/02/2003PNSWPROFIT122	11-Feb-03	Par	1,400.40	46.8124	46.8124	45.3419	43.9327	42.5821	41.2444	13.5%	15.8%	46.8124
	Total				3,56,972.93									

CERTIFICATION
 Certified that the performance of all segregated funds have been placed and reviewed by the Board. All information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

DATE: 27-Oct-22
 Note: 1. * NAV should reflect the published NAV on the reporting date
 2. NAV should be upto 4 decimals
 @ 2 funds viz., Unit Linked Wealth Builder Fund and Unit Linked Liquid fund are closed ended funds and are left with no policyholders.

Chief Financial Officer

FORM L-29- Detail regarding debt securities

Unit Linked

Rs. In Lacs

Detail Regarding debt securities								
	MARKET VALUE				Book Value			
	As at SEPT 30, 2022	as % of total for this class	As at SEPT 30, 2021	as % of total for this class	As at SEPT 30, 2022	as % of total for this class	As at SEPT 30, 2021	as % of total for this class
Break down by credit rating								
AAA rated	1,31,118	91.8%	1,41,880	90.8%	1,32,213	90.0%	1,41,359	89.5%
AA or better	10,811	7.6%	12,033	7.7%	10,758	7.3%	11,203	7.1%
Rated below AA but above A	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Rated below A but above B	0	0.0%	1,424	0.9%	0	0.0%	1,401	0.9%
Any other	886	0.6%	1,000	0.6%	4,000	2.7%	4,000	2.5%
	1,42,815	100.0%	1,56,336	100.0%	1,46,971	100.0%	1,57,963	100.0%
BREAKDOWN BY RESIDUALMATURITY								
Up to 1 year	57,140	40.0%	42,151	27.0%	57,138	38.9%	42,156	26.7%
more than 1 year and upto 3years	5,285	3.7%	35,765	22.9%	5,378	3.7%	35,740	22.6%
More than 3years and up to 7years	45,290	31.7%	31,279	20.0%	49,264	33.5%	33,094	21.0%
More than 7 years and up to 10 years	23,554	16.5%	32,784	21.0%	23,662	16.1%	32,657	20.7%
More than 10 years and up to 15 years	6,297	4.4%	8,498	5.4%	6,352	4.3%	8,476	5.4%
More than 15 years and up to 20 years	108	0.1%	115	0.1%	112	0.1%	112	0.1%
Above 20 years	5,141	3.6%	5,744	3.7%	5,066	3.4%	5,728	3.6%
	1,42,815	100.0%	1,56,336	100.0%	1,46,971	100.0%	1,57,963	100.0%
Breakdown by type of the issuer								
Central Government	83,344	58.4%	1,12,384	71.9%	83,845	57.0%	1,11,991	70.9%
State Government	16,157	11.3%	3,821	2.4%	16,562	11.3%	3,811	2.4%
Corporate Securities	43,313	30.3%	40,131	25.7%	46,565	31.7%	42,161	26.7%
	1,42,815	100.0%	1,56,336	100.0%	1,46,971	100.0%	1,57,963	100.0%

Non Unit Linked

Rs. In Lacs

Detail Regarding debt securities								
	MARKET VALUE				Book Value			
	As at SEPT 30, 2022	as % of total for this class	As at SEPT 30, 2021	as % of total for this class	As at SEPT 30, 2022	as % of total for this class	As at SEPT 30, 2021	as % of total for this class
Break down by credit rating								
AAA rated	8,20,227	99.7%	7,35,599	99.7%	8,20,227	99.7%	7,35,599	99.7%
AA or better	327	0.0%	345	0.0%	327	0.0%	345	0.0%
Rated below AA but above A	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Rated below A but above B	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Any other	1,904	0.2%	1,904	0.3%	1,904	0.2%	1,904	0.3%
	8,22,458	100.0%	7,37,847	100.0%	8,22,458	100.0%	7,37,847	100.0%
BREAKDOWN BY RESIDUALMATURITY								
Up to 1 year	24,242	2.9%	11,957	1.6%	24,242	2.9%	11,957	1.6%
more than 1 year and upto 3years	16,183	2.0%	22,625	3.1%	16,183	2.0%	22,625	3.1%
More than 3years and up to 7years	94,695	11.5%	62,544	8.5%	94,695	11.5%	62,544	8.5%
More than 7 years and up to 10 years	1,76,539	21.5%	1,49,836	20.3%	1,76,539	21.5%	1,49,836	20.3%
More than 10 years and up to 15 years	2,76,834	33.7%	3,13,521	42.5%	2,76,834	33.7%	3,13,521	42.5%
More than 15 years and up to 20 years	1,50,366	18.3%	1,17,296	15.9%	1,50,366	18.3%	1,17,296	15.9%
Above 20 years	83,599	10.2%	60,067	8.1%	83,599	10.2%	60,067	8.1%
	8,22,458	100.0%	7,37,847	100.0%	8,22,458	100.0%	7,37,847	100.0%
Breakdown by type of the issuer								
Central Government	5,32,581	64.8%	4,71,167	63.9%	5,32,581	64.8%	4,71,167	63.9%
State Government	1,52,703	18.6%	1,40,239	19.0%	1,52,703	18.6%	1,40,239	19.0%
Corporate Securities	1,37,174	16.7%	1,26,442	17.1%	1,37,174	16.7%	1,26,442	17.1%
	8,22,458	100.0%	7,37,847	100.0%	8,22,458	100.0%	7,37,847	100.0%

1. In case a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. The detail of ULIP and Non-ULIP will be given separately.
3. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

Fixed Deposit are considered as Equity and Equity related instrument as per IRDA Investment return guidelines.

TREPS has been classified as Corporate Securities and AAA rated instrument.

AAA rated includes all Sovereign rated instruments#

Non-ULIP includes Shareholder fund.

AVIVA LIFE INSURANCE COMPANY INDIA LIMITED

FORM L-30 : Related Party Transactions

PART-A Related Party Transactions

(Rs. In lacs)

Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Q2'22-23	AS AT SEPTEMBER 30, 2022	Q2'21-22	AS AT SEPTEMBER 30, 2021
Sesame Group India Pvt Ltd	Significant Influence	Sublease related transaction	-	-	2.30	34.11
Nilesh Karia	Key Managerial Personnel	Remuneration	-	-	(70.34)	(120.99)
Sonali Athalye	Key Managerial Personnel	Remuneration	(25.26)	(49.73)	(13.48)	(13.48)
Anuj Arora	Key Managerial Personnel	Remuneration	(5.49)	(13.28)	(8.98)	(17.97)
Amit Malik	Key Managerial Personnel	Remuneration	(348.83)	(474.82)	(54.49)	(240.82)
Asit Rath	Key Managerial Personnel	Remuneration	(58.78)	(58.78)	-	-
AVIVA Central Services UK limited	Significant Influence	Recharge for the cost of implementation of Software in Aviva India	-	-	23.25	23.25
		Payable towards secondment charges of expats	-	-	(159.18)	(159.18)
		Reimbursement of Ex-Gratia payment	-	-	10.44	10.44

PART-B Related Party Transaction Balances - As at the end of the Quarter ended September 30, 2022

(Rs. In lacs)

Name of the Related Party	Nature of Relationship with the Company	Amount of Outstanding Balances including Commitments	Whether Payable / Receivable	Whether Secured? If so, Nature of consideration to be provided at the time of settlement	Details of any Guarantees given or received	Balance under Provision for doubtful debts relating to the outstanding balance receivable	Expenses recognised up to the quarter end during the year in respect of bad or doubtful debts due from the related party
Nil	NA	NA	NA	NA	NA	NA	NA

FORM L-31 - Board of Directors & Key Management Persons

Board of Directors and Key Management Persons			July - September 2022
Sl. No.	Name of person	Role/designation	Details of change in the period
1	Dr Anand Chand Burman	Director	Date of Ceasing - September 27, 2022
2	Bobby Parikh	Director	-
3	E V Sumithasri	Director	-
4	Lee Patrick Callaghan	Director	-
5	Mohit Burman	Director	-
6	Pradip Burman	Director	Date of Ceasing - September 27, 2022
7	Pritam Das Narang	Director	-
8	Suresh Mahalingam	Director	-
9	Amit Malik	Chief Executive Officer and Managing Director	Date of Ceasing - July 11, 2022
10	Randy Lianggara	Director	-
11	Ravi Tiwari	Additional Directors	-
12	Ajai Kumar Tripathi	Appointed Actuary	-
13	Joydev Sengupta	Head- Legal	-
14	Vijayalakshmi Natarajan	Chief Compliance Officer & Chief	-
15	Prashant Kalaver	Chief of Internal Audit	Date of Ceasing - September 30, 2022
16	Akhilesh Gupta	Chief Investment officer	-
17	Kunal Anand	Chief Operations and Customer Services Officer	-
18	Vinit Kapahi	Head- Marketing	-
19	Ashish Mittal	Head- People Function	-
20	Vishnu Kumar	Chief Distribution Officer	-
21	Sonali Athalye	Chief Financial Officer	-
22	Anuj Arora	Company Secretary	Date of Ceasing - August 29, 2022
23	Asit Rath	Chief Executive Officer and Managing Director	Date of Appointment - July 11, 2022

Note:

a) "Key Management Person" as defined under Annexure 4 (Guidelines on appointment and reporting of Key Management Persons) of Corporate Governance Guidelines for Insurers in India 2016

b) In case of directors, designation to include "Independent Director / Non-executive Director / Executive Director / Managing Director/Chairman"

FORM L-32- Solvency Margin - KT 3

Available Solvency Margin And Solvency Ratio - Total Business

(Rs. In lacs)

Item	Description	Notes No	Adjusted Value	
			AS AT SEPTEMBER 30, 2022	AS AT SEPTEMBER 30, 2021
01	Available Assets in Policyholders' Fund:	1	11,45,944	10,97,046
	Deduct:			
02	Mathematical Reserves	2	11,22,006	10,69,230
03	Other Liabilities	3	18,226	21,758
04	Excess in Policyholders' funds		5,711	6,058
05	Available Assets in Shareholders Fund:	4	55,401	63,470
	Deduct:			
06	Other Liabilities of shareholders' fund	3	229	325
07	Excess in Shareholders' funds		55,172	63,145
08	Total ASM (04)+(07)		60,883	69,203
09	Total RSM		32,997	31,031
10	Solvency Ratio (ASM/RSM)		1.85	2.23

Certification:

I, Ajai Kumar Tripathi, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

Place: Gurugram
Date: 01-Nov-22

Sd/-
Ajai Kumar Tripathi
Appointed Actuary

Notes

- Item No. 01 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Policyholders A/c;
- Item No. 02 shall be the amount of the Mathematical Reserves as mentioned in Form H;
- Item No. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- Item No. 05 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Shareholders A/C;

FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122
 Statement as on: September 30, 2022
 Details of Non Performing Assets-Quarterly
 Periodicity of Submission : Quarterly

Name of the Fund -LIFE FUND

NO	PARTICULARS	(Rs. In lacs)											
		Bonds/Debentures		Loans		Other Debt Instruments		All Other Assets		Total			
		YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)		
1	Investment Assets (As per Form 5)	1,27,020.78	1,21,695.02	-	-	6,545.78	15,652.77	6,61,077.76	6,17,631.88	7,94,644.32	7,54,979.67		
2	Gross NPA	2,731.64	2,731.64	-	-	-	-	-	-	2,731.64	2,731.64		
3	% of Gross NPA on Investment Assets (2/1)	2.15%	2.24%	-	-	-	-	-	-	0.34%	0.36%		
4	Provision Made on NPA	2,310.84	2,256.64	-	-	-	-	-	-	2,310.84	2,256.64		
5	Provision as a % on NPA (4/2)	84.60%	82.61%	-	-	-	-	-	-	84.60%	82.61%		
6	Provision on Standard Assets	-	-	-	-	-	-	0.05	0.06	0.05	0.06		
7	Net Investment Assets (1-4)	1,24,641.52	1,19,315.75	-	-	6,545.78	15,652.77	6,61,077.76	6,17,631.88	7,92,265.06	7,52,600.40		
8	Net NPA (2-4)	420.79	475.00	-	-	-	-	-	-	420.79	475.00		
9	% of Net NPA to Net Investment Assets (8/7)	0.34%	0.40%	-	-	-	-	-	-	0.05%	0.06%		
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- Net Investment assets is net of 'provisions'
- Net NPA is gross NPAs less provisions
- Write off as approved by the board

Bonds/Debentures include the Bonds issued by Food Corporation of India having a Category Code of CGSL.

Gross NPA includes redemption receivables from DHFL amounting to INR 8.27 Cr though Net Current Assets is not supposed to be part of Investment assets of Life funds

Provision on Standard Assets includes provision on equity shares

Sd/-
 Chief Financial Officer

FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122
 Statement as on: September 30, 2022
 Details of Non Performing Assets-Quarterly
 Periodicity of Submission : Quarterly

Name of the Fund -Pension General Annuity Fund

(Rs. In lacs)

NO	PARTICULARS	Bonds/Debentures		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)
1	Investment Assets (As per Form 5)	4,346.53	4,344.65	-	-	66.48	147.26	23,510.25	23,419.52	27,923.26	27,911.43
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision Made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % on NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	4,346.53	4,344.65	-	-	66.48	147.26	23,510.25	23,419.52	27,923.26	27,911.43
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- Net Investment assets is net of 'provisions'
- Net NPA is gross NPAs less provisions
- Write off as approved by the board

Sd/-
 Chief Financial Officer

FORM L-33-NPAs

FORM - 7

COMPANY NAME & CODE: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code: 0122

Statement as on: September 30, 2022

Details of Non Performing Assets-Quarterly

Periodicity of Submission : Quarterly

Name of the Fund -ULIP Fund

(Rs. In lacs)

NO	PARTICULARS	Bonds/Debentures		Loans		Other Debt Instruments		All Other Assets		Total	
		YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)	YTD (AS on Date)	Prev. FY (As on MAR 31, 2022)
1	Investment Assets (As per Form 5)	28,456.34	18,994.77	-	-	14,856.69	21,199.39	3,13,659.91	3,32,897.77	3,56,972.94	3,73,091.93
2	Gross NPA	5,586.80	5,586.80	-	-	-	-	-	-	5,586.80	5,586.80
3	% of Gross NPA on Investment Assets (2/1)	19.63%	29.41%	-	-	-	-	-	-	1.57%	1.50%
4	Provision Made on NPA	4,700.92	4,586.80	-	-	-	-	-	-	4,700.92	4,586.80
5	Provision as a % on NPA (4/2)	84.14%	82.10%	-	-	-	-	-	-	84.14%	82.10%
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)**	28,456.34	18,994.77	-	-	14,856.69	21,199.39	3,13,659.91	3,32,897.77	3,56,972.94	3,73,091.93
8	Net NPA (2-4)	885.88	1,000.00	-	-	-	-	-	-	885.88	1,000.00
9	% of Net NPA to Net Investment Assets (8/7)	3.11%	5.26%	-	-	-	-	-	-	0.25%	0.27%
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

- The above statement, in the case of Life Insurers shall be prepared 'fund wise' viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund.
- Investment assets should reconcile with figures shown in Schedule 8, 8A, 8B & 9 of the balance sheet
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the 'Standard Assets' shall be as per Circular: 32/2/F&A/Circulars/169/Jan/2006-07 as amended from time to time
- Net Investment assets is net of 'provisions'
- Net NPA is gross NPAs less provisions
- Write off as approved by the board

** The provisions for NPA and Standard Assets disclosed above (Rs 5,586.80 lacs.) are already netted off in the carrying value of ULIP assets of Rs. 3,56,972.94 Cr.

Sd/-
Chief Financial Officer

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
(Read with Regulation 10)
Statement as on: September 30, 2022
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -LIFE FUND

(Rs. In lacs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ⁵					
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²		
A	GOVERNMENT SECURITIES															
	Central Government Bonds	CGSB	4,98,245.73	10,211.45	2.0%	2.0%	4,96,035.31	20,115.86	4.1%	4.1%	4,40,065.55	17,847.51	4.1%	4.1%		
	Treasury Bills	CTRB	10,530.64	139.37	1.3%	1.3%	7,931.63	195.52	2.5%	2.5%	615.76	10.83	1.8%	1.8%		
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES															
	State Government Bonds	SGGB	1,43,862.13	2,466.50	1.7%	1.7%	1,38,010.86	4,717.66	3.4%	3.4%	1,29,880.46	4,420.01	3.4%	3.4%		
	Central Government Guaranteed Loans/Bonds	CGSL	805.69	17.49	2.2%	2.2%	805.82	34.79	4.3%	4.3%	806.83	34.79	4.3%	4.3%		
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	2,315.57	40.34	1.7%	1.7%	2,315.41	80.68	3.5%	3.5%	2,314.13	80.68	3.5%	3.5%		
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT															
	TAXABLE BONDS															
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	11,658.72	229.23	2.0%	2.0%	11,731.37	457.64	3.9%	3.9%	16,169.21	661.53	4.1%	4.1%		
	Bonds / Debentures issued by HUDCO	HTHD	8,665.66	143.52	1.7%	1.7%	8,678.23	285.48	3.3%	3.3%	8,779.02	285.80	3.3%	3.3%		
D	INFRASTRUCTURE INVESTMENTS															
	TAXABLE BONDS															
	Infrastructure - PSU - Equity shares - Quoted	ITPE	0.59	0.01	1.8%	1.8%	0.59	0.04	7.5%	7.5%	0.61	0.01	2.1%	2.1%		
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	5.38	0.08	1.5%	1.5%	5.30	0.34	6.4%	6.4%	4.07	0.06	1.5%	1.5%		
	Infrastructure - PSU - Debentures / Bonds	IPTD	99,983.51	1,897.66	1.9%	1.9%	96,403.66	3,639.87	3.8%	3.8%	74,861.29	3,903.42	5.2%	5.2%		
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	1,503.94	26.69	1.8%	1.8%	1,504.30	53.08	3.5%	3.5%	1,507.18	53.08	3.5%	3.5%		
	Long Term Bank Bonds Approved Investment - Infrastructure	ILBI	2,002.83	39.21	2.0%	2.0%	3,379.96	120.43	3.6%	3.6%	8,534.36	324.41	3.8%	3.8%		
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS															
	PSU - Equity shares - Quoted	EAEQ	3.04	0.01	0.0%	0.0%	3.02	0.17	0.0%	0.0%	0.93	0.20	0.22	0.22		
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	82.94	0.46	0.6%	0.6%	81.07	6.46	8.0%	8.0%	67.78	1.03	1.5%	1.5%		
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	EACB	294.85	8.18	2.8%	2.8%	542.07	52.76	9.7%	9.7%	1,239.93	143.93	11.6%	11.6%		
	Deposits - CDs with Scheduled Banks	EDCD	494.74	5.66	1.1%	1.1%	491.94	11.26	2.3%	2.3%	286.50	5.65	2.0%	2.0%		
	Units of Infrastructure Investment Trust	EIIT	-	-	0.0%	0.0%	-	-	0.0%	0.0%	2,587.28	1,034.44	40.0%	40.0%		
	Deposits - Repo / Reverse Repo	ECMR	2,829.99	36.09	1.3%	1.3%	4,893.80	102.18	2.1%	2.1%	8,853.83	143.27	1.6%	1.6%		
	Corporate Securities - Debentures	ECOS	-	-	0.0%	0.0%	216.20	3.45	1.6%	1.6%	1,009.60	297.57	29.3%	29.3%		
F	OTHER INVESTMENTS															
	Reclassified Approved Investments - Debt	ORAD	1,904.27	(54.28)	-2.9%	-2.9%	1,904.27	(54.28)	-2.9%	-2.9%	1,994.12	304.98	15.3%	15.3%		
	Equity Shares (incl Co-op Societies)	OESH	13.86	0.49	3.5%	3.5%	13.85	0.63	4.6%	4.6%	14.59	(0.25)	-1.7%	-1.7%		
	TOTAL		7,85,204.09	15,208.17	1.9%	1.9%	7,74,948.65	29,824.05	3.8%	3.8%	6,99,593.03	29,552.95	4.2%	4.2%		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 27-Oct-22

Sd/-
Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

YTD Income on investment excludes the Income on Investment of Unclaimed Policyholders Fund

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
(Read with Regulation 10)
Statement as on: September 30, 2022
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund - Pension General Annuity Fund

(Rs. In lacs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ⁵				
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	
A	GOVERNMENT SECURITIES														
	Central Government Bonds	CGSB	21,619.62	418.35	1.9%	1.9%	21,559.26	885.28	4.1%	4.1%	20,528.24	779.13	3.8%	3.8%	
	Treasury Bills	CTRB	131.61	1.56	1.2%	1.2%	113.41	2.62	2.3%	2.3%	-	-	0.0%	0.0%	
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES														
	State Government Bonds	SGGB	1,812.25	27.56	1.5%	1.5%	1,868.99	55.81	3.0%	3.0%	2,140.95	60.09	2.8%	2.8%	
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT														
	TAXABLE BONDS														
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	130.00	2.31	1.8%	1.8%	130.00	4.60	3.5%	3.5%	-	-	0.0%	0.0%	
D	INFRASTRUCTURE INVESTMENTS														
	TAXABLE BONDS														
	Infrastructure - PSU - Debentures / Bonds	IPTD	4,216.07	93.43	2.2%	2.2%	4,215.60	185.85	4.4%	4.4%	4,314.44	188.49	4.4%	4.4%	
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS														
	Deposits - Repo / Reverse Repo	ECMR	108.58	1.39	1.3%	1.3%	167.70	3.74	2.2%	2.2%	424.18	6.68	1.6%	1.6%	
F	OTHER INVESTMENTS														
	Reclassified Approved Investments - Debt	ORAD	-	0.07	0.0%	0.0%	-	0.07	0.0%	0.0%	-	-	0.0%	0.0%	
	TOTAL		28,018.13	544.68	1.9%	1.9%	28,054.95	1,137.97	4.1%	4.1%	27,407.80	1,034.40	3.8%	3.8%	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 27-Oct-22

Sd/-
Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account
Income against Category Code "ORAD" is due to adjustment in provision on DHFL bonds.

FORM L-34-YIELD ON INVESTMENTS-1

FORM - 1
(Read with Regulation 10)
Statement as on: September 30, 2022
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Name of the Fund -ULIP Fund

(Rs. In lacs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³					
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²		
A	GOVERNMENT SECURITIES															
	Central Government Bonds	CGSB	68,538.60	1,313.90	1.9%	1.9%	68,937.10	155.27	0.2%	0.2%	87,813.19	3,446.44	3.9%	3.9%		
	Treasury Bills	CTRB	14,369.24	166.35	1.2%	1.2%	15,141.76	336.80	2.2%	2.2%	17,934.09	320.79	1.8%	1.8%		
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES															
	State Government Bonds	SGGB	16,701.92	326.98	2.0%	2.0%	14,101.76	191.27	1.4%	1.4%	6,323.43	329.90	5.2%	5.2%		
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT															
	TAXABLE BONDS															
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	6,671.14	190.55	2.9%	2.9%	6,737.28	(54.90)	-0.8%	-0.8%	10,404.13	414.63	4.0%	4.0%		
D	INFRASTRUCTURE INVESTMENTS															
	TAXABLE BONDS															
	Infrastructure - PSU - Equity shares - Quoted	ITPE	5,195.69	509.72	9.8%	9.8%	4,980.57	205.86	4.1%	4.1%	2,152.09	355.53	16.5%	16.5%		
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	11,518.08	1,978.33	17.2%	17.2%	11,426.39	515.60	4.5%	4.5%	13,003.29	3,075.58	23.7%	23.7%		
	Infrastructure - PSU - Debentures / Bonds	IPTD	16,220.86	409.05	2.5%	2.5%	13,564.10	280.45	2.1%	2.1%	6,434.69	86.39	1.3%	1.3%		
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	4,228.17	59.07	1.4%	1.4%	4,247.25	(24.04)	-0.6%	-0.6%	4,512.50	198.38	4.4%	4.4%		
	OTHER INVESTMENTS															
	Infrastructure - Equity (including unlisted)	IOEQ	50.47	(0.53)	-1.0%	-1.0%	75.49	(6.91)	-9.2%	-9.2%	104.38	17.44	16.7%	16.7%		
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS															
	PSU - Equity shares - Quoted	EAEQ	12,548.61	1,731.91	13.8%	13.8%	12,929.39	866.78	6.7%	6.7%	9,357.33	3,047.48	32.6%	32.6%		
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	1,48,820.28	13,673.30	9.2%	9.2%	1,46,690.08	(669.58)	-0.5%	-0.5%	1,51,449.24	34,852.92	23.0%	23.0%		
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	(0.16)	-	-	-	(0.16)	-	-	-	0.16	-	-		
	Deposits - CDs with Scheduled Banks	EDCD	989.48	11.32	1.1%	1.1%	1,332.39	30.64	2.3%	2.3%	859.49	16.95	2.0%	2.0%		
	Equity Exchange Traded Fund	EETF	1,464.18	(35.50)	-2.4%	-2.4%	1,519.37	(465.00)	-30.6%	-30.6%	-	-	0.0%	0.0%		
	Commercial Papers	ECCP	2,481.99	26.51	1.1%	1.1%	2,468.88	52.73	2.1%	2.1%	-	-	0.0%	0.0%		
	Deposits - Repo / Reverse Repo	ECMR	14,191.11	183.96	1.3%	1.3%	16,183.10	366.52	2.3%	2.3%	16,309.32	258.23	1.6%	1.6%		
	Corporate Securities - Debentures	ECOS	49.08	(0.44)	-0.9%	-0.9%	49.35	1.81	3.7%	3.7%	1,463.80	(581.79)	-39.7%	-39.7%		
	Net Current Assets (Only in respect of ULIP Business)	ENCA	5,691.38	-	-	-	5,691.38	-	-	-	2,367.48	-	0.0%	0.0%		
F	OTHER INVESTMENTS															
	Equity Shares (incl Co-op Societies)	OESH	13,434.14	1,425.65	10.6%	10.6%	13,703.90	(487.72)	-3.6%	-3.6%	17,876.27	3,425.34	19.2%	19.2%		
	Reclassified Approved Investments - Debt	ORAD	998.76	(114.12)	-11.4%	-11.4%	999.38	(114.12)	-11.4%	-11.4%	3,288.64	617.14	18.8%	18.8%		
	Equity Exchange Traded Fund	OETF	20,818.00	3,019.85	14.5%	14.5%	21,609.48	1,189.87	5.5%	5.5%	22,807.57	2,654.25	11.6%	11.6%		
	TOTAL		3,64,981.16	24,875.71	6.8%	6.8%	3,62,388.41	2,371.16	0.7%	0.7%	3,74,460.93	52,535.76	14.0%	14.0%		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 27-Oct-22

Sd/-
Chief Financial Officer

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

- 1 Based on daily simple Average of Investments
- 2 Yield netted for Tax
- 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown
- 4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFN) level and also at consolidated level.
- 5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

Company Name & Code: AVIVA LIFE INSURANCE COMPANY INDIA LIMITED, Code : 0122
 Statement as on: September 30, 2022
 Statement of Downgraded Investment
 Periodicity of Submission: Quarterly

Name of Fund - ULIP Fund

PART - A

(Rs. In lacs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	During the Quarter ¹								
		NIL							
B.	As on Date ²								
	8.43% Indiabulls HF 22-Feb-2028 (ISIN : INE148I07IQ8)	HTDN	6,028.50	28-Mar-18	CRISIL	AAA	AA	10-Feb-20	
	9.00% Reliance Capital NCD 09.09.2026 (ISIN : INE013A079D5)	ORAD	885.88	9-Sep-16	CARE	AAA	D	23-Sep-19	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 27-Oct-22

Sd/-
 Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded to the original grade or above, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority

FORM L-36-Premium and number of lives covered by policy type

(Rs. In lacs)

S.No.	Particulars	Q2'22-23				Q2'21-22				AS AT SEPTEMBER 30, 2022				AS AT SEPTEMBER 30, 2021			
		Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
1	First year Premium																
i	Individual Single Premium- (ISP)																
	From 0-10000	-	-	-	-	-	-	-	-	-	-	-	-	(0)	(44)	(44)	(11)
	From 10,000-25,000	2	1	1	2	3	2	2	4	5	3	3	6	15	9	9	96
	From 25001-50,000	20	4	4	24	20	4	4	106	48	10	10	59	20	4	4	106
	From 50,001-75,000	11	2	2	14	14	2	2	18	11	2	2	14	14	2	2	18
	From 75,000-100,000	9	1	1	85	9	1	1	11	26	3	3	107	9	1	1	11
	From 1,00,001-1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	55	2	2	235	33	1	1	42	172	4	4	380	89	4	4	112
ii	Individual Single Premium (ISPA)- Annuity																
	From 0-50000	37	12	10	-	54	18	14	-	97	30	27	-	90	32	28	-
	From 50,001-100,000	28	4	3	-	56	9	9	-	84	11	10	-	70	11	11	-
	From 1,00,001-150,000	99	7	4	-	27	2	2	-	112	8	5	-	37	3	3	-
	From 150,001-2,00,000	-	-	-	-	17	1	1	-	17	1	1	-	17	1	1	-
	From 2,00,001-250,000	-	-	-	-	25	1	1	-	-	-	-	-	48	2	2	-
	From 2,50,001-3,00,000	-	-	-	-	-	-	-	-	29	1	1	-	-	-	-	-
	Above Rs. 3,00,000	57	1	1	-	68	1	1	-	57	1	1	-	68	1	1	-
iii	Group Single Premium (GSP)																
	From 0-10000	-	-	1	2	(11)	-	(5)	(1,059)	-	-	6	33	(9)	-	(47)	(2,103)
	From 10,000-25,000	-	-	-	-	-	-	2	15	-	-	1	10	-	-	3	28
	From 25001-50,000	-	-	8	31	1	-	18	60	2	-	25	198	1	-	18	60
	From 50,001-75,000	-	-	-	-	1	-	27	2	2	-	12	155	1	-	27	2
	From 75,000-100,000	-	-	-	-	-	-	-	-	3	-	15	166	-	-	-	-
	From 1,00,001-1,25,000	-	-	-	-	2	-	367	301	2	-	5	160	3	-	393	310
	Above Rs. 1,25,000	-	-	-	-	135	1	645	9,164	179	2	684	9,950	207	1	2,322	13,647
iv	Group Single Premium- Annuity- GSPA																
	From 0-50000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 150,001-2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,50,001-3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
v	Individual non Single Premium- INSP																
	From 0-10000	215	1,469	1,469	2,679	119	806	806	3,052	174	1,958	1,958	2,706	107	1,033	1,033	3,752
	From 10,000-25,000	207	998	998	2,969	260	1,277	1,275	14,806	325	1,541	1,541	4,705	391	1,956	1,954	25,565
	From 25001-50,000	889	2,174	2,171	14,662	655	1,534	1,531	17,270	1,366	3,336	3,333	22,583	1,008	2,363	2,359	27,101
	From 50,001-75,000	287	462	457	4,223	309	471	464	5,810	482	763	757	6,755	512	785	778	10,516
	From 75,000-100,000	863	879	879	11,883	857	868	867	11,907	1,398	1,425	1,424	19,333	1,310	1,335	1,334	18,775
	From 1,00,001-1,25,000	210	185	184	2,585	152	133	131	3,183	320	287	286	3,974	253	226	224	4,854
	Above Rs. 1,25,000	2,003	654	653	25,258	1,861	581	577	24,009	2,996	1,009	1,007	37,458	3,093	952	947	40,360
vi	Individual non Single Premium- Annuity- INSPA																
	From 0-50000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 150,001-2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,50,001-3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
vii	Group Non Single Premium (GNSP)																
	From 0-10000	(10)	1	2,567	21,492	(49)	-	21,272	(1,13,462)	(22)	1	21,334	69,377	(201)	-	31,727	(3,38,909)
	From 10,000-25,000	3	1	631	4,984	6	-	792	4,926	7	1	1,718	23,242	13	1	7,987	59,768
	From 25001-50,000	4	-	369	12,184	15	-	5,496	12,828	11	1	1,593	34,644	22	-	6,320	20,037
	From 50,001-75,000	6	1	782	2,032	8	-	696	2,179	14	1	1,714	17,686	17	1	3,541	19,183
	From 75,000-100,000	4	3	1,897	756	11	-	4,054	44,934	7	5	2,227	2,661	13	-	5,369	47,736
	From 1,00,001-1,25,000	3	-	139	1,670	11	-	2,808	15,101	12	1	880	14,559	18	-	2,941	17,242
	Above Rs. 1,25,000	1,375	26	58,879	2,02,368	3,197	41	48,764	7,15,320	4,046	40	1,28,125	6,15,880	4,579	62	96,118	11,25,814
viii	Group Non Single Premium- Annuity- GNSPA																
	From 0-10000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 25001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

FORM L-36-Premium and number of lives covered by policy type

(Rs. In lacs)

S.No.	Particulars	Q2'22-23				Q2'21-22				AS AT SEPTEMBER 30, 2022				AS AT SEPTEMBER 30, 2021			
		Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
2	Renewal Premium																
	i Individual																
	From 0-10000	1,266	21,548	21,255	7,94,685	1,290	22,617	22,260	8,27,299	2,257	43,108	42,682	15,30,130	2,318	44,828	44,327	15,50,083
	From 10,000-25,000	3,115	18,039	17,715	5,14,952	3,274	19,345	18,984	5,30,526	5,507	41,138	40,634	10,65,401	5,897	44,388	43,810	10,91,218
	From 25001-50,000	4,638	10,508	10,286	1,84,084	4,752	10,957	10,711	1,82,309	7,753	24,568	24,206	4,00,904	8,131	25,874	25,458	4,05,937
	From 50,001-75,000	1,593	2,293	2,215	52,538	1,535	2,273	2,185	51,545	2,743	6,165	6,017	1,19,254	2,733	6,327	6,174	1,19,353
	From 75,000-100,000	3,555	3,351	3,322	70,497	3,407	3,224	3,203	68,777	5,804	6,581	6,537	1,40,472	5,719	6,445	6,407	1,39,665
	From 1,00,001-1,25,000	886	732	708	23,660	881	694	667	19,989	1,515	1,805	1,770	50,366	1,528	1,789	1,751	50,472
	Above Rs. 1,25,000	7,204	2,347	2,318	1,35,158	7,365	2,270	2,245	1,35,103	13,120	4,900	4,849	2,97,534	13,039	4,845	4,797	3,01,058
	ii Individual- Annuity																
	From 0-10000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 25001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	iii Group																
	From 0-10000	102	6	4,239	73,782	-	23	16,978	1,074	119	18	35,652	86,884	-	27	18,193	1,127
	From 10,000-25,000	62	1	354	41,997	-	-	-	-	77	2	841	51,533	1	2	38	310
	From 25001-50,000	-	-	-	-	2	5	11,376	3,898	-	-	-	-	4	10	11,769	5,226
	From 50,001-75,000	-	-	-	-	1	1	9,513	2,481	-	-	-	-	6	5	10,212	5,005
	From 75,000-100,000	-	-	-	-	7	6	1,263	4,046	-	-	-	-	12	10	1,583	6,241
	From 1,00,001-1,25,000	-	-	-	-	3	3	332	2,851	-	-	-	-	9	7	1,807	6,681
	Above Rs. 1,25,000	236	4	3,758	96,759	1,241	31	32,971	3,93,747	237	4	3,758	96,759	2,089	52	1,39,883	9,67,321
	iv Group- Annuity																
	From 0-10000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 10,000-25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 25001-50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 50,001-75,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 75,000-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 1,25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Note:

1. Premium stands for premium amount.
2. No. of lives means no. of lives insured under the policies.
3. Premium collected for Annuity will be disclosed separately as stated above.

FORM L-37- Business Acquisition Through Different Channels (Group)

(Rs. In lacs)

Business Acquisition through different channels (Group)													
Sl. No.	Channels	Q2'22-23			Q2'21-22			AS AT SEPTEMBER 30, 2022			AS AT SEPTEMBER 30, 2021		
		No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium	No. of Schemes	No. of Lives Covered	Premium
1	Individual agents	-	139	1	1	1,024	9	-	170	9	1	1,146	10
2	Corporate Agents-Banks	5	22,778	102	1	2,588	135	6	40,475	313	1	2,843	191
3	Corporate Agents -Others	-	-	-	-	-	-	-	-	-	-	-	-
4	Brokers	12	30,398	211	38	51,904	1,934	18	44,807	479	59	1,14,381	2,720
5	Micro Agents	-	-	-	-	-	-	-	-	-	-	-	-
6	Direct Business	15	11,958	1,071	2	29,420	1,259	28	72,887	3,462	4	38,349	1,745
7	IMF	-	-	-	-	-	-	-	-	-	-	-	-
8	Others (Please Specify)	-	-	-	-	-	-	-	-	-	-	-	-
	Total(A)	32	65,273	1,385	42	84,936	3,337	52	1,58,339	4,263	65	1,56,719	4,666
1	Referral Arrangements (B)	-	-	-	-	-	-	-	-	-	-	-	-
	Grand Total (A+B)	32	65,273	1,385	42	84,936	3,337	52	1,58,339	4,263	65	1,56,719	4,666

FORM L-38 - Business Acquisition through different channels (Individuals)

(Rs. In lacs)

S.No.	Channels	Business Acquisition through different channels (Individuals)							
		Q2'22-23		Q2'21-22		AS AT SEPTEMBER 30, 2022		AS AT SEPTEMBER 30, 2021	
		No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium
1	Individual agents	660	885	899	1,013	1,113	1,410	1,578	1,902
2	Corporate Agents-Banks	4,535	2,016	3,219	1,497	6,598	2,943	4,337	2,014
3	Corporate Agents -Others	128	98	142	135	322	261	387	336
4	Brokers	209	240	40	49	288	340	62	78
5	Micro Agents	-	-	-	-	-	-	-	-
6	Direct Business	-	-	-	-	-	-	-	-
	- Online (Through Company Website)	7	36	21	26	10	55	54	56
	- Others	1,227	1,566	1,338	1,644	1,894	2,344	2,174	2,526
7	IMF	89	150	53	171	168	365	84	234
8	Common Service Centres	-	-	-	-	-	-	-	-
9	Web Aggregators	-	-	-	-	-	-	-	-
10	Point of Sales	-	-	-	-	-	-	-	-
11	Others (Please Specify)	-	-	-	-	-	-	-	-
	Total (A)	6,855	4,991	5,712	4,535	10,393	7,718	8,676	7,146
1	Referral Arrangements (B)	-	1	-	2	-	2	-	4
	Grand Total (A+B)	6,855	4,992	5,712	4,537	10,393	7,720	8,676	7,150

FORM L-39 - Data on Settlement of Claims for the quarter ended September 30, 2022

Date: September 30, 2022

Individual

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	1,008	859	6	8	-	-	1,881	6,943
2	Survival Benefit	2,580	930	2	-	-	-	3,512	1,912
3	Annuities / Pension	1,185	393	73	44	40	82	1,817	86
4	Surrender	-	2,477	-	-	-	-	2,477	11,140
5	Other benefits	10	2,041	-	1	1	1	2,054	3,020
	Death Claims	-	213	1	-	-	-	214	2,621

Group

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	9	-	-	-	-	9	8
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Surrender	-	13	-	-	-	-	13	174
5	Other benefits	-	845	-	-	-	-	845	1,547
	Death Claims	-	181	-	-	-	-	181	719

FORM L-39-Data on Settlement of Claims for the period ended September 30, 2022

Date: September 30, 2022

Individual

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	1,809	1,510	22	13	-	-	3,354	11,913
2	Survival Benefit	4,407	1,992	5	-	-	-	6,404	3,542
3	Annuities / Pension	1,960	1,251	174	95	100	245	3,825	167
4	Surrender	-	4,576	3	-	-	-	4,579	19,497
5	Other benefits	15	3,589	26	1	2	2	3,635	5,834
	Death Claims	-	379	1	-	-	-	380	4,239

Group

Ageing of Claims*									
Sl. No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (Rs. in lacs)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	39	-	-	-	-	39	38
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Surrender	-	21	-	-	-	-	21	453
5	Other benefits	-	1,711	-	-	-	-	1,711	3,077
	Death Claims	-	347	-	-	-	-	347	1,507

FORM L-40 - Quarterly Claims data for Life for the quarter ended September 30, 2022

Date: September 30, 2022

Death Claims

No. of claims only

Sl. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period	21	10
2	Claims Intimated / Booked during the period	223	187
(a)	Less than 3 years from the date of acceptance of risk	27	177
(b)	Greater than 3 years from the date of acceptance of risk	196	10
3	Claims Paid during the period	214	181
4	Claims Repudiated during the period	4	-
5	Claims Rejected	-	-
6	Unclaimed	-	-
7	Claims O/S at End of the period	26	16
	Outstanding Claims:-		
	Less than 3 months	26	16
	3 months and less than 6 months	-	-
	6 months and less than 1 year	-	-
	1 year and above	-	-

Individual

No. of claims only

Sl. No.	Claims Experience	Maturity	Survival Benefit1	Annuities/ Pension	Surrender	Other Benefits
1	Claims O/S at the beginning of the period	83	8	5,279	18	91
2	Claims Booked during the period	1,891	3,509	2,304	2,533	2,068
3	Claims Paid during the period	1,881	3,512	1,817	2,477	2,054
4	Unclaimed	25	-	-	-	1
5	Claims O/S at End of the period	68	5	5,766	74	104
	Outstanding Claims (Individual)					
	Less than 3 months	57	2	603	74	24
	3 months and less than 6 months	10	-	524	-	3
	6 months and less than 1 year	1	3	899	-	10
	1 year and above	-	-	3,740	-	67

FORM L-40-Quarterly Claims data for Life for the period ended September 30, 2022

Date: September 30, 2022

Death Claims

No. of claims only

Sl. No.	Claims Experience	Individual	Group
1	Claims O/S at the beginning of the period	-	-
2	Claims Intimated / Booked during the period	411	363
(a)	Less than 3 years from the date of acceptance of risk	64	349
(b)	Greater than 3 years from the date of acceptance of risk	347	14
3	Claims Paid during the period	380	347
4	Claims Repudiated during the period	5	-
5	Claims Rejected	-	-
6	Unclaimed	-	-
7	Claims O/S at End of the period	26	16
	Outstanding Claims:-		
	Less than 3 months	26	16
	3 months and less than 6 months	-	-
	6 months and less than 1 year	-	-
	1 year and above	-	-

Individual

No. of claims only

Sl. No.	Claims Experience	Maturity	Survival Benefit1	Annuities/ Pension	Surrender*	Other Benefits**
1	Claims O/S at the beginning of the period	64	6	5,024	4	86
2	Claims Booked during the period	3,393	6,403	4,567	4,652	3,655
3	Claims Paid during the period	3,354	6,404	3,825	4,579	3,635
4	Unclaimed	35	-	-	-	1
5	Claims O/S at End of the period	68	5	5,766	74	104
	Outstanding Claims (Individual)					
	Less than 3 months	57	2	603	74	24
	3 months and less than 6 months	10	-	524	-	3
	6 months and less than 1 year	1	3	899	-	10
	1 year and above	-	-	3,740	-	67

* 3 cases in the surrender category were reversed from the opening balance as customer opted to continue

** 1 case in the Partial Withdrawal category were reversed from the opening balance

GRIEVANCE DISPOSAL								
SI No.	Particulars	Opening Balance	Additions during the quarter (net of duplicate)	Complaints Resolved/ Settled during the quarter			Complaints Pending at the end of the	Total Complaints registered upto the quarter during the
				Fully Accepted	Partial Accepted	Rejected		
1	Complaints made by customers							
a)	Death Claims	0	1	0	0	1	0	5
b)	Policy Servicing	1	22	10	7	5	1	59
c)	Proposal Processing	0	5	0	2	3	0	6
d)	Survival Claims	3	19	10	4	7	1	44
e)	ULIP Related	0	3	1	2	0	0	7
f)	Unfair Business Practices	15	166	34	18	119	10	378
g)	Others	0	1	1	0	0	0	5
	Total Number	19	217	56	33	135	12	504

2	Total No. of policies during previous year:	8,676
3	Total No. of claims during previous year:	1,158
4	Total No. of policies during current year:	10,393
5	Total No. of claims during current year:	411
6	Total No. of Policy Complaints (current year) per 10,000 policies (current year):	12.17
7	Total No. of Claim Complaints (current year) per 10,000 claims registered (current year):	121.65

8	Duration wise Pending Status	Complaints made by customers	Complaints made by intermediaries	Total
a)	Upto 15 days	12	0	12
b)	7-15 days	0	0	0
c)	15-30 days	0	0	0
d)	30-90 days	0	0	0
e)	90 days & Beyond	0	0	0
	Total Number of Complaints	12	0	12

Form L-42 - Valuation Basis (Life Insurance) - Individual

Range (Minimum to Maximum) of parameters used for valuation

Type	Category of business	Interest Rate		Mortality Rate		Morbidity Rate		Fixed Expenses ²		Variable Expenses ¹		Inflation Rate		Withdrawal rates ⁴		Future Bonus Rates (Assumption)		
		As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	
Par	Non-Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Non-Linked -Others																	
	Life	5.30% To 6.10%	5.30% To 6.10%	115.0%	115.0%	NA	NA	1104.00	1051.64	1.01%	1.01%	5%	5%	1.6% To 27.1%	1.5% To 29.7%	2.31% To 4.75%	2.31% To 4.75%	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	5.30% To 6.10%	5.30% To 6.10%	115.0%	115.0%	NA	NA	1104.00	1051.64	1.01%	1.01%	5%	5%	1.6% To 31.7%	1.5% To 29.4%	2.45% To 3.50%	2.45% To 3.50%	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -Others																	
	Life	5.10% To 5.95%	5.10% To 5.95%	51.0% To 115.0%	51.0% To 115.0%	NA	NA	1159.00	1104.23	0.00% To 1.07%	0.00% To 1.07%	5%	5%	8.5% To 48.6%	4.2% To 71.3%	6%	6%	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Pension	5.10% To 5.95%	5.10% To 5.95%	51.0% To 115.0%	51.0% To 115.0%	NA	NA	1159.00	1104.23	1.07%	1.07%	5%	5%	7.4% To 65.5%	3.7% To 68.0%	6%	6%		
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Non-Par	Non-Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Non-Linked -Others																	
	Life	5.10% To 5.95%	5.10% To 7.90%	25.3% To 259.0%	25.3% To 196.8%	NA	NA	56.00 To 1104.00	53.52 To 1051.64	0.00% To 1.73%	0.00% To 1.73%	5%	5%	0.5% To 35.0%	0.0% To 32.5%			
	General Annuity	5.10% To 5.95%	5.10% To 5.95%	64.0%	71.4%	NA	NA	1104.00	1051.64	0.00%	0.00%	5%	5%	0.0%	0.0%			
	Pension	5.10% To 5.95%	5.10% To 5.95%	51.0% To 115.0%	51.0% To 115.0%	NA	NA	1104.00	1051.64	0.00% To 1.01%	0.00% To 1.01%	5%	5%	3.5% To 26.5%	3.3% To 24.6%			
	Health	5.10% To 5.95%	5.10% To 5.95%	63.8% To 115.0%	63.8% To 115.0%	NA	NA	828.00	788.72	0.76% To 1.73%	0.76% To 1.73%	5%	5%	2.8% To 21.0%	2.6% To 19.5%			
	Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Linked -Others																	
	Life	5.10% To 5.95%	5.10% To 5.95%	64.0% To 138.0%	51.0% To 115.0%	NA	NA	696.00 To 1159.00	1051.44 To 1104.23	0.00% To 1.73%	0.00% To 1.73%	5%	5%	2.6% To 38.1%	1.6% To 35.4%			
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Pension	5.10% To 5.95%	5.10% To 5.95%	64.0% To 138.0%	51.0% To 115.0%	NA	NA	1159.00	1104.23	0.00% To 1.07%	0.00% To 1.07%	5%	5%	4.0% To 35.3%	3.7% To 32.8%				
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	

NOT APPLICABLE

¹ Individual and Group Business are to be reported separately

² Fixed per policy expenses

³ Premium related expenses

⁴ Restricted to Lapse and Surrender
In addition, insurers may also voluntarily disclose Product and Type wise Actual Bonus Declared separately.

In addition to the above, the following shall be mentioned.

1 Brief details on valuation data covering its accuracy, completeness and reasonableness and how the data flows to the valuation system

2 Brief mention of any significant change in the valuation basis and /or methodology

Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016

Brief Details on Valuation Data

a. **How the policy data needed for valuation is accessed**

The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

b. **How the valuation bases are supplied to the system**

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

Change in Valuation method or bases:

- Economic Assumptions**
No changes made in economic assumptions since last valuation.
- Expense Assumptions**
No changes made in expense assumptions since last valuation except that the fixed expenses have been increased by 5% w.e.f. 1st Jan'22.
- Mortality Assumptions**
Experience analysis was done basis Dec'21 data for performing the statutory valuation as at Mar'22 and accordingly changes were made in the valuation assumptions. Further no changes were made for valuation as at Jun'22.
- Withdrawal Assumptions**
Experience analysis was done basis Dec'21 data for performing the statutory valuation as at Mar'22 and accordingly changes were made in the valuation assumptions. Further no changes were made for valuation as at Jun'22.

Form L-42- Valuation Basis (Life Insurance) - Group

Range (Minimum to Maximum) of parameters used for valuation																		
Type	Category of business	Interest Rate		Mortality Rate		Morbidly Rate		Fixed Expenses ¹		Variable Expenses ²		Inflation Rate		Withdrawal rates ³		Future Bonus Rates (Assumption)		
		As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	As on SEPT 30, for the year 2022	As on SEPT 30, for the year 2021	
Par	Non-Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Non-Linked -Others																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Linked -Others																		
Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Non-Par	Non-Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Non-Linked -Others																	
	Life	5.10% To 5.95%	5.10% To 5.95%	58.4% To 226.0%	58.4% To 226.0%	NA	NA	0 To 646.25	0 To 561.96	0.00% To 1.01%	0.00% To 1.01%	5%	5%	1.4% To 10.6%	1.3% To 9.8%			
	General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Linked -VIP																	
	Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Linked -Others																		
Life	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
General Annuity	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Pension	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Health	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	

NOT APPLICABLE

¹ Individual and Group Business are to be reported separately

² Fixed per policy expenses

³ Premium related expenses

⁴ Restricted to Lapse and Surrender

In addition, insurers may also voluntarily disclose Product and Type wise Actual Bonus Declared separately.

In addition to the above, the following shall be mentioned.

1 Brief details on valuation data covering its accuracy, completeness and reasonableness and how the data flows to the valuation system

2 Brief mention of any significant change in the valuation basis and/ or methodology

Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016

Brief Details on Valuation Data

a. **How the policy data needed for valuation is accessed**

The valuation data is extracted from the policy administration system in the pre-determined format. The data format includes all the fields relevant for the calculation of reserve. The extracted file is used by Data Conversion System (DCS), which is a part of the actuarial software called "PROPHET".

b. **How the valuation bases are supplied to the system**

Prophet requires parameter file in the pre-set format. This format is flexible and user can add or delete the parameter fields depending upon the requirement of parameters for projections. Valuation bases are set through parameter files for each product.

Change in Valuation method or bases:

- (1) **Economic Assumptions**
No changes made in economic assumptions since last valuation.
- (2) **Expense Assumptions**
No changes made in expense assumptions since last valuation.
- (3) **Mortality Assumptions**
No changes made in mortality assumptions since last valuation.
- (4) **Withdrawal Assumptions**
No changes made in withdrawal assumptions since last valuation.

*The above mentioned assumptions and details correspond to long term group products. For One Year Renewable Group Term Assurance business, reserves are maintained on UPR basis in excel models.

Form L- 43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
01-Jul-2022	Reliance Capital Limited	Voting Agenda Items Twelfth CoC meeting dated July 1, 2022	Management	To consider, discuss and approve Resolution Plan or Bid Submission timeline for the Resolution Process of Reliance Capital Limited till August 10, 2022.	For	For	No concern with the proposed recommendation
01-Jul-2022	Reliance Capital Limited	Voting Agenda Items Twelfth CoC meeting dated July 1, 2022	Management	Resolved that the a) sale of equity shares of Nippon India Asset Management Ltd, Reliance Power Limited and Reliance Communications Limited held by the Corporate Debtor in accordance with regulation 29 of the IBC (Insolvency Resolution Process for Corporate Persons) Regulations, 2016 (â€œCIRP Regulationsâ€) subject to release of charge from secured lenders of the Corporate Debtor and receipt of clarification on injunctions b) sale to be made at not less than 10% [margin discount] at the time of selling the shares (Nippon India Asset Management Ltd, Reliance Power Limited and Reliance Communications Limited) as compared to the previous close market rate and c) The mandate for selling the shares is given to the Reliance Securities Limited (related party) and brokerage and private placement charges to be paid on actual basis and the said cost will form part of the insolvency resolution process cost	For	Against	2b) No clarity on why discount of not less than 10% is implied on selling of the Nippon India Asset Management Ltd, Reliance Power Limited and Reliance Communications Limited shares as compared to the previous close market rate. It is not a regular market practice to offer discount of such a magnitude. 2c) As per best practice committee should have chosen a broker at arms length and not a related broker.
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Standalone Financial Statements of the Company for the financial year ended March 31, 2022 together with the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Consolidated Financial Statements of the Company for the financial year ended March 31, 2022 together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	To appoint a Director in place of Mr Mitsuhiro Yamashita (DIN: 08871753), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Re-appointment of M/s. B S R & Co. LLP, Chartered Accountants, having Firm Registration No. 101248W/W-100022 for the second consecutive term of five years from the conclusion of this 77th Annual General Meeting till the conclusion of the 82nd Annual General Meeting to be held in the year 2027.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Appointment of Mr Al-Noor Ramji (DIN: 00230865) as an Independent Director of the company for a term of five years, i.e., from May 1, 2022 to April 30, 2027 (both days inclusive) and who would not be liable to retire by rotation.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Appointment of Mr Om Prakash Bhatt (DIN: 00548091) as an Independent Director of the company for the second term from May 9, 2022 to March 7, 2026 not be liable to retire by rotation.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Re-appointment of Ms Hanne Birgitte Sorensen (DIN: 08035439) as an Independent Director of the company for the second term from January 3, 2023 to January 2, 2028.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Material Related Party Transaction(s) / Contract(s) / Arrangement(s) / Agreement(s) entered into / proposed to be entered into (whether by way of an individual transaction or transactions taken together or a series of transactions or otherwise), as mentioned in detail in the Explanatory Statement annexed herewith, between the Company and Tata Marcopolo Motors Limited ('TMML'), a subsidiary of the Company and accordingly a 'Related Party' of the Company.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Material Related Party Transaction(s) / Contract(s) / Arrangement(s) / Agreement(s) entered into / proposed to be entered into (whether by way of an individual transaction or transactions taken together or a series of transactions or otherwise), as mentioned in detail in the Explanatory Statement annexed herewith, between the Company and Tata Technologies Limited ('TTL'), a subsidiary of the Company and accordingly a Related Party of the Company.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Material Related Party Transaction(s) / Contract(s) / Arrangement(s) / Agreement(s) entered into / proposed to be entered into (whether by way of an individual transaction or transactions taken together or a series of transactions or otherwise), as mentioned in detail in the Explanatory Statement annexed herewith, between the Company and Tata Motors Passenger Vehicles Limited ('TMPVL'), a subsidiary of the Company and accordingly a 'Related Party' of the Company.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Material Related Party Transaction(s) / Contract(s) / Arrangement(s) / Agreement(s) entered into / proposed to be entered into (whether by way of an individual transaction or transactions taken together or a series of transactions or otherwise), as mentioned in detail in the Explanatory Statement annexed herewith, between the Company and Tata Cummins Private Limited ('TCPL'), a joint operations of the Company and accordingly a Related Party of the Company.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Material Related Party Transaction(s) / Contract(s) / Arrangement(s) / Agreement(s) entered into / proposed to be entered into (whether by way of an individual transaction or transactions taken together or a series of transactions or otherwise), as mentioned in detail in the Explanatory Statement annexed herewith, between the Company and Tata Capital Financial Services Limited ('TCFSL'), a subsidiary of Tata Sons Private Limited, the Promoter of the Company and accordingly a 'Related Party' of the Company.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Material Related Party Transaction(s) / Contract(s) / Arrangement(s) / Agreement(s) entered into / proposed to be entered into (whether by way of an individual transaction or transactions taken together or a series of transactions or otherwise), as mentioned in detail in the Explanatory Statement annexed herewith, between two 'Related Parties' of the Company, i.e., of Tata Motors Passenger Vehicles Limited ('TMPVL'), a subsidiary of the Company with certain identified Related Parties of the Company.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Material Related Party Transaction(s) / Contract(s) / Arrangement(s) / Agreement(s) entered into / proposed to be entered into (whether by way of an individual transaction or transactions taken together or a series of transactions or otherwise), as mentioned in detail in the Explanatory Statement annexed herewith, between two 'Related Parties' of the Company, i.e., of Tata Passenger Electric Mobility Limited ('TPEML'), a wholly-owned subsidiary of the Company with certain identified Related Parties of the Company.	For	For	No concern with the proposed recommendation

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Material Related Party Transaction(s) / Contract(s) / Arrangement(s) / Agreement(s) entered into / proposed to be entered into (whether by way of an individual transaction or transactions taken together or a series of transactions or otherwise), as mentioned in detail in the Explanatory Statement annexed herewith, between two 'Related Parties' of the Company, i.e., of Tata Motors Finance Limited ('TMFL'), Tata Motors Finance Solutions Limited ('TMFSL') and TMF Holding Limited ('TMFH') [TMFL, TMFSL and TMFH, collectively referred to as Tata Motors Finance Group of Companies ('TMFG')] with certain identified Related Parties of the Company.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Material Related Party Transaction(s) / Contract(s) / Arrangement(s) / Agreement(s) entered into / proposed to be entered into (whether by way of an individual transaction or transactions taken together or a series of transactions or otherwise), as mentioned in detail in the Explanatory Statement annexed herewith, between two 'Related Parties' of the Company, i.e., of the Jaguar Land Rover Group of Companies ('JLR Group'), being subsidiaries of the Company with certain identified Related Parties of the Company, viz., Chery Jaguar Land Rover Automotive Company Limited, Tata Consultancy Services Limited & its subsidiaries and Tata Motors Passenger Vehicles Limited, on such terms and conditions as may be mutually agreed between the JLR Group and the aforementioned Related Parties of the Company.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Material Related Party Transaction(s) / Contract(s) / Arrangement(s) / Agreement(s) entered into / proposed to be entered into (whether by way of an individual transaction or transactions taken together or a series of transactions or otherwise), as mentioned in detail in the Explanatory Statement annexed herewith, between two 'Related Parties' of the Company, i.e., between Tata Cummins Private Limited ('TCPL'), a joint operations of the Company	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Amendment to the 'Tata Motors Limited Employee Stock Option Scheme 2018 ('TML ESOP Scheme 2018' / 'the Scheme') adopted by the Company.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	To keep and maintain the Registers as prescribed under Section 88 of the Act and copies of Annual Returns as required under Section 92 of the Act, together with the copies of certificates and documents required to be annexed thereto or any other documents as may be required, at the Registered Office of the Company and/or at the office of TSR Consultants Private Limited (formerly known as TSR Darashaw Consultants Private Limited), Registrar and Transfer Agent of the Company, at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West), Mumbai - 400083, Maharashtra, India and / or at such other place within Mumbai	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	To appoint Branch Auditor(s) of any Branch Office of the Company, whether existing or which may be opened/acquired hereafter, outside India, any firm(s) and/or person(s) qualified to act as Branch Auditors within the provisions of Section 143(8) of the Act and to fix their remuneration, in consultation with the Statutory Auditors of the Company.	For	For	No concern with the proposed recommendation
04-Jul-2022	Tata Motors Limited	Annual General Meeting	Management	Remuneration of Rs 3,50,000/- (Rupees Three Lakh and Fifty Thousand Only) plus applicable taxes, travel and out-of-pocket expenses incurred in connection with the audit, as approved by the Board of Directors, payable to M/s Mani & Co., Cost Accountants (Firm Registration No. 000004) who are appointed as Cost Auditors to conduct the audit of the cost records maintained by the Company for the financial year ending March 31, 2023.	For	For	No concern with the proposed recommendation
14-Jul-2022	Reliance Capital Limited	Voting Agenda Items 14th CoC meeting dated Jul 14, 2022	Management	To consider, discuss and approve the extension for submission of Resolution Plan under Option 1 and/or Resolution Bid under Option 2 till August 12, 2022 and submission of revised Resolution Plan under Option 1 and/or Combined Resolution Plan under Option 2 till September 11, 2022.	For	For	No concern with the proposed recommendation
14-Jul-2022	Reliance Capital Limited	Voting Agenda Items 14th CoC meeting dated Jul 14, 2022	Management	To consider, discuss and approve the exclusion of a period of 60 days from the insolvency resolution process period of the Corporate Debtor and authorize the Administrator to make requisite application in terms of Section 12 of the IBC in the Hon'ble NCLT, Mumbai in this regard	For	For	No concern with the proposed recommendation
16-Jul-2022	HDFC Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the audited financial statements (standalone) of the Bank for the financial year ended March 31, 2022 along with the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
16-Jul-2022	HDFC Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the audited financial statements (consolidated) of the Bank for the financial year ended March 31, 2022 along with the Report of Auditors thereon.	For	For	No concern with the proposed recommendation
16-Jul-2022	HDFC Bank Limited	Annual General Meeting	Management	To declare dividend on Equity Shares.	For	For	No concern with the proposed recommendation
16-Jul-2022	HDFC Bank Limited	Annual General Meeting	Management	To appoint a director in place of Mrs. Renu Karnad (DIN 00008064), who retires by rotation and, being eligible, offers herself for re-appointment.	For	For	No concern with the proposed recommendation
16-Jul-2022	HDFC Bank Limited	Annual General Meeting	Management	To appoint M/s. Price Waterhouse LLP, Chartered Accountants (ICAI Firm Registration No. 301112E/E300264) ['Price Waterhouse LLP'], as joint statutory auditors of the Bank, to hold office for a period of 3 (three) years in relation to FY 2022-23, FY 2023-24 and FY 2024-25 and to fix their overall audit fees.	For	For	No concern with the proposed recommendation
16-Jul-2022	HDFC Bank Limited	Annual General Meeting	Management	Payment of additional fees of ₹55,00,000 (Rupees Fifty Five Lakhs Only) to M.S.K.A. & Associates and M.M. Nissim & Co. LLP Chartered Accountants, collectively joint statutory auditors for FY 2021-22.	For	For	No concern with the proposed recommendation
16-Jul-2022	HDFC Bank Limited	Annual General Meeting	Management	To approve the re-appointment of Mrs. Renu Karnad (DIN 00008064) as a Non-Executive Director of the Bank for a second term of five (5) years with effect from September 3, 2022 till September 2, 2027, liable to retire by rotation.	For	For	No concern with the proposed recommendation
16-Jul-2022	HDFC Bank Limited	Annual General Meeting	Management	To issue Unsecured Perpetual Debt Instruments (part of Additional Tier I capital), Tier II Capital Bonds and Long Term Bonds (financing of infrastructure and affordable housing) on a private placement basis as per the structure and within the limits permitted by the RBI, of an amount in aggregate not exceeding ₹50,000 crores.	For	For	No concern with the proposed recommendation
20-Jul-2022	ITC Limited	Annual General Meeting	Management	To consider and adopt the Financial Statements of the Company for the financial year ended 31st March, 2022, the Consolidated Financial Statements for the said financial year and the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation

Form L- 43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
20-Jul-2022	ITC Limited	Annual General Meeting	Management	To confirm Interim Dividend of ₹ 5.25 per Ordinary Share of ₹ 1/- each and declare Final Dividend of ₹ 6.25 per Ordinary Share of ₹ 1/- each for the financial year ended 31st March, 2022.	For	For	No concern with the proposed recommendation
20-Jul-2022	ITC Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. David Robert Simpson (DIN: 07717430) who retires by rotation and, being eligible, offers himself for re-election.	For	For	No concern with the proposed recommendation
20-Jul-2022	ITC Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Nakul Anand (DIN: 00022279) who retires by rotation and, being eligible, offers himself for re-election.	For	For	No concern with the proposed recommendation
20-Jul-2022	ITC Limited	Annual General Meeting	Management	Remuneration of ₹ 3,40,00,000/- (Rupees Three Crores and Forty Lakhs only) to Messrs. S R B C & CO LLP, Chartered Accountants (Registration No. 324982E/E300003), Statutory Auditors of the Company, for conduct of audit for the financial year 2022-23.	For	For	No concern with the proposed recommendation
20-Jul-2022	ITC Limited	Annual General Meeting	Management	Appointment of Mr. Supratim Dutta (DIN: 01804345) as a Director and also as a Wholetime Director of the Company with effect from 22nd July, 2022.	For	For	No concern with the proposed recommendation
20-Jul-2022	ITC Limited	Annual General Meeting	Management	Remuneration payable to Mr. Sanjiv Puri, Chairman & Managing Director, and Messrs. Nakul Anand and Sumant Bhargava, Wholetime Directors, with effect from 1st October, 2022.	For	For	No concern with the proposed recommendation
20-Jul-2022	ITC Limited	Annual General Meeting	Management	To enter into transactions with British American Tobacco (GLP) Limited, United Kingdom ('BAT GLP'), a related party under Regulation 2(1)(zb) of the Listing Regulations, for sale of unmanufactured tobacco of Indian origin, purchase of unmanufactured tobacco of international origins, storage / holding charges etc. mutually agreed between the parties, such that the maximum value of the transactions with BAT GLP, in the aggregate, does not exceed ₹ 2,000 crores (Rupees Two Thousand Crores only) during the financial year 2022-23.	For	For	No concern with the proposed recommendation
20-Jul-2022	ITC Limited	Annual General Meeting	Management	Ratification of remuneration of Messrs. ABK & Associates, Cost Accountants, appointed by the Board of Directors of the Company as the Cost Auditors to conduct audit of cost records maintained by the Company in respect of 'Wood Pulp' and 'Paper and Paperboard' products for the financial year 2022-23, at ₹ 4,50,000/- (Rupees Four Lakhs and Fifty Thousand only)	For	For	No concern with the proposed recommendation
20-Jul-2022	ITC Limited	Annual General Meeting	Management	Ratification of remuneration of Messrs. S. Mahadevan & Co., Cost Accountants, appointed by the Board of Directors of the Company as the Cost Auditors to conduct audit of cost records maintained in respect of all applicable products of the Company, other than 'Wood Pulp' and 'Paper and Paperboard' products, for the financial year 2022-23, at ₹ 6,50,000/- (Rupees Six Lakhs and Fifty Thousand only).	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2022, together with the Reports of the Board of Directors and the Auditors thereon and the Audited Consolidated Financial Statements of the Company for the financial year ended March 31, 2022, together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	To declare dividend on the equity shares at the rate of ₹ 17.35 per equity share of ₹ 1 each of the Company for the financial year 2021-22 and that the said dividend be paid out of the profits of the Company to eligible equity shareholders.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	To appoint Mr. Jayant Acharya (DIN 00106543), who retires by rotation as a Director and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	To appoint, M/s. S R B C & CO. LLP, Chartered Accountants (Registration No. 324982E/E300003), as Auditors of the Company for a second term of five consecutive years, to hold office from the conclusion of this Annual General Meeting until the conclusion of the 33rd Annual General Meeting of the Company to be held in the year 2027, on such remuneration as may be decided by the Board of Directors of the Company.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Remuneration of ₹ 18,50,000 (Rupees eighteen lakhs fifty thousand only) plus taxes as applicable and reimbursement of actual travel and out of pocket expenses, to be paid to M/s. Shome & Banerjee (ICWAI Registration No.000001), Cost Auditors of the Company, for the financial year 2022-23, as recommended by the Audit Committee and approved by the Board of Directors of the Company, be and is hereby ratified.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Appointment of Ms. Fiona Jane Mary Paulus (DIN 09618098) as an Independent Director for a term upto 26th May 2027.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Re-appointment of Mr. Sajjan Jindal (DIN 00017762) as the Managing Director of the Company a period of five years, with effect from 07.07.2022.	For	Against	Sajjan Jindal, 62, is the promoter of JSW Steel. He is Chairperson and Managing Director. His FY22 remuneration aggregated Rs. 1.4bn, making him possibly the highest paid executive in Corporate India. The proposed remuneration terms are open-ended and allow Sajjan Jindal commission of 0.5% of profits. However, other executive directors do not participate in the company's performance to that extent. There is no cap on the absolute level of remuneration, and no performance metrics to tangibly measure Sajjan Jindal's contribution to business growth. While we support Sajjan Jindal's reappointment as Managing Director, we do not support the proposed remuneration.
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Remuneration payable to Mr. Jayant Acharya, Whole-time Director of the Company (DIN 00106543), w.e.f. 01.04.2022, for the remainder of his tenure i.e upto 06.05.2024, from Rs.50,00,000/- per month to Rs.85,00,000/- per month (including salary and perquisites), with specific authority to the Board of Directors of the Company to fix, alter or vary the remuneration within the said ceiling of ₹ 85,00,000/- per month.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Entering into transaction(s) with JSW Energy Limited (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Entering into transaction(s) with Jindal Saw Limited (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Entering into transaction(s) with JSW Ispat Special Products Limited (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Entering into transaction(s) with JSW MI Steel Service Centre Private Limited (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Entering into transaction(s) with Neotrex Steel Private Limited (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Entering into transaction(s) with Bhushan Power & Steel Limited (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation

Form L-43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Entering into transaction(s) with JSW Steel (USA), Inc., (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Transaction(s) between JSW Steel Coated Products Limited (a wholly owned subsidiary of the Company) and JSW Paints Private Limited (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	Against	We believe the transactions are being undertaken to bolster JSW Paint's financial profile. The rationale for JSW Steel's equity investment aggregating Rs. 3.0 bn for a 7.5% equity stake is unclear, given that JSW Paints' 31 March 2022 networth stands lower at Rs. 2.05 bn. JSW Steel's business accounted for about half of JSW Paints' revenues in FY22, which is likely to be supporting an otherwise nascent business that is owned and controlled by the Jindal family. Hence, the board must consider a more rigorous selection process for the sourcing of industrial paints.
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Transaction(s) between Bhushan Power & Steel Limited (a subsidiary of the Company) and JSW Ispat Special Products Limited (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Entering into transaction(s) with JSW International Tradecorp Pte. Limited (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	Against	JSW International Tradecorp Pte. Ltd (JSWITPL) is ultimately owned by Ms Sangita Jindal and Ms. Tarini Jindal, wife and daughter of the promoter, Sajjan Jindal. JSWITPL supplies raw materials to JSW, including coking coal, iron ore and fluxes. In FY22, JSW Steel had entered into contracts with JSWITPL for supply of raw materials – however shipments were delayed. The company expects to complete the remaining shipments aggregating USD 400 by September 2022 and seeks shareholder approval for these transactions. We do not support the resolution because we believe the company should have structured the transactions through a direct subsidiary, rather than a promoter-controlled company.
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Transaction(s) between Bhushan Power & Limited, (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Transaction(s) between JSW Steel USA Ohio Inc. (a wholly owned subsidiary of the Company) and JSW Steel (USA), Inc. (a subsidiary and a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	Transaction(s) between JSW Steel Italy Piombino S.p.A. (a wholly owned subsidiary of the Company) and JSW Ispat Special Products Limited (a "Related Party" within the meaning of Section 2(76) of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
20-Jul-2022	JSW Steel Limited	Annual General Meeting	Management	To create, offer, issue and allot in one or more tranches Non-Convertible Debentures with warrants which are convertible into or exchangeable with Equity Shares at a later date, for an amount not exceeding 87,000 Crores (Rupees seven thousand Crores Only) and Equity Shares and/or convertible securities (other than warrants) for an amount not exceeding 87,000 Crores (Rupees seven thousand Crores Only), inclusive of such premium as may be decided by the Board.	For	For	No concern with the proposed recommendation
25-Jul-2022	Nestle India Limited	Court Convened Meeting	Management	Scheme of Arrangement between Nestlé India Limited and its shareholders under sections 230 to 232 and other applicable provisions of the Companies Act, 2013.	For	For	No concern with the proposed recommendation
26-Jul-2022	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	To receive, consider and adopt: a. audited financial statements of the Company for the financial year ended on 31st March, 2022 together with the Reports of the Board of Directors and Auditors thereon; and b. audited consolidated financial statements of the Company for the financial year ended on 31st March, 2022 together with the report of Auditors thereon	For	For	No concern with the proposed recommendation
26-Jul-2022	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	To declare Dividend on Equity Shares for the financial year ended 31 March 2022.	For	For	No concern with the proposed recommendation
26-Jul-2022	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	To declare Dividend on Preference Shares for the financial year 2021-22.	For	For	No concern with the proposed recommendation
26-Jul-2022	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Rajesh S. Adani (DIN: 00006322), who retires by rotation and being eligible, offers himself for reappointment.	For	Against	He has attended 38% (3 out of 8) board meetings in FY22 and 52% (12 out of 23) board meetings in the last three years. Board members are expected to attend all board meetings ideally. Given his poor attendance, we do not support his appointment on the board
26-Jul-2022	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	Re-appointment of M/s. Deloitte Haskins & Sells LLP, Chartered Accountants (Firm Registration No.: 117366W/ W100018) as Statutory Auditors of the Company for a second term of five years to hold office from the conclusion of this AGM till the conclusion of 28th AGM of the Company to be held in the year 2027 on such remuneration (including certification fees) and reimbursement of out of pocket expenses.	For	For	No concern with the proposed recommendation
26-Jul-2022	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	Re-appointment of Mr. Gautam S. Adani (DIN:00006273) as a Managing Director of the Company, for a period of five years w.e.f. 1st July, 2022 on the terms and conditions including terms of remuneration.	For	Against	He has attended 50% (4 out of 8) board meetings in FY22 and 65% (15 out of 23) board meetings in the past three years.. Board members are expected to attend all board meetings ideally. Given his poor attendance, we do not support his appointment on the board
26-Jul-2022	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	Re-appointment of Mr. Karan Adani (DIN: 03088095) as Whole Time Director of the Company, for a period of five years w.e.f. 24th May, 2022, on the terms and conditions including terms of remuneration.	For	For	No concern with the proposed recommendation
26-Jul-2022	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	Re-appointment of Mr. Bharat Shelth (DIN: 00022102) as an Independent Director (Non-Executive) of the Company to hold office for second term of consecutive three years upto 14th October, 2025.	For	For	No concern with the proposed recommendation
26-Jul-2022	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	Approve the material transaction(s) to be entered into, either individually or taken together with previous transactions during a financial year, whether directly and/or through its subsidiary(ies), exceed(s) ₹1,000 crore, or 10% of the annual consolidated turnover as per the last audited financial statements of the listed entity, whichever is lower. Further, in accordance with the said regulation, a related party transaction that has been approved by the Audit Committee prior to 1st April, 2022 which continues beyond such date and becomes material as per the revised materiality threshold shall be placed before the shareholders in the first General Meeting held after 1st April, 2022.	For	For	No concern with the proposed recommendation
26-Jul-2022	Adani Ports and Special Economic Zone Limited	Annual General Meeting	Management	To appoint Branch Auditors of any branch office of the Company, whether existing or which may be opened hereafter, outside India, in consultation with the Company's Statutory Auditors, any person(s) /firm(s) qualified to act as Branch Auditor in terms of the provisions of Section 143(8) of the Act and to fix their remuneration.	For	For	No concern with the proposed recommendation

Form L-43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
26-Jul-2022	Bajaj Auto Limited	Annual General Meeting	Management	To consider and adopt the standalone and consolidated financial statements of the Company for the financial year ended 31 March 2022, together with the Directors' and Auditors' Reports thereon.	For	For	No concern with the proposed recommendation
26-Jul-2022	Bajaj Auto Limited	Annual General Meeting	Management	To declare a dividend.	For	For	No concern with the proposed recommendation
26-Jul-2022	Bajaj Auto Limited	Annual General Meeting	Management	To appoint a director in place of Rakesh Sharma (DIN 08262670), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
26-Jul-2022	Bajaj Auto Limited	Annual General Meeting	Management	To appoint a director in place of Madhur Bajaj (DIN 00014593), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
26-Jul-2022	Bajaj Auto Limited	Annual General Meeting	Management	Appointment of S R B C & CO LLP, Chartered Accountants (Firm Registration No: 324982E/E300003) as the Statutory Auditors of the Company for a second term of five consecutive years to hold office from the conclusion of this meeting until the conclusion of the twentieth annual general meeting of the Company and on such remuneration.	For	For	No concern with the proposed recommendation
26-Jul-2022	Bajaj Auto Limited	Annual General Meeting	Management	Re-appointment of Dr. Naushad Forbes (DIN 00630825) as an Independent Director of the Company for a second term of five consecutive years, effective from 18 May 2022 up to 17 May 2027.	For	Against	Dr. Naushad Forbes serves on the boards of five listed companies (including Bajaj Auto Ltd). Given their full-time responsibilities, regulations allow whole-time directors of listed companies to be independent directors in a maximum of three listed companies. As promoter and Chairperson of various Forbes Marshall Group Companies, his responsibilities are equivalent to a whole-time directorship. Therefore, his high number of directorships on listed companies are not in keeping with the spirit of the regulation.
26-Jul-2022	Bajaj Auto Limited	Annual General Meeting	Management	Re-appointment of Anami N. Roy as an Independent Director of the Company for a second term of five consecutive years, effective from 14 September 2022 up to 13 September 2027.	For	For	No concern with the proposed recommendation
26-Jul-2022	Tech Mahindra Limited	Annual General Meeting	Management	To consider and adopt the Financial Statements of the Company for the financial year ended 31st March, 2022 and the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
26-Jul-2022	Tech Mahindra Limited	Annual General Meeting	Management	To consider and adopt the Consolidated Financial Statements of the Company for the financial year ended 31st March, 2022 and the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
26-Jul-2022	Tech Mahindra Limited	Annual General Meeting	Management	To confirm the Interim (Special) Dividend paid on Equity Shares and to declare a Final Dividend (including Special Dividend) on Equity Shares for the financial year ended 31st March, 2022.	For	For	No concern with the proposed recommendation
26-Jul-2022	Tech Mahindra Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. C. P. Gurnani (DIN: 00018234), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
26-Jul-2022	Tech Mahindra Limited	Annual General Meeting	Management	Appointment of M/s. B S R & Co. LLP, Chartered Accountants, [ICAI Firm's Registration No. 101248W/W-100022] as the Statutory Auditors of the Company, for a further term of five (5) consecutive years to hold office from the conclusion of the Annual General Meeting of the Company for the financial year 2026-27 on such remuneration, as recommended by the Audit Committee and as may be mutually agreed between the Board of Directors of the Company and the Statutory Auditors from time to time.	For	For	No concern with the proposed recommendation
26-Jul-2022	Tech Mahindra Limited	Annual General Meeting	Management	Appointment of Ms. Penelope Fowler (DIN: 09591815) as an Independent Director of the Company not liable to retire by rotation, for a term of 5 (five) consecutive years, commencing from 26th July, 2022.	For	For	No concern with the proposed recommendation
26-Jul-2022	Tech Mahindra Limited	Annual General Meeting	Management	Re-appointment of Mr. C. P. Gurnani (DIN: 00018234) as a Managing Director and CEO of the Company for the period from 10th August 2022 to 19th December, 2023 on the terms and conditions including remuneration as detailed hereunder with liberty to the Board of Directors.	For	For	No concern with the proposed recommendation
26-Jul-2022	Titan Company Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Standalone Financial Statements for the financial year ended 31st March 2022 together with the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
26-Jul-2022	Titan Company Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Consolidated Financial Statements for the financial year ended 31st March 2022 together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
26-Jul-2022	Titan Company Limited	Annual General Meeting	Management	To declare dividend on equity shares for the financial year ended 31st March 2022.	For	For	No concern with the proposed recommendation
26-Jul-2022	Titan Company Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Noel Naval Tata (DIN: 00024713), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
26-Jul-2022	Titan Company Limited	Annual General Meeting	Management	To re-appoint B S R & Co. LLP, Chartered Accountants as statutory auditors of the Company and to fix their remuneration, for a second term of five consecutive years, who shall hold office from the conclusion of this 38th Annual General Meeting till the conclusion of the 43rd Annual General Meeting to be held in the year 2027.	For	For	No concern with the proposed recommendation
26-Jul-2022	Titan Company Limited	Annual General Meeting	Management	Appointment of Mr. Saranyan Krishnan IAS (DIN: 03439632) as a Director of the Company, liable to retire by rotation.	For	For	No concern with the proposed recommendation
26-Jul-2022	Titan Company Limited	Annual General Meeting	Management	Appointment of Ms. Jayashree Muralidharan (DIN: 03048710) as a Director of the Company, liable to retire by rotation.	For	For	No concern with the proposed recommendation
26-Jul-2022	Titan Company Limited	Annual General Meeting	Management	To keep the Registers together with the copies of certificates and documents at the Corporate Office of the Company at 'INTEGRITY' #193, Veerasandra, Electronics City P.O., Off Hosur Main Road, Bengaluru 560100 and/or at the office of ISR Consultants Private Limited, Registrar and Share Transfer Agent of the Company at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West), Mumbai 400083, Maharashtra, India and/or such other place where the office of the Registrar and Share Transfer Agent of the Company is situated within Mumbai, from time to time.	For	For	No concern with the proposed recommendation
26-Jul-2022	Titan Company Limited	Annual General Meeting	Management	Authorized to appoint Branch Auditors for any branch office of the Company, whether existing or which may be opened/ acquired hereafter, outside India, in consultation with the Company's Auditors, any person(s) qualified to act as Branch Auditors within the provisions of Section 143(8) of the Act and to fix their remuneration.	For	For	No concern with the proposed recommendation
27-Jul-2022	Bajaj Finance Limited	Annual General Meeting	Management	To consider and adopt the standalone and consolidated financial statements of the Company for the financial year ended 31 March 2022, together with the Directors' and Auditors' Reports thereon.	For	For	No concern with the proposed recommendation
27-Jul-2022	Bajaj Finance Limited	Annual General Meeting	Management	To declare a dividend of ₹ 20 per equity share of face value of ₹ 2 for the financial year ended 31 March 2022.	For	For	No concern with the proposed recommendation

Form L- 43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
27-Jul-2022	Bajaj Finance Limited	Annual General Meeting	Management	To appoint a Director in place of Rajeev Jain (DIN: 01550158) who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
27-Jul-2022	Bajaj Finance Limited	Annual General Meeting	Management	Appointment of Deloitte Haskins & Sells, Chartered Accountants, (Firm Registration No. 302009E) as a Joint Statutory Auditors of the Company, to hold office from the conclusion of 35th Annual General Meeting till conclusion of the 37th Annual General Meeting of the Company to conduct audit of accounts of the Company for the financial year ending 31 March 2023 and 31 March 2024 and to fix their remuneration.	For	For	No concern with the proposed recommendation
27-Jul-2022	Bajaj Finance Limited	Annual General Meeting	Management	Appointment of G. M. Kapadia & Co., Chartered Accountants, (Firm Registration No. 104767W) as a Joint Statutory Auditors of the Company, to hold office from the conclusion of 35th Annual General Meeting till conclusion of the 37th Annual General Meeting of the Company to conduct audit of accounts of the Company for the financial year ending 31 March 2023 and 31 March 2024 and to fix their remuneration.	For	For	No concern with the proposed recommendation
27-Jul-2022	Bajaj Finance Limited	Annual General Meeting	Management	Appointment of Radhika Vijay Haribhakti (DIN:02409519) as an Independent Director of the Company for a term of five consecutive years, effective from 1 May 2022 up to 30 April 2027.	For	For	No concern with the proposed recommendation
27-Jul-2022	Bajaj Finance Limited	Annual General Meeting	Management	To make offer(s) or an invitation(s) or to issue non-convertible debentures (NCDs) secured or unsecured, at face value or such other price as may be permissible under the relevant regulations as the Board may determine in accordance with any of the aforementioned directions or regulations, under one or more letter(s) of offer/disclosure document as may be issued by the Company and in one or more series, during a period of one year commencing from the date of this annual general meeting, on a private placement basis and on such terms and conditions as the Board may deem fit and appropriate for each series, as the case may be provided that the borrowings by way of issue of NCDs to be within the overall limit of borrowing approved by the members of the Company from time to time.	For	For	No concern with the proposed recommendation
27-Jul-2022	Bajaj Finance Limited	Annual General Meeting	Management	Payment of commission to non-executive directors a sum not exceeding one percent per annum of the net profits of the Company for a period of five years commencing from 1 April 2022.	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	To receive, consider and adopt: (a) the Audited Standalone Financial Statements of the Company for the financial year ended 31st March 2022 and the Reports of the Board of Directors and Auditors thereon; and (b) the Audited Consolidated Financial Statements of the Company for the financial year ended 31st March 2022 and the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	To confirm the payment of Interim Dividend of ₹ 45/- per Equity Share for the financial year ended 31st March 2022.	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	To declare dividend of ₹ 45/- per Equity Shares as final dividend, for the financial year ended 31st March 2022.	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Prakash Narayan Chhangani (DIN: 08189579), who retires by rotation at this Annual General Meeting and being eligible, offers himself for reappointment.	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	Remuneration of ₹48,00,000/- (Rupees Forty Eight Lac only) plus applicable taxes and reimbursement of out-of-pocket expenses payable to M/s. B.R. Maheswari & Co., LLP, Chartered Accountants, (Firm Registration No. 001035N/NS00050) be and are hereby appointed as the Statutory Auditors of the Company for a term of 5 (five) consecutive years to hold office from the conclusion of this 43rd Annual General Meeting till the conclusion of the 48th Annual General Meeting.	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	Ratification of remuneration of ₹ 6,00,000/- (Rupees Six Lac only) plus applicable taxes and reimbursement of out-of-pocket expenses payable to M/s. K. G. Goyal and Associates, Cost Accountants (Firm Registration No. 000024), who have been appointed by the Board of Directors as the Cost Auditors of the Company to conduct the audit of the cost records of the Company for the financial year ending on 31st March 2023.	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	Re-classification of Smt. Padma Devi Maheshwari, who is holding 600 equity shares (amounting to 0.0017% of the total paid-up share capital of the Company) from the 'Promoter and Promoter Group' category to 'Public' category, in the shareholding pattern of the Company.	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	Appointment of Mr. Zubair Ahmed (DIN: 00182990) as an Independent Director of the Company, to hold office for a term of 5 (five) consecutive years commencing from 21st May, 2022.	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	Adopt the new set of Articles of Association in substitution, and to the entire exclusion of the Articles contained in the existing Articles of Association of the Company.	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	Borrowing from time to time and in any manner, any sum or sums of money already borrowed by the Company will exceed the aggregate of its paid-up share capital, free reserves and securities premium, apart from the temporary loans obtained or to be obtained from time to time from the Bank/Lenders for the purpose of business of the Company the sums so borrowed and remaining outstanding on account of principal amount shall not, at any time, exceed ₹20,000 Crore (Rupees Twenty Thousand Crore only).	For	For	No concern with the proposed recommendation
28-Jul-2022	Shree Cements Limited	Annual General Meeting	Management	Creation of Charge/ hypothecation/ pledge/ mortgage/ security on all or any of the movable and / or immovable properties, tangible and / or intangible assets of the Company) for securing the borrowings availed / to be availed by the Company (in foreign currency and / or rupee currency) and securities (comprising of debentures, bonds, secured premium notes and other debt instruments), issued / to be issued by the Company subject to an overall borrowing limit of ₹20,000 Crore (Rupees Twenty Thousand Crore only).	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the : a) audited standalone financial statements of the Bank, for the financial year ended 31 March, 2022 together with the reports of the Board of Directors and the auditors thereon, b) audited consolidated financial statements, for the financial year ended 31 March, 2022 together with the report of auditors thereon.	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	To declare dividend on the equity shares for the financial year ended 31 March, 2022.	For	For	No concern with the proposed recommendation

Form L-43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	To re-appoint T. C. Suseel Kumar (DIN: 06453310) as a Director, who retires by rotation and being eligible offers himself for re-appointment.	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Re-appointment of Girish Paranjpe (DIN: 02172725) as an Independent Director of the Bank from 2 November, 2022 up to 1 November, 2026 (both days inclusive), not liable to retire by rotation.	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Appointment of Manoj Kohli (DIN: 00162071) as an Independent Director of the Bank from 17 June, 2022 up to 16 June, 2026 (both days inclusive), not liable to retire by rotation.	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	To borrow from time to time, such sum or sums of monies as they may deem necessary, notwithstanding the fact that the monies so borrowed and the monies to be borrowed from time to time (apart from (i) temporary loans obtained from the company's bankers in the ordinary course of business and (ii) acceptances of deposits of money from public repayable on demand or otherwise (withdrawable by cheque, draft, order or otherwise) and / or temporary loans obtained in the ordinary course of business from banks, whether in India or outside India) exceeding the aggregate of the paid up capital of the Bank, its free reserves and securities premium, provided that the total outstanding amount of such borrowings shall not exceed ₹ 2,50,000 crore (Rupees Two Lakh Fifty Thousand Crore).	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Borrowing / raising of funds denominated in Indian rupees or any other permitted foreign currency, by issue of debt securities including, but not limited to, long term bonds, green bonds, masala bonds, sustainable / ESG compliant Bonds, optionally / compulsorily convertible debentures, nonconvertible debentures, perpetual debt instruments, AT 1 Bonds, Infrastructure Bonds and Tier II Capital Bonds or such other debt securities as may be permitted under the RBI guidelines, from time to time and / or for making offers and / or invitations thereof, and / or issue(s) / issuances thereof, on a private placement basis, for a period of one year from the date hereof, in one or more tranches and / or series and / or under one or more placement memorandum and / or one or more letters of offer, and on such terms and conditions for each series / tranches, including the price, coupon, premium, discount, tenor etc. as deemed fit by the Board of Directors of the Bank (hereinafter referred to as the "Board", which term shall be deemed to include any Committee(s) constituted / to be constituted by the Board to exercise its powers, including the powers conferred by this resolution, as per the structure and within the limits permitted by the RBI, upto an amount of ₹ 35,000	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Entering into and / or continuing with the existing contracts / arrangements / transactions for acceptance of deposits in current / savings account or any other similar accounts permitted to be opened by the Bank under applicable laws, whether by way of fresh deposit(s) or any extension(s) or modification(s) of existing contracts / arrangements / transactions or otherwise, from time to time, with the related parties listed in the explanatory statement annexed to this notice, notwithstanding the fact that the balance on any day from the date of 28th Annual General Meeting upto the date of 29th Annual General Meeting, may exceed ₹1,000 crore or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis.	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Entering into and / or continuing with the existing contracts / arrangements / transactions, for subscription of securities issued by the related parties, and / or purchase of securities (of related or other unrelated parties) from related parties listed in the explanatory statement annexed to this notice, notwithstanding the fact that the aggregate value of such transactions, either individually or taken together with previous transactions from the date of 28th Annual General Meeting upto the date of 29th Annual General Meeting, may exceed ₹ 1,000 crore or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis.	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Entering into and / or continuing with the existing contracts / arrangements / transactions, for sale of securities (of related or other unrelated parties) to related parties listed in the explanatory statement annexed to this notice, notwithstanding the fact that the aggregate value of such transactions, either individually or taken together with previous transactions from the date of 28th Annual General Meeting upto the date of 29th Annual General Meeting, may exceed ₹ 1,000 crore or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower, or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis.	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Entering into and / or continuing with the existing contracts / arrangements / transactions for issue of securities of the Bank, payment of interest and redemption amount thereof, to related parties, as listed in the explanatory statement annexed to this notice, notwithstanding the fact that the aggregate value of such transactions, either individually or taken together with previous transactions from the date of 28th Annual General Meeting upto the date of 29th Annual General Meeting, may exceed ₹1,000 crore or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis.	For	For	No concern with the proposed recommendation

Form L- 43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Entering into and / or continuing with the existing contracts / arrangements / transactions for receipt of fees / commission for distribution of insurance products and other related business in accordance with the rules and regulations prescribed by the Insurance Regulatory and Development Authority of India, in the capacity as corporate agent of related parties as listed in the explanatory statement annexed to this notice, notwithstanding the fact that the aggregate value of such transactions, either individually or taken together with previous transactions from the date of 28th Annual General Meeting upto the date of 29th Annual General Meeting, may exceed ₹ 1,000 crore or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis.	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Entering into and / or continuing with the existing contracts / arrangements / transactions for granting of any loans or advances or credit facilities such as term loan, working capital demand loan, short term loan, overdraft, or any other form of fund based facilities and / or guarantees, letters of credit, or any other form of non-fund based facilities, whether by way of fresh sanction(s) or renewal(s) or extension(s) or enhancement(s) or any modification(s) of existing contracts / arrangements / transactions or otherwise, from time to time, to the related parties listed in the explanatory statement annexed to this notice, sanctioned for an amount and on such terms and conditions (i.e. rate of interest, security, tenure, etc.) as may be permitted under applicable laws, and relevant policies of the Bank, including interest and other charges receivable in connection with such facilities, notwithstanding the fact that aggregate value of such transactions either individually or taken together with previous transactions, from the date of 28th Annual General Meeting upto the date of 29th Annual General Meeting, may exceed ₹1,000 crore or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank.	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Entering into and/ or continuing with the existing contracts / arrangements / transactions for money market instruments / term borrowing / term lending (including repo / reverse repo) with the related parties listed in the explanatory statement annexed to this notice, notwithstanding the fact that the aggregate value of such transactions either individually or taken together with previous transactions, from the date of 28th Annual General Meeting upto the date of 29th Annual General Meeting, may exceed ₹ 1,000 crore or 10% of the annual consolidated turnover of the Bank, as per the last audited financial statements of the Bank, whichever is lower, or any other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis.	For	For	No concern with the proposed recommendation
29-Jul-2022	Axis Bank Limited	Annual General Meeting	Management	Entering into and / or continuing with the existing contracts / arrangements / transactions pertaining to forex and derivatives, with the related parties listed in the explanatory statement annexed to this notice wherein the Bank acting as authorised dealer in foreign exchange or market participants, notwithstanding the fact that the aggregate value of such transactions either individually or taken together with previous transactions, at any point of time from the date of 28th Annual General Meeting upto the date of 29th Annual General Meeting, may exceed ₹1,000 crore or 10% of the annual consolidated turnover of the Bank as per the last audited financial statements of the Bank, whichever is lower or such other threshold, as may be prescribed from time to time, for each such party, provided however, that the said contracts / arrangements / transactions shall be carried out on an arm's length basis.	For	For	No concern with the proposed recommendation
29-Jul-2022	Dr. Reddys Laboratories Limited	Annual General Meeting	Management	To receive, consider and adopt the Financial Statements (Standalone and Consolidated) of the Company for the financial year ended March 31, 2022, together with the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
29-Jul-2022	Dr. Reddys Laboratories Limited	Annual General Meeting	Management	To declare dividend of Rs.30 per equity share for the financial year ended March 31, 2022.	For	For	No concern with the proposed recommendation
29-Jul-2022	Dr. Reddys Laboratories Limited	Annual General Meeting	Management	To re-appoint Mr. K Satish Reddy (DIN: 00129701), as a Director, who retires by rotation, and being eligible offers himself for the re-appointment.	For	For	No concern with the proposed recommendation
29-Jul-2022	Dr. Reddys Laboratories Limited	Annual General Meeting	Management	Re-appointment of Mr. K Satish Reddy (DIN: 00129701) as a Whole-time Director, designated as Chairman of the Company, for a further period of five years with effect from October 1, 2022 to September 30, 2027, liable to retire by rotation.	For	For	No concern with the proposed recommendation
29-Jul-2022	Dr. Reddys Laboratories Limited	Annual General Meeting	Management	Ratification of remuneration payable to amounting to ₹700,000/- (Rupees Seven Lakhs only) plus applicable taxes and out of pocket expenses to M/s. Sagar & Associates, Cost Accountants (Firm Registration No. 000118), appointed by the Board of Directors, on the recommendation of the Audit Committee, as Cost Auditors of the Company to conduct audit of cost records of the Company, for the financial year ending March 31, 2023.	For	For	No concern with the proposed recommendation
03-Aug-2022	Reliance Capital Limited	Voting Agenda Items 15th CoC meeting dated Aug 3, 2022	Management	Resolved that the : (a) sale of equity shares of Nippon India Asset Management Ltd, Reliance Power Limited and Reliance Communications Limited held by the Corporate Debtor in accordance with regulation 29 of the IBC (Insolvency Resolution Process for Corporate Persons) Regulations, 2016 ("CIRP Regulations") subject to release of charge from secured lenders of the Corporate Debtor (b) sale to be made at not less than INR 285, INR 12.35 and INR 2.12 per shares respectively at the time of selling the shares (Nippon India Asset Management Ltd, Reliance Power Limited and Reliance Communications Limited) and (c) The mandate for selling the shares is given to the Reliance Securities Limited (related party) and brokerage and private placement charges to be paid on actual basis and the said cost will form part of the insolvency resolution process cost. (d) Executing trades for the holding in Reliance Power Limited and Reliance Communication Limited will be subject to obtaining requisite approvals under SEBI (Prohibition of Insider Trading) Regulations, 2015 and the Insolvency and Bankruptcy Code, 2016	For	For	No concern with the proposed recommendation

Form L- 43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
03-Aug-2022	Reliance Capital Limited	Voting Agenda Items 15th CoC meeting dated Aug 3, 2022	Management	To consider, discuss and approve the sale of Mittal Chambers premises held by the Corporate Debtor to M/s Moneymint Securities Private Limited for a consideration amounting to INR 17 crores (which includes Statutory Charges/Dues/Fees, Stamp Duty, Fees for Collector NOC Fees and any outstanding Society Maintenance due Society Transfer Charges and any other charges) in accordance with regulation 29 of the IBBI (Insolvency Resolution Process for Corporate Persons) Regulations, 2016 ("CIRP Regulations") subject to release of charge from secured lenders of the Corporate Debtor.	For	For	No concern with the proposed recommendation
03-Aug-2022	Reliance Capital Limited	Voting Agenda Items 15th CoC meeting dated Aug 3, 2022	Management	To consider, discuss and approve the sale of assets leased to Malladi Drugs and Pharmaceutical Ltd for a consideration amounting to INR 1,38,511, Gemini Equipments & Rental Pvt Ltd for a consideration amounting to INR 53,67,716 and Vicon Imperial (I) Pvt Ltd for a consideration amounting to INR 50,00,000 held by the Corporate Debtor in accordance with regulation 29 of the IBBI (Insolvency Resolution Process for Corporate Persons) Regulations, 2016 ("CIRP Regulations") subject to release of charge from secured lenders of the Corporate Debtor.	For	For	No concern with the proposed recommendation
03-Aug-2022	Reliance Capital Limited	Voting Agenda Items 15th CoC meeting dated Aug 3, 2022	Management	To consider, discuss and approve the extension of the Resolution Plan and Bid Submission timeline for the Resolution Process of Reliance Capital Limited till August 29, 2022 and revised bid submission timeline till September 28, 2022 subject to filing and approval of exclusion in NCL.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To consider and adopt the audited financial statements of the Company for the year ended March 31, 2022 and the Reports of the Board of Directors and Auditors thereon and the audited consolidated financial statements of the Company and the report of the auditors thereon for the year ended March 31, 2022.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To declare a dividend on equity shares.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Subramanian Sarma (DIN: 00554221), who retires by rotation and is eligible for re-appointment.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. S. V. Desai (DIN: 07648203), who retires by rotation and is eligible for re-appointment.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. T. Madhava Das (DIN: 08586766), who retires by rotation and is eligible for re-appointment.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	Re-appointment of Mr. S.N. Subrahmanyam as Chief Executive Officer and Managing Director for a period of five years from July 1, 2022 upto and including June 30, 2027 including salary of Rs.27,00,000 (Rupees Twenty Seven Lakh only) per month in the scale of Rs.27,00,000 - Rs.3,00,000 - Rs.42,00,000 with the annual increment due on April 1 every year, commission, perquisites of 20 lakh per annum excluding free furnished accommodation or house rent and other benefits.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To enter into transaction(s) with Larsen Toubro Arabia LLC, L&T Modular Fabrication Yard LLC, Larsen & Toubro Electromech LLC, Larsen & Toubro Heavy Engineering LLC, Larsen & Toubro Kuwait General Contracting Co WLL, subsidiaries of the Company and Related Parties for a period of fifteen months, whichever is earlier, upto an amount not exceeding Rs.6,000 crore.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To enter into contract(s)/transaction(s) with L&T-MHI Power Boilers Private Limited, subsidiary of the Company and a Related Party a) sale, purchase, lease or supply of goods or business assets or equipment; b) availing or rendering of services; c) transfer of any resources, services or obligations to meet its business objectives / requirements ("Related Party Transactions") from this Meeting till the next Annual General Meeting of the Company or for a period of fifteen months, whichever is earlier, upto an amount not exceeding Rs. 6,500 crore.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To enter into contract(s)/transaction(s) with L&T-MHI Power Turbine Generators Private Limited, subsidiary of the Company and a Related Party a) sale, purchase, lease or supply of goods or business assets or equipment; b) availing or rendering of services; c) transfer of any resources, services or obligations to meet its business objectives/ requirements ("Related Party Transactions") from this Meeting till the next Annual General Meeting of the Company or for a period of fifteen months, whichever is earlier, upto an amount not exceeding Rs.2,600 crore.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To enter into contract(s)/transaction(s) with L&T Special Steels and Heavy Forgings Private Limited, subsidiary of the Company and a Related Party a) sale, purchase, lease or supply of goods or business assets or equipment; b) availing or rendering of services; c) transfer of any resources, services or obligations to meet its business objectives/ requirements ("Related Party Transactions") from this Meeting till the next Annual General Meeting of the Company or for a period of fifteen months, whichever is earlier, upto an amount not exceeding Rs. 2,000 crore.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To enter into contract(s)/transaction(s) with L&T Modular Fabrication Yard LLC, subsidiary of the Company and a Related Party a) sale, purchase, lease or supply of goods or business assets or equipment; b) availing or rendering of services; c) transfer of any resources, services or obligations to meet its business objectives/requirements ("Related Party Transactions") from this Meeting till the next Annual General Meeting of the Company or for a period of fifteen months, whichever is earlier, upto an amount not exceeding Rs. 2,300 crore.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	To offer, issue and allot in one or more tranches, to Investors whether Indian or Foreign, including Foreign Institutional Investors, Foreign Institutional Investors, Foreign Portfolio Investors, Foreign Venture Capital Fund Investors, Venture Capital Funds, Non-resident Indians, Corporate Bodies, Mutual Funds, Banks, Insurance Companies, Pension Funds, Individuals or otherwise, whether shareholders of the Company or not, through an issue of convertible bonds and/or equity share, so that the total amount raised through the issue of the Securities shall not exceed Rs. 4,500 Crore.	For	For	No concern with the proposed recommendation
04-Aug-2022	Larsen & Toubro Limited	Annual General Meeting	Management	Ratification of remuneration Rs.17 lakhs plus applicable taxes and out of pocket expenses at actuals for travelling and boarding/lodging to M/s R. Nanabhoy & Co., Cost Accountants (Regn. No. 000010), as Cost Auditors to conduct the audit of cost records maintained by the Company for the Financial Year 2022-23.	For	For	No concern with the proposed recommendation

Form L- 43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
05-Aug-2022	Mahindra & Mahindra Limited	Annual General Meeting	Management	Consideration and adoption of the Audited Standalone Financial Statements of the Company for the Financial Year ended 31st March, 2022 and the Reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
05-Aug-2022	Mahindra & Mahindra Limited	Annual General Meeting	Management	Consideration and adoption of the Audited Consolidated Financial Statements of the Company for the Financial Year ended 31st March, 2022 and the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
05-Aug-2022	Mahindra & Mahindra Limited	Annual General Meeting	Management	Dividend of Rs.11.55 (231%) per Ordinary (Equity) Share of the face value of Rs.5 each for the year ended 31st March, 2022 on 124,31,92,544 Ordinary (Equity) Shares of the Company aggregating Rs.1,435.89 crores as recommended by the Board of Directors be declared and that the said Dividend be distributed out of the Profits for the year ended on 31st March, 2022.	For	For	No concern with the proposed recommendation
05-Aug-2022	Mahindra & Mahindra Limited	Annual General Meeting	Management	Re-appointment of Dr. Anish Shah (DIN: 02719429) ,who retires by rotation and being eligible for as a Director of the Company.	For	For	No concern with the proposed recommendation
05-Aug-2022	Mahindra & Mahindra Limited	Annual General Meeting	Management	Re-appointment of Mr. Rajesh Jejurikar (DIN: 00046823), who retires by rotation and being eligible for as a Director of the Company.	For	For	No concern with the proposed recommendation
05-Aug-2022	Mahindra & Mahindra Limited	Annual General Meeting	Management	Re-appointment of Messrs B S R & Co. LLP, Chartered Accountants (ICAI Firm Registration No. 101248W/W100022) as the Statutory Auditors of the Company to hold office for a second term of 5 (five) consecutive years.	For	For	No concern with the proposed recommendation
05-Aug-2022	Mahindra & Mahindra Limited	Annual General Meeting	Management	Ratification of remuneration Rs. 9,00,000 (Rupees Nine Lakhs only) Payable to Messrs D. C. Dave & Co., Cost Accountants having Firm Registration Number 000611, appointed by the Board of Directors of the Company as a Cost Auditors to conduct the audit of the cost records of the Company for the Financial Year ending 31st March, 2023.	For	For	No concern with the proposed recommendation
05-Aug-2022	Mahindra & Mahindra Limited	Annual General Meeting	Management	Payment of remuneration to Mr. Anand G. Mahindra (DIN: 00004695) as the Non-Executive Chairman of the Company, for the Financial Year 2022-23, as approved by the Members at the Seventy-Fifth Annual General Meeting held on 6th August, 2021, being an amount exceeding fifty percent of the total annual remuneration payable to all the Non-Executive Directors of the Company for the Financial Year 2022-23.	For	For	No concern with the proposed recommendation
05-Aug-2022	Mahindra & Mahindra Limited	Annual General Meeting	Management	To approve Material Related Party Transactions between the Company and its Subsidiaries/ Associates within the meaning of amended Regulation 23(1) of the Listing Regulations w.e.f. 1st April, 2022 i.e. Rs.1,000 crores (Rupees one thousand crores) being the lower of Rs. 1,000 crores (Rupees one thousand crores) or 10% (ten per cent) of the annual consolidated turnover of the Company as per the last audited financial statements of the Company.	For	For	No concern with the proposed recommendation
05-Aug-2022	Mahindra & Mahindra Limited	Annual General Meeting	Management	To approve Material Related Party Transactions pertaining to a Subsidiary of the Company within the meaning of amended Regulation 23(1) of the Listing Regulations w.e.f. 1st April, 2022 i.e. Rs.1,000 crores (Rupees one thousand crores) being the lower of Rs.1,000 crores (Rupees one thousand crores) or 10% (ten per cent) of the annual consolidated turnover of the Company as per the last audited financial statements of the Company.	For	For	No concern with the proposed recommendation
09-Aug-2022	Hero MotoCorp Limited	Annual General Meeting	Management	To receive, consider and adopt the audited standalone financial statements of the Company for the financial year ended March 31, 2022 together with the reports of the Directors' and Auditors' thereon and the audited consolidated financial statements of the Company for the financial year ended March 31, 2022.	For	For	No concern with the proposed recommendation
09-Aug-2022	Hero MotoCorp Limited	Annual General Meeting	Management	To confirm payment of interim dividend of ₹ 60/- per equity share and to declare a final dividend of ₹ 35/-per equity share for the financial year 2021-22.	For	For	No concern with the proposed recommendation
09-Aug-2022	Hero MotoCorp Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Vikram Sitaram Kasbekar (DIN: 00985182) who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
09-Aug-2022	Hero MotoCorp Limited	Annual General Meeting	Management	Appointment of M/s. Deloitte Haskins & Sells LLP, Chartered Accountants (Firm Registration No. 117366W / W-100018) as Statutory Auditors of the Company for a term of 5 consecutive years commencing from the conclusion of 39th Annual General Meeting until the conclusion of 44th Annual General Meeting of the Company on such remuneration.	For	For	No concern with the proposed recommendation
09-Aug-2022	Hero MotoCorp Limited	Annual General Meeting	Management	Ratification of remuneration of Rs. 3,00,000/- plus applicable taxes and reimbursement of out of pocket expenses payable to M/s R.J Goel & Co., Cost Accountants (Firm Registration No. 000026), appointed by the Board of Directors as Cost Auditors to conduct audit of the cost records of the Company for the financial year 2022-23.	For	For	No concern with the proposed recommendation
09-Aug-2022	Hero MotoCorp Limited	Annual General Meeting	Management	Re-appointment of Mr. Vikram Sitaram Kasbekar (DIN: 00985182) as a Whole-time Director, designated as Executive Director – Operations (Plants), for a term of two (2) years from the expiry of his present term of office, viz. with effect from August 8, 2022 till August 7, 2024 on the existing remuneration.	For	For	No concern with the proposed recommendation
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	To receive, consider and adopt the audited standalone and consolidated financial statements of the Company for the financial year ended March 31, 2022 together with the reports of Auditors thereon and Board of Directors.	For	For	No concern with the proposed recommendation
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	To declare dividend at the rate of ₹3/- per fully paid-up equity share of face value of ₹5/- each and a pro-rata dividend at the rate of ₹0.75/-per partly paid-up equity shares of face value of ₹5/- each (Paid-up value of ₹1.25/- per share) as recommended by the Board of Directors for the financial year ended March 31, 2022.	For	For	No concern with the proposed recommendation
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	To re-appoint Ms. Chua Sock Koong (DIN 00047851) Director of the Company, liable to retire by rotation.	For	For	No concern with the proposed recommendation
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	Re-appointment of Deloitte Haskins & Sells LLP, Chartered Accountants (Firm registration no. 117366W/W-100018) as Statutory Auditors of the Company for a further term of 5 (five) consecutive years, who shall hold office from the conclusion of this 27th Annual General Meeting till the conclusion of the 32nd Annual General Meeting on such remuneration.	For	For	No concern with the proposed recommendation
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	Ratification of remuneration ₹12,50,000/- plus applicable taxes and reimbursement of out of pocket expenses payable to Sanjay Gupta & Associates, Cost Accountants (Firm registration no. 00212) as Cost Auditors of the Company for conducting the cost audit for financial year 2022-23.	For	For	No concern with the proposed recommendation
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	To appoint Mr. Pradeep Kumar Sinha (DIN: 00145126) as an Independent Director, to hold office for a term of five consecutive years from the original date of appointment i.e. May 18, 2022 upto May 17, 2027.	For	For	No concern with the proposed recommendation
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	To appoint Mr. Shyamal Mukherjee (DIN: 03024803), as an Independent Director, to hold office for a term of five consecutive years from the original date of appointment i.e. May 18, 2022 upto May 17, 2027.	For	For	No concern with the proposed recommendation

Form L- 43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	To re-appoint Mr. Gopal Vittal (DIN: 02291778) as Managing Director (designated as Managing Director & CEO) for a further period of five (5) years with effect from February 1, 2023, liable to retire by rotation.	For	For	No concern with the proposed recommendation
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	To approve payment of remuneration to Mr. Gopal Vittal (DIN: 02291778) as Managing Director & CEO of the Company during period commencing from April 1, 2022 and ending on March 31, 2023.	For	For	No concern with the proposed recommendation
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	To approve increase the total quantum of options to be granted under the Scheme by 27,460,136 options, which represent 0.47% of the paid-up equity capital of the Company as at March 31, 2022.	For	Against	Rationale for recommendation linked to resolution on ESOP scheme 2005 (The scheme enables the company to grant up to 24.7 mn stock options at a significant discount to current market price and even at the face value of Re. 5.0 per share.). Although the company stated that the vesting shall be a mix of performance and time-based vesting, there is no clarity on the breakup of time based and performance-based grants. Stock options are 'pay at risk' options that employees accept at the time of grant. The inherent assumption of the scheme is that there could be possible downside risks – and that employees may not be rewarded in case of adverse stock price movements.
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	To authorise Bharti Airtel Employee Welfare Trust to acquire equity shares of the Company by way of secondary market acquisition for administration of Employees Stock Option Scheme, 2005 and which shall not exceed, 46,194,688 equity shares of ₹5/- each, which represent 0.79% of the paid-up equity capital of the Company as at March 31, 2022.	For	Against	Rationale for recommendation linked to resolution on ESOP scheme 2005 (The scheme enables the company to grant up to 24.7 mn stock options at a significant discount to current market price and even at the face value of Re. 5.0 per share.). Although the company stated that the vesting shall be a mix of performance and time-based vesting, there is no clarity on the breakup of time based and performance-based grants. Stock options are 'pay at risk' options that employees accept at the time of grant. The inherent assumption of the scheme is that there could be possible downside risks – and that employees may not be rewarded in case of adverse stock price movements.
12-Aug-2022	Bharti Airtel Limited	Annual General Meeting	Management	To approve provisioning of money by the Company for purchase of its shares by the Bharti Airtel Employee Welfare Trust for the benefit of employees under Employees Stock Option Scheme - 2005.	For	Against	Rationale for recommendation linked to resolution on ESOP scheme 2005 (The scheme enables the company to grant up to 24.7 mn stock options at a significant discount to current market price and even at the face value of Re. 5.0 per share.). Although the company stated that the vesting shall be a mix of performance and time-based vesting, there is no clarity on the breakup of time based and performance-based grants. Stock options are 'pay at risk' options that employees accept at the time of grant. The inherent assumption of the scheme is that there could be possible downside risks – and that employees may not be rewarded in case of adverse stock price movements.
12-Aug-2022	UPL Limited	Annual General Meeting	Management	To consider and adopt the audited standalone financial statement of the Company for the financial year ended March 31, 2022 and the reports of the Board of Directors and Auditor thereon.	For	For	No concern with the proposed recommendation
12-Aug-2022	UPL Limited	Annual General Meeting	Management	To consider and adopt the audited consolidated financial statement of the Company for the financial year ended March 31, 2022 and the report of the Auditor thereon.	For	For	No concern with the proposed recommendation
12-Aug-2022	UPL Limited	Annual General Meeting	Management	To declare dividend at the rate of ₹ 10 /- per equity share of face value of ₹ 2/- each fully paid-up for the financial year ended March 31, 2022.	For	For	No concern with the proposed recommendation
12-Aug-2022	UPL Limited	Annual General Meeting	Management	To re-appoint Mr. Vikram Shroff (DIN: 00191472) as Director of the Company, liable to retire by rotation.	For	For	No concern with the proposed recommendation
12-Aug-2022	UPL Limited	Annual General Meeting	Management	To re-appoint B S R & Co. LLP, Chartered Accountants, Mumbai (ICAI Firm Registration No. 101248W/100022) as Statutory Auditor of the Company for the second term of 5 years commencing from the financial year 2022-23, to hold office from the conclusion of this 38th Annual General Meeting until the conclusion of the 43rd Annual General Meeting of the Company, at a remuneration.	For	For	No concern with the proposed recommendation
12-Aug-2022	UPL Limited	Annual General Meeting	Management	Ratification of remuneration of ₹ 10,75,000/- plus payment of taxes, as applicable and reimbursement of out-of-pocket expenses payable to M/s. RA & Co., Cost Accountants (Firm Registration No. 000242), appointed by the Board of Directors as Cost Auditor to conduct the audit of the cost records of the Company for the financial year ending March 31, 2023.	For	For	No concern with the proposed recommendation
16-Aug-2022	HCL Technologies Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements (including Audited Consolidated Financial Statements) of the Company for the financial year ended March 31, 2022, together with the Reports of the Board of Directors and of the Auditors thereon.	For	For	No concern with the proposed recommendation
16-Aug-2022	HCL Technologies Limited	Annual General Meeting	Management	Re-appointment of Ms. Roshni Nadar Malhotra (DIN - 02346621) as a Director liable to retire by rotation.	For	For	No concern with the proposed recommendation
17-Aug-2022	UltraTech Cement Limited	Annual General Meeting	Management	To receive, consider and adopt: - the Audited Financial Statements for the financial year ended 31st March, 2022 and the Reports of Directors' and Auditors' thereon. - the Audited Consolidated Financial Statements for the financial year ended 31st March, 2022 and the Reports of Auditors' thereon.	For	For	No concern with the proposed recommendation
17-Aug-2022	UltraTech Cement Limited	Annual General Meeting	Management	To declare dividend on equity shares for the year ended 31st March, 2022.	For	For	No concern with the proposed recommendation
17-Aug-2022	UltraTech Cement Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Krishna Kishore Maheshwari (DIN: 00017572), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
17-Aug-2022	UltraTech Cement Limited	Annual General Meeting	Management	Ratification of remuneration of ₹ 17,50,000/- and ₹ 19,00,000/- payable to M/s. D. C. Dave & Co., Cost Accountants, Mumbai and M/s. N. D. Birla & Co., Cost Accountants, Ahmedabad for the financial year ending 31st March, 2023.	For	For	No concern with the proposed recommendation
17-Aug-2022	UltraTech Cement Limited	Annual General Meeting	Management	Re-appointment of Mr. Kalish Chandra Jhanwar (DIN: 01743559), Managing Director of the Company including remuneration.	For	For	No concern with the proposed recommendation
17-Aug-2022	UltraTech Cement Limited	Annual General Meeting	Management	Adoption of the UltraTech Cement Limited Employee Stock Option and Performance Stock Unit Scheme 2022.	For	For	No concern with the proposed recommendation
17-Aug-2022	UltraTech Cement Limited	Annual General Meeting	Management	To approve extending the benefits of the UltraTech Cement Limited Employee Stock Option and Performance Stock Unit Scheme 2022 to the employees of the group companies, including holding, subsidiary and associate companies of the Company.	For	For	No concern with the proposed recommendation
17-Aug-2022	UltraTech Cement Limited	Annual General Meeting	Management	To approve (a) the use of the trust route for the implementation of the UltraTech Cement Limited Employee Stock Option and Performance Stock Unit Scheme 2022 ("the Scheme 2022"); (b) secondary acquisition of the equity shares of the Company by the trust; and (c) grant of financial assistance / provision of money by the Company to the trust to fund the acquisition of its equity shares, in terms of the Scheme 2022.	For	For	No concern with the proposed recommendation
19-Aug-2022	IndusInd Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements (Standalone and Consolidated) for the Financial Year ended March 31, 2022, together with the Reports of the Board of Directors and Auditors' thereon.	For	For	No concern with the proposed recommendation

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
19-Aug-2022	IndusInd Bank Limited	Annual General Meeting	Management	To declare Dividend at the rate of ₹ 8.50 per Equity Share of ₹ 10 each of the Bank, fully paid, for the Financial Year ended March 31, 2022.	For	For	No concern with the proposed recommendation
19-Aug-2022	IndusInd Bank Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Sumant Kalhpalia (DIN: 01054434), who retires by rotation and, being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
19-Aug-2022	IndusInd Bank Limited	Annual General Meeting	Management	To appoint M/s. MSKA & Associates (Firm Registration Number 105047W) and M/s. M P Chitale & Co. (Firm Registration Number 101851W) as one of the Joint Statutory Auditors of the Bank for a period of 3 (Three) years, and to hold office as such from the conclusion of the Twenty-Eighth Annual General Meeting of the Bank until the conclusion of the Thirty-First Annual General Meeting of the Bank, subject to the approval of the RBI to be obtained by the Bank, on an annual basis from the conclusion of the Twenty-Ninth Annual General Meeting of the Bank and at a remuneration of ₹ 2,70,00,000 per annum.	For	For	No concern with the proposed recommendation
19-Aug-2022	IndusInd Bank Limited	Annual General Meeting	Management	To re-appoint Mrs. Akila Krishnakumar (DIN: 06629992) as the Non-Executive Independent Director of the Bank for her second term of four consecutive years, from August 10, 2022 up to August 9, 2026 shall not be liable to retire by rotation and including remuneration.	For	For	No concern with the proposed recommendation
19-Aug-2022	IndusInd Bank Limited	Annual General Meeting	Management	To appoint Mr. Pradeep Uthas (DIN : 02207112) as the Non-Executive Independent Director of the Bank for a period of four consecutive years, with effect from June 9, 2022 up to June 8, 2026 shall not be liable to retire by rotation and including remuneration.	For	For	No concern with the proposed recommendation
19-Aug-2022	IndusInd Bank Limited	Annual General Meeting	Management	Issue of Long-Term Bonds / Debt Securities on Private Placement Basis for an aggregate amount not exceeding ₹ 20,000 crores.	For	For	No concern with the proposed recommendation
19-Aug-2022	Mahindra & Mahindra Limited	Court Convened Meeting	Management	Scheme of Merger by Absorption of Mahindra Electric Mobility Limited ('MEML' or 'Transferor Company') with Mahindra & Mahindra Limited ('M&M' or 'Transferee Company' or 'the Company') and their respective shareholders ('Scheme')	For	For	No concern with the proposed recommendation
22-Aug-2022	Divis Laboratories Ltd	Annual General Meeting	Management	To consider and adopt the audited financial statements of the Company, both standalone and consolidated, for the financial year ended March 31, 2022, and the reports of the Board of Directors' and Auditors' thereon.	For	For	No concern with the proposed recommendation
22-Aug-2022	Divis Laboratories Ltd	Annual General Meeting	Management	To declare dividend of ₹ 30/- per Equity Share of face value ₹ 2/- each (i.e. @ 1500%) for the financial year ended March 31, 2022.	For	For	No concern with the proposed recommendation
22-Aug-2022	Divis Laboratories Ltd	Annual General Meeting	Management	To appoint a director in place of Mr. N.V. Ramana (DIN: 00005031), who retires by rotation at this Annual General Meeting and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
22-Aug-2022	Divis Laboratories Ltd	Annual General Meeting	Management	To appoint a director in place of Mr. Madhusudana Rao Divi (DIN: 00063843), who retires by rotation at this Annual General Meeting and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
22-Aug-2022	Divis Laboratories Ltd	Annual General Meeting	Management	Re-appointment of M/s. Price Waterhouse Chartered Accountants LLP (Firm Registration No.012754N/N500016) as Statutory Auditors of the Company, for the second term of five years, who shall hold office from the conclusion of this 32nd Annual General Meeting ("AGM") till the conclusion of the 37th AGM of the Company to be held in the year 2027, at such remuneration.	For	For	No concern with the proposed recommendation
23-Aug-2022	Hindalco Industries Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements (including Audited Consolidated Financial Statements) for the year ended 31st March, 2022 together with Report of the Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
23-Aug-2022	Hindalco Industries Limited	Annual General Meeting	Management	To declare Dividend on equity shares of the Company for the year ended 31st March, 2022.	For	For	No concern with the proposed recommendation
23-Aug-2022	Hindalco Industries Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Kumar Mangalam Birla (DIN: 00012813) who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
23-Aug-2022	Hindalco Industries Limited	Annual General Meeting	Management	Appointment M/s. Price Waterhouse & Co. Chartered Accountants LLP (Registration No. 304026E/E-300009) as Statutory Auditors of the Company to hold office from the conclusion of this Annual General Meeting (AGM) till the conclusion of the Sixty Eighth AGM to be held in the year 2027 at such remuneration.	For	For	No concern with the proposed recommendation
23-Aug-2022	Hindalco Industries Limited	Annual General Meeting	Management	Ratification of remuneration of ₹18,00,000/- per annum plus taxes, as applicable and reimbursement of actual travel and out-of-pocket expenses payable to M/s R. Nanabhoy & Co., Cost Accountants, appointed by the Board of Directors of the Company to conduct audit of the cost records of the Company for the financial year ending 31st March, 2023.	For	For	No concern with the proposed recommendation
23-Aug-2022	Hindalco Industries Limited	Annual General Meeting	Management	Adoption of the Hindalco Industries Limited Employee Stock Option and Performance Stock Unit Scheme 2022 exercisable into not more than 1,68,28,000 equity shares of ₹1/- each ("Equity Shares") being 0.75% of the paid-up equity share capital of the Company as on 31st March, 2022.	For	For	No concern with the proposed recommendation
23-Aug-2022	Hindalco Industries Limited	Annual General Meeting	Management	To approve extending the benefits of the Hindalco Industries Limited Employee Stock Option and Performance Stock Unit Scheme 2022 to the employees of the group companies including holding, Subsidiary and associate Companies of Company.	For	For	No concern with the proposed recommendation
23-Aug-2022	Hindalco Industries Limited	Annual General Meeting	Management	To approve (a) the use of the trust route for the implementation of the Hindalco Industries Limited Employee Stock Option and Performance Stock Unit Scheme 2022 (Scheme 2022); (b) secondary acquisition of the equity shares of the Company by the trust; and (c) grant of financial assistance / provision of money by the Company to the trust to fund the acquisition of its equity shares, in terms of the Scheme 2022.	For	For	No concern with the proposed recommendation
23-Aug-2022	Hindalco Industries Limited	Annual General Meeting	Management	Re-appointment of Mr. Praveen Kumar Maheshwari (DIN No.00174361) as the Whole-time Director of the Company with effect from 28th May, 2022, not liable to retire by rotation on the terms as to remuneration.	For	For	No concern with the proposed recommendation
23-Aug-2022	Reliance Capital Limited	Voting Agenda Items 16th CoC meeting dated Aug 23, 2022	Management	To consider and approve the additional fee for BDO India LLP amounting to INR 12,50,000/- and include it as part of insolvency resolution process cost	For	For	No concern with the proposed recommendation
24-Aug-2022	Eicher Motors Limited	Annual General Meeting	Management	To receive, consider and adopt the Audited Financial Statements (including Audited Consolidated Financial Statements) of the Company for the financial year ended March 31, 2022 together with the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
24-Aug-2022	Eicher Motors Limited	Annual General Meeting	Management	To declare a dividend of Rs. 21/- per equity share of face value of Re. 1/- each for the financial year ended March 31, 2022.	For	For	No concern with the proposed recommendation
24-Aug-2022	Eicher Motors Limited	Annual General Meeting	Management	To appoint Mr. Vinod Kumar Aggarwal (DIN: 00038906), who retires by rotation and being eligible, offers himself for re-appointment as a Director.	For	For	No concern with the proposed recommendation

Form L-43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
24-Aug-2022	Eicher Motors Limited	Annual General Meeting	Management	To re-appoint M/s. S.R. Batliboi & Co., LLP, Chartered Accountants (Firm Registration Number: FRN 301003E/ E300005) as the Statutory Auditors of the Company for second term of five consecutive years, who shall hold office from the conclusion of this 40th Annual General Meeting (AGM) till the conclusion of the 45th AGM of the Company to be held in the year 2027, on such remuneration plus applicable tax, out-of-pocket expenses, travelling and living expenses.	For	For	No concern with the proposed recommendation
24-Aug-2022	Eicher Motors Limited	Annual General Meeting	Management	Ratification of remuneration of Rs. 4,50,000/- plus taxes as applicable and reimbursement of out of pocket expenses payable to M/s. Jyothi Satish & Co., Cost Accountants (Firm registration No. 101197), appointed by the Board of Directors as Cost Auditor to conduct audit of the relevant cost records of the Company for the financial year 2021-22.	For	For	No concern with the proposed recommendation
24-Aug-2022	Eicher Motors Limited	Annual General Meeting	Management	To consider and approve the appointment of Mr. Govindarajan Balakrishnan (DIN: 03093035) as Whole-time Director of the Company, for a period of 5 (five) years with effect from August 18, 2021 liable to retire by rotation.	For	For	No concern with the proposed recommendation
24-Aug-2022	Eicher Motors Limited	Annual General Meeting	Management	To consider and approve Payment of Remuneration to Mr. Govindarajan Balakrishnan as Whole Time Director of the Company, for a term of 5 (five) years with effect from August 18, 2021.	For	For	No concern with the proposed recommendation
24-Aug-2022	Eicher Motors Limited	Annual General Meeting	Management	To consider and approve Material Related Party Transactions between VE Commercial Vehicles Limited (VECV), Subsidiary of the Company, and Volvo Group India Private Limited, a related party of VECV for an amount aggregating upto Rs. 2,100 Crore during the financial year 2022-23.	For	For	No concern with the proposed recommendation
25-Aug-2022	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	To receive, consider and adopt:- (i) the audited standalone financial statements of the Company for the year ended March 31, 2022, together with the Reports of the Board of Directors and Auditors thereon (ii) the audited consolidated financial statements of the Company for the year ended March 31, 2022, together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
25-Aug-2022	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	To declare a Dividend of ₹11.75 per equity share (235%) of face value of ₹5/- each fully paid-up of the Company for the financial year ended March 31, 2022.	For	For	No concern with the proposed recommendation
25-Aug-2022	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	To appoint a Director in place of Smt. Shobana Kamineni (DIN 00003836), who retires by rotation and, being eligible, offers herself for re-appointment.	For	For	No concern with the proposed recommendation
25-Aug-2022	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	Re-appointment of M/s. Deloitte Haskins & Sells LLP, Chartered Accountants having registration No. 117366W/ W100018 as the Statutory Auditors of the Company for the second and final term of five consecutive years, who shall hold office from the conclusion of this 41st Annual General Meeting till the conclusion of the 46th Annual General Meeting to be held in the year 2027 on such remuneration.	For	For	No concern with the proposed recommendation
25-Aug-2022	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	Appointment of Dr. Prathap C Reddy as whole time director designated as Executive Chairman of the Company for a period of two (2) years from 25th June 2022 to 24th June 2024.	For	Against	Dr. Prathap C Reddy, 90, is the founder of the company and has been the Chairperson since inception. He received Rs. 167.0 mn as remuneration for FY22; his estimated compensation for FY23 is Rs. 194.1 mn and his maximum remuneration is capped at Rs. 217.4 mn. We raise concerns at the high quantum of payout to family executives. The overall family remuneration stood at Rs.405.7 mn in FY22 and can go upto Rs.552.6 mn, based on the maximum payout. We are unable to support the resolution as the overall pay to promoters is high for the size of the company.
25-Aug-2022	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	Consent for payment of remuneration to Dr. Prathap C Reddy (DIN: 00003654), Executive Chairman, Smt. Preetha Reddy (DIN: 00001871), Executive Vice Chairperson, Smt. Suneeta Reddy (DIN: 00001873), Managing Director, Smt. Sangita Reddy (DIN: 00006285), Joint Managing Director and Smt. Shobana Kamineni, (DIN: 00003836) Executive Vice-Chairperson as prescribed by SEBI Listing Regulations.	For	Against	The company seeks shareholder for payment of remuneration to promoter executive directors in excess of 5% of net profits, till the end of their respective terms (threshold under SEBI's LODR). The approval will allow the promoter family to be paid remuneration in the range of 5% to 10% of profits; the aggregate remuneration to promoters is capped at Rs. 552.6 mn. In four of the past five years, except for FY22, annual aggregate family remuneration has been nearly or in excess of 5% of consolidated PBT (ranged between 4.7% to 14.5%). We do not support the resolution as the overall pay to promoters is high for the size of the company.
25-Aug-2022	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	Offer or Invitation to subscribe to Non-Convertible Debentures in one or more series/ tranches, aggregating upto ₹5,000 million on a private placement basis.	For	For	No concern with the proposed recommendation
25-Aug-2022	Apollo Hospitals Enterprise Limited	Annual General Meeting	Management	Ratification of remuneration of ₹1.50 million, plus statutory levies as applicable, excluding out of pocket expenses payable to M/s. A.N. Raman & Associates, Cost Accountants, Chennai (FRN 102111), the Cost Auditor appointed by the Board of Directors of the Company to conduct the audit of the cost records of the Company for the financial year ending March 31, 2023.	For	For	No concern with the proposed recommendation
26-Aug-2022	Cipla Limited	Annual General Meeting	Management	To receive, consider and adopt the audited standalone financial statements of the Company for the financial year ended 31st March, 2022 and the reports of the Board of Directors and Auditor thereon.	For	For	No concern with the proposed recommendation
26-Aug-2022	Cipla Limited	Annual General Meeting	Management	To receive, consider and adopt the audited consolidated financial statements of the Company for the financial year ended 31st March, 2022 and the report of the Auditor thereon.	For	For	No concern with the proposed recommendation
26-Aug-2022	Cipla Limited	Annual General Meeting	Management	To declare final dividend of ₹ 5/- per equity share of the Company, as recommended by the Board of Directors for the financial year ended 31st March, 2022.	For	For	No concern with the proposed recommendation
26-Aug-2022	Cipla Limited	Annual General Meeting	Management	To re-appoint Ms Samina Hamied (DIN: 00027923) Executive Vice-Chairperson and director of the Company liable to retire by rotation.	For	For	No concern with the proposed recommendation
26-Aug-2022	Cipla Limited	Annual General Meeting	Management	Appointment of Dr Mandar Purushottam Vaijya (DIN: 09690327) as an Independent Director of the Company for a period of five (5) years i.e. from 29th July, 2022 to 28th July, 2027.	For	For	No concern with the proposed recommendation
26-Aug-2022	Cipla Limited	Annual General Meeting	Management	Grant of employee stock appreciation rights (ESAR) / share-based benefits (herein after referred to as Share Incentives) to Mr Umang Vohra (DIN: 02296740) Managing Director and Global Chief Executive Officer, over and above his current approved compensation, from one or more subsidiary of the Company.	For	For	No concern with the proposed recommendation
26-Aug-2022	Cipla Limited	Annual General Meeting	Management	Ratification of remuneration of ₹ 11,75,000/- plus applicable taxes and reimbursement of out-of-pocket expenses payable to Mr D.H. Zaveri, the cost auditor of the company for the financial year ending 31st March, 2023.	For	For	No concern with the proposed recommendation

Form L- 43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the standalone audited financial statement of the Bank for the financial year ended 31st March, 2022 together with the Reports of the Board of Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the consolidated audited financial statement of the Bank for the financial year ended 31st March, 2022 together with the Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To confirm payment of interim dividend at the rate of ₹0.405 per 8.10% Non-Convertible Perpetual Non-Cumulative Preference Share of ₹5/- as declared by the Board of Directors for the financial year 2021-22 and paid to those Members whose names appeared in the list of Beneficial Owners as on the Record Date i.e. 18th March, 2022.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To declare dividend at the rate of ₹1.10 per equity share of ₹5/-, as recommended by the Board of Directors, be and is hereby declared for the financial year 2021-22 and that the same be paid out of the profits of the Bank for the financial year ended 31st March, 2022 to all those Members whose names appeared in the Register of Members / list of Beneficial Owners, as on the Record Date fixed for this purpose i.e. 12th August, 2022.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To re-appoint Mr. KVS Manian (DIN: 00031794) Director, who retires by rotation at this meeting and being eligible for re-election in accordance with the provisions of Section 152 of the Companies Act, 2013, be and is hereby appointed as a Director of the Bank.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To re-appoint Mr. Gaurang Shah (DIN: 00016660) Director, who retires by rotation at this meeting and being eligible for re-election in accordance with the provisions of Section 152 of the Companies Act, 2013 as a Director of the Bank, to hold office up to the end of his current term as Whole-time Director of the Bank i.e. 31st October, 2022.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Payment of additional fees / remuneration of ₹2,000,000 for general increase in efforts, for the financial year 2021-22, to be allocated by the Bank between Walker Chandick & Co LLP, Chartered Accountants and Price Waterhouse LLP, Chartered Accountants.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Appointment of KKC & Associates LLP, Chartered Accountants (Firm Registration Number: 105146W / W100621) as one of the Joint Statutory Auditors of the Bank, to hold office from the conclusion of the Thirty-Seventh Annual General Meeting until the conclusion of the Fortieth Annual General Meeting of the Bank, for the purpose of the audit of the Bank's standalone and consolidated financial statements for the financial years 2022-23 to 2024-25, subject to the approval of RBI, every year, from financial year 2023-24 onwards.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Payment of an overall audit fee not exceeding ₹35,000,000, to Price Waterhouse LLP, Chartered Accountants (Firm Registration Number 301112E / E300264) and KKC & Associates LLP, Chartered Accountants (Firm Registration Number: 105146W / W100621), the Joint Statutory Auditors of the Bank, for the financial year 2022-23.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Re-appointment of Mr. KVS Manian (DIN: 00031794) as Whole-time Director of the Bank and payment of remuneration for a period of three years, with effect from 1st November, 2022.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	Appointment of Ms. Shanti Ekambaram (DIN: 00004889) as a Director and Whole-time Director of the Bank and payment of remuneration for a period of three years, with effect from 1st November, 2022.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To approve Material Related Party Transaction with Infina Finance Private Limited from Thirty-Seventh Annual General Meeting to Thirty-Eighth Annual General Meeting upto aggregate value, may exceed ₹1,000 crore in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
27-Aug-2022	Kotak Mahindra Bank Limited	Annual General Meeting	Management	To approve Material Related Party Transaction with Mr. Uday Kotak (DIN: 00007467), Managing Director & CEO and a Key Managerial Personnel of the Bank from Thirty-Seventh Annual General Meeting to Thirty-Eighth Annual General Meeting upto aggregate value, may exceed ₹1,000 crore in the ordinary course of business of the Bank.	For	For	No concern with the proposed recommendation
29-Aug-2022	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	To receive, consider and adopt (a) The Audited Financial Statements of the Company for the Financial Year ended March 31, 2022 (b) The Audited Consolidated Financial Statements of the Company for the Financial Year ended March 31, 2022; and the Reports of the Board of Directors, the Statutory Auditors and the Comments of the Comptroller & Auditor General of India thereon.	For	For	No concern with the proposed recommendation
29-Aug-2022	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	To confirm the payment of First and Second Interim Dividend and to declare Final Dividend on Equity Shares for the Financial Year ended March 31, 2022.	For	For	No concern with the proposed recommendation
29-Aug-2022	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	To appoint a Director in place of Shri Vetsa Ramakrishna Gupta, Director (DIN: 08188547), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
29-Aug-2022	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	To authorize the Board of Directors of the Company to fix the remuneration of the Joint Statutory Auditors of the Company for the Financial Year 2022-23.	For	For	No concern with the proposed recommendation
29-Aug-2022	Bharat Petroleum Corporation Limited	Annual General Meeting	Management	Ratification for the remuneration payable to M/s. R. Nanabhoy & Co., Mumbai (Lead Auditor) ₹ 2,75,000/- and M/s. G.R. Kulkarni & Associates, Mumbai ₹ 1,25,000/- plus applicable taxes and reimbursement of out-of-pocket expenses of the Cost Auditors for the Financial Year 2022-23.	For	For	No concern with the proposed recommendation
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	To receive, consider and adopt: - The Audited Financial Statements of the Company for the financial year ended 31st March 2022, and the Reports of the Board and the Auditors thereon; and - The Audited Consolidated Financial Statements of the Company for the financial year ended 31st March 2022, and Report of the Auditors thereon.	For	For	No concern with the proposed recommendation
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	To declare dividend on the equity shares of the Company for the financial year ended 31st March 2022.	For	For	No concern with the proposed recommendation
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	To appoint a Director in place of Smt. Rajashree Biria (DIN: 00022995), who retires by rotation and being eligible, offers herself for re-appointment.	For	Against	She has attended 67% (4 out of 6) board meeting in FY22 and 65% (13 out of 20) during last three years. Board members are expected to attend all board meetings ideally. Given her poor attendance, we do not support her appointment on the board.
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Shailendra K. Jain (DIN: 00022454), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	To appoint M/s. KKC & Associates LLP, Chartered Accountants, (Registration No. 105146W/W100621) as one of the Joint Statutory Auditor of the Company, to hold office for a first term of five consecutive years from the conclusion of this Annual General Meeting until the conclusion of the 80th AGM of the Company, at a remuneration of Rs.1.65 Crore plus tax as applicable and reimbursement of out-of-pocket expenses in connection with the audit of the Company for the financial year 2022-23.	For	For	No concern with the proposed recommendation

Form L-43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	Ratification of the Remuneration of Rs.18.50 payable to M/s. D. C. Dave & Co., Cost Accountants, Mumbai (Registration No. 000411) lakh plus applicable taxes and reimbursement of out of pocket expenses as a cost auditor of the Company for the financial year ending 31st March 2023.	For	For	No concern with the proposed recommendation
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	Continuation of Smt. Rajashree Birla (DIN: 00022995) who has attained the age of seventy five (75) years, as a Non-executive Director of the Company, liable to retire by rotation.	For	Against	She has attended 67% (4 out of 6) board meeting in FY22 and 65% (13 out of 20) during last three years. Board members are expected to attend all board meetings ideally. Given her poor attendance, we do not support her appointment on the board.
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	Continuation of Mr. Shailendra K. Jain (DIN: 00022454) who has attained the age of seventy five (75) years, as a Non-executive Director of the Company, liable to retire by rotation.	For	For	No concern with the proposed recommendation
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	Appointment of Ms. Anita Ramchandran as an Independent Director of the Company, not liable to retire by rotation, and to hold office for a second term of five consecutive years with effect from 14th August 2023 up to 13th August 2028.	For	Against	Ms. Anita ramchandran serves on the boards of five listed companies (including Grasim industries limited). Given their full-time responsibilities, regulations allow whole-time directors of listed companies to be independent directors in a maximum of three listed companies. Her responsibilities as the founder and Director of Cerebus Consultants Private Limited are equivalent to a whole-time directorship. Therefore, her high number of directorships on listed companies are not in keeping with the spirit of the regulation.
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	Adoption of the Grasim Industries Limited Employee Stock Option and Performance Stock Unit Scheme 2022 exercisable into not more than 44,14,000 equity shares of ₹2/- each being 0.67% of the paid-up equity share capital of the Company as on 31st March 2022.	For	For	No concern with the proposed recommendation
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	To approve extending the benefits of the Grasim Industries Limited Employee Stock Option and Performance Stock Unit Scheme 2022 to the employees of the group companies, including subsidiary and associate companies of the Company.	For	For	No concern with the proposed recommendation
29-Aug-2022	Grasim Industries Limited	Annual General Meeting	Management	To approve (a) the use of the trust route for the implementation of the Grasim Industries Limited Employee Stock Option and Performance Stock Unit Scheme 2022 (the Scheme 2022); (b) secondary acquisition of the equity shares of the Company by the trust; and (c) grant of financial assistance/provision of money by the Company to the trust to fund the acquisition of its equity shares, in terms of the Scheme 2022.	For	For	No concern with the proposed recommendation
29-Aug-2022	HDFC Life Insurance Company Ltd	Postal Ballot	Management	To create, offer, issue and allot 3,57,94,824 fully paid-up equity shares of face value of Rs. 10/- one or more tranches, at a price of Rs. 558.74 per equity share ("Equity Shares") aggregating to an amount not exceeding Rs. 2,000 crore.	For	For	No concern with the proposed recommendation
29-Aug-2022	HDFC Life Insurance Company Ltd	Postal Ballot	Management	Approval of Employee Stock Option Scheme – 2022 shall not exceed in aggregate ₹1,50,29,359	For	For	No concern with the proposed recommendation
29-Aug-2022	HDFC Life Insurance Company Ltd	Postal Ballot	Management	Approval of Employee Stock Option Scheme – 2022 for the eligible employees of the Subsidiary Company(ies) of the Company.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To receive, consider and adopt the audited Standalone as well as Consolidated Financial Statements of the Company for the year ended March 31, 2022 together with Reports of the Directors, the Auditors thereon and the comments of the Comptroller and Auditor General of India and the reply of the management thereto.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To declare the Final Dividend of ₹3.25 per equity share for the financial year 2021-22.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Rajesh Kumar Srivastava (DIN: 08513272), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To authorise the Board of Directors for fixing the remuneration of Statutory Auditors as appointed by the Comptroller and Auditor General of India for the financial year 2022-23.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To consider and approve appointment of Mr. Gudey Srinivas Nominee Director of the Company w.e.f 14 June 2022 liable to retire by rotation.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	Ratification of remuneration of payable to ₹6 lakh per Cost Audit Firm, plus applicable GST and out of pocket expenses, to conduct audit of cost records of all the units of the Company to six firms of Cost Auditors as appointed by the Board of Directors for the financial year ended 2021-22.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To consider and approve Material Related Party Transaction(s) for FY'24 with Oil and Natural Gas Corporation Employees Contributory Provident Fund (OECPF) Trust of ₹13,500 million for the Financial Year 2023-24.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To consider and approve Material Related Party Transaction(s) for FY'24 with Petronet LNG Limited (PLL) for ₹23,100 Million for the Financial Year 2023-24 in the ordinary course of business and at arm's length basis.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To consider and approve Material Related Party Transaction(s) for FY'24 with ONGC Tripura Power Company Limited (OTPC) for ₹10,698 Million for the Financial Year 2023-24 in the ordinary course of business and at arm's length basis.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To consider and approve Material Related Party Transaction(s) for FY'23 with ONGC Petro additions Limited (OPaL) for ₹106,808 Million for the Financial Year 2022-23 in the ordinary course of business and at arm's length basis.	For	For	No concern with the proposed recommendation
29-Aug-2022	Oil & Natural Gas Corporation Limited	Annual General Meeting	Management	To consider and approve Material Related Party Transaction(s) for FY'24 with ONGC Petro additions Limited (OPaL) for ₹101,400 Million for the Financial Year 2023-24 in the ordinary course of business and at arm's length basis.	For	For	No concern with the proposed recommendation
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	To consider and adopt the audited financial statement of the Company for the financial year ended March 31, 2022 and the reports of the Board of Directors and Auditors thereon; and	For	For	No concern with the proposed recommendation
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	The audited consolidated financial statement of the Company for the financial year ended March 31, 2022 and the report of Auditors thereon.	For	For	No concern with the proposed recommendation
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	To declare a dividend at the rate of ₹8/- per equity share of ₹10/- each fully paid-up of the Company for the financial year ended March 31, 2022.	For	For	No concern with the proposed recommendation
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	To appoint Smt. Nita M. Ambani (DIN: 03115198), who retires by rotation at this meeting, as a Director of the Company.	For	For	No concern with the proposed recommendation
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	To appoint Shri Hiral R. Meswani (DIN: 00001623), who retires by rotation at this meeting as a Director of the Company.	For	For	No concern with the proposed recommendation

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	To appoint Deloitte Haskins & Sells LLP, Chartered Accountants (Registration No. 117346W / W - 100018) and Chaturvedi & Shah LLP, Chartered Accountants (Registration No. 101720W / W100355) as Auditors of the Company for a term of 5 (five) consecutive years from the conclusion of this Annual General Meeting till the conclusion of the sixth Annual General Meeting from this Annual General Meeting, at such remuneration as shall be fixed by the Board of Directors of the Company.	For	Against	Deloitte Haskins & Sells LLP including its network firms & Chaturvedi & Shah LLP and including its network firms were auditors till FY17 AGM. Further subsidiaries of RIL classified as material subsidiaries for FY22 has been audited by either Deloitte Haskins & Sells LLP or Chaturvedi & Shah LLP in between FY18 to FY22. We raise concern over auditor independence and objectivity because of the continued association of the proposed statutory auditors with Reliance Industries Group.
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	To re-appoint Shri Nikhil R. Meswani (DIN: 00001620) as a Whole-time Director, designated as an Executive Director, for a period of 5 (five) years from the expiry of his present term of office, i.e., with effect from July 1, 2023 and including remuneration.	For	For	No concern with the proposed recommendation
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	To appoint Shri K. V. Chowdary (DIN: 08485334) as an Independent Director of the Company, not liable to retire by rotation and to hold office for a term up to July 20, 2027.	For	For	No concern with the proposed recommendation
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	Ratification of remuneration of to be paid to the Cost Auditors appointed by the Board of Directors, to conduct the audit of cost records of the Company for the financial year ending March 31, 2023	For	For	No concern with the proposed recommendation
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	To alter the Objects Clause of the Memorandum of Association of the Company.	For	For	No concern with the proposed recommendation
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	To approve Material Related Party Transactions between the Company and joint ventures set-up with third parties namely, BP plc and Sibur Holding PJSC for supply of goods and services.	For	For	No concern with the proposed recommendation
29-Aug-2022	Reliance Industries Limited	Annual General Meeting	Management	To approve Material Related Party Transactions of Subsidiaries of the Company.	For	For	No concern with the proposed recommendation
29-Aug-2022	SBI Life Insurance Company Limited	Annual General Meeting	Management	To receive, consider and adopt the Revenue Account, Profit and Loss Account and Receipts and Payments Account for the financial year ended March 31, 2022 and the Balance Sheet of the Company as at March 31, 2022, together with the reports of the Board of Directors of the Company (Board), report of the Statutory Auditors of the Company (Auditors) and comments of the Comptroller and Auditor General of India (CAG).	For	For	No concern with the proposed recommendation
29-Aug-2022	SBI Life Insurance Company Limited	Annual General Meeting	Management	To confirm the interim dividend declared by the Board of Directors on March 22, 2022 as final dividend for the year ended March 31, 2022.	For	For	No concern with the proposed recommendation
29-Aug-2022	SBI Life Insurance Company Limited	Annual General Meeting	Management	Remuneration payable to the Statutory Auditors of the Company (Auditors) is fixed at ₹58 lakhs (₹29 lakhs each) for annual audit and ₹15 lakhs (₹7.50 lakhs each) for half year audit and ₹20 lakhs (₹5 Lakhs each for June and December quarter) for limited review plus applicable taxes and reimbursement of out of pocket expenses incurred by the Auditors, if any, in connection with the audit of the accounts of the Company for the financial year 2022-23.	For	For	No concern with the proposed recommendation
29-Aug-2022	SBI Life Insurance Company Limited	Annual General Meeting	Management	Revision in the remuneration of Mr. Mahesh Kumar Sharma (DIN: 08740737), Managing Director & Chief Executive Officer (CEO) of the Company, with effect from April 1, 2022	For	For	No concern with the proposed recommendation
29-Aug-2022	SBI Life Insurance Company Limited	Annual General Meeting	Management	Alteration of Articles of Association of the Company.	For	For	No concern with the proposed recommendation
29-Aug-2022	SBI Life Insurance Company Limited	Annual General Meeting	Management	Appointment of Mr. Swaminathan Janakiraman (DIN: 08516241) as a Nominee Director on the Board of the Company w.e.f. July 20, 2022.	For	Against	Since SBI grants permanent directorship to any individual, we believe that shareholders must get a chance to periodically vote on the reappointment of all directors.
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	To receive, consider and adopt the audited standalone financial statements of the Company for the financial year ended March 31, 2022 and the reports of the Board of Directors and Auditors thereon.	For	For	No concern with the proposed recommendation
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	To receive, consider and adopt the audited consolidated financial statements of the Company for the financial year ended March 31, 2022 and the report of the Auditors thereon.	For	For	No concern with the proposed recommendation
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	To confirm payment of Interim Dividend of ₹7/- per Equity Share and to declare Final Dividend of ₹3/- per Equity Share for the financial year 2021-22.	For	For	No concern with the proposed recommendation
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	To appoint Mr. Suresh T. Desai (DIN: 00005443), who retires by rotation and being eligible, has offered himself for re-appointment, as a Director.	For	For	No concern with the proposed recommendation
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	To consider and re-appoint M/s. S R B C & Co LLP Chartered Accountants (Firm's Registration No. 324982E/ E300003) as the Statutory Auditors of the Company for a further term of 5 (Five) consecutive years to hold office from the conclusion of this 30th Annual General Meeting until the conclusion of the 35th Annual General Meeting of the Company, at such remuneration (exclusive of applicable taxes and reimbursement of out of pocket expenses).	For	For	No concern with the proposed recommendation
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	Mr. Israeli Makov (DIN: 05299764), Non-executive & Non-independent Director, retires by rotation with effect from the conclusion of 30th Annual General Meeting and the vacancy caused as such not be filled up.	For	For	No concern with the proposed recommendation
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	Ratification of remuneration of ₹27,82,500/- per annum plus reimbursement of out of pocket expenses, applicable taxes payable to M/s. K D & Co, Cost Accountants, Firm's Registration No. 004076, appointed as the Cost Auditors to conduct the audit of cost records maintained by the Company for the financial year 2022-23.	For	For	No concern with the proposed recommendation
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	Payment of commission of ₹40,00,000/- each to Dr. Pawan Goenka, Mr. Gautam Doshi and Ms. Rama Bijapurkar, Independent Directors of the Company, for financial year ending on March 31, 2022	For	For	No concern with the proposed recommendation
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	Re-appointment of Mr. Gautam Doshi (DIN: 00004612) as an Independent Director of the Company, for a second term of 5 (Five) years commencing from May 25, 2023 to May 24, 2028, who shall continue to hold office after attaining the age of seventy-five years during the aforesaid term, and he shall not be liable to retire by rotation.	For	Abstain	Since there is on going case against Gautam Doshi, we would abstain from voting for his appointment till there is a final verdict.
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	Re-appointment of Mr. Dilip S. Shangvi (DIN: 00005588) as the Managing Director of the Company for a further period of 5 (five) years effective from April 1, 2023 to March 31, 2028 including the remuneration.	For	For	No concern with the proposed recommendation
29-Aug-2022	SUN PHARMACEUTICAL INDUSTRIES LTD	Annual General Meeting	Management	To be entered into between two non wholly-owned subsidiaries of Sun Pharmaceutical Industries Limited (the Company), that is, Taro Pharmaceuticals USA, Inc. (Taro USA) and Taro Pharmaceuticals Inc., Canada (Taro Canada), for purchase and sale of pharmaceutical products, agreed between Taro USA and Taro Canada, for a period of 2 (two) financial years from April 1, 2022 to March 31, 2023 and April 1, 2023 to March 31, 2024, upto an aggregate value equivalent to ₹2,000 crores for each financial year, and that such transaction(s)/ arrangement(s) shall be at arm's length.	For	For	No concern with the proposed recommendation

Form L- 43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
30-Aug-2022	Coal India Limited	Annual General Meeting	Management	To receive, consider and adopt: a. The Standalone Audited Financial Statements of the Company for the financial year ended March 31, 2022 including the Audited Balance Sheet as on March 31, 2022 and the Statement of Profit & Loss for the year ended on that date and the Reports of the Board of Directors, Statutory Auditor and Comptroller and Auditor General of India thereon. b. The Consolidated Audited Financial Statements of the Company for the financial year ended March 31, 2022 including the Audited Balance Sheet as on March 31, 2022 and the Statement of Profit & Loss for the year ended on that date and the Reports of Statutory Auditor and Comptroller and Auditor General of India thereon.	For	For	No concern with the proposed recommendation
30-Aug-2022	Coal India Limited	Annual General Meeting	Management	To confirm 1st and 2nd Interim dividend paid @ ₹ 9/- per share and ₹ 5/- per share respectively on equity shares for the Financial Year 2021-22 and to declare final dividend @ ₹ 3/- per share (30%) on equity shares for the financial year 2021-22.	For	For	No concern with the proposed recommendation
30-Aug-2022	Coal India Limited	Annual General Meeting	Management	To appoint a director in place of Smt. Nirupama Kotru [DIN- 09204338] who retires by rotation in terms of Section 152(6) of the Companies Act, 2013 and Article 39 (i) of Articles of Association of the Company and being eligible, offers herself for re-appointment.	For	For	No concern with the proposed recommendation
30-Aug-2022	Coal India Limited	Annual General Meeting	Management	Ratification of remuneration of ₹ 4,00,000/- out of pocket expenditures at actuals restricted to 50% of Audit fees and applicable taxes payable to M/s. Shome & Banerjee, Cost Auditor (Registration Number '000011) of the Company to conduct the audit of the cost records of CIL (Standalone) for the financial year ended 31st March, 2022.	For	For	No concern with the proposed recommendation
30-Aug-2022	Coal India Limited	Annual General Meeting	Management	Approval of amendment in Articles of Association.	For	Against	The proposed amendments do not specify a ceiling on the number directors and empowers the Board to decide the same. The company must put a cap on the maximum number of directors on the board.
30-Aug-2022	Coal India Limited	Annual General Meeting	Management	Appointment of Shri Debosh Nanda [DIN: 09015566], as an Additional Director with effect from 11th July 2022 liable to retire by rotation.	For	For	No concern with the proposed recommendation
30-Aug-2022	Coal India Limited	Annual General Meeting	Management	To amend the the main object clause and objects incidental or ancillary to the attainment of the main objects clause of Memorandum of Association.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	To receive, consider and adopt the standalone and consolidated financial statements for the financial year ended March 31, 2022 together with the Reports of the Directors and the Auditors thereon.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	To declare dividend on equity shares.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	To appoint a director in place of Mr. Sandeep Batra (DIN: 03620913), who retires by rotation and, being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of M/s M S K A & Associates, Chartered Accountants (Registration No. 105047W) as one of the Joint Statutory Auditors of the Company, to hold office from the conclusion of this Meeting till the conclusion of the Twenty-Ninth Annual General Meeting of the Company at an overall remuneration of ₹ 53.0 million, plus out-of-pocket expenses upto a maximum of ₹ 3.0 million.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of M/s KKC & Associates LLP, Chartered Accountants (formerly M/s Khimji Kunverji & Co LLP) (Registration No. 105146W/W100621) as one of the Joint Statutory Auditors of the Company, to hold office from the conclusion of this Meeting till the conclusion of the Twenty-Ninth Annual General Meeting of the Company at an overall remuneration of ₹ 53.0 million, plus out-of-pocket expenses up to a maximum of ₹ 3.0 million.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of Ms. Neelam Dhawan (DIN: 00871445) as an Independent Director of the Bank, not liable to retire by rotation, for a second term of three consecutive years commencing from January 12, 2023 to January 11, 2026.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of Mr. Uday Chitale (DIN: 00043268) as an Independent Director of the Bank, not liable to retire by rotation, for a second term commencing from January 17, 2023 to October 19, 2024.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Re-appointment of Mr. Radhakrishnan Nair (DIN: 07225354) as an Independent Director of the Bank, not liable to retire by rotation, for a second term of three consecutive years commencing from May 2, 2023 to May 1, 2026.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Appointment of Mr. Rakesh Jha (DIN: 00042075) as a Director of the Bank, liable to retire by rotation.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Appointment of Mr. Rakesh Jha (DIN: 00042075) as a Wholetime Director (designated as Executive Director) of the Bank for a period of five years effective from May 1, 2022 including remuneration.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Revised Remuneration for Mr. Sandeep Bakhshi (DIN: 00109206), Managing Director & Chief Executive Officer (MD & CEO).	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Revised remuneration for Mr. Anup Bagchi (DIN: 00105962), Executive Director.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Revised remuneration for Mr. Sandeep Batra (DIN: 03620913), Executive Director.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Revised remuneration for Ms. Vishakha Mulye (DIN: 00203578), erstwhile Executive Director of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for Current account deposits	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for subscription of securities issued by Related Parties and purchase of securities from Related Parties for an amount not exceeding ₹ 50.00 billion with each Related Party during FY2024.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party transactions for sale of securities to Related Parties for an amount not exceeding ₹ 50.00 billion with each Related Party during FY2024.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for fund based and non-fund based credit facilities for an amount not exceeding ₹ 25.00 billion and ₹ 60.00 billion with each Related Party during FY2024.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for repurchase (repo) transactions and other permitted short-term borrowing transactions for an amount not exceeding ₹ 40.00 billion with each Related Party during FY2024.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions of reverse repurchase (reverse repo) transactions and other permitted short-term lending transactions for an amount not exceeding ₹ 40.00 billion with each Related Party during FY2024.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for availing manpower services for certain activities of the Bank from Related Party for an amount not exceeding ₹ 15.00 billion with each Related Party during FY2024.	For	For	No concern with the proposed recommendation

Form L- 43 - Voting Activity Disclosure under Stewardship Code

Name of the Insurer: Aviva Life Insurance Company India Limited

For the Quarter ending: September 30, 2022

Date: 1-Nov-22

Meeting Date	Investee Company Name	Type of Meeting	Proposal by Management or Shareholder	Description of the Proposal	Investee Companies' Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Material Related Party Transactions for availing insurance services from Related Party as the value of transaction will vary as per the number of claims during the year.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Approval and adoption of ICICI Bank Employees Stock Unit Scheme 2022 for not exceeding 100,000,000 Units, in one or more tranches as may be determined by the Board over a period of 7 (seven) years, to eligible employees of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2022	ICICI Bank Limited	Annual General Meeting	Management	Approval of grant of units to the eligible employees of select unlisted wholly owned subsidiaries under ICICI Bank Employees Stock Unit Scheme- 2022 within the aggregate limit of 100,000,000 Units, (as mentioned in Resolution No. 23 above) to the employees of the select unlisted wholly owned subsidiaries of the Bank.	For	For	No concern with the proposed recommendation
30-Aug-2022	Reliance Capital Limited	Voting Agenda Items 17th CoC meeting dated Aug 30, 2022	Management	To consider, discuss and approve full and final settlement amount for a consideration amounting to INR 26 crore against the current outstanding Capital advance amount held by the Corporate Debtor in accordance with regulation 29 of the IBBI (Insolvency Resolution Process for Corporate Persons) Regulations, 2016 ("CIRP Regulations") subject to release of charge from secured lenders of the Corporate Debtor	For	Abstain	Abstain from voting on following grounds: . The information on the subject matter is inadequate to make the informed decision. . The disclosures are also not sufficient to make decision. . As per the information available, there has been no financial due diligence of the creditor party i.e. Veas Properties Limited (erstwhile KGS Developer Limited) and the settlement amount of Rs. 26 cr is on the basis of discussions only post CIRP commencement. Aviva not being party to those discussions would like to abstain from voting.
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To consider and adopt (a) the audited financial statements of the Company for the financial year ended 31st March, 2022 and the reports of the Board of Directors and Auditors thereon; and (b) the audited consolidated financial statements of the Company for the financial year ended 31st March, 2022 and the report of the Auditors thereon.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To declare dividend at the rate of INR 60 per equity share	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Hisashi Takeuchi (DIN: 07806180), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To appoint a Director in place of Mr. Kenichiro Toyofuku (DIN: 08619076), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To appoint Mr. Shigetoshi Tarii (DIN:06437336) as a Director liable to retire by rotation.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To re-appoint Mr. Kenichiro Toyofuku (DIN: 08619076) as a Whole-time Director designated as Director (Corporate Planning) with effect from 5th December, 2022 for a period of three years including remuneration.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	Payment of commission to the non-executive directors of the Company (other than the Managing / Whole-time Directors) in addition to the sitting fee for attending the meetings of the board and committees thereof, not exceeding in aggregate one percent of the net profits of the Company.	For	Against	Since the aggregate commission to be paid to non-executive directors is taken on perpetuity, we believe that that the board will approach shareholders periodically to seek their approval.
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	Ratification of remuneration of INR 2.65 lac plus applicable taxes thereon besides reimbursement of out of pocket expenses payable to M/s R.J.Goel & Co., Cost Accountants (Firm Registration No. 000026) appointed by the Board of Directors as Cost Auditor to conduct the audit of the applicable cost records of the Company for the financial year 2022-23.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To approve the Material Related Party Transactions with Suzuki Motor Corporation for an aggregate value not exceeding INR 3,300 crores for financial year 2022-23 to 2024-25.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To approve the Material Related Party Transactions with Suzuki Motor Corporation for an aggregate value not exceeding INR 20,000 Crores for the financial year 2022-23 to 2024-25.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To approve the Material Related Party Transactions with FMI Automotive Components Private Limited for an aggregate value not exceeding INR 2,300 Crores for financial year 2022-23 to financial year 2024-25.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To approve the Material Related Party Transactions with SKH Metals Limited for an aggregate value not exceeding INR 1,100 Crores for financial year 2022-23 to financial year 2024-25.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To approve the Material Related Party Transactions with Jay Bharat Maruti Limited for an aggregate value not exceeding INR 1,700 Crores for financial year 2022-23 to financial year 2024-25.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To approve the Material Related Party Transactions with Krishna Maruti Limited for an aggregate value not exceeding INR 2,500 Crores for financial year 2022-23 to 2024-25.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To approve the Material Related Party Transactions with Bharat Seats Limited for an aggregate value not exceeding INR 1,100 Crores for financial year 2022-23 to 2024-25.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To approve the Material Related Party Transactions with TDS Lithium-Ion Battery Gujarat Private Limited for an aggregate value not exceeding INR 2,500 crores for financial year 2022-23 to 2024-25.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To approve the Material Related Party Transactions with Suzuki Motorcycle India Private Limited for an aggregate value not exceeding INR 1,800 Crores financial year 2022-23 to 2024-25.	For	For	No concern with the proposed recommendation
31-Aug-2022	Maruti Suzuki India Limited	Annual General Meeting	Management	To approve the Material Related Party Transactions with Magyar Suzuki Corporation Limited for an aggregate value not exceeding INR 1,500 Crores for financial year 2022-23 to 2024-25.	For	For	No concern with the proposed recommendation
20-Sep-2022	Reliance Capital Limited	Voting Agenda Items 19th CoC meeting dated Sep 20, 2022	Management	Resolved that in full and final settlement in relation to KGS Developer Limited, for a consideration amounting to INR 26 crores, against the current outstanding Capital advance amount held by the Corporate Debtor, in accordance with regulation 29 of the IBBI (Insolvency Resolution Process for Corporate Persons) Regulations, 2016 ("CIRP Regulations"), subject to release of charge from the secured lenders of the Corporate Debtor	For	Abstain	Abstain from voting on following grounds: . The information on the subject matter is inadequate to make the informed decision. . The disclosures are also not sufficient to make decision. . As per the information available, there has been no financial due diligence of the creditor party i.e. Veas Properties Limited (erstwhile KGS Developer Limited) and the settlement amount of Rs. 26 cr is on the basis of discussions only post CIRP commencement. Aviva not being party to those discussions would like to abstain from voting.
20-Sep-2022	Reliance Capital Limited	Voting Agenda Items 19th CoC meeting dated Sep 20, 2022	Management	RESOLVED THAT the approval for the payment of approx. INR 4.7 crores to be made to Reliance Securities Limited, in relation to the professional service for managing the margin money and the same shall form part of the Corporate Insolvency Resolution Process cost	For	Against	Reliance Capital is already undergoing insolvency resolution process, it is not advisable for Reliance Capital to further spend hefty amounts on the services provided by the group companies and subsidiaries. Hence recommended against.
20-Sep-2022	Reliance Capital Limited	Voting Agenda Items 19th CoC meeting dated Sep 20, 2022	Management	RESOLVED THAT the revised resolution plan submission date in terms of the RFRP stands extended to October 31, 2022, for submission of Revised Resolution Plan and Combined Resolution Plan.	For	For	No concern with the proposed recommendation
20-Sep-2022	Reliance Capital Limited	Voting Agenda Items 19th CoC meeting dated Sep 20, 2022	Management	RESOLVED THAT the Administrator shall make an application to the Adjudicating Authority (i.e.,NCLT Mumbai) for seeking exclusion of 90 days from the CIRP Process.	For	For	No concern with the proposed recommendation

FORM L-45 OFFICES AND OTHER INFORMATION

Sl. No.	Information	Number
1	No. of offices at the beginning of the quarter*	58
2	No. of branches approved during the quarter	-
3	No. of branches opened during the quarter	Out of approvals of previous year
4		Out of approvals of this year
5	No. of branches closed during the quarter	-
6	No of branches at the end of the quarter	58
7	No. of branches approved but not opened	-
8	No. of rural branches	-
9	No. of urban branches	58
10	No. of Directors:-	
	(a) Independent Director	3
	(b) Executive Director	1
	(c) Non-executive Director (including Independent Director and women directors)	8
	(d) Women Director	1
	(e) Whole time director	1
11	No. of Employees	
	(a) On-roll:	1,467
	(b) Off-roll:	55
	(c) Total	1,522
12	No. of Insurance Agents and Intermediaries	
	(a) Individual Agents	4,308
	(b) Corporate Agents-Banks	4
	(c) Corporate Agents-Others	4
	(d) Insurance Brokers	115
	(e) Web Aggregators	-
	(f) Insurance Marketing Firm	13
	(g) Micro Agents	-
	(h) Point of Sales persons (DIRECT)	-
	(i) Other as allowed by IRDAI (To be specified)	-

* excluding HO

Employees and Insurance Agents and Intermediaries -Movement

Particulars	Employees	Insurance Agents and Intermediaries
Number at the beginning of the quarter	1,409	4,883
Recruitments during the quarter	354	309
Attrition during the quarter	296	748
Number at the end of the quarter	1,467	4,444